This is the preprint of the contribution published as:

Çelik, G., Stolte, S., **Müller, S.**, **Schattenberg, F.**, Markiewicz, M. (2023): Environmental persistence assessment of heterocyclic polyaromatic hydrocarbons - Ultimate and primary biodegradability using adapted and non-adapted microbial communities *J. Hazard. Mater.* **460**, art. 132370

The publisher's version is available at:

https://doi.org/10.1016/j.jhazmat.2023.132370

1 Environmental persistence assessment of heterocyclic polyaromatic

2 hydrocarbons - Ultimate and primary biodegradability using adapted and

3 non-adapted microbial communities

4 Göksu Çelik^a, Stefan Stolte^a, Susann Müller^b, Florian Schattenberg^b, and Marta Markiewicz^{a*}

⁵ ^a Institute of Water Chemistry, Technical University of Dresden, 01069 Dresden, Germany.

6 ^b Department of Environmental Microbiology, Helmholtz Centre for Environmental Research-UFZ,

7 Permoserstr. 15, 04318 Leipzig, Germany.

8 *Corresponding author e-mail address: marta.markiewicz@tu-dresden.de

9 † Supporting information (SI) available.

10

11 Abstract

Heterocyclic polyaromatic hydrocarbons (heterocyclic PAHs) are of increasing interest to 12 13 environmental and human impact assessments due to their abundance and potential persistence in the environment. This study investigated the ultimate and primary biodegradability of ten 14 heterocyclic PAHs, nine of which were found to be non-readily biodegradable. To generate a 15 16 community capable of degrading such compounds, a bacterial inoculum, isolated from a 17 wastewater treatment plant (WWTP), was adapted to a mixture of heterocyclic PAHs for oneyear. Primary biodegradation, ultimate biodegradation, and inoculum toxicity tests were 18 19 conducted with bacteria sampled at different stages of adaptation. Interestingly, the one-yearadapted community developed the ability to mineralize carbazole, while simultaneously 20 21 becoming gradually more sensitive to benzo[c]carbazole. In two consecutive primary 22 biodegradation experiments, degradation of four heterocycles was observed. For five compounds, no biodegradation was detected in any of the tests. The findings of this work were 23 24 decisively compared with those predicted by in silico models for biodegradation timeframe and 25 sorption, and it was found that the models were only partially successful in describing these 26 processes. In brief, this study provides insights into the aquatic persistence of a group of 27 representative heterocyclic PAHs, which is highly relevant for the hazard assessment of this 28 class of substances.

Keywords: NSO-heterocyclic compounds, microbial adaptation, inoculum toxicity, ready biodegradability

32 Graphical abstract





33

35 Environmental Implication

Heterocyclic polyaromatic hydrocarbons (heterocyclic PAHs) pose a threat to the environment and to human health and are thus of increasing concern, but they have been somewhat neglected in hazard and fate assessments. Here, the biodegradability of heterocyclic PAHs is reported using ultimate biodegradation, primary biodegradation, microbial adaptation and inoculum toxicity tests. The findings highlight that heterocyclic PAHs have a high risk of persistence which cannot be reliably predicted using models. Further action is therefore urgently needed to closely monitor these chemicals in the environment.

43 Highlights

- 44 None of the heterocyclic PAHs (\geq 3-rings) studied were readily biodegradable.
- 45 Mineralization of carbazole was facilitated by microbial adaptation.
- 46 ♦ Through adaptation, bacteria gradually become more sensitive to benzo[c]carbazole.
- 47 Primary biodegradation of four heterocycles was observed.
- 48 Heterocyclic PAHs have a high risk of persistency.
- 49
- 50

51 **1. Introduction**

In recent decades there has been an increasing interest in understanding the hazard potential of 52 heterocyclic polyaromatic hydrocarbons (heterocyclic PAHs) substituted with nitrogen, 53 54 sulphur or oxygen heteroatoms. Recently, more attention has been devoted to the monitoring of heterocyclic PAHs, which disperse in the environment concurrently with their homocyclic 55 56 analogs, originate from the same sources (coal, tar, asphalt, gas plants, etc.) [1,2], and have been detected in surface waters, groundwater, sediments and soils [3–6]. Given their abundance 57 and occurrence, even in areas far from the sources of contamination [5], heterocyclic PAHs are 58 of particular importance for long-term soil and water monitoring programs. Importantly, the 59 60 heterocycles indole, quinoline and xanthene have been identified as mutagenic [7,8], carbazole as clastogenic [9], acridine, dibenzothiophene, and dibenzofuran as genotoxic [10], and 61 62 benzonapthothiphene and dibenzocarbazole as bioaccumulative [11,12], suggesting that these 63 compounds pose a high risk, especially if they are also persistent in the environment.

The focus on homocyclic PAHs has, over the years, overshadowed the evaluation of the 64 65 potentially hazardous heterocycles in this group. For instance, only homocyclic PAHs are included in the list of substances of very high concern for authorisation under the REACH 66 67 Regulation [13]. More recently, the German Federal Soil Protection and Contaminated Sites 68 Ordinance mandated the monitoring of heterocyclic PAHs, but without specifying the extent 69 of such an analysis (no specific thresholds or clearly defined list of compounds), while 15 70 heterocyclic PAHs have been identified as priority substances of environmental concern that 71 need to be legally assessed due to their potential to be Persistent, Bioaccumulative and Toxic (PBT) to biota and humans [2]. 72

Persistence is a globally established hazard criterion for chemicals, along with bioaccumulation, toxicity and mobility. In fact, high persistence alone is a significant concern because persistent chemicals will continue accumulate in the environment for as long as they are released. Whether or not they cause immediate adverse effects, the long-term consequences are difficult to predict or even test. Nevertheless, if detrimental effects occur, they can be extremely difficult to reverse. For this reason, there has been recent advocacy for accepting persistence alone as a sufficient criterion for trigerring action [14].

The results of standard OECD biodegradation tests (see SI-S1 for more information on different tests) are often used to assess persistence, and compounds are considered 'nonpersistent' if they are rapidly AND extensively biodegraded. However, for heterocyclic PAHs, hardly any standard tests have been performed. The results of the few standard tests showed 84 that the heterocyclic PAHs quinoline, carbazole, dibenzofuran and dibenzothiophene were "not readily biodegradable" [15]. Most of the available biodegradation studies of small heterocyclic 85 PAHs (with 2 or 3 rings) have been carried out using specific strains or mixed bacterial 86 communities isolated from PAH-contaminated sites (and thus adapted to the test compounds) 87 88 to stimulate biodegradation [16-20]. These studies have often shown that N/O-PAHs are generally more susceptible to biodegradation than S-PAHs and homocyclic PAHs of similar 89 90 size [3]. The degradation pathways of prevalent NSO-heterocycles such as carbazole, dibenzothiophene and dibenzofuran are well documented [21,22]. However, little is known 91 92 about larger heterocyclic PAHs and the degradation of heterocycles by non-adapted communites. Thus, the data that could be used to assess their persistence in non-heavily 93 polluted environments or in a regulatory context is missing. 94

95 At this point, it should be mentioned that extrapolating data from laboratory tests to real 96 environmental conditions is a challenge due to the difficulties in mirroring environmental 97 complexity in *in vitro* tests, and the bacterial inoculum often remains a "black box" [23], regularly leading to poor reproducibility of test results [24]. Recently, multigenomic (and other 98 99 -omics) analyses and flow cytometry, have been proposed as tools that can improve the 100 mechanistic understanding of biodegradation processes by allowing in-depth characterization of a community's diversity and function [25,26]. Although microbial adaptation is explicitly 101 102 not permitted in standard biodegradation testing protocols (e.g., OECD 301, 303), the formation of specific degraders in a community is important for the remediation of 103 contaminated sites (through active indigeneous communities) or as an argument against 104 105 persistence (weight of evidence in chemical hazard assessment).

106 Microbial communities can exhibit remarkable metabolic plasticity, sometimes developing the ability to degrade xenobiotics after prolonged exposure. Such adaptation of microbial 107 communities has been demonstrated in the laboratory [27] or even on a much larger scale in 108 109 the example of WWTP communities developing the metabolic capability to degrade artificial sweeteners [28,29] or pharmaceuticals [30]. The mechanisms involved in adaptation may cover 110 population shifts (e.g., preferential growth of specific degraders) or changes in individual 111 organisms (e.g., induction of enzymes or propagation of metabolic capacity by horizontal gene 112 transfer). Induction of metabolic capacity for xenobiotics at the organism or community level 113 may be caused by exposure to the specific xenobiotic or a structurally analogous compound, 114 i.e., cross-adaptation [31]. This is particularly relevant for NSO-PAHs, which usually occur in 115 the environment as mixtures. 116

117 Taking into account the significance of persistence for hazard assessment (and also for the regulatory context), the scarcity of data, and the volume of chemicals in circulation, approaches 118 119 to screening potentially persistent compounds that are more pragmatic than even the lowesttier biodegradability test are needed to fill the data gap. This can theoretically be rectified by 120 121 the use of mathematical models, as is the case of other environmental endpoints, i.e., toxicity, 122 bioaccumulation and mobility. As part of the *weight of evidence* approach, QSAR predictions 123 can be used for a preliminary identification of substances with respect to their potential for persistence using information on their degradation half-life. However, the size of the 124 125 calibration set of existing models is often limited to specific chemical classes, such as hydrocarbons [32], aromatics [33], pesticides [34], herbicides [35], ultimately limiting the 126 127 application domain and thus the regulatory use of the predicted data.

128 In our recent study, we conducted a hazard screening for a group of N-, S- and O-containing 129 PAHs made of two to five fused rings [36]. In that investigation, many compounds were classified as potentially (very) persistent based on BIOWIN mathematical models. Here, we 130 131 experimentally determined the biodegradability of ten heterocyclic PAHs (Table 1) in a comprehensive battery of tests - involving ultimate and primary biodegradation tests at 132 different concentrations and using both adapted and non-adapted inocula from a WWTP. The 133 course of degradation was followed manometrically or by GC/MS. Subsequent LC-MS/MS 134 135 analysis was performed to screen transformation products. Changes in inoculum composition during the adaptation period of 365 days were observed by flow cytometry analysis. 136 137 Additionally, toxicity tests were performed with adapted and non-adapted inoculum to 138 investigate whether the chemicals could have caused toxic inhibition of microbial activity. 139 Sorption to biomass was also evaluated as another mechanism of removal from aqueous samples. Lastly, the experimental results were compared with the outcomes of mathematical 140 141 models predicting biodegradation and sorption to sludge. The results obtained in this work were used to evaluate the persistence potential of heterocylic PAHs in aquatic systems. 142

143

144

145

146

Name (abbreviation) Formula MW [g mol ⁻¹]	Chemical structure	log K _{OW} ^a log K _{OC} ^a Sw ^a	VP [mm Hg] ^b	
indole (IND) C_8H_7N 117.1		$\log K_{\rm OV} = 2.14 \text{ c}$ $\log K_{\rm OC} = 2.36 \text{ d}$ $S_{\rm W} = 3560 \text{ mg } \text{L}^{-1} \text{ c}$	1.22x10 ⁻²	
carbazole (CRB) C ₁₂ H ₉ N 167.2		$\log K_{\rm OW} = 3.72$ ° $\log K_{\rm OC} = 3.35$ ° $S_{\rm W} = 1.5$ mg L ⁻¹ °	7.50x10 ⁻⁷	
dibenzofuran (DBF) C ₁₂ H ₈ O 168.2	$\bigcirc 0$	$\log K_{\rm OW} = 4.12 ^{\circ}$ $\log K_{\rm OC} = 3.49 ^{\circ}$ $S_{\rm W} = 3.48 ^{\rm mg} ^{\rm L^{-1}} ^{\circ}$	2.48x10 ⁻³	
dibenzothiophene (DBT) C ₁₂ H ₈ S 184.3	CS)	$\log K_{\rm OW} = 4.61$ $\log K_{\rm OC} = 3.98$ $S_{\rm W} = 0.96$ mg L ⁻¹	2.05x10 ⁻⁴	
thioxanthene (TXT) $C_{13}H_{10}O$ 198.3	() (S)	$\log K_{\rm OW} = 5.05$ $\log K_{\rm OC} = 4.06$ $S_{\rm W} = 0.27 \text{ mg L}^{-1}$	1.99x10 ⁻⁵	
benzo[c]carbazole (BCRB) C ₁₆ H ₁₁ N 217.3		$\log K_{\rm OW} = 5.22$ $\log K_{\rm OC} = 4.84$ $S_{\rm W} = 0.80$ mg L ⁻¹	2.38x10 ⁻⁷	
benz[a]acridine (BACR) C ₁₇ H ₁₁ N 229.3	CNO	$\log K_{\rm OW} = 4.48 ^{\circ}$ $\log K_{\rm OC} = 4.39 ^{\circ}$ $S_{\rm W} = 1.12 ^{\rm mg} ^{\rm L^{-1} ^{\circ}}$	5.34x10 ⁻⁷	
benzo[b]naphtho[1,2-d]furan (BNF) C ₁₆ H ₁₀ O 218.3		$\log K_{\rm OW} = 5.60$ $\log K_{\rm OC} = 4.98$ $S_{\rm W} = 0.25 \text{ mg L}^{-1}$	2.35x10 ⁻⁶	
benzo[b]naphtho[1,2-d]thiophene (BNT) C ₁₆ H ₁₀ S 234.3	CS	$\log K_{\rm OW} = 5.75$ $\log K_{\rm OC} = 5.50$ $S_{\rm W} = 0.026$ mg L ⁻¹	1.14x10 ⁻⁷	
dinaphtho[2,1-b:1',2'-d]furan (DNF) C ₂₀ H ₁₂ O 268.3	SS	$\log K_{\rm OW} = 6.89$ $\log K_{\rm OC} = 6.61$ $S_{\rm W} = 0.0015 \text{ mg L}^{-1}$	1.47x10 ⁻⁸	

148 Table 1. Environmentally relevant physicochemical properties of heterocyclic PAHs.

Abbreviations: MW – molecular weight, K_{OW} – n-octanol-water partition coefficient, K_{OC} – organic carbon-water partition

150 coefficient, S_W – water solubility, VP – vapor pressure.

151 Predicted values are given in *italics*.

152 ^a Measured data for $\log K_{OW}$, $\log K_{OC}$ and S_W obtained from our previous work [36], if otherwise is not indicated, i.e., sources:

153 ° [37], ^d [38], ^e [39].

154 ^b Measured data for VP were taken from EPA Comptox chemicals dashboard database [37] if available, otherwise predicted

by the MPBPVP model [40].

157 **2.** Materials and Methods

2.1. Chemicals

Carbazole (CRB, 96%, CAS# 86-74-8), dibenzothiophene (DBT, 98%, CAS# 132-65-0), and 159 thioxanthene (TXT, 98%, CAS# 261-31-4) were purchased from Acros Organics (Geel, 160 Belgium). Dibenzo[b,d]furan (DBF, 98%, CAS# 132-64-9), 7H-benzo[c]carbazole (BCRB, 161 97%, CAS# 205-25-4), benzo[b]naphtho[1,2-d]thiophene (BNT, 97%, CAS# 205-43-6), 162 benzo[b]naphtho[1,2-d]furan (BNF, >98%, CAS# 205-39-0), and dinaphtho[2,1-b:1',2'-163 d]furan (DNF, 97%, CAS# 194-63-8) were obtained from BLD Pharmatech GmbH 164 (Kaiserslautern, Germany). Benzo[a]acridine (BACR, 99.8%, BCR®-157, CAS# 225-11-6) 165 was purchased from the European Commission Joint Research Center (Geel, Belgium). Indole 166 167 (IND, CAS# 120-72-9) was also tested as a reference compound. Fluorene (FLR, 98%, CAS# 86-73-7) and benzo[a]anthracene (BANT, 99%, CAS# 56-55-3) were purchased from Sigma-168 169 Aldrich (Steinheim, Germany), while pyrene (PYR, 99%) was from Dr. Ehrenstorfer (Augsburg, Germany). D(+)-glucose (anhydrous), sodium benzoate, and sodium azide (>99%) 170 171 were obtained from Merck KGaA (Darmstadt, Germany). N-allylthiourea (98%) was obtained from Sigma Aldrich (Steinheim, Germany). Carbazole-d8 (CRB-d8, 98%, in acetone, Dr. 172 173 Ehrenstorfer), dibenzofuran-d8 (DBF-d8, 96%, in methanol, Neochema), dibenzothiophened8 (DBT-d8, 96%, in methanol, Neochema), fluoranthene (98%, Sigma-Aldrich) and 174 benzo[k]fluroanthene (99%, in acetone, Restek) were used as surrogate standards in extraction. 175

176 2.2. Biodegradation tests

A timeline of a series of experiments conducted in this study and a detailed test scheme arepresented in Figure 1 and Figure S2, respectively.



180 Fig. 1. Timeline of biodegradation experiments conducted in this study. Tests of the same type are marked with181 the same color, with each test described below or in SI file section S1.



The ultimate biodegradability of the heterocyclic PAHs was measured according to OECD guideline 301F (the so-called manometric respirometry method) [41] using the OxiTop® test system (WTW, Weilheim, Germany), which determines the complete biodegradation (mineralization) of organic substances in a closed respirometer filled with aqueous medium and air under automated thermostatic conditions. In the OxiTop® system, biological oxygen demand (BOD) measurements were recorded based on the pressure changes in the test bottles.

Activated sludge from an aeration tank of a municipal WWTP in Dresden, Germany, sampled 189 190 in December 2020, was the inoculum source. The supernatant - the inoculum - was collected by sequential sedimentation and resuspension of flocs in tap water. The inoculum was then 191 192 preconditioned by aeration for 3 days to allow the microbial community to consume the 193 residual organic matter, to reduce the blank values and ensure high oxygen levels prior to 194 testing. The total suspended solids (TSS) content of the inoculum was measured, and the 195 inoculum was diluted with tap water to achieve a final concentration of 30 mg TSS L⁻¹. The number of live aerobic bacteria was estimated by counting colonies on agar plates (product# 196 197 535102B, VWR). Subsequently, the diluted inoculum was supplemented with minerals to achieve final concentrations of 8.5 mg L⁻¹ KH₂PO₄, 21.75 mg L⁻¹ K₂HPO₄, 33.4 mg L⁻¹ 198 Na₂HPO₄*2H₂O, 0.5 mg L⁻¹ NH₄Cl, 36.4 mg L⁻¹ CaCl₂*2H₂O, 22.5 mg L⁻¹ MgSO₄*7H₂O 199 and 0.25 mg L⁻¹ FeCl₃*6H₂O (OECD 301F). A nitrification inhibitor (n-allylthiourea, 5 mg L⁻ 200 201 ¹) was added to avoid possible oxygen consumption by nitrification. The test compounds were added to the test bottles as solids (14.6 to 15.6 mg L^{-1}) to yield a BOD of 40 mg $O_2 L^{-1}$. Two 202 203 or three replicates were run for each test substance, accompanied by three blank samples to 204 account for internal cellular respiration and two positive controls containing sodium benzoate. 205 The tests were run for 28 days under constant stirring at 20 ± 1 °C in amber glass bottles.

The tests were accepted as valid if (i) the BOD of the blank samples after 28 days was less than 206 one-quarter of the BOD of the test compounds, i.e., $<10 \text{ mg O}_2 \text{ L}^{-1}$, (ii) the positive control was 207 208 degraded by more than 60% in the first 14 days, and (iii) the difference in biodegradability between replicates at the end of the test or at plateau was within 20% [42]. It should be noted 209 210 that the first criterion was more stringent than the criterion suggested by OECD 301F, where the BOD blank consumption was set to a maximum of 60 mg O₂ L⁻¹. However, since a total 211 BOD of 40 mg O₂ L⁻¹ was used in our test system, this criterion was not applicable to our 212 biodegradation scenario and was therefore modified. The manometric respirometry test was 213 performed three times, each under different experimental conditions with a concentration of 214 215 the test substance corresponding to the BOD of:

- 216 (1) 40 mg $O_2 L^{-1}$ using non-adapted microbial community from activated sludge
- 217 (2) 40 mg $O_2 L^{-1}$ using the adaptation culture sampled on day 60
- 218 (3) 10 mg $O_2 L^{-1}$ using the adaptation culture sampled on day 365
- All tests met the validity criteria. A schematic representation of the OxiTop® test bottle and
- the principle chemical reactions is shown in Figure S3.
- 221 **2.2.2.** Microbial community toxic inhibition test

222 The manometric respirometry method demands relatively high concentrations of test substances, which can lead to inhibition of the microbial community or mass transfer problems, 223 224 resulting in false negative biodegradability results (biodegradable compounds cannot be 225 identified as such) [43]. This is particularly critical for heterocyclic PAHs, since they were added to the test systems at concentrations above their solubility limit to achieve the sensitivity 226 227 required by the method. Therefore, the inhibitory effect of the heterocyclic PAHs on the 228 inoculum was determined by performing a toxicity test, namely a glucose biodegradation inhibition test, using the OxiTop® system. The test was performed by adding the test substance 229 230 at a concentration identical to that used for ready biodegradability testing and an easily 231 biodegradable reference compound (D(+)-glucose) to the same test vessel, providing 40 mg $O_2 L^{-1}$ from the test substance and 40 mg $O_2 L^{-1}$ from the glucose, so that the total BOD of each 232 test vessel was 80 mg O₂ L⁻¹. In some cases, the concentration of the test substance was reduced 233 to 10 mg O₂ L⁻¹ to check whether it still had an inhibitory effect on microbes at lower 234 concentrations, while the glucose concentration always remained the same (40 mg O₂ L⁻¹). The 235 oxygen consumption of the test vessels was monitored for 14 days in four experiments, each 236 237 under different experimental conditions with a concentration of the test substance corresponding to the BOD of: 238

- 239 (1) 40 mg $O_2 L^{-1}$ using a non-adapted microbial community from activated sludge
- 240 (2) 40 mg $O_2 L^{-1}$ using the adaptation culture sampled on day 140
- 241 (3) 10 mg $O_2 L^{-1}$ using the adaptation culture sampled on day 250
- 242 (4) 10 mg $O_2 L^{-1}$ using the adaptation culture sampled on day 340

For each test substance and glucose mixture, two or three replicates were run in parallel, supplemented with four blank samples and three controls containing only glucose. In a toxicity test containing both the test substance and glucose, if 25% inhibition occurred within 14 days compared to glucose controls, the test substance was classified as "inhibitory."

247 **2.2.3.** Microbial adaptation

248 The effluent from a WWTP in Dresden, Germany was collected in January 2021 and the coarse particles were allowed to settle after several washing steps. The dry mass of the supernatant 249 250 containing the formerly suspended microbial community was measured (0.7 g L⁻¹). The 251 inoculum was transferred to three reactors, each equipped with magnetic stirring and aeration 252 with air pumps. Subsequently, the inoculum was fed with synthetic sludge (160 mg L⁻¹ peptone, 110 mg L⁻¹ meat extract, 30 mg L⁻¹ urea, 7 mg L⁻¹ NaCl, 4 mg L⁻¹ CaCl₂.2H₂O, 2 mg L⁻¹ 253 MgSO₄.7H₂O and 28 mg L⁻¹ K₂HPO₄ in deionized water, pH 7.5) prepared according to OECD 254 guideline 209 to boost microbial growth [44]. A mixture of heterocyclic PAHs, including CRB, 255 DBF, DBT, TXT, BACR, BCRB, BNF, and BNT, was prepared in methanol. DNF was 256 excluded from this mixture due to its very low water solubility (1.5 µg L⁻¹), which requires a 257 large sampling volume for the extractions. Since heterocyclic PAHs sorbed significantly to the 258 259 organic matter in the microbial reactors, the sorptive capacity had to be exceeded first to allow for reliable measurement in liquid phase. Therefore, the mixture containing 30 μ g L⁻¹ of each 260 test compound in methanol, was spiked into each adaptation culture reactor every 4 days until 261 the concentrations of the test compounds exceeded the LOQ of the GC/MS method 262 (approximately 5-9 µg L⁻¹), which occurred on day 30. Subsequently, the flocs were resettled, 263 264 and supernatant was collected again as the microbial density increased significantly after the 265 addition of synthetic sludge that could further limit the bioavailability of test compounds. From day 30 onwards, the reactors were spiked with 30 μ g L⁻¹ test substance mixture in methanol 266 267 once a week. The microbial community was sampled for the tests one week after the final feeding to lower the BOD in the blanks. Samples were taken periodically from each reactor to 268 269 check pH, conductivity, DOC and concentration of heterocyclic PAHs. Adaptation cultures were fed with synthetic sludge at low concentrations when the DOC in the reactors fell below 270 271 40 mg L⁻¹ (generally once a month). The microbial communities were adapted to heterocyclic 272 PAHs over 365 days, with sampling of the microbial community at various stages of the 273 adaptation period in order to conduct the biodegradation tests.

274

2.2.4. Primary biodegradation

The microbial community adapted to the test compounds was sampled at days 75 and 120 and used as the inoculum source for the two consecutive primary biodegradation experiments. The dry mass of the adapted inoculum was measured (2 g L⁻¹), then diluted with tap water to obtain a concentration of 0.5 g TSS L⁻¹ and supplemented with the mineral medium described in section 2.2.1. Two replicates were run for the blank and positive control (sodium benzoate), accompanied by three replicates for the mixture of the test compounds (CRB, DBF, DBT, TXT,

BCRB, BACR, BNF, and BNT), each with a final volume of 200 mL. The test compounds 281 were added as a mixture in methanol, resulting in a theoretical concentration of each compound 282 283 equal to 0.16 mg L⁻¹, at which all compounds remained below their water solubility, except BNT ($S_W=0.26 \ \mu g \ L^{-1}$), which exceed its solubility with insoluble solid/liquid fractions. The 284 285 concentration of the test compounds was chosen to allow reliable measurement of a 90% 286 reduction in concentration during the test. The concentration of sodium benzoate in the positive 287 control samples was 1.6 mg L⁻¹ (close to the chemical concentrations at which it can also be measured by HPLC/DAD). Blank samples contained medium and inoculum, but no test 288 289 substance or benzoate. Each type of sample (test samples, positive controls, and blanks) 290 contained 0.8% methanol as a result of spiking with test compounds (for test samples and 291 positive controls) or added for the sake of consistency (the blanks). Samples were stirred 292 continually and were loosely covered to allow gas exchange while limiting evaporation.

293 The primary biodegradation experiment was performed twice in the same way: the first primary 294 degradation test was started using inoculum sampled from the adaptation reactors on day 75, 295 and the second primary degradation test used inoculum sampled from the same vessel on day 296 120. Additionally, abiotic controls were added in the second test to quantify concentration 297 losses that do not occur from microbial degradation but from abiotic processes such as sorption to biomass. Sodium azide (15 g L^{-1}) was added to each abiotic vessel to kill bacteria [45]. Both 298 299 first and second primary degradation tests lasted five weeks. During this time, 10 mL samples 300 were collected twice a week from each vessel and the pH and conductivity of each sample were 301 measured. In addition, the DOC content of the collected samples was measured every week. 302 Samples containing test compounds were centrifuged and 2 mL of the supernatant was 303 immediately extracted for GC/MS analysis, while positive controls were first centrifuged, filtered, and then frozen at -18 °C until analysis by HPLC/DAD. 304

2.3. **Analytical methods** 305

306

2.3.1. Liquid-liquid extraction and GC/MS analysis

307 Heterocyclic PAHs were quantified by liquid-liquid extraction followed by GC/MS analysis 308 using gas chromatography (GC system 7890A) and a mass selective detector (MS 5975C, Agilent, Waldbronn, Germany). Aqueous 2 mL samples were collected from the supernatant 309 310 after centrifugation and transferred to an extraction vial. Surrogate standards were spiked into 311 the samples and then extracted twice with 1 mL of hexane. The hexane extracts were combined and dried with Na₂SO₄. Afterwards, 900 µL of the hexane extract was transferred to a GC vial 312 and 50 μ L of internal standards (fluorene or pyrene, 1 mg L⁻¹ in hexane) were added. For 313

GC/MS analysis, samples (1 µL) were injected using an autosampler in pressure-pulsed 314 splitless mode. The capillary column (Restek Rxi-5ms (5% diphenyl/95% dimethyl siloxane, 315 30 m x 0.25 mm; 0.25 µm film thickness) was run at a flow rate of 1.3 mL min⁻¹ with helium 316 as the carrier gas. The parameters of the GC method were as follows: Inlet temperature: 80 °C; 317 318 oven program: 100 °C, hold for 1.8 min, ramp to 320 °C at 50 °C min⁻¹, hold for 1.4 min. The results were analysed using Chemstation software (Agilent Technologies, Germany). The 319 320 concentrations of each component in the extract were determined using the peak area normalized with the corresponding internal standard (fluorene or pyrene) and a five-point 321 322 calibration series. The list of surrogate standards, internal standards, limit of detection (LOD) and limit of quantification (LOQ) of the GC/MS method is given in Table S3 for each main 323 324 compound.

325 **2.3.2.** HPLC/DAD analysis

Degradation of sodium benzoate in biotic positive controls of the primary biodegradation test 326 was quantified by HPLC/DAD using a Gemini-NX 3u C18 (110A, 150 x 2 mm) column. 327 328 Samples were first prepared for analysis by centrifugation followed by filtration (0.45 µm). Isocratic elution was performed with 80% eluent A (950 mL water + 50 mL acetonitrile + 150 329 330 µL formic acid) and 20% eluent B (1000 mL acetonitrile + 150 µL formic acid) at a flow rate of 0.5 mL min⁻¹. The compound was monitored at 230 nm and analysis was begun following 331 the loop injection of a 100 µL sample. A calibration curve was constructed with eleven 332 concentration points $(0.1 - 1.1 \text{ mg L}^{-1})$ prepared in the test medium. 333

334

2.3.3. Transformation product analysis with LC-MS/MS

335 Analysis of transformation products was conducted using the QTRAP 6500⁺ LC-MS/MS system (AB Sciex Instruments). The samples were analysed with a Kinetex EVO C18 column 336 337 (100 x 2.1 mm I.D., 1.6 µm) at 40 °C and a flow rate of 0.3 mL min⁻¹ using a binary mobile phase (A-water and B-acetonitrile, both containing 0.04% acetic acid). The elution gradient 338 consisted of 100% A from 0 to 1 min, 95% A from 1 to 4.5 min, 2% A from 4.5 to 7.2 min, 339 and 95% A from 7.2 to 8.3 min. The analysis was initially performed in scan mode for m/z 340 between 90 and 350 with a scan rate of 200 Da s⁻¹. The electrospray ionization (ESI) source 341 342 was used in positive and negative ion modes at an ion source temperature of 500 °C and an ion 343 spray voltage (IS) of 5.5 kV. The nebulizing gas pressure was 50 psi, while the declustering 344 potential and entrance potential were 100 and 10 volts, respectively. Standards of each parent 345 compound (five-point calibration series) prepared in methanol and sample matrix (1:1, v/v) 346 were injected to determine their retention times and adduct ions. Target analysis was then performed to identify selected product and precursor ions that differed from the parentcompounds using 46 eV collision energy and 10 eV cell exit potentials.

349

2.3.4. Flow cytometric analysis

350 A total of six samples, taken at different stages of the adaptation phase were analysed by flow 351 cytometry to monitor the changes in community composition during the adaptation phase. 352 Harvested cells and fixated cells were stored at -20 °C until analysis, and stained with 4',6-353 diamidino-2-phenylindole (DAPI) for flow cytometric measurement as described by Li et al. 354 [46]. Samples were measured with the BD Influx v7 Sorter (Becton, Dickinson and Company, 355 Franklin Lakes, NJ, USA). Monodisperse beads with a size between 0.5 and 1 µm were used 356 to align the flow cytometer and ensure identical daily machine settings. Results were visualized 357 by choosing DAPI fluorescence versus forward scatter (FSC) in 2D plots representing 358 cytometric fingerprints [47]. DAPI provides information on DNA content, and FSC provides information related to cell size. A cell gate was created, which comprised 200,000 cells per 359 360 measurement. The cell gate excluded calibration beads, unstained particles and instrumental 361 noise.

362 2.3.5. DOC analysis

The dissolved organic carbon (DOC) content in samples collected during maintenance of the adaptation culture and primary biodegradation tests was measured using a TOC-V_{CPN} analyser (ASI-V, Shimadzu). The aqueous phase samples were first centrifuged, filtered (0.45 μ m), diluted 1:30 with MilliQ[®] water, and then acidified to pH 2 with hydrochloric acid to remove inorganic carbon prior to measurements. Samples collected from the adaptation culture reactors were diluted one to three times to remain within the calibration range (1-100 mg DOC L⁻¹).

369 **2.3.6.** Statistical analysis

The experiments were performed in duplicate or triplicate. Data in the graphs were expressed
as average values ± standard deviations. The significance of difference between treatments in
glucose inhibition tests were determined using Student's t-test.

2.3.7.

2.3.7. Predictive models

US EPA EPISuiteTM v4.11 was used to estimate the fate of the heterocyclic PAHs in terms of (i) biodegradation under aerobic conditions using the BIOWIN models 3 to 6, (ii) degradation half-lives derived from the BIOWIN3 model results according to the work of Aronson et. al. [48] and (iii) removal by sorption in a typical sewage treatment plant using the STPWIN model. To improve the correctness of the predictions, the S_W , VP and K_{OW} of the test compounds 379 (given in Table 1) were manually entered into the STPWIN model. The models were explained380 in detail in SI file Section S2.

381

3. Results and discussion

382 The results of the biodegradability tests are shown in Figures 2-4. The initial microbial383 community conditions of each test are given in Table S4.

384 3.1. Inoculum toxicity tests at different concentrations using adapted and non385 adapted microbial inocula

As relatively high concentrations of the test chemicals are used in the manometric respirometry 386 387 test, due to the low sensitivity of the method, it is possible that the lack of degradation is caused 388 by toxic inhibition of the inoculum, resulting in false negative biodegradation results [49]. To test this hypothesis, and also to check the health status of the adaptation culture in terms of 389 390 tolerance to heterocycles, four consecutive inoculum toxicity tests were executed. The first two tests were performed using 15 mg L⁻¹ exposure concentrations with non-adapted and 140-day-391 392 adapted inocula. The concentrations of the test chemicals were then reduced by a factor of four 393 (to 3.4–3.9 mg L⁻¹) for the third and fourth inoculum toxicity tests performed with the 250- and 394 340-day-adapted inocula. The glucose concentration was 13.7 mg L⁻¹ in all experiments. In addition to the heterocyclic PAHs, FLR and BANT were also tested to investigate the toxic 395 396 influence of homocyclic PAHs, which are structurally similar to the heterocycles studied. The results of the toxicity tests are presented thoroughly in Figure S4. 397

398 In all tests, glucose degradation in the positive controls was very rapid ($\geq 60\%$ within 14 days) 399 and met the validity criteria. None of the compounds were found to be inhibitory to bacteria 400 with no or low levels of inhibition (<25%) being statistically insignificant (p value<0.05), except for BCRB (significant only in the last test). Surprisingly, the adaptation culture became 401 402 increasingly sensitive to the presence of BCRB, i.e., glucose degradation was inhibited at levels 403 of 11%, 21%, 28%, and 51% at each successive step (Figure 2); this despite the fact that 404 bacteria were exposed to four times lower concentrations of chemicals in the final two tests. 405 The plots shown in Figure 2 indicate that glucose degradation goes through two phases: a rapid 406 initial phase unaffected by BCRB (usually complete after 4 days; the end of this period is 407 characterized by a significant reduction in the rate of glucose degradation) and a second phase, 408 characterized by a further lag phase (particularly noticeable in c) and then a much lower rate of glucose degradation and that does not reach a plateau within 14 days. BCRB appears to 409 410 inhibit this second phase in all toxicity tests, with inhibition increasing in parallel with 411 adaptation. It should also be noted that BNF inhibited glucose degradation by approximately

412 25% in some toxicity tests (Table S5) but, as the inhibitions were not statistically significant,

413 the compound was not labelled as inhibitory.



414

Fig. 2. Inhibition of glucose degradation in the presence of BCRB at exposure concentrations of (a) 15 mg L⁻¹
with non-adapted inocula (test-1), (b) 15 mg L⁻¹ with 140-day-adapted inocula (test-2), (c) 3.8 mg L⁻¹ with 250day-adapted inocula (test-3), and (d) 3.8 mg L⁻¹ with 340-day-adapted inocula (test-4). The glucose concentration
was 13.7 mg L⁻¹ in all experiments. Error bars represent the standard deviation (n=3).

419 3.2. Ultimate biodegradability tests using adapted and nonadapted microbial inocula

The ultimate biodegradability of heterocyclic PAHs was tested using the manometric 420 respirometry method using the OxiTop® test system with a microbial community of activated 421 422 sludge. The concentration of the test compounds in the bottles was approximately 15 mg L⁻¹. 423 As poor degradability was expected (due to both the inherent properties of the chemicals and the expected poor mass transfer), the test was extended to a maximum of 46 days to allow 424 425 degradation to reach a plateau if it had already begun. The inoculum was metabolically active, 426 as evidenced by the fast degradation of sodium benzoate, however, no oxygen consumption 427 was observed in the manometric system in the presence of the heterocyclic PAHs (\geq 3-rings) 428 and therefore no degradation was measured within 28 days (Figure S5). Accordingly, the 429 compounds were classified as "not readily biodegradable" - similar to the results of the 430 Japanese MITI test for CRB, DBF, and DBT [15]. Following the 30-day incubation period, biodegradation was observed in one of the replicates of TXT and BCRB, reaching 18% and 431 12%, respectively, on day 46 (Figure S6). Such a slow increase in oxygen consumption could 432 indicate the proliferation of slowly growing degraders. In the same test, conducted with 433 434 activated sludge, the degradability of DBT was further investigated using an inoculum derived from the effluent of the same WWTP. No degradation of DBT occurred in activated sludge 435 inoculum, but 17% degradation was measured by day 46 in one of the replicates containing 436 437 effluent inoculum (Figure S6).

438 Microbial adaptation or evolution can be a critical factor, both in the assessment of biodegradation under laboratory conditions and in *in situ* degradation in the environment. To 439 440 investigate whether pre-exposure allows adaptation and/or cross-adaptation, an inoculum derived from the effluent of a WWTP was adapted to a mixture of heterocyclic PAHs at low 441 442 concentrations (adaptation culture). Between days 30 and 60, a decrease in chemical concentrations and DOC content was observed in the adaptation culture. Accordingly, a second 443 444 manometric respirometry experiment was performed with the inocula sampled from the adaptation culture reactor on day 60, the other experimental conditions being identical to those 445 446 of the first run. In addition to CRB, DBF, DBT, TXT, BCRB, BACR, BNF, BNT and DNF, a 447 readily degradable heterocyclic PAH, indole [15], was also tested as a reference substance. At the end of the test, only indole was found to be degradable, achieving 88%, 77% and 75% 448 449 mineralization (80% on average) within 28 days (Figure 3-a). None of the other heterocyclic 450 PAHs were found to undergo biodegradation (results not shown).



451

452 Fig. 3. Mineralization of (a) indole (16.3 mg L⁻¹) and (b) carbazole (3.9 mg L⁻¹) using an inoculum derived from
453 effluent of a WWTP pre-exposed to test compounds for 60 days and 365 days, respectively. Triplicates are shown
454 in different colours.

Due to the lack of degradation, the adaptation period was extended to one year and the 455 mineralization of heterocycles was re-evaluated by lowering the test concentrations four fold 456 (to $3.4 - 3.9 \text{ mg L}^{-1}$) with the bacteria sampled from the adaptation culture reactor on day 365. 457 458 The degradation of CRB began after a long lag phase (20–25 days) and quickly reached 57% 459 (average, n=3) by day 30 (Figure 3-b), indicating that CRB can at least be classified as an 460 inherently biodegradable compound. Other heterocyclic PAHs (except indole) were not 461 degraded; moreover, BOD in the presence of TXT, BCRB, BACR and DNF was lower than in 462 the blank samples, suggesting that even the internal cellular respiration of the microorganisms

was marginally hindered, but statistically negligible (Figure S7), meeting the results of thetoxic inhibition tests.

In summary, none of the heterocyclic PAHs (\geq 3-rings) could pass the ready biodegradability criteria and therefore there is a high likelihood that the compounds would not be rapidly and completely degradable. Given the influence of adaptation, and perhaps the somewhat lower chemical concentration (but still exceeding the solubility limit), the adapted bacteria could degrade CRB, which was then considered inherently biodegradable.

470 3.3. Primary biodegradation

Since no mineralisation occurred during the 28-day ultimate biodegradability test with a non-471 472 adapted community, but signs of degradation were evident when the duration of the test was extended, we investigated the primary biodegradability of heterocycles to determine whether 473 474 the tested heterocycles were degradable at all. Two primary biodegradation tests were performed using microbial communities derived from the adaptation culture (on day 75 for the 475 first test and on day 120 for the second test) exposed to the mixture of heterocyclic PAHs. The 476 477 second primary biodegradation experiment also included abiotic controls to determine the loss 478 of compounds by abiotic processes such as sorption.

479 During the primary biodegradation tests, DOC, pH and conductivity were measured
480 periodically (see SI file Section S3 describing the changes in physicochemical parameters and
481 the corresponding discussion added there).

482 In the abiotic controls, the concentration of test compounds decreased sharply at the beginning 483 of the test (within two hours of spiking). Compared to the theoretical initial concentrations (0.16 mg L^{-1}) , the lowest sorption at the beginning of the test was observed for CRB (3%), the 484 least hydrophobic compound under test, while the highest sorption was observed for BNT 485 486 (88%), the most hydrophobic compound. Overall, the sorption order of the compounds was as follows: CRB (3%) < DBF (25%) < BCRB (38%) < BNF (44%) < BACR (63%) < DBT (69%) 487 488 < TXT (84%) < BNT (88%), with sulphur-substituted PAHs having a higher affinity to biomass. Although sorption rates did not clearly follow the order of $\log K_{OC}$ values (Table 1), 489 490 the sorption capacity increased systematically with increasing molecular size within the same chemical classes (N-, S- or O-PAHs) as follows: CRB < BCRB < BACR, DBF < BNF, and 491 492 DBT < TXT < BNT. Thus, sorption was demonstrated to be a key removal mechanism for heterocyclic PAHs, a mechanism that may occur at even higher levels in a real environmental 493 494 compartment due to the presence of larger amounts of carbonaceous materials or biomass.

Figure 4 shows the results of the primary biodegradation tests, using the measured initial concentrations (t=2 h) as a starting point. Note that BNT may have initially precipitated out of solution as it was added at a concentration above its solubility in water (26 μ g L⁻¹). However, the precipitated fraction may still be available as a reservoir in the system [50], since the equilibrium direction of desorption/dissolution is expected to shift as each molecule is degraded.



501

502 Fig. 4. Primary biodegradation of heterocyclic PAHs after 75 days (first run) and 120 days (second run) of 503 microbial adaptation to the substances under test, expressed as concentration at a given time divided by the 504 measured starting concentration (C/C₀) in biotic and abiotic systems. Mean values are shown \pm standard deviation 505 (n=3). The nominal starting concentration for each compound was 0.16 mg L⁻¹.

Four of the eight heterocyclic PAHs tested, CRB (100%), DBF (50-60%), BCRB (50-60%) 506 507 and BACR (80%), were primarily degraded (percentage degradation shown in brackets). 508 Similar results from two consecutive experiments indicated that the ability of the inoculum to degrade the test compounds did not change between the 75th (1st test) and 120th days (2nd test) 509 510 of the adaptation period. This assumption was supported by flow cytometry data, which showed a similar composition of the bacterial community sampled on days 75 and 120 (Figure 511 512 5). In the first and second tests, CRB was rapidly degraded within 11 and 7 days respectively. The degradation of DBF, BCRB and BACR did not feature a long lag-phase, however, even 513 514 after 37 days degradation was not complete, indicating a slower degradation compared to CRB. 515 The degradation of BACR appears to have reached a plateau after day 20, with very low 516 concentrations remaining in the samples close to the LOQ. Similarly, biodegradation of BCRB 517 remained incomplete, with 40-50% of the initial bioavailable concentration remaining in solution from day 15 until the end of the test. It is conceivable that BCRB was co-metabolised 518 519 in the presence of another carbon and energy source.



520

Fig. 5. Flow cytometric fingerprints of microbial communities. Cells were analysed by their DNA content and
forward scatter related to cell size. The 2D plots show similar compositions of inocula used in the (a) first (day
75) and (b) second (day 120) primary biodegradation experiments.

An interesting observation is that some levels of degradation occurred for all tested Nheterocycles – CRB, BCRB, and BACR – following adaptation, but not for other heterocycles - except DBF. The O- or S-heterocycles – DBT, TXT, BNF, and BNT – were not degraded in any of the ultimate or primary biodegradation tests indicating that these compounds might indeed be persistent.

530

531

3.4. Analysis of transformation products

Despite it being difficult to identify transformation products in samples containing a mixture 532 533 of all the test substances (which also have the same adducts), scanning began with analysis of 534 the standards of the parent compounds. LC-MS/MS analysis in positive ion mode produced high intensity signals for three N-PAHs in protonated form [M+H]⁺, which are primarily 535 biodegradable: CRB, BCRB and BACR. The rest of the compounds, however, could not be 536 reliably detected due to very low intensity signals or no signal at all. In fact, compounds like 537 538 S-PAHs lacking functional groups for protonation or deprotonation have been reported to be 539 very difficult to detect by electrospray ionization mass spectrometry (ESI/MS) and often 540 require some additives, i.e., charge-transfer reagents, to convert neutral compounds into ionic 541 species by an electron transfer to enable quantification [51,52]. Similarly, O-PAHs (furan 542 derivatives) have been found to be undetectable by the ESI system [53], as was the case in our study either in positive or negative ion modes. We then tentatively gauged the transformation 543 544 products for N-PAHs.

Two samples taken on days 21 and 37 of the first primary degradation test were scanned. The 545 546 parent compounds, BCRB and BACR, appeared in the samples, while CRB was not detected 547 in either sample, as expected, due to its complete removal from the sample after 11 days. Apart 548 from the parent compound and matrix ions, the MS analysis identified several clear high intensity signals at m/z 184, 201, 215, 217, 220, 231, 234, 248, and 261. Subsequently, the 549 550 product and precursor ions were scanned in target screening mode. In five cases a tentative 551 structural assignment was possible on the basis of MS/MS fragmentation behaviour and mass shifts from the parent chemicals, and the projected transformation products are accordingly 552 553 grouped with respect to the parent compounds in Table 2.

- 556
- 557
- 558
- 559
- 560

parent compound characterization			transformation product characterization						
parent compound	[M+H] ⁺ (m/z)	RT (min)	mass shift (Da)	proposed formula	MW [g mol ⁻¹]	structural proposal	[M+H] ⁺ (m/z)	RT (min)	
CRB	168 , 139	4.50	+16	C ₁₂ H ₉ NO	183.207		184, 152, 139	3.83	
			+33	$C_{12}H_{10}NO_{2}$	200.214		201 , 184, 152, 139	3.83	
			+63	C ₁₂ H ₈ NO ₄ -	230.197		231 , 197, 213, 184	3.52	
BCRB	218 , 189	4.76	+16	C ₁₆ H ₁₁ NO	233.265		234, 202, 189	4.27	
BACR	230 , 202	6.47 (large peak)	+18	C ₁₇ H ₁₃ NO	247.291	CH CN C	248 , 230, 202	3.94	

561 Table 2. Tentatively suggested potential transformation products of N-PAHs by LC-MS/MS analysis.

*Precursor ions are given in **bold**, while the rest are product ions.

The biotransformation pathways for CRB have been extensively studied and three degradation 563 564 pathways have been widely reported (Figure S11): monohydroxylation, lateral dioxygenation 565 and angular dioxygenation [54]. For the biotransformation of BACR, the formation of hydroxy-566 and epoxy-substituted forms has also been shown [55], nevertheless, no degradation pathways have so far been described for BCRB to the best of the authors' knowledge. We have therefore 567 suggested the simplest theoretically possible path (oxylated or hydroxylated intermediates) for 568 569 the latter two, while for CRB, the common degradation pathways were shown in detailed in 570 Figure S11.

571 The product at m/z 231 appeared only in the first sample (day 21) and disappeared in the second 572 (day 37). The rest of the products were present in both sample with different peak intensities. 573 In summary, the identification of transformation products in our test design can only be 574 tentative and may be too notional. A more straightforward investigation can be suggested for 575 future work using standards of the transformation products and possibly using a single 576 substance inclusive test design.

577 Predicting biodegradability of heterocycles using QSAR models

We compared the experimental results with those predicted by QSAR models (Table 3). According to the outcomes of BIOWIN models 3 (timeframe of complete ultimate biodegradation), 4 (timeframe of complete primary biodegradation), 5 and 6 (probability of ready biodegradability with pass/fail answer), the STPWIN model (%sorption to sludge) and the degradation half-lives, the grey cells in Table 3 show the instances where the predicted data did not match the experimental results, while the white cells show where predictions and

- 584 experiment matched. The blue category, on the other hand, shows results that are not clearly
- 585 contradictory, but require longer experiments to be sure of the degradation timeframe.
- 586 Table 3. Measured and predicted biodegradability and sorption data for heterocyclic PAHs. The color codes
- 587 indicate the correctness of the predicted data compared to the experimental results as follow: white: matches, grey:
- 588 non-matches, blue: not clearly contradictory, but requires longer experiments for a certain evaluation.

	Experin	nental degrad	ation and sor	ption	Predicted degradation and sorption					
Compounds	Ready	Ultimate*	Primary*	Sorption	Ready ^a	Ultimate ^b	Primary ^c	Half-life ^d	Sorption ^e	
IND	yes ^f	80%	—	_	no	weeks	days-weeks	<60 days	2%	
CRB	no	57%	100%	3%	no	weeks-months	days-weeks	<60 days	19%	
DBF	no	0%	50-60%	25%	no	weeks	days-weeks	<60 days	35%	
DBT	no	0%	0%	69%	no	weeks	days-weeks	<60 days	58%	
TXT	no	0%	0%	84%	no	weeks-months	days-weeks	<60 days	78%	
BCRB	no	0%	50-60%	38%	no	months	weeks	$\geq 60 \text{ days}$	85%	
BACR	no	0%	80%	63%	no	months	weeks	$\geq 60 \text{ days}$	54%	
BNF	no	0%	0%	44%	no	months	weeks	$\geq 60 \text{ days}$	89%	
BNT	no	0%	0%	88%	no	months	weeks	$\geq 60 \text{ days}$	90%	
DNF	no	0%	_	_	no	months	weeks	$\geq 60 \text{ days}$	93%	

* Ultimate and primary biodegradation experiments were conducted with adapted community.

BIOWIN5 and 6 (both gave the same results); ^b BIOWIN3; ^c BIOWIN4; ^d half-lives predicted according to the work of
 Aronson et. al. [48]; ^c STPWIN; ^freference [15]

592 BIOWIN models 5 and 6 classified all compounds as "not readily biodegradable" and the 593 results were consistent with the experimental data, except for indole. In the latter case, the models seem to deliver a false negative result, which is of course undesired, but can be 594 595 considered as "overprotective", which is less detrimental than false positives. The BIOWIN3 596 half-lives overestimated the biodegradability of DBF and DBT, which should be degraded within weeks, but showed no signs of ultimate degradation for more than a month. In the cases 597 of BNF, BNT and DNF, we observed only a sorption-dependent decrease in concentration and 598 599 no evidence of degradation over a month. It is therefore possible that these compounds are indeed persistent and that the BIOWIN3 output of "longer than months" would be expected, 600 but a definitive conclusion on the correctness of the model cannot be made. The BIOWIN4 601 602 prediction of the primary degradation timeframe often gave false positive results considering 603 that an adapted community was used, e.g., for BCRB about 50-60% of the parent compound 604 was degraded, whereupon degradation ceased, whereas the degradation of DBF proceeded very 605 slowly. The primary degradation of CRB appeared to be faster than suggested by BIOWIN4, but as we used an adapted microbial community, we did not mark it as false to be on the safe 606 side. Overall, the biodegradability predicted by multiple BIOWIN models (3 to 6) agreed with 607 608 the experimental results only for CRB, while for the rest of the compounds there was no 609 agreement between the data estimated by different models. Therefore, it is difficult to make 610 concrete decisions about the biodegradability of heterocyclic compounds based on the QSAR

outcomes in the absence of experimental data. Our experimental data show that sorption to 611 sludge could be the dominant removal mechanism for DBT, TXT, BACR and BNT. 612 613 Furthermore, the STPWIN model predicts that sorption also plays a major role in the removal of other large heterocycles (i.e., BCRB and BNF), overestimating their affinity to sludge by a 614 615 factor of two. This, however, could be a consequence of the rather low amount of biomass in 616 our primary degradation test. Comparisons between the model outcomes and our experimental 617 results confirm that QSAR predictions of the biodegradability of heterocycles are indeed 618 challenging.

619 4. Conclusions

The removal of heterocyclic PAHs from the environment is a growing concern that needs to be addressed immediately, particularly with regard to persistence assessment. Therefore, this study investigated the biodegradability of ten heterocyclic PAHs under aerobic conditions. All test substances (\geq 3-rings) were categorized as "not readily biodegradable" and the results were in agreement with QSAR predictions.

To mimic natural degradation processes at contaminated sites, a microbial community from a 625 626 WWTP was adapted to the mixture of heterocyclic PAHs. CRB was significantly degraded by 627 one-year-adapted bacteria and was thus identified as an inherently biodegradable compound. 628 Although no mineralization was perceived for the other compounds (except indole), their 629 inhibitory effects on bacteria were not particularly notable. Interestingly, however, bacteria 630 became gradually more susceptible to BCRB as the adaptation period was extended (to one year). This suggests that there are trade-offs in bacterial adaptation; a positive outcome 631 632 (degradation of CRB) is accompanied by a less desirable outcome (increased sensitivity to 633 BCRB).

In the primary biodegradation tests, sorption to biomass was found to be an important removal mechanism for most of the compounds tested and four compounds - CRB, DBF, BCRB and BACR - were identified as primarily biodegradable at concentrations 24 to 98 times lower than those tested by the manometric respirometry method. Nevertheless, no evidence of primary or ultimate biodegradation was found for DBT, TXT, BNF and BNT in any of the tests conducted in this work.

Flow cytometry results indicated that the composition of the bacterial community did not
change between adaptation days 75 and 120, as expected from the very similar results of two
consecutive primary biodegradation tests. However, the community shifted between days 250

and 365 (Figure S12), which could explain the increasing sensitivity of the bacteria to BCRBand the degradation of CRB over time.

According to the experimental results, only indole and carbazole can clearly be labelled as non-645 646 persistent compounds in the environment. However, the compounds that showed no evidence of degradation in any of the tests (DBT, TXT, BNF, BNT, DNF) are likely to be persistent in 647 648 the environment, while the others that showed some extent of degradation (DBF, BCRB, 649 BACR) require further investigation (e.g., degradation half-life from simulation tests) to 650 evaluate their persistence. The results of this study suggest that the most heterocyclic PAHs 651 pose a high risk of environmental persistency that cannot be reliably predicted using QSAR 652 models, and that urgent action is needed to thoroughly monitor these chemicals in waters and 653 in soils.

654 Acknowledgments

This research was supported by Kurt Eberhard Bode Stiftung and Deutsches Stiftungszentrum
with a grant T 0122/33742/2019/kg as well as by the Saxon State Ministry of Science and Art
(SMWK). We thank Dr. Stephan Beil for his help with the analysis of transformation products
as well as reviewing the manuscript.

659 Declaration of competing interests

660 The authors declare that they have no known competing financial interests or personal 661 relationships that could have appeared to influence the work reported in this paper.

- 662 Credit for author contributions
- 663 Göksu Çelik: Investigation, Conceptualization, Data curation, Methodology, Formal analysis,
- 664 Validation, Visualization, Writing original draft.
- 665 Stefan Stolte: Writing review & editing.
- 666 Susann Müller: Review & editing.
- 667 Florian Schattenberg: Investigation, Review & editing.

668 Marta Markiewicz: Conceptualization, Resources, Funding acquisition, Supervision,

- 669 Methodology, Writing review & editing.
- 670 Appendix A. Supplementary data
- 671 Supplementary data associated with this article can be found, in the online version, at link
- 672 **References**

- 673 [1] P. Blum, A. Sagner, A. Tiehm, P. Martus, T. Wendel, P. Grathwohl, Importance of heterocylic aromatic
 674 compounds in monitored natural attenuation for coal tar contaminated aquifers: A review, J. Contam.
 675 Hydrol. 126 (2011) 181–194. https://doi.org/10.1016/j.jconhyd.2011.08.004.
- 676 [2] M.A. Schwarz, A. Behnke, M. Brandt, A. Eisenträger, M. Hassauer, F. Kalberlah, A. Seidel, Semipolar
 677 polycyclic aromatic compounds: Identification of 15 priority substances and the need for regulatory
 678 steps under REACH regulation, Integr. Environ. Assess. Manag. 10 (2014) 415–428.
 679 https://doi.org/10.1002/ieam.1526.
- 680 [3] S. Meyer, H. Steinhart, Effects of heterocyclic PAHs (N, S, O) on the biodegradation of typical tar oil
 681 PAHs in a soil/compost mixture, Chemosphere. 40 (2000) 359–367. https://doi.org/10.1016/S0045682 6535(99)00237-4.
- 683 [4] A.K. Siemers, J.S. Mänz, W.U. Palm, W.K.L. Ruck, Development and application of a simultaneous
 684 SPE-method for polycyclic aromatic hydrocarbons (PAHs), alkylated PAHs, heterocyclic PAHs (NSO685 HET) and phenols in aqueous samples from German Rivers and the North Sea, Chemosphere. 122
 686 (2015) 105–114. https://doi.org/10.1016/j.chemosphere.2014.11.022.
- 687 [5] A.K. Siemers, W.U. Palm, C. Faubel, J.S. Mänz, D. Steffen, W. Ruck, Sources of nitrogen heterocyclic
 688 PAHs (N-HETs) along a riverine course, Sci. Total Environ. 590–591 (2017) 69–79.
 689 https://doi.org/10.1016/j.scitotenv.2017.03.036.
- 690 [6] J. Brulik, Z. Simek, P. De Voogt, A new liquid chromatography-tandem mass spectrometry method
 691 using atmospheric pressure photo ionization for the simultaneous determination of azaarenes and
 692 azaarones in Dutch river sediments, J. Chromatogr. A. 1294 (2013) 33–40.
 693 https://doi.org/10.1016/j.chroma.2013.03.079.
- 694 [7] M. Ochiai, K. Wakabayashi, T. Sugimura, M. Nagao, Mutagenicities of indole and 30 derivatives after
 695 nitrite treatment, Mutat. Res. Toxicol. 172 (1986) 189–197. https://doi.org/10.1016/0165696 1218(86)90056-X.
- 697 [8] A. Eisentraeger, C. Brinkmann, H. Hollert, A. Sagner, A. Tiehm, J. Neuwoehner, Heterocyclic
 698 compounds: Toxic effects using algae, daphnids, and the Salmonella/microsome test taking methodical
 699 quantitative aspects into account, Environ. Toxicol. Chem. 27 (2008) 1590–1596.
 700 https://doi.org/10.1897/07-201.1.
- 701 [9] A.M. Jha, A.C. Singh, M.K. Bharti, Clastogenicity of carbazole in mouse bone marrow cells in vivo,
 702 Mutat. Res. Genet. Toxicol. Environ. Mutagen. 521 (2002) 11–17. https://doi.org/10.1016/S1383703 5718(02)00210-3.
- M. Brinkmann, H. Blenkle, H. Salowsky, K. Bluhm, S. Schiwy, A. Tiehm, H. Hollert, Genotoxicity of
 Heterocyclic PAHs in the Micronucleus Assay with the Fish Liver Cell Line RTL-W1, PLoS One. 9
 (2014) e85692. https://doi.org/doi:10.1371/journal.pone.0085692.
- 707 [11] D.A. Eastmond, G.M. Booth, M.L. Lee, Toxicity, accumulation, and elimination of polycyclic aromatic
 708 sulfur heterocycles in Daphnia magna, Arch. Environ. Contam. Toxicol. 13 (1984) 105–111.
 709 https://doi.org/10.1007/BF01055652.

- 710 [12] G.R. Southworth, J.J. Beauchamp, P.K. Schmieder, Bioaccumulation of carbazoles: A potential effluent
 711 from synthetic fuels, Bull. Environ. Contam. Toxicol. 23 (1979) 73–78.
 712 https://doi.org/10.1007/BF01769919.
- 713 [13] EU REACH Regulation, REACH SVHC List, (2022). https://echa.europa.eu/candidate-list-table.
- 714 [14] I.T. Cousins, C.A. Ng, Z. Wang, M. Scheringer, Why is high persistence alone a major cause of
 715 concern?, Environ. Sci. Process. Impacts. 21 (2019) 781–792. https://doi.org/10.1039/c8em00515j.
- 716 [15] NITE, Chemical Risk Information Platform, Biodegradation and Bioconcentration. Tokyo, Japan:
 717 National Institute of Technology and Evaluation, (2017).
- 718 [16] P. Ghosh, S. Mukherji, Degradation of carbazole, fluorene, dibenzothiophene and their mixture by P.
 719 aeruginosa RS1 in petroleum refinery wastewater, J. Water Process Eng. 37 (2020).
 720 https://doi.org/10.1016/j.jwpe.2020.101454.
- 721 [17] P. Ghosh, S. Mukherji, Environmental contamination by heterocyclic Polynuclear aromatic
 722 hydrocarbons and their microbial degradation, Bioresour. Technol. 341 (2021) 125860.
 723 https://doi.org/10.1016/j.biortech.2021.125860.
- 724 [18] B. Tuo, J. Yan, B. Fan, Z. Yang, J. Liu, Biodegradation characteristics and bioaugmentation potential of
 725 a novel quinoline-degrading strain of Bacillus sp.isolated from petroleum-contaminated soil, Bioresour.
 726 Technol. 107 (2012) 55–60.
- 727 [19] S. Jin, T. Zhu, X. Xu, Y. Xu, Biodegradation of dibenzofuran by Janibacter terrae strain XJ-1, Curr.
 728 Microbiol. 53 (2006) 30–36. https://doi.org/10.1007/s00284-005-0180-1.
- [20] S. Mishra, N. Pradhan, S. Panda, A. Akcil, Biodegradation of dibenzothiophene and its application in
 the production of clean coal, Fuel Process. Technol. 152 (2016) 325–342.
 https://doi.org/10.1016/j.fuproc.2016.06.025.
- P. Xu, B. Yu, F.L. Li, X.F. Cai, C.Q. Ma, Microbial degradation of sulfur, nitrogen and oxygen
 heterocycles, Trends Microbiol. 14 (2006) 398–405. https://doi.org/10.1016/j.tim.2006.07.002.
- 734 [22] R.M. Wittich, Degradation of dioxin-like compounds by microorganisms, Appl. Microbiol. Biotechnol.
 735 49 (1998) 489–499. https://doi.org/10.1007/s002530051203.
- 736 [23] G. Thouand, M.J. Durand, A. Maul, C. Gancet, H. Blok, New concepts in the evaluation of
 737 biodegradation/persistence of chemical substances using a microbial inoculum, Front. Microbiol. 2
 738 (2011) 1–6. https://doi.org/10.3389/fmicb.2011.00164.
- 739 [24] M. Markiewicz, C. Jungnickel, S. Stolte, A. Białk-Bielińska, J. Kumirska, W. Mrozik, Ultimate
 740 biodegradability and ecotoxicity of orally administered antidiabetic drugs, J. Hazard. Mater. 333 (2017).
 741 https://doi.org/10.1016/j.jhazmat.2017.03.030.
- 742 [25] A. Kowalczyk, T.J. Martin, O.R. Price, J.R. Snape, R.A. van Egmond, C.J. Finnegan, H. Schäfer, R.J.
 743 Davenport, G.D. Bending, Refinement of biodegradation tests methodologies and the proposed utility of 744 new microbial ecology techniques, Ecotoxicol. Environ. Saf. 111 (2015) 9–22.
 745 https://doi.org/10.1016/j.ecoenv.2014.09.021.

- 746 [26] B.D. Özel Duygan, S. Rey, S. Leocata, L. Baroux, M. Seyfried, J.R. van der Meer, Assessing
 747 Biodegradability of Chemical Compounds from Microbial Community Growth Using Flow Cytometry,
 748 MSystems. 6 (2021). https://doi.org/10.1128/msystems.01143-20.
- 749 [27] A.S. Oberoi, L. Philip, S.M. Bhallamudi, Biodegradation of Various Aromatic Compounds by Enriched
 750 Bacterial Cultures: Part B—Nitrogen-, Sulfur-, and Oxygen-Containing Heterocyclic Aromatic
 751 Compounds, Appl. Biochem. Biotechnol. 176 (2015) 1746–1769. https://doi.org/10.1007/s12010-015752 1692-1.
- 753 [28] N.H. Tran, V.T. Nguyen, T. Urase, H.H. Ngo, Role of nitrification in the biodegradation of selected
 754 artificial sweetening agents in biological wastewater treatment process, Bioresour. Technol. 161 (2014)
 755 40-46. https://doi.org/10.1016/j.biortech.2014.02.116.
- 756 [29] S. Castronovo, A. Wick, M. Scheurer, K. Nödler, M. Schulz, T.A. Ternes, Biodegradation of the
 757 artificial sweetener acesulfame in biological wastewater treatment and sandfilters, Water Res. 110
 758 (2017) 342–353. https://doi.org/10.1016/j.watres.2016.11.041.
- 759 [30] K.M. Onesios, J.T. Yu, E.J. Bouwer, Biodegradation and removal of pharmaceuticals and personal care
 760 products in treatment systems: A review, Biodegradation. 20 (2009) 441–466.
 761 https://doi.org/10.1007/s10532-008-9237-8.
- 762 [31] F. Ingerslev, B. Halling-Sorensen, Biodegradability properties of sulfonamides in activated sludge,
 763 Environ. Toxicol. Chem. 19 (2000) 2467–2473. https://doi.org/10.1002/etc.5620191011.
- 764 [32] K. Mansouri, C.M. Grulke, R.S. Judson, A.J. Williams, OPERA models for predicting physicochemical
 765 properties and environmental fate endpoints, J. Cheminform. 10 (2018) 1–19.
- 766 [33] K. Acharya, D. Werner, J. Dolfing, M. Barycki, P. Meynet, W. Mrozik, O. Komolafe, T. Puzyn, R.J.
 767 Davenport, A quantitative structure-biodegradation relationship (QSBR) approach to predict
 768 biodegradation rates of aromatic chemicals, Water Res. 157 (2019) 181–190.
- 769 [34] M. Salahinejad, E. Zolfonoun, J.B. Ghasemi, Predicting degradation half-life of organophosphorus
 770 pesticides in soil using three-dimensional molecular interaction fields, Int. J. Quant. Struct.
 771 Relationships. 2 (2017) 27–35.
- 772 [35] K. Samghani, M. HosseinFatemi, Developing a support vector machine based QSPR model for
 773 prediction of half-life of some herbicides, Ecotoxicol. Environ. Saf. 129 (2016) 10–15.
- 774 [36] G. Çelik, S. Beil, S. Stolte, M. Markiewicz, Environmental Hazard Screening of Heterocyclic
 775 Polyaromatic Hydrocarbons: Physicochemical Data and in Silico Models, Environ. Sci. Technol. 57
 776 (2023) 570–581. https://doi.org/10.1021/acs.est.2c06915.
- 777 [37] US Environmental Protection Agency (EPA), Comptox Chemicals Dashboard, (n.d.).
 778 https://comptox.epa.gov/dashboard.

779 [38] Y.Q. Zhang, S. Stolte, G. Alptekin, A. Rother, M. Diedenhofen, J. Filser, M. Markiewicz, Mobility and 780 adsorption of liquid organic hydrogen carriers (LOHCs) in soils-environmental hazard perspective, 781 Green Chem. 22 (2020) 6519–6530. https://doi.org/10.1039/d0gc02603d.

782 [39] U.S. Environmental Protection Agency, WSKOWWIN v1.42 (September 2010), (n.d.).

783	[40]	U.S. Environmental Protection Agency, MPBPWIN v1.43 (September 2010), (n.d.).
784	[41]	OECD, Guidelines for testing of chemicals 301: Ready biodegradability, 1992.
785 786	[42]	S. Gartiser, Hydrotox GmbH, Manometric respiration tests according to OECD301F with the OxiTop® Control measuring system under GLP conditions, Weilheim, n.d.
787 788 789 790	[43]	S. Gartiser, K. Schneider, M.A. Schwarz, T. Junker, Assessment of environmental persistence: regulatory requirements and practical possibilities – available test systems, identification of technical constraints and indication of possible solutions, Dessau-Roßlau, 2017. http://www.umweltbundesamt.de/publikationen.
791	[44]	OECD, Guidelines for testing of chemicals 209: Activated sludge, respiration inhibition test, 2010.
792 793	[45]	OECD, Guidelines for testing of chemicals 309: Aerobic mineralisation in serface water - Simulation biodegradation test, 2004.
794 795 796	[46]	S. Li, N. Abdulkadir, F. Schattenberg, U.N. Da Rocha, V. Grimm, S. Muller, Z. Liu, Stabilizing microbial communities by looped mass transfer, Proc. Natl. Acad. Sci. U. S. A. 119 (2022) 1–11. https://doi.org/10.1073/pnas.2117814119.
797 798 799	[47]	N. Cichocki, T. Hübschmann, F. Schattenberg, F.M. Kerckhof, J. Overmann, S. Müller, Bacterial mock communities as standards for reproducible cytometric microbiome analysis, Nat. Protoc. 15 (2020) 2788–2812. https://doi.org/10.1038/s41596-020-0362-0.
800 801 802	[48]	D. Aronson, R. Boethling, P. Howard, W. Stiteler, Estimating biodegradation half-lives for use in chemical screening, Chemosphere. 63 (2006) 1953–1960. https://doi.org/10.1016/j.chemosphere.2005.09.044.
803 804 805	[49]	R. Nabeoka, M. Taruki, T. Kayashima, T. Yoshida, T. Kameya, Effect of test concentration in the ready biodegradability test for chemical substances: Improvement of OECD test guideline 301C, Environ. Toxicol. Chem. 35 (2016) 84–90. https://doi.org/10.1002/etc.3180.
806 807 808	[50]	J.M. Thomas, J.R. Yordy, J.A. Amador, M. Alexander, Rates of dissolution and biodegradation of water-insoluble organic compounds, Appl. Environ. Microbiol. 52 (1986) 290–296. https://doi.org/10.1128/aem.52.2.290-296.1986.
809 810	[51]	W.E. Rudzinski, Y. Zhang, X. Luo, Mass spectrometry of polyaromatic sulfur compounds in the presence of palladium(II), J. Mass Spectrom. 38 (2003) 167–173. https://doi.org/10.1002/jms.426.
811 812	[52]	H. Moriwaki, Electrospray ionization mass spectrometric detection of low polar compounds by adding NaAuCl4, J. Mass Spectrom. 51 (2016) 1096–1102. https://doi.org/10.1002/jms.3822.
813 814 815 816	[53]	M. Brinkmann, S. Maletz, M. Krauss, K. Bluhm, S. Schiwy, J. Kuckelkorn, A. Tiehm, W. Brack, H. Hollert, Heterocyclic aromatic hydrocarbons show estrogenic activity upon metabolization in a recombinant transactivation assay, Environ. Sci. Technol. 48 (2014) 5892–5901. https://doi.org/10.1021/es405731j.
817 818	[54]	L.B. Salam, M.O. Ilori, O.O. Amund, Properties, environmental fate and biodegradation of carbazole, 3 Biotech. 7 (2017) 1–14. https://doi.org/10.1007/s13205-017-0743-4.

- 819 [55] A.W. Wood, R.L. Chang, W. Levin, D.E. Ryan, P.E. Thomas, R.E. Lehr, S. Kumar, M. Schaefer820 Ridder, U. Engelhardt, H. Yagi, D.M. Jerina, A.H. Conney, Mutagenicity of Diol-Epoxides and
 821 Tetrahydroepoxides of Benz(a)acridine and Benz(c)acridine in Bacteria and in Mammalian Cells,
 822 Cancer Res. 43 (1983) 1656–1662.
- 823