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# **1** Ferric chloride further simplified the horizontal gene transfer network of antibiotic resistance

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- 3 Junya Zhang<sup>1,2,3</sup>, Tiedong Lu<sup>4</sup>, Yuan Xin<sup>5</sup>, Yuansong Wei<sup>1,3\*</sup>
- 4 <sup>1</sup> State Key Joint Laboratory of Environmental Simulation and Pollution Control, Research Center
- 5 for Eco-Environmental Sciences, Chinese Academy of Sciences, Beijing 100085, China
- <sup>6</sup> <sup>2</sup> Department of Isotope Biogeochemistry, Helmholtz Centre for Environmental Research UFZ,
- 7 Leipzig 04318, Germany
- 8 <sup>3</sup> University of Chinese Academy of Sciences, Beijing 100049, China
- 9 <sup>4</sup> Agricultural Resource and Environment Research Institute, Guangxi Academy of Agricultural
- 10 Sciences, Nanning, Guangxi, 530007, China
- <sup>5</sup> College of Life Science and Technology, Guangxi University, Nanning 530005, Guangxi, China
- 12

### 13 \*Correspondence:

- 14 Tel.: +86-10-62849690; Fax: +86-10-62849690;
- 15 E-mail address: jyzhang@rcees.ac.cn (Junya Zhang); yswei@rcees.ac.cn (Yuansong Wei).

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#### 16 Abstract

The role of ferric chloride (FC) on the reduction of antibiotic resistance genes (ARGs) in anaerobic 17 18 digestion (AD) system was investigated from the perspective of vertical (VGT) and horizontal gene transfer (HGT) network through the high-throughput qPCR (HT-qPCR). Although FC showed 19 limited impacts on methane production in AD of swine manure, the tetracycline and MLSB resistance 20 genes were specifically reduced at the end, where *tetQ* of antibiotic target protection and *ermF* of 21 antibiotic target alteration contributed the most to the reduction. Both VGT and HGT network were 22 divided into three modules, and the complexity of HGT network was largely reduced along with AD, 23 24 where the HGT connection was reduced from 683 (Module III) to 172 (Module I), and FC addition could further reduce the relative abundance of ARG hosts in Module I. The contribution of VGT and 25 HGT to the changes of ARGs in AD was further deciphered, and although the VGT reflected by the 26 27 changes of microbial community contributed the most to the dynamics of ARGs (68.0%), the HGT contribution could further be reduced by the FC addition. This study provided a new perspective on 28 the fate of ARGs response to the FC addition in the AD system. 29

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Keywords: Ferric chloride; Antibiotic resistance genes; Vertical gene transfer; Horizontal gene
transfer; Anaerobic digestion.

### 34 1. Introduction

Antibiotic resistance has arisen to a worldwide highly concerning level, and antibiotic resistance 35 genes (ARGs), as a culprit of antibiotic resistance, constitute a substantial health threat (Abu Al-36 Halawa et al., 2019). It is reported that infections associated with ARGs are predicted to be a major 37 cause of death by 2050, where the annual death toll is projected to increase from 700,000 to up to 10 38 million worldwide (O'NEILL, 2016). Due to extensive medical application and livestock use, 39 antibiotic resistance has increased steadily in the environment (Hernando-Amado et al., 2019; 40 Larsson and Flach, 2021; Pruden et al., 2012). Livestock industry is one of the hardest hit areas, where 41 42 livestock manure serves as an important reservoir of ARGs (Zhu et al., 2013). Swine manure ranked the first in China concerning the amounts produced in the livestock industry, and it is also considered 43 as one of the most important reservoir of ARGs in the environment (Larson, 2015; Zhang et al., 2015). 44 45 The ARGs in the swine manure could potentially horizontally transfer to human pathogens and contribute to the spread of antibiotic-resistant bacteria in the clinic settlements (Larsson and Flach, 46 2021). Figuring out the means to prevent the ARGs expansion from the livestock manure is essential 47 for the fight against antibiotic resistance. 48

Anaerobic digestion (AD) is considered and treated as one of the most important technologies for the livestock manure treatment, because it can not only produce methane for bioenergy but also remove pollutants for resource utilization (Hu et al., 2017). Increasing the methane production and enhancing the pollutant removal are always the two major aims for the investigation of AD (Burch et al., 2017; Zarei-Baygi et al., 2019). Ferric chloride (FeCl<sub>3</sub>, FC), as a commonly used chemical coagulants in wastewater treatment plants, has been widely demonstrated to be able to improve the methane production, although the AD of residual sludge is the primary research object (Cheng et al., 2021; Zhan et al., 2021; Zhang et al., 2022; Zhuang et al., 2021). The potential mechanisms are indicated to be associated with the improvement of solubilization, hydrolysis, and acidification via dissimilatory iron reduction (DIR) process, enhancement of key enzyme activities via its role as trace element, reduction of  $H_2S$  inhibition etc (Zhang et al., 2022). Nonetheless, the information on how the key functional genes response to FC during the AD of livestock manure is still limited.

There are contrary results on how the FC impacted the fate of ARGs in the environment. As an 61 important coagulant, FC could also effectively remove ARGs from the wastewater treatment plant 62 effluent with 0.5-log to 3.1-log reduction (Grehs et al., 2019; Liu et al., 2021), and the role of FC on 63 the effective reduction of ARGs during the chicken manure composting was also elucidated (Guo et 64 al., 2020). While FC not only increased the abundance of almost all tested ARGs except tetH, aac(6')-65 Lb-Cr and bla<sub>TEM</sub>, but also significantly increased the abundance of intIl indicating a higher 66 frequency of HGT and proliferation of ARGs during AD of chemically enhanced primary treatment 67 sludge (Jang et al., 2017). Previous studies generally covered limited target ARGs, and technologies 68 targeting much more ARGs like the high throughput qPCR (HT-qPCR) should be adopted to 69 comprehensively evaluate the role of FC on the fate of ARGs. Besides, the impacts of FC on the fate 70 71 of ARGs in the AD of swine manure have never been clarified.

There are amounts of ARGs in typical swine manure covering various antibiotic resistance types and mechanisms (Zhang et al., 2019a). The vertical gene transfer (VGT) and horizontal gene transfer (HGT) are the two major molecular mechanisms for the environmental spread of ARGs. As for VGT, ARGs are grown up along with the proliferation of their bacterial hosts, while ARGs can be 76 disseminated among bacteria of the same or different species through the HGT like transformation, conjugation and transduction, leading to the expansion of ARG-carrying hosts (Jiang et al., 2017; 77 Larsson and Flach, 2021; Wang and Chen, 2020; Wei et al., 2021). The role of VGT on the fate of 78 ARGs could be reflected by the dynamical changes of microbial community, and its importance on 79 the fate of ARGs has been widely demonstrated in various environment not least to the AD system 80 (Luo et al., 2017; B. Zhang et al., 2021; Zhang et al., 2016; Zhao et al., 2021). Nonetheless, it is hard 81 to clarify the role of HGT on the fate of ARGs, because even HGT event happened only once between 82 the donator and receptor, it could be largely amplified through the following VGT of the receptor 83 (Martínez et al., 2014). While there existed complex HGT network for the ARGs in the environment, 84 which could be constructed through the ARG they shared (Zhou et al., 2021). It was indicated that 85 86 one recent HGT could be identified between two distantly related genomes (less than 97% of 16S rRNA sequence similarity) through the shared region of DNA of at least 500 bp with 99% or greater 87 similarity (Brito1 et al., 2016; Smillie et al., 2011). Then, the HGT network of ARGs could be 88 constructed based on the information of ARG hosts. It was assumed that if the HGT network was 89 simplified, it could facilitate the reduction of HGT and proliferation of ARGs. How the FC impacted 90 the HGT network in the AD of swine manure deserved further investigation, which could decipher 91 the mechanisms from a new perspective. 92

Thus, batch experiments concerning the AD of swine manure were established to figure out the role of FC on the methane production and fate of ARGs. How the FC impacted the methane production was deciphered from the perspective of key functional genes; HT-qPCR covering 251 ARGs was used to comprehensively clarify the changes of ARGs response to the FC; Microbial community dynamics after the FC addition was followed, and the VGT along with HGT network was
constructed to find out the potential mechanisms, which could provide some basis for the future
control of ARGs spread in the swine manure.

#### 100 **2. Materials and methods**

### 101 2.1 Batch experiments set-up

Automatic Methane Potential Test System II (Bioprocess Control, Sweden) was used to set up 102 103 the batch experiments. The fresh swine manure (total solids (TS), 29.6%; volatile solids (VS), 79.9%) and inoculum sludge (TS, 5.4%; VS, 57.8%) were together collected from the same large-104 scale swine farm in Beijing, China, where an AD reactor was normally operated. The ratio (swine 105 106 manure: inoculum sludge) was set as 3:1 (TS) with the final TS of about 8% in each reactor (working volume, 0.4L). Five treatments in triplicate were set up, and certain volume of FeCl<sub>3</sub> 107 solution (100 g/L) was added in each reactor to reach the final concentrations of iron element at 0 108 109 mmol (CK), 5 mmol (FC5), 10 mmol (FC10), 25 mmol (FC25) and 40 mmol (FC40), respectively. The mesophilic digestion (37±0.1 °C) were operated in a water bath along with methane production 110 being automatically determined after CO<sub>2</sub> was removed using 3M NaOH. The treatment of FC40 111 112 failed, because the serious sludge bulking happened due to the high amounts of FC. Sampling was conducted through the changes of the daily methane production on days of 0, 6, 15, 22 and 39. The 113 analysis of physicochemical parameters (pH, TCOD, SCOD (chemical oxygen demand), proteins, 114 polysaccharides, VFAs, NH4+-N, PO43- and SO42-) were conducted as previously described (Zhang 115 et al., 2019c). 116

## 117 2.2 DNA extraction and microbial community analysis

118	DNA extraction (0.2 mL of each sample) was done in triplicate through the FastDNA Spin Kit
119	for Soil (MP Biomedicals, USA). The extracted DNA quality control and concentration were
120	determined by gel electrophoresis and NanoDrop ND-1000 (NanoDrop, USA), respectively. The
121	DNA samples from the same treatment were mixed as the representative DNA sample for further
122	analysis.
123	The primers 515F/806R and the nested PCR primers (Arch340F/Arch1000R and
124	Arch349F/Arch806R) were used for the bacterial and archaeal community analysis, respectively.
125	The samples were sent to Sangon Co., Ltd., (Shanghai, China) for small-fragment library
126	construction and pair-end sequencing using the Illumina Miseq sequencing. The bioinformatics
127	analysis including quality control, pair-end reads merging, chimers filter and normalization was
128	conducted as previously reported (J. Zhang et al., 2021). The clean reads were then submitted to the
129	NCBI Sequence Read Archive (SRA) with the project number of PRJNA802036.
130	2.3 Traditional qPCR and High-throughput qPCR (HT-qPCR)
131	The functional genes associate with methane production including cel5 and cel48 (glycoside
132	hydrolase genes) in cellulose-degrading bacteria, hydA (Fe-hydrogenase gene) in the fermentative
133	bacteria, ACAS (acetyl-coA synthetase gene) specifically associated with acetoclastic
134	methanogenesis, dsrA (dissimilatory sulfite reductase gene) in the sulfate-reducing bacteria, mcrA
135	(methyl coenzyme-M reductase gene) participating in non-specific methanogenesis and 16s rRNA
136	were quantified through the traditional qPCR as indicated previously (Zhang et al., 2019b).
137	The HT-qPCR array adopted 296 primer sets, where 251 ARGs, 28 mobile genetic elements
138	(MGEs), 10 heavy metal resistance genes (MRGs), 6 human pathogens and 16s rRNA were covered

139 (Table S1). The HT-qPCR was conducted on a Wafergen SmartChip Real-time PCR system (Wafergen Biosystems, USA) in triplicate for each sample as indicated in our previous studies 140 (Cooray et al., 2021; J. Zhang et al., 2021). The amplification efficiencies between 1.7 and 2.3 were 141 retained, while threshold cycle ( $C_T$ ) above 31 and nano-wells with multiple melting peaks were 142 143 discarded. The relative abundance was calculated as follows:

144 Gene copies = 
$$10^{((31 - C_T)/(10/3))}$$
 (1)

Relative abundance = Target gene copies/16s rRNA gene copies (2) 145

146

# 2.4 Establishment of the VGT and HGT network

The VGT indicated that the proliferation of ARGs-carrying hosts contributed to the ARGs 147 spread, and the connection between ARGs and potential hosts constituted the VGT network, while 148 149 HGT network showed the connection between microbes that HGT event ever happened. It was assumed that one recent HGT could be identified between two distantly related genomes (less than 150 151 97% of 16S rRNA sequence similarity) through the shared region of DNA of at least 500 bp with 99% or greater similarity (Zhou et al., 2021). Thus, firstly, microbial community was analyzed at 152 the OTU level, which was clustered at the cutoff of 97% of 16S rRNA sequence similarity. 153 Secondly, the potential hosts of the targeted ARGs were identified at the OTU level, where the 154 spearman correlation cutoff was set as p < 0.01 and R > 0.8. It should be noted that the correlation 155 between ARGs and bacteria is the most widely used approach to figure out the potential hosts of 156 ARGs, although the approach was indirect and putative (Rice et al., 2020). Lastly, if different OTUs 157 158 shared the same ARG, we considered the HGT happened between these OTUs. The HGT network was further constructed to show the genus (nodes) connected by at least one observed HGT event 159

160 (edges).

161 The VGT and HGT contribution to the fate of specific ARG was further determined. It was 162 hypothesized that for one host, the most abundant ARG was determined; for one ARG, the most 163 abundant host was determined; then, if the host and the ARG were matched in both directions, the 164 host was considered as the preliminary host of the ARG. The VGT contribution was considered as 165 the proportion of the preliminary host divided by all the potential hosts, and the rest was attributed 166 to the HGT contribution (Wei et al., 2021).

### 167 **2.5 Data analysis**

Principal component analysis (PCA), nonmetric multidimensional scaling (NMDS), redundancy analysis (RDA) and Procrustes analysis were performed using Canoco 5.0. The Lefse analysis showing the biomarkers was conducted using the galaxy module under the default parameter (Segata et al., 2011). Network analysis based on the spearman correlation (p < 0.01) between ARGs and MGEs along with environmental variables was constructed through the Gephi platform (Bastian et al., 2009).

# 174 **3. Results and Discussion**

#### 175 **3.1 Balance of inhibition and improvement caused by FC in AD.**

The effects of FC on the methane production are not dose-dependent. FC5 increased the accumulated methane production by 7.7%, but FC10 reduced it by 15.4%, while the FC25 restored it to the CK level (Figure 1A). FC40 directly led to the AD failure because of the serious sludge bulking caused by the chemical reaction. The dose effects of improvement-reduction-restoration caused by FC have also been demonstrated in AD of other substrate (Qin et al., 2019; Zhan et al., 2021). The

daily methane production was inhibited after FC addition before day 5, and the inhibition showed the 181 dose dependent (Figure 1B). This could be reflected by the VFAs accumulation on D6, especially the 182 butyrate accumulation for FC10 and FC25 (Figure 1C). Then, methane production was largely 183 improved on days 6-11, and the maximum daily methane production was dose dependent, where 184 FC25 reached the 27.4±0.6 mL CH<sub>4</sub>/gVS. The butyrate accumulation caused by the FC was removed, 185 and all the treatments entered into the propionate inhibition on days 12-16 as reflected by the VFAs 186 composition on D15. The removal rate of propionate inhibition was also dose dependent, and FC25 187 removed the inhibition much quicker than other treatments reflected by the daily methane production 188 on days 16-30 and VFAs composition on D22. The removal of propionate inhibition was 10 days 189 ahead for FC 25 and 6 days ahead for FC5 and FC10 compared to CK reflected by the maximum 190 191 daily methane production, although the propionate inhibition was removed finally in all the treatments as shown in VFAs composition on D39. In summary, FC showed two perspectives in AD of swine 192 193 manure: the cause of butyrate accumulation at the early stage and quick removal of propionate inhibition at the end. The methane production improvement of FC5 happened on days 6-11, while the 194 restoration of FC25 happened on days 15-30. 195



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Figure 1. Dynamics of accumulated methane production (A), daily methane production (B) and
VFAs (C) in anaerobic digestion response to ferric chloride.

The changes of TCOD and SCOD on D6 indicated the inhibition caused by FC at the early stage, 199 while the concentration of SCOD on D22 showed the improvement effects by FC (Figure S1). The 200 changes of polysaccharides indicated that FC inhibited the degradation of polysaccharides on D6, but 201 the degradation was whereafter enhanced along with the AD. The degradation of proteins was 202 improved response to the FC addition in all the treatments. Higher concentration of FC25 facilitated 203 the PO<sub>4</sub><sup>3-</sup> removal due to the formation of vivianite (Prot et al., 2020), but there existed the 204 phenomenon of re-release of PO<sub>4</sub><sup>3-</sup> at FC5 and FC10 at the end of the AD. FC facilitated the removal 205 of SO<sub>4</sub><sup>2-</sup> at the early stage of D6, but showed limited effects whereafter. The pH was largely reduced 206 207 by FC at the early stage, which was in accordance with the VFAs concentration. The reduction of pH was associated with Fe<sup>3+</sup> hydrolysis reactions (Guerrero et al., 2021). Nonetheless, the pH was 208 209 comparable with CK along with AD, as H<sup>+</sup> was flushed out in the form of CH<sub>4</sub>. When no more CH<sub>4</sub> was produced, the pH was soon decreased due to the reaction of FC. The changes of free ammonia 210 showed the similar trend with pH, but lower free ammonia (FA) on D6 did not facilitate the methane 211 production, which indicated that the FA inhibition in AD of swine manure was not the key factor 212 213 when FC was involved. Interestingly, the addition of FC solution into the AD system did not increase but even reduce the concentration of soluble iron at the early stage. This could be associated with the 214 coagulation effects of FC, and only the concentration of soluble iron in the FC25 increased after D22. 215 216 This indicated that part of the flocs caused by higher concentration of FC could have been degraded by the microbes, and the iron elements could be released into the soluble phase. 217



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Figure 2. Changes of key functional genes associated with methane production in anaerobic digestion
response to ferric chloride.

221 The glycoside hydrolases of families 5 (cel5) was inhibited on D6, and the inhibition for FC25 continued to D15, but FC addition significantly increased the cel5 at the end. While FC25 increased 222 the relative abundance of cel48 and hydA throughout the AD process, and the hydA was closely 223 associated with the H<sub>2</sub> production. The higher concentration of FC facilitated the inhibition of sulfate 224 225 reduction bacteria as reflected by the dsrA. The changes of ACAS could reflect the acetoclastic methanogenesis, and FC could increase the relative abundance of ACAS on D6 and D15. While only 226 FC25 significantly increased the relative abundance of mcrA throughout the AD process. These 227 228 indicated that the inhibition of methane production at early stage might be associated with the inhibition of hydrogenic methanogenesis. Nonetheless, FC addition significantly decreased the 229

relative abundance of *ACAS* at the end, but the relative abundance of *mcrA* was still much higher for
FC25. These indicated that the enhancement of methane production at the later stage, especially for
FC25 and FC5, might also be associated with the enhancement of hydrogenic methanogenesis.

## **3.2** FC can enhance the reduction of the relative abundance of ARGs in AD.

FC addition could enhance the reduction of the relative abundance of ARGs at the end of AD, where the enhancement was dose dependent, and the maximum reduction was realized at FC25 by 33.9% (Figure 3). Tetracycline, aminoglycoside, MLSB and streptothricin resistance genes were dominant throughout the AD process, which accounted for 40.0%, 27.7%, 24.6% and 5.7%, respectively (Figure S2). While from the perspective of resistance mechanisms, ARGs associated with antibiotic inactivation, target protection, target alteration and efflux were abundant accounting for 39.1%, 31.9%, 15.5% and 12.9%, respectively.



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Figure 3. Distribution of ARGs under resistance mechanism (a); Changes of ARGs at types level (b),
subtype level (c) and MGEs along with MRGs and VFs (d) response to ferric chloride in anaerobic
digestion.

The impacts of FC on the fate of ARGs varied a lot from different stages of AD. FC increased 245 the relative abundance of ARGs on D6 and D15, although FC could reduce the relative abundance of 246 ARGs at the end (D39). Interestingly, the dynamic effects of FC mainly happened on the tetracycline 247 and MLSB along with streptothricin resistance genes, and aminoglycoside resistance genes were 248 limitedly affected. The changes of tetracycline and MLSB resistance genes contributed 68.5% to the 249 dynamics of the ARGs response to the FC. As for the resistance mechanisms, FC significantly 250 impacted the antibiotic target protection and alteration along with inactivation significantly, but 251 252 limited effects on the antibiotic efflux (Figure S3). The ermF belonging to MLSB of the antibiotic target alteration, tetO belong to tetracycline resistance genes of antibiotic target protection and sat4 253 254 belonging to streptothricin resistance genes of antibiotic inactivation were the key ARG subtypes impacted by the FC throughout the AD process. The ermF, tetQ and sat4 contributed to the variance 255 of ARGs by 16.0%, 9.9% and 6.8%, respectively, throughout the AD process at subtype level. The 256 abundant MGE was transposase, and tcrB was the dominant MRG, while only 2 of 6 human 257 pathogens were detected with the Enterococci prevalence in the AD process. Although AD process 258 could reduce the MGEs, MRGs and human pathogens, the impacts of FC on these elements were 259 limited. 260

# 261 **3.3** Changes of microbial community response to FC in AD.

FC showed no significant impacts on the diversity indexes in this study as shown in Table S2.

The phylum of Firmicutes accounted for 86.1%±4.5% in all the samples (Figure S4), and the abundant genus was *Clostridium sensu stricto* (39.8%±7.4%). According to the NMDS analysis based on the genus level, the AD process was separated into three stages along with time (Figure 4A). One-way ANOSIM based on Bray-Curtis indicated that FC did not significantly impacted the changes of microbial community during the AD process (p > 0.05).

The Clostridium sensu stricto was abundant throughout the AD process, which was associated 268 with its high function diversity. The Clostridium could not only take part in complex organics 269 decomposition at the early stage of AD, but also constitute a syntrophic metabolism with 270 methanogens (J. Zhang et al., 2021). Thus, its relative abundance was not significantly changed 271 along with time, and the FC addition also showed limited effects on its dynamics. The biomarkers 272 273 indicated by the Lefse analysis at the early stage (D6) were Anaerococcus, Peptostreptococcus, Peptoniphilus, and Anaerosphaera etc. The biomarkers at the early stage could be divided into two 274 types, one type was closely associated with the swine manure. They entered into the AD system 275 through the swine manure, but they cannot adapt to the AD system, and then the relative abundance 276 was reduced along with time. The Anaerococcus belonged to the first type, its relative abundance 277 was 25.8% in the swine manure, but only 0.03% in the inoculum sludge. The relative abundance 278 279 decreased along with time from 7.5% on D0 to 2.4% (average value) on D6, and 0.7% at the end of AD. The other type was associated with the AD process, and their abundance could be enriched a 280 lot on D6. The Peptostreptococcus, Peptoniphilus and Anaerosphaera could be considered as this 281 282 type. The relative abundance of Peptostreptococcus was below 0.1% in both swine manure and inoculum sludge, while its relative abundance could be increased to 6.1% on D6, so did the 283

284	Anaerosphaera, whose relative abundance was increased from 0.02% to 2.7%. The
285	Peptostreptococcus could produce acetate and propionate but it could not produce butyrate, and the
286	major fermentation products of Peptoniphilus and Anaerosphaera were butyrate and acetate (Lagier
287	et al., 2018). These butyrate-producing microbes on D6 could have contributed to the butyrate
288	accumulation caused by the FC addition. On D15, the propionate accumulation happened, the daily
289	methane production was low, and the system was under inhibition. The biomarkers at this stage
290	were Clostridum III and Alkaliflexus, and it was reported that Alkaliflexus could help the
291	degradation of cellulose, and propionate was the major fermentation product in AD, which well
292	explained its biomarker role on D15 under the propionate accumulation. The FC addition also did
293	not significantly impact their relative abundance. The abundant biomarkers on D39 were
294	unclassified_Ruminococcaceae and Syntrophomonas, and as the key syntrophic bacteria,
295	Syntrophomonas can not only participate in the degradation of long-chain fatty acids, but also
296	metabolize syntrophy with methanogens (Sousa et al., 2007). Besides, it could produce methane not
297	only with hydrogenotrophic methanogens using the $H_2$ and $CO_2$ but also with acetoclastic
298	methanogens through DIET. This was in accordance with the removal of propionate inhibition. FC
299	addition decreased the relative abundance of unclassified_Ruminococcaceae and Syntrophomonas,
300	although the removal of propionate inhibition was improved response to the FC addition.
301	The Methanosphaera and Methanosarcina were the two abundant archaea microbes in AD of
302	swine manure (Figure 4D), and they mainly came from swine manure and inoculum sludge,
303	respectively. The Methanosphaera was also considered as the biomarker on D6, which specially
304	used H <sub>2</sub> to reduce methanol to methane (Garcia, 1990). Its dominance at the early stage of AD was

associated with the higher release of  $H_2$  and methanol. Along with AD, the *Methanosarcina* became dominant, and *Methanosarcina* could use the  $H_2$  and  $CO_2$ , acetate or methyl compounds. At the end, *Methanosphaera* was largely reduced, and *Methanosarcina* became dominant. It seemed that FC could reduce the relative abundance of *Methanosarcina*, which showed the similar trend with *Syntrophomonas*. The significant correlation between *Syntrophomonas* and *Methanosarcina* (p <0.01) indicated the important role of syntrophy with methanogens at the later stage of AD.



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Figure 4. Nonmetric multidimensional scaling (NMDS) analysis (A); heatmap showing the top 10 genus (B); lefse analysis showing the biomarkers on D5, D15 and D39 (C); changes of archaeal

community in anaerobic digestion response to ferric chloride (D).

# 315 **3.4 Vertical gene transfer determined the changes of ARGs response to FC**

- 316 The spread of ARGs through the VGT could be reflected by the changes of microbial
- 317 community. The results of Procrustes analysis and mantel test showed that there existed significant

318	positive correlation ( $p < 0.01$ ) between changes of ARGs and dynamics of microbial community
319	(Figure S5). The VGT reflected by microbial community contributed 68.0% to the changes of
320	ARGs in AD of swine manure. Although FC can help the reduction of specific ARGs at the end, it
321	did not significantly impact the fate of ARGs throughout the process ( $p > 0.05$ ). Meanwhile, no
322	significant correlation between MGEs and ARGs was figured out at type level, and so did the
323	physic-chemical parameters with tetracycline and MLSB resistance genes that were reduced at the
324	end by FC addition. Nonetheless, the important role of MGEs, physic-chemical parameters, MRGs
325	and VFs on the changes of ARGs could be reflected at subtype level (Figure S6). There existed
326	significant positive correlation between MGEs and ARG subtypes extensively ( $p < 0.01$ ), and $tnpA$
327	belonging to the transposase played more important role on the HGT of ARGs compared with other
328	MGEs, which showed significant correlation with 48 ARG subtypes. The 22S rDNA representing
329	the pathogen of Enterococci was found to be closely associated with 25 ARG subtypes including
330	ermT-01, tetT etc., and the copA and tcrB also showed the co-occurrence with 13 kinds of ARG
331	subtypes. These indicated that although the VGT caused by the changes of microbial community
332	played the critical role on the dynamics of ARGs, other factors like MGEs, VFs, MRGs and physic-
333	chemical parameters should also not be overlooked.



335 Figure 5. The vertical gene transfer (VGT) network (A) showing the potential hosts of ARGs and horizontal gene transfer (HGT) network (B) showing the genus (nodes) connected by at least one 336 observed HGT event (edges). The genus was colored according to the taxonomy at phylum level. 337 The hosts of ARGs were identified through the network analysis based on spearman correlation 338 (p < 0.01, R > 0.8), and 84 kinds of genus were found out to be the potential hosts of ARGs. The 339 VGT network was then established and could be divided into three modules (Figure 5A). The 340 Module I and Module III were connected through the Module II which only contained Petrimonas 341 and unclassified Planctomycetaceae. The changes of the relative abundance of the hosts could well 342 explain the dynamics of ARGs. In Module I, the relative abundance of the hosts increased after AD, 343 while the hosts in Module III were generally decreased. For instance, Syntrophomonas, 344 Methanosarcina and Sedimentibacter were abundant hosts in Module I, they were generally 345 enriched on D39. The abundant ARGs including aadE, tetW-01, aphA3-02, ermF, sat4 and tetQ 346

347 were located in Module I. FC specially impacted the ARGs in Module I. For instance, the FC could reduce the relative abundance of Syntrophomonas as indicated previously on D39, which well 348 349 explained the final enhanced reduction of ermF. Most of the ARGs in Module III were reduced after AD, which could also be reflected by their hosts. For instance, the Anaerococcus and 350 Methanosphaera were derived from swine manure as indicated by the microbial community, and 351 the relative abundance of them were largely reduced after AD. The Enterococcus as the hosts of 352 353 various ARGs also confirmed the results reflected through the 22s rDNA. The VGT network could well explain the fate of ARGs at subtype level. 354

### **355 3.5 Horizontal gene transfer network was largely simplified in AD.**

The HGT event happened between 84 different genus (nodes) covering 8 phylum, and there were 356 357 858 HGT edges between them (Figure 5B). Among them, genus belonging to Firmicutes accounted for the 60.9% following by Proteobacteria (12.6%) and Bacteroidetes (8.1%). The HGT network was 358 also divided into three modules, and Module II containing the Petromonas connecting the Module I 359 and Module III. It can be seen that the Module III was much more complex than Module I. There 360 were 21 nodes and 172 edges in Module I, but there were 61 nodes with 683 edges in Module III. The 361 relative abundance of the genus constituting the HGT network accounted for 39.8%±7.4% in all the 362 samples (Figure 6A). Although the relative abundance of genus in the HGT network increased along 363 with AD, HGT network was largely simplified pushing the changes of HGT network from Module 364 III to Module I. The relative abundance of nodes in Module III was largely reduced along with AD 365 366 from 26.9% on D0 to 7.0% on D39, while the relative abundance of nodes in Module I was increasing from 2.8% to 36.7%, where the HGT network was largely simplified. FC addition could further 367

368 reduce the relative abundance of nodes in Module I, which indicated that FC could further simplify



and reduce the HGT frequency.

370

Figure 6. Changes of relative abundance of genus in different modules in the HGT network (A) and dynamical changes of VGT and HGT contribution to the fate of the abundant *aadE* (B) response to the ferric chloride in AD of swine manure;

# 374 **3.6** Comparison of VGT and HGT on the fate of ARGs in anaerobic digestion

Although FC showed limited effects on the improvement of methane production in AD of swine 375 manure, it could specifically reduce the tetracycline and MLSB resistance genes of tetQ and ermF, 376 respectively. Statistical analysis indicated that VGT reflected by the changes of microbial community 377 contributed the most to the dynamics of ARGs (68.0%, p < 0.01). The VGT network showed the 378 potential hosts of ARGs, it was found that many hosts of ARGs were important functional microbes 379 380 in the AD process. The abundant *Clostridium sensu stricto* was found to be carrying 5 ARG subtypes and was also responsible for the degradation of complex organics. The biomarkers on D6 including 381 382 Peptostreptococcus, Peptoniphilus and Anaerosphaera contributing to the VFAs production were 383 also the critical ARG-carrying hosts in Module III. The Syntrophomonas as the most important syntrophy along with Methanosarcina were also found to be important hosts of ARGs in Module I, 384 385 while they were the most important microbes responsible for the methane production. From the perspective of ARGs control, we can reduce the ARGs in AD just by killing these ARGs hosts, but 386 the methane production would be largely impacted. It was not wise to discuss ARGs reduction letting 387 out the methane production in AD system, where the changes of microbial community were 388 responsible for the methane production. Thus, it would not be a big issue that VGT determined the 389 fate of ARGs. However, the HGT event that happened in AD would cause some risks. Compared to 390 VGT, HGT is of greater concern due to the high potential to create antibiotic-resistant "superbugs" 391 in various anthropogenic environments like clinics, urban surface water, and wastewater treatment 392 393 plants (Montassier et al., 2021; A. Zhang et al., 2021; Zhu et al., 2017). Thus, it would be a good choice to control the ARGs spread focusing on the HGT network not VGT network in the AD system. 394 In Module III, the HGT network is more complex, and the potential human pathogens like 395 Enterococcus, Streptococcus and Acinetobacter were among them. The HGT network in Module III 396 could bring unknown risks from the perspective of ARGs spread. In Module I, the complexity of 397 HGT network was largely reduced. AD process could simplify the HGT network from Module III to 398 399 Module I, which indicated that the HGT events could be largely reduced in AD. This was also in accordance with the changes of MGEs in AD. FC addition did not significantly change the dynamics 400 of microbial community, while FC largely reduced the relative abundance of genus in Module I, 401 402 which could further simplify and reduce the HGT events. The significantly impacted ARGs by FC including *ermF*, *sat4* and *tetQ* also located in Module I. 403

404 Separating the HGT and VGT would further help clarify the changes of ARGs response to FC in AD of swine manure. We took the abundant ARG of *aadE* for example. The most abundant host of 405 406 aadE was unclassified Ruminococcaceae, and the most abundant ARG it carried was aadE. Based on this, the contribution of VGT and HGT on *aadE* was clearly determined (Figure 6B). The average 407 contribution of VGT on *aadE* was 71.3%, which was comparable with the results of Procrustes 408 analysis (68.0%) between ARGs and microbial community. The HGT contribution increased along 409 with AD from 0.1% to 45.9%, and reached to the maximum value on D15. Nonetheless, the HGT 410 contribution decreased at the end of the AD. During the active methane production phase on D6 and 411 D15, the interaction between organisms was active and the degradation of organics provided enough 412 energy for the HGT (Lopatkin et al., 2016; Nielsen and Townsend, 2004), the HGT contribution to 413 414 the fate of *aadE* would increase accordingly. At the end of AD, the degradation of organics was not active, and the interaction between microbes was largely reduced, which unfavored the HGT. These 415 416 could be reflected by the changes of the daily methane production. FC addition, especially FC5 and FC10, increased the HGT contribution on D6 and D15, but FC10 and FC 25 could further reduce the 417 HGT contribution at the end of AD. 418

# 419 4. Conclusions

This study clarified the role of FC on the methane production and reduction of ARGs in AD of swine manure using the HT-qPCR. The VGT and HGT network were both established based on the spearman correlation analysis. Although VGT reflected by the microbial community contributed the most to the dynamics of ARGs, the complexity of HGT could be largely reduced along with AD, and FC further simplified the HGT network. This study provided a new perspective on the fate of ARGs

425	response to FC addition in AD of swine manure. However, how the expression of ARGs response to
426	FC in AD system need further investigation, and the technology like epic-PCR should be used to
427	directly link the ARGs with the host. Considering the coagulation effects of FC, the fate of
428	extracellular and intracellular ARGs should also be investigated separately in the future.
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