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Mapping land-use intensity of grasslands in Germany with machine learning and Sentinel-2 time series

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Abstract

Information on grassland land-use intensity (LUI) is crucial for understanding trends and dynamics in biodiversity, ecosystem functioning, earth system science and environmental monitoring. LUI is a major driver for numerous environmental processes and indicators, such as primary production, nitrogen deposition and resilience to climate extremes. However, large extent, high resolution data on grassland LUI is rare. New satellite generations, such as Copernicus Sentinel-2, enable a spatially comprehensive detection of the mainly subtle changes induced by land-use intensification by their fine spatial and temporal resolution. We developed a methodology quantifying key parameters of grassland LUI such as grazing intensity, mowing frequency

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and fertiliser application across Germany using Convolutional Neural Networks (CNN) on Sentinel-2 satellite data with 20 $m \ge 20 m$ spatial resolution. Subsequently, these land-use components were used to calculate a continuous LUI index. Predictions of LUI and its components were validated using comprehensive *in situ* grassland management data. A feature contribution analysis using Shapley values substantiates the applicability of the methodology by revealing a high relevance of springtime satellite observations and spectral bands related to vegetation health and structure. We achieved an overall classification accuracy of up to 66% for grazing intensity, 68% for mowing, 85% for fertilisation and an r^2 of 0.82 for subsequently depicting LUI. We evaluated the methodology's robustness with a spatial 3-fold crossvalidation by training and predicting on geographically distinctly separated regions. Spatial transferability was assessed by delineating the models' area of applicability. The presented methodology enables a high resolution, large extent mapping of land-use intensity of grasslands.

Keywords: Mowing, Grazing, Fertilisation, Convolutional Neural Networks, Random Forest, Classification, Deep Learning, optical satellite data

1 1. Introduction

Grasslands cover about one third of the global land surface and are the most cultivated biome on Earth (IPCC, 2019). They provide numerous ecosystem services, such as carbon sequestration and food production (FAO, 2009), and have a high importance for conservation as they are often species rich (Gibson, D. J., 2009; Wilson et al., 2012). Biodiversity, ecosystem ser-

vices and functions in grasslands are strongly affected by the management 7 regime (IPBES, 2019), i.e. grazing, fertilisation, timing and frequency of 8 moving events (Blüthgen et al., 2012), and its quantitative variation (FAO, 9 2009; Gibson, D. J., 2009; IPCC, 2019). The optimisation of farming ef-10 ficiency towards yield maximisation usually leads to an increase in these 11 treatments (hereafter referred to as land-use intensity components) and thus 12 land-use intensity (LUI), which may have numerous negative implications 13 for the environment, such as biodiversity loss (Kruess and Tscharntke, 2002; 14 Wesche et al., 2012; Tsiafouli et al., 2015), water pollution (FAO, 2009), 15 land degradation (IPBES, 2019; IPCC, 2019) and increased carbon emis-16 sions (Jones and Donnelly, 2004; Post and Kwon, 2000; Conant et al., 2001). 17 Monitoring LUI on a large spatial extent is thus crucial for Earth system sci-18 ence and environmental monitoring to support decision making and reporting 19 of climate-relevant processes. 20

Combining several LUI components into a single quantifiable measure is 21 not a trivial issue as these components are often not independent (Blüthgen 22 et al., 2012). For example, meadows are commonly fertilised at higher levels 23 than pastures. However, Blüthgen et al. (2012) proposed a LUI index com-24 bining fertiliser amount, moving frequency and grazing intensity irrespective 25 of the complex interactions between these components. Such a simple combi-26 nation allows to analyse land-use effects across landscapes in different years 27 without requiring additional (mixed) land-use types accounting for spatio-28 temporal grassland management variations, while a more mechanistic under-29 standing can be gained by analysing the underlying components (Blüthgen et al., 2012). 31

Remote sensing methods may be able to assess LUI in grasslands, as mow-32 ing, grazing and fertilisation all affect vegetation structure, composition and 33 vitality, albeit to different degrees and over varying time scales (de Bello et al., 34 2011; Bernhardt-Römermann et al., 2011; Socher et al., 2013). Mowing and 35 grazing remove vegetation and thus influence its structure and vitality in the 36 short-term (Dusseux et al., 2014), and species composition in the long-term, 37 e.g. by inhibiting the growth of species not adapted and facilitate those (pre-38) adapted to this management (Chapin III et al., 2000; Socher et al., 2013; 39 Bouchet et al., 2017). Livestock manure and fertilisation provide nutrients, 40 hence influencing vegetation structure and vitality in the short term, while 41 determining the competitive interactions among plant species in the long-42 term (de Bello et al., 2011; Bernhardt-Römermann et al., 2011; Gibson, D. 43 J., 2009). Land-use has thus a pronounced effect on grassland reflectance 44 properties that varies with its intensity. Analysis of these reflectance char-45 acteristics enables the identification of the underlying vegetation structure. 46 composition and vitality (Jacquemoud et al., 2009; Ramoelo et al., 2015; 47 Sakowska et al., 2016) and consequently supports the assessment of grass-48 land management with remote sensing methods. However, the relationships 40 between vegetation and reflected light are complex (Jacquemoud et al., 2009; 50 Doktor et al., 2014). These relationships are commonly inferred by analysing 51 spectral bands or vegetation indices (VI), such as the Normalized Difference 52 Vegetation Index (NDVI), and their temporal changes, hereafter called time 53 series analysis. Further, classification approaches using clustering algorithms 54 or machine learning on mono- or multi-temporal satellite observations are 55 increasingly used in recent studies. 56

Studies detecting grassland management practices with remote sensing 57 methods mainly focus on one of the above mentioned LUI components (Rein-58 ermann et al., 2020), while only a few studies infer LUI by depicting multiple 59 components (Gómez Giménez et al., 2017; Stumpf et al., 2020). The detec-60 tion of moving events is commonly performed by VI time series analysis 61 (Estel et al., 2018; Kolecka et al., 2018; Griffiths et al., 2020) or by analysing 62 changes in time series of Earth observation data products like the leaf area 63 index (LAI) derived from radiative transfer model inversion (Dusseux et al., 64 2014; Asam et al., 2015), and only a few studies use a classification approach, 65 e.g. of Synthetic Aperture Radar backscatter (SAR; Siegmund et al., 2016; 66 Taravat et al., 2019). Grazing intensity was recently mapped by using VI 67 change detection (Blanco et al., 2009; Li et al., 2016) or regression tech-68 niques linking VI and above-ground biomass as a proxy for grazing intensity 69 (Ma et al., 2019). Studies identifying fertiliser applications or estimating 70 plant nutrient status in grasslands with remote sensing techniques are rare 71 and commonly use hyperspectral data (Pellissier et al., 2015; Sibanda et al., 72 2015). However, Hollberg and Schellberg (2017) demonstrated the feasibility 73 to distinguish different fertiliser treatments in grasslands by using vegetation 74 indices based on simulated RapidEye data. Research on nutrient status or 75 supply in cropland also commonly uses hyperspectral data (Cilia et al., 2014; 76 Xia et al., 2016). 77

The majority of past studies observing grassland management from space used coarse spatial resolution sensors such as NASA Advanced Very High Resolution Radiometer (AVHRR) or Moderate-resolution Imaging Spectroradiometer (MODIS), or coarse temporal resolution sensors such as Landsat

(Reinermann et al., 2020). Contrarily, grassland management activities are 82 often conducted within small areas of fragmented landscapes and their spec-83 tral footprint diminishes quickly, especially after mowing events, due to the 84 rapid regrowth of perennials in summer (Griffiths et al., 2020; Stumpf et al., 85 2020). Additionally, information about the farmer's grassland management 86 on the level of individual fields or farms is commonly not available, but neces-87 sary for policy makers (Griffiths et al., 2020) and research would greatly ben-88 efit from spatially explicit land management information (Franke et al., 2012; 89 Reinermann et al., 2020). To cope with these issues, recent studies worked 90 with new satellite generations, such as Copernicus Sentinel-2 or RapidEye, 91 which enable the detection of the mainly subtle changes induced by land-use 92 intensification by their fine spatial and temporal resolution (Gómez Giménez 93 et al., 2017; Griffiths et al., 2020; Reinermann et al., 2020). However, the 94 majority of studies often focussed on homogeneous grasslands or on local 95 scales (Franke et al., 2012; Lopes et al., 2017; Taravat et al., 2019), mainly 96 due to the lack or quality of calibration and validation data (Ali et al., 2016; 97 Kuemmerle et al., 2013). The spatial and temporal heterogeneity of culti-98 vated grasslands remains a key issue: management practices often change gc over time or are conducted only in subsets of the parcels, e.g. piece-wise 100 mowing or grazing, calling for spatially and temporally explicit, high quality, 101 ground truth data (Estel et al., 2018; Reinermann et al., 2020). The lack of 102 these datasets for training and validation severely hampers the development 103 of accurate remote sensing products. Validation is thus often done without 104 in situ validation data, e.g. by visual interpretation of time series (Gómez 105 Giménez et al., 2017; Kolecka et al., 2018; Griffiths et al., 2020) or spatially 106

non-explicit by comparing statistical features or the density or frequency
distribution of predictions with regional management statistics (Estel et al.,
2018; Stumpf et al., 2020).

Here, we aim to infer LUI quantifying the components mowing frequency, 110 grazing intensity and fertilisation with machine learning methods to appropri-111 ately account for the complex, e.g. non-linear, relationships between remotely 112 sensed observations and LUI components on the ground. While used for clas-113 sification of management type or general use intensity, only a low number 114 of studies use machine learning for mowing detection (Halabuk et al., 2015; 115 Taravat et al., 2019), and we found no studies using machine learning for the 116 detection of grassland fertilisation or grazing intensity. We train and vali-117 date the models using a spatially explicit grassland management dataset of 118 the DFG (Deutsche Forschungsgemeinschaft - German Research Foundation) 119 Biodiversity Exploratories program (Fischer et al., 2010). The functional re-120 lationships between the intensity measures on the ground and satellite obser-121 vations are evaluated with Shapley additive explanations (SHAP; Lundberg 122 and Lee, 2017; Lundberg et al., 2020) summarising explanations of individ-123 ual predictions to gain information about the global model structure and 124 to robustly extract variable importances within the underlying model. We 125 evaluated the methodology's robustness with a spatial 3-fold cross-validation 126 by training and predicting on geographically distinctly separated regions. 127 Spatial transferability was assessed by delineating the methodology's area of 128 applicability considering the feature space given by the training data and the 129 models' variable importances (Meyer and Pebesma, 2021). Finally, we aim 130 to apply the method to the national scale by mapping LUI of all grasslands 131

¹³² in Germany. In summary, we address the following research questions:

- (1) Can mowing frequency, grazing intensity and fertilisation be quantified
 using CNN by identifying and relating respective spectral and temporal
 patterns in Sentinel-2 reflectance time series?
- (2) Can we translate the LUI index proposed by Blüthgen et al. (2012)
 into a remote sensing based framework?
- (3) Are the LUI component models, trained and validated using three ob servatories representative for grasslands in Germany, transferable to all
 grasslands in Germany?

141 **2. Data**

142 2.1. Study area

This study focuses on grassland areas in Germany. Their distribution is 143 shown in Figure 1 according to the digital landscape model (DLM) of the of-144 ficial topographic-cartographic information system (ATKIS; Bundesamt für 145 Kartographie und Geodäsie, 2015) providing information about topographic 146 objects within Germany in vector format. Contrary to croplands, grasslands 147 in Germany are usually scattered small-sized areas. Accumulations of grass-148 lands are found in a) northern Germany in vicinity of the North Sea, b) in 149 fluvial valleys, such as the Elbe Valley, c) in topographically high levels, such 150 as the Central German Uplands and d) at the Alpine Foothills in southern 151 Germany. 152

Field data were available from three observatories of the DFG Biodiversity Exploratories (Fischer et al., 2010): *Schorfheide* (SCH) is located in the

North-East, Hainich (HAI) in the center and Schwäbische Alb (ALB) in 155 the South-West of Germany (Figure 1). Germany's climate is dominated 156 by humid westerly winds and the influence of the oceanic climate decreases 157 from western and coastal areas towards the eastern parts (Köppen, 1918; 158 Stanners and Bourdeau, 1995). The increasing altitude from North to South 159 modifies these general climate patterns, resulting in considerably different 160 climate conditions (Doktor, 2008). Thus, the three observatories represent 161 the climatic gradient of Germany (Köppen, 1918; Stanners and Bourdeau, 162 1995). Further, these observatories represent most of variation in land-use 163 typical for grasslands in Germany, including hardly managed up to intensively 164 used areas (Fischer et al., 2010). 165

We chose four regions (Figure 1) for demonstration, outside of the DFG 166 Biodiversity Exploratories due to data privacy agreements not allowing for 167 the disclosure of exact locations, with an extent of 10 $km \ge 10$ km and a high 168 number of grassland pixels for visual and statistical interpretation: Region 169 (a) is located in northern Germany, in the district Dithmarschen of federal 170 state Schleswig-Holstein composed of mostly fertile tidal marshes and a sandy 171 Geest, (b) covers the northern part of the nature reserve Ohre-Drömling and 172 the surrounding landscape in the federal state Saxony-Anhalt composed of 173 bogs, marshes and farmland, (c) covers parts of the transition areas of the 174 biosphere reserve Rhön in the federal state Thuringia diverse in land-use and 175 morphology and (d) is located in southern Germany in the district Oberallgäu 176 of the federal state Bavaria where large agricultural parts are used for dairy 177 farming. 178

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Figure 1: Location of study areas in Germany. Calibration and validation plots from the DFG Biodiversity Exploratories are marked with circles. We are not allowed to publish exact parcel positions due to privacy policies. Exact positions of the four demonstration regions chosen for visual and statistical interpretation are given as red boxes. Background colors show simplified land cover information. State borders are shown as black lines (© GeoBasis-DE / Bundesamt für Kartographie und Geodäsie, 2017).

180 2.2. Land-use intensity measures

The spatially explicit grassland management data of the DFG Biodiver-181 sity Exploratories (Fischer et al., 2010) include information about livestock, 182 fertilisation and moving events (Vogt et al., 2020) in three regions across 183 Germany (see Figure 1) from 2006 to 2018. Here, we only used records from 184 2017 and 2018 due to the availability of respective satellite data. Each of the 185 three observatories contains 50 plots (Ostrowski et al., 2020). All plots have 186 a size of 50 $m \ge 50$ m and are situated in a grassland area with a median size 187 of 10 ha (minimum 0.49 ha, maximum 148 ha). The total size of represented 188 grassland area is around 26 km^2 (see Table 1). Environmental monitoring 189 units are situated within each plot. Several manipulative experiments not 190 related to this study, such as fertilisation, disturbance and climate change ex-191 periments, were carried out within 27 of these plots, nine in each observatory 192 (Fischer et al., 2010). 193

Livestock information is given as livestock units per plot for four graz-194 ing periods per year. Livestock units are a conversion of livestock numbers 195 depending on species and age (Table 2; Fischer et al., 2010) and were multi-196 plied with the grazing duration (number of days of the four grazing periods), 197 summed up and divided by the length of the year (days) and the grazing 198 area (ha) to get a normalised value of grazing intensity (livestock units per 199 day and ha). Mowing information is given in up to five mowing dates per 200 plot. Fertilisation information include type and amount of fertiliser, as well 201 as dates and total number of fertilisation events per plot, excluding dung 202 depositions by livestock during grazing. 203

Table 1: Summary of descriptive statistics on grassland management data of Biodiversity Exploratories for 2017 and 2018. Statistics were generated using 50 plots per observatory (ALB: Schwäbische Alb, HAI: Hainich and SCH: Schorfheide) and year. Each plot is situated in a grassland, the sum (\sum_s) , first quartile (Q_1) , median (Q_2) and third quartile (Q_3) of the size of these grassland areas in the respective region were given in ha. Grazing intensities (G_I) were summarised by the expected value $(E[G_I])$ and variance $(V[G_I])$ of their beta distribution in the interval $[0, c_G]$, with c_G representing the maximum value of grazing intensity in the respective area. Mowing (M) and fertilisation (F) counts were given by the λ of their Poisson distribution $(\lambda_M \text{ and } \lambda_F, \text{ respectively})$.

		Size (ha)								
Region	Year	\sum_{s}	$oldsymbol{Q}_1$	Q_2	Q_3	$E[G_I]$	$V[G_I]$	c_G	$oldsymbol{\lambda}_M$	λ_F
ALB	2017	311	2.4	5.5	8.0	0.20	0.08	1.82	1.36	1.12
	2018	311	2.4	5.5	8.0	0.22	0.10	1.71	1.42	0.96
HAI	2017	1138	6.0	11.1	19.8	0.24	0.10	3.76	0.90	0.64
	2018	1127	6.0	11.1	19.6	0.22	0.12	3.35	0.68	0.70
SCH	2017	1180	10.2	16.0	32.0	0.47	0.31	2.46	0.88	0.10
	2018	1175	9.7	15.3	32.0	0.46	0.28	2.20	0.84	0.04
Overall	2017	2629	5.3	10.0	18.6	0.33	0.24	3.76	1.05	0.62
	2018	2614	5.3	9.8	17.6	0.32	0.24	3.35	0.98	0.57

Table 2: Definition of livestock units based on species and age as given in the land management data of the DFG Biodiversity Exploratories (slightly different from those given in Fischer et al., 2010).

Speeder	Age	Livestock		
species	(in years)	units		
Chase Cast	< 1	0.05		
Sneep, Goat	$\geqq 1$	0.10		
	< 0.5	0.30		
Cattle	0.5 - 2	0.60		
	> 2	1.00		
II	< 3	0.70		
norse	≥ 3	1.00		
Pony		0.70		

204 2.3. Satellite data

We used the complete Copernicus Sentinel-2 A and B data acquisitions 205 for Germany (67 tiles) of 2017 and 2018 with 20 $m \ge 20$ m resolution as pre-206 dictor variables. Although Sentinel-2 data with 10 $m \ge 10 m$ resolution are 207 potentially beneficial for the assessment of management on small grassland 208 parcels, we used the 20 $m \ge 20 m$ product including more spectral bands. We 200 expected a high relevance of short-wave infrared (SWIR) bands 11 and 12 for 210 the assessment of grassland management since these bands relate to numer-211 ous vegetation properties affected by management practices (Jacquemoud 212 et al., 2009; Jacques et al., 2014; Jenal et al., 2021). Data were downloaded 213 as top-of-atmosphere Level 1C products from the Copernicus Open Access 214 Hub at scihub.copernicus.eu. Subsequently, Level 1C products were atmo-215 spherically, terrain and cirrus corrected with the software Sen2Cor (version 216 2.8.0; Mueller-Wilm et al., 2019), resulting in bottom-of-atmosphere Level 217 2A products. Scene classification information, retrieved from the Sen2Cor 218 process and available for each pixel of the respective satellite image, was used 219 to discard all observations not taken under clear sky conditions (Lange et al., 220 2017). Observations, consisting of measurements in nine spectral bands (with 221 radiometric resolution according to the ESA Sentinel-2 User Guide, see sen-222 tinel.esa.int), were stored in a data cube, containing 145.572 chunks with 100 223 x 100 pixels each, to speed up further calculations. 224

Satellite observation dates differ among regions. Predictions with machine learning algorithms require data with predictors respective to the predictors of the training dataset. Thus, we aligned the data by averaging observations within 16 composite periods according to Table 3, resulting in ²²⁹ the same, not equidistant, time steps for each time series.

Composite periods were chosen according to their expected importance 230 with respect to grassland management and number of valid observations. 231 During wintertime, low sun angles, potential snow cover and weather effects 232 limit the number of valid observations. However, we did not completely 233 discard wintertime observations as plant species composition differences may 234 be visible, thus a large period of one to two months was chosen. Satellite 235 observations within the vegetation period were expected to be highly relevant 236 for the assessment of management practices as most management activities 237 occur in this period. However, cloud cover hampers satellite observations 238 frequently during spring and autumn in Germany. Thus, composite periods 239 within spring and autumn were chosen with a length of one month. We chose 240 a period of two weeks during summer as we expect a high number of valid 241 satellite observations. Empirical experiments revealed an increasing number 242 of data gaps when reducing the period length more. An overview about 243 the number of clear-sky observations per composite period is given in the 244 Supplementary Material (Table S.1). 245

Subsequently, missing values were replaced by interpolating the composite 246 time series per pixel linearly. In 2017, more than 95% of composite time 247 series contain less than 7 interpolated values, while one third contain three 248 and one third contain less than 3 interpolated values (see Supplementary 249 Material, Figure S.1). Data availability increased with the start of Sentinel-250 2B in Spring 2017. Consequently, the number of interpolated composite 251 periods decreased in 2018, with 99% of composite time series containing less 252 than 4 interpolated values, one third containing one and 36% containing no 253

²⁵⁴ interpolated values.

Table 3: Definition of composite periods: list of averaged satellite scenes per composite period.

Period	Name	Satellite scenes
1	Jan/Feb	all of January and February
2	Mar	all of March
3	Apr	all of April
4,6,8,10,12	$Month_1$	first half of months May
		to September, respectively
5,7,9,11,13	$Month_2$	second half of months May
		to September, respectively
14	Oct	all of October
15	Nov	all of November
16	Dec	all of December

255 3. Methods

The procedure for LUI derivation was implemented in the statistical soft-256 ware R (version 3.6.2; R Core Team, 2020) and divided into six main parts: 257 First, data were acquired and pre-processed as described in Section 2. Sec-258 ond, land management data were classified, combined with respective satel-259 lite data and sampled to generate training and validation datasets. Third, 260 machine learning methods were used to model LUI components based on 261 which a LUI index was computed. Fourth, results and underlying functional 262 relationships were evaluated. Fifth, the models' areas of applicability were 263

delineated. Finally, we applied the models to satellite data covering all grasslands in Germany.

266 3.1. Land management data classification

The continuous value of grazing intensity and the ordinal nature of mow-267 ing and fertilisation event number would allow for a regression approach. 268 Since moving frequency and fertilisation are count data, a discrete regres-269 sion or ordinal classification approach would have been required to deal with 270 these data. Although studies doing discrete regression or ordinal classifica-271 tion with neural networks exist (Cheng et al., 2008; Cao et al., 2020), common 272 frameworks (keras, tensorflow) do, to our knowledge, not include the required 273 algorithms. Thus, implementing such algorithms would require extensive pro-274 gramming efforts and the approach was hence dismissed for the current study. 275 Further, high variability within management information hampers regression 276 approaches. Although information on grazing intensity is given per field and 277 certain time periods, livestock is not evenly distributed throughout the fields 278 and the distribution varies over time. Fertilisation can be carried out with 279 mineral or organic fertilisers. Quantifying the amount of mineral fertiliser 280 is fairly straight forward, whereas liquid manure contains a varying amount 281 of ingredients, such as nitrate, and thus documentation by farmers is ham-282 pered. Livestock can be an additional fertiliser source. Further, the effect 283 of fertilisation on the vegetation differs depending on soil, climate and water 284 availability (Gibson, D. J., 2009). An aggregation to classes mitigates this 285 variability and results in more robust classification models. Based on these 286 observations we conclude that the use of regression approaches is technically 287 feasible but limited for practical reasons in the present study. Consequently, 288

we reduced the problem's complexity by aggregating our target variables into classes and aimed for a classification approach instead of a regression. Thus, grazing intensity was used to derive four grazing intensity classes according to Table 4. Mowing count was respectively aggregated into six (zero to five mowing events) and fertilisation information into two classes (fertilised and not fertilised). An overview about the resulting data class distribution is given in Table 5.

Table 4: Definition of grazing classes (G) by grazing intensity (G_I) given in livestock units per ha and day.

G	G_I
0	0
1	$0 < G_I \leqq 0.33$
2	$0.33 < G_I \leqq 0.88$
3	$G_{I} > 0.88$

296 3.2. Data sampling

Land management data and respective Sentinel-2 composite time series of 297 2017 and 2018 were combined using the satellite pixels covering the full plot 298 size, namely the pixel with center position nearest to the respective center 299 of each Biodiversity Exploratories plot and the eight adjacent pixels. Data 300 combination was done separately for the years 2017 and 2018, resulting in 301 two respective datasets. Data from 2017 and 2018 were treated separately 302 as weather may influence plant phenology (e.g. the timing of green-up and 303 senescence), the timing of management practices and thus the temporal evo-304 lution of the remote sensing signal differently between years. Time series 305

			2	2017		2018			
Cla	ass	ALB	HAI	SCH	Overall	ALB	HAI	SCH	Overall
G	0	22	11	18	51	21	13	15	49
	1	17	27	8	52	17	27	10	49
	2	9	8	15	32	9	7	18	34
	3	2	4	9	15	3	3	7	13
М	0	18	17	17	52	15	22	22	59
	1	8	22	22	52	12	22	14	48
	2	14	10	11	35	11	6	14	31
	3	9	1	0	10	11	0	0	11
	4	0	0	0	0	1	0	0	1
	5	1	0	0	1	0	0	0	0
F	0	25	27	45	97	26	26	48	100
	1	25	23	5	53	24	24	2	50

Table 5: Grassland management class distribution overview for data of 2017 and 2018 showing the number of plots assigned to a certain management class, namely the four grazing classes (G), six mowing count classes (M) and two fertilisation classes (F).

examples are shown in Figure 2 with respective management activities, exhibiting e.g. rapid decreases in NDVI after mowing events and a higher variability within the NDVI time series while grazed.

Plots within these datasets were divided into training and validation plots 309 with conditioned latin hypercube sampling using the R-package clhs (Roudier 310 et al., 2020) to preserve the high variance of grassland usage information. 311 Thus, all pixels of a plot were used only for training or for validation, respec-312 tively, to preserve the intra-plot variance of satellite observations and to en-313 sure independence of training and validation sets. We tested different training 314 and validation set allocations (30%/70%, 50%/50%) and 70%/30% training 315 and validation set size, respectively). Although we found reasonable results 316 for all allocations, higher training set sizes mainly resulted in higher accu-317 racies. Consequently, 70% of the plots were used for training $(n_{plots} = 105,$ 318 $n_{pixels} = 945$) and 30% for validation ($n_{plots} = 45, n_{pixels} = 405$). Predictors, 319 namely the 144 combinations of Sentinel-2 bands $(n_{bands} = 9)$ and composite 320 periods $(n_{periods} = 16)$, were scaled to $\mu = 0$ and $\sigma = 1$ to normalise the 321 weight of each variable before training the machine learning models. 322

323

324 3.3. Classification methods

Deep learning became increasingly important in various applications over the last decade due to their ability to model complex behaviors. In remote sensing, especially convolutional neural networks (CNN) and recurrent neural networks, and their special form long short-term memory networks (LSTM), are of use for image analysis and classification (Ienco et al., 2017; Kussul



Figure 2: Normalised difference vegetation index (NDVI) time series of 2018 of center pixels in six plots (a-f) within the Biodiversity Exploratories. Pastures (b, d, f) are and meadows (a, c, e) are not grazed.

et al., 2017; Rußwurm and Körner, 2017). Here, we used a CNN to predict 330 three LUI components according to the classes defined in section 2.2. Note 331 that we trained the algorithm pixel-wise instead of the common image-wise 332 application to get pixel-wise predictions. The convolution itself is thus per-333 formed on temporal and spectral instead of spatial patterns. The CNN was 334 implemented by using the R package keras (Falbel et al., 2020). The struc-335 ture of the constructed network is shown in Figure 3. It was trained in 50 336 epochs with the RMSProp optimiser. 337

We compared the CNN approach to the state-of-the-art method Random-338 Forest (RF; Breiman, 2001). RF is often used in remote sensing studies and 339 especially in land cover classification (Rodriguez-Galiano et al., 2012; Preidl 340 et al., 2020). Here, we used the R-package randomForest (Liaw and Wiener, 341 2002) to train a RF model on same dataset as used in the CNN approach. A 342 McNemar-test (McNemar, 1947) with Yates correction (Yates, 1934) was per-343 formed to test for significant differences between CNN and RF classifications. 344 Additionally, overall accuracy of both methods was compared. Parameters 345 of RF were chosen based on suggestions from literature (Svetnik et al., 2003): 346 m_{try} defaults to values in relation to the number of predictors (p), usually 347 \sqrt{p} for classification or p/3 for regression. We chose $m_{try} = p/3$ (resulting 348 in $m_{try} = 48$) based on empirical experiments. The number of trees (n_{tree}) 349 usually varies, depending on the number of samples, between 50 and 500. 350 Here, we chose $n_{tree} = 128$ based on empirical experiments. 351

Model generation and training was repeated 100 times to account for the randomness in the training procedure, evaluate the performance on the data and to select the best model for subsequent variable importance assessment, ³⁵⁵ transferability evaluation and Germany-wide application.

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357 3.4. Land-use intensity index

LUI was inferred applying the index (here: LUI_{region}) proposed by Blüthgen 358 et al. (2012), based on the underlying components grazing class (G), moving 359 count (M) and fertilisation (F). Pixel values of these components were di-360 vided by their mean study area (regional) value ($\overline{G}_{region}, \overline{M}_{region}$ and \overline{F}_{region}). 361 Subsequently, the results were summed up to obtain the dimensionless non-362 negative value LUI_{region} (see Equation 1). LUI_{region} estimations based on 363 LUI component predictions or ground observations are further referred to as 364 $LUI_{region}^{satellite}$ or LUI_{region}^{ground} , respectively. 365

$$LUI_{region} = \frac{G}{\overline{G}_{region}} + \frac{M}{\overline{M}_{region}} + \frac{F}{\overline{F}_{region}}$$
(1)

366 3.5. Validation of land-use intensity and its components

Validation was done for LUI component models as well as for LUI quan-367 tification. LUI component models were validated using the classification's 368 overall accuracy (OA), calculated by dividing the total number of correctly 369 classified pixels by the total number of pixels (Congalton, 1991). Further, 370 we calculated the precision (user accuracy), recall (producer accuracy) and 371 their harmonic mean, namely the F1-score, as measures of classification per-372 formance to account for class imbalances (Tharwat, 2020). These measures 373 are calculated for each class. Additionally, we calculated their sample size 374 weighted average across all classes as total measure for each model. Vali-375 dation of $LUI_{region}^{satellite}$, calculated by using the predictions of the CNN and 376



Figure 3: CNN structure: The input was reshaped such that 16 composite periods and nine bands per Sentinel-2 pixel serve as input for the net, which consists of two convolutional layers, with 64 and 128 filters of kernel-size three and valid padding, respectively, a batch normalisation layer in between, a (max-)pooling layer of size two and a subsequent dense neural network with an input layer consisting of 768 neurons with a rectified linear unit (ReLU) activation function, a dropout layer with a dropout rate of 0.25 and an output layer with a softmax activation function and as many neurons as the number of classes in the target variable.

RF model with highest OA for each component, was done for each obser-377 vatory (ALB, HAI and SCH) respectively and for the union of these three 378 regions (called "Overall" in the results section). We utilised the provided 379 ground management data of LUI components to calculate the ground truth 380 LUI_{region}^{ground} (see Equation 1). Subsequently, we calculated the squared Pear-381 son correlation coefficient (r^2) between $LUI_{region}^{satellite}$ and LUI_{region}^{ground} to evaluate 382 the relationship between the remote sensing based LUI quantification and 383 LUI estimated from ground level observations. 384

385 3.6. Variable importance evaluation

We performed a variable importance evaluation to assess the relevance of 386 spectral bands and composite periods for the prediction of LUI components. 387 Supervised machine learning algorithms are presented with example inputs, 388 namely our composite time series of spectral bands, and desired outputs, the 389 LUI components, to learn general patterns. Analysing these patterns is essen-390 tial to substantiate subsequent predictions by supporting the understanding 391 of functional relationships of the process being modelled and by unveiling 392 misconceptions or data biases. However, machine learning models are usu-393 ally complex and the underlying patterns lack interpretability. Commonly, 394 this problem is addressed by calculating the importance of input variables, 395 here the 144 combinations of nine spectral bands and 16 composite periods, 396 also referred to as features, for the model output. Global feature importance 397 measures are calculated based on the output of the whole feature space, e.g. a 398 performance change resulting from modifying a single input variable, whereas 390 local feature importance measures base on the variable contributions to a sin-400 gle prediction. Global feature importance measures, e.g. the mean decrease 401

in impurity used in RF, may be inconsistent between different models or bi-402 ased depending on model structure, except for permutation approaches such 403 as the mean decrease in performance after permuting the value of the fea-404 ture of interest (Lundberg et al., 2019, 2020). However, the latter are highly 405 computationally intensive and may become impractical when explaining pre-406 dictions from large datasets. Lundberg and Lee (2017) proposed the local 407 approach Shapley Additive Explanations (SHAP), calculating each variables' 408 contribution to a certain prediction. These contribution values are additive 409 and the (normalised) sum of their absolute values represents global variable 410 importance for a given feature space. SHAP values provide the benefit of 411 being consistent with human intuition, thus enabling an accurate analysis 412 of the model behavior (Lundberg and Lee, 2017). We used SHAP's GNU 413 R port shapper (Maksymiuk et al., 2020) to calculate each feature's contri-414 bution and to evaluate the importance of thematically meaningful feature 415 subsets, namely satellite bands and composite periods. 416

417 3.7. Transferability evaluation

Spatial transferability is required when aiming for large scale applications, enabling the extrapolation into regions not used for training. The evaluation includes i) a spatial 3-fold cross-validation (CV) and ii) the delineation of the models' areas of applicability (AOA) that is defined as the area where training based relationships inherent to a model apply with the respective estimated CV performance (Meyer and Pebesma, 2021).

The spatial 3-fold CV was performed by training the CNN models on spatial subsets of the composite time series. Spatial subsets were built by leaving out all data points of each observatory once. Consequently, each subset contained data points of two observatories only. Models trained on each of these subsets were subsequently validated by calculating the OA using only data points of the left out observatory (referred to as OA^*), i.e. a geographically distinctly separated region, to assess the models' robustness against the lack of certain training areas. Further, we calculated accuracy loss from subtracting OA^* from OA of models where training and validation were done with the dataset containing all observatories.

We delineated the models' AOA following the procedure proposed by 434 Meyer and Pebesma (2021). They define the AOA by first calculating the 435 dissimilarity of new data to the feature space of the training data consid-436 ering the models' feature importances and second, applying a threshold to 437 these dissimilarity index (DI) values. The threshold is calculated as the 438 outlier-removed maximum DI of the spatially 3-fold cross-validated training 439 data. Here, we used the R-Package CAST (Meyer et al., 2021) to delineate 440 the AOA, resulting in maps highlighting grassland pixels within and outside 441 the CNN models' AOA. Predictions within a model's AOA are considered 442 reliable. The LUI AOA (AOA_{LUI}) was inferred by combining the AOA of 443 grazing intensity (AOA_G) , mowing count (AOA_M) and fertilisation (AOA_F) . 444 We chose a conservative approach such that if a pixel was outside of only one 445 LUI component's AOA, it was labelled as outside AOA_{LUI} . 446

447 3.8. Germany-wide extrapolation of land-use intensity and its components

Germany-wide maps were generated by applying the LUI component models to each Sentinel-2 pixel covering a grassland object of the ATKIS-DLM. Subsequently, $LUI_{Germany}$ was computed for these areas according to Equation 1.

452 4. Results

453 4.1. Validation of land-use intensity and its components

OA vary between observation years, with grazing intensity and mowing 454 models showing higher OA for 2018, whereas fertilisation models show a 455 slightly higher OA for 2017 compared to 2018 (Figure 4). The highest OA 456 of CNN for 2017 and 2018 are 59% and 66% for grazing intensity models, 457 62% and 68% for mowing models, and 85% for fertilisation models. OA of 458 LUI component models are slightly higher for CNN compared to RF. The 459 difference is significant for moving and fertilisation depiction (McNemar test, 460 p < 0.002) and not significant for grazing intensity models. Median OA is 461 higher for CNN than for RF models, except for grazing intensity depiction 462 of 2018, where maximum OA is achieved with CNN. CNN models show a 463 higher variance in OA than RF models. 464

465

Class statistics (Table 6) show a balanced distribution of total weighted 466 F1-score, precision and recall. Total weighted F1-score is slightly lower than 467 OA. However, class imbalances are visible and class-based F1-score, precision 468 and recall in general decrease with decreasing sample size, except for grazing 469 intensity classes 0 and 1 of 2018. Grazing intensity class 2 of 2017 and mowing 470 class 2 of 2018 exhibit low F1-score, precision and recall, although sample 471 sizes are larger than their respective class 3. We observe a low precision for 472 grazing intensity class 2 in 2017 and class 3 in 2018. In 2017, the confusion 473 matrix of the model with highest OA (see Supplementary Material, Table 474 S.2) reveals an overestimation in pixels with low grazing intensity (class 0) 475



Figure 4: Overall accuracies of 100 runs with CNN, shown as blue boxes, and RF models, shown as green boxes, with whiskers extending to the full range of accuracies. The models were trained and validated with grazing, mowing or fertilisation data of the years 2017 and 2018 (70% training, 30% validation).

and 1) and an underestimation in pixels with grazing intensity class 2. In 476 2018, the confusion matrix shows an underestimation of grazing intensity 477 class 3 and moving class 2. Moving class 2 in 2017 and fertilisation class 478 1 in 2018 exhibit substantially lower recall than precision values. In both 479 cases, the confusion matrix also shows an overestimation of the lower classes 480 with respectively higher sample size, resulting in a decreased recall. Further, 481 standard deviation of mowing model accuracy is high for classes with low 482 sample sizes. 483

The overall r^2 between $LUI_{region}^{satellite}$ and LUI_{region}^{ground} is slightly higher for CNN, with 0.82, compared to RF, with 0.75 and 0.76 for 2017 and 2018, respectively (Figure 5). r^2 of observatory SCH in year 2018 is much lower than for the observatories ALB and HAI, as well as SCH in 2017. Root mean squared errors (RMSE) of SCH are higher compared to ALB and HAI, whereas median absolute errors (MedAE) are lower, except for CNN in 2017, where HAI shows the lowest MedAE (Table 7).

491

492 4.2. Variable importance of CNN models

Variable importance evaluation (see Figure 6) reveals an at least moderate
importance of all spectral bands of Sentinel-2 for one or the other component
model. Composite periods of winter months November, December, January
and February show a low importance for all models. The most important
composite periods are late spring and early summer, followed by late summer
and autumn.

Table 6: Class statistics of 100 runs with CNN for data of 2017 and 2018 showing mean (μ) and standard deviation (σ) of user (precision) and producer accuracy (recall), F1 score and number of samples (n_s) for each management class, namely the four grazing classes (G), six mowing count classes (M) and two fertilisation classes (F), as well as the total weighted F1 score, user and producer accuracy.

			F1-score		Precision		Recall		
Year	Variable	Class	μ	σ	μ	σ	μ	σ	n_s
2017	G	0	59%	3.9%	66%	7.6%	53%	3.8%	108
		1	66%	2.5%	72%	5.0%	62%	3.5%	171
		2	17%	5.7%	13%	4.8%	28%	8.7%	108
		3	41%	6.1%	45%	8.0%	37%	7.8%	18
		total	50%	2.6%	53%	2.5%	49%	3.3%	405
	\mathbf{M}	0	65%	4.1%	61%	6.2%	71%	4.5%	162
		1	47%	2.4%	45%	3.5%	49%	5.3%	144
		2	52%	5.2%	67%	9.9%	43%	3.8%	72
		3	40%	16.3%	36%	20.7%	43%	17.4%	27
		4 & 5							0
		total	54%	3.1%	54%	2.9%	57%	3.1%	405
	\mathbf{F}	0	87%	0.9%	90%	1.6%	85%	1.6%	270
		1	72%	2.7%	68%	4.3%	77%	2.3%	135
_		total	82%	1.4%	83%	1.3%	82%	1.3%	405
2018	\mathbf{G}	0	68%	3.7%	69%	6.9%	68%	4.7%	126
		1	66%	2.6%	64%	4.0%	70%	4.4%	162
		2	48%	4.0%	56%	7.2%	42%	2.9%	81
		3	34%	5.0%	26%	4.1%	53%	16.7%	36
		total	60%	2.2%	60%	2.2%	62%	2.6%	405
	\mathbf{M}	0	73%	3.1%	74%	5.4%	72%	5.0%	180
		1	52%	5.5%	53%	8.8%	52%	3.9%	126
		2	24%	5.1%	22%	6.6%	27%	6.4%	63
		3	75%	7.4%	76%	11.4%	75%	8.5%	36
		4 & 5							0
		total	59%	2.9%	59%	3.1%	59	2.9%	405
	\mathbf{F}	0	84%	1.7%	80%	3.4%	89%	1.8%	297
		1	65%	2.9%	74%	5.8%	58%	3.5%	108
		total	79%	1.8%	78%	2.0%	81%	1.6%	405



Figure 5: Squared Pearson correlation coefficients (r^2) between LUI_{region}^{ground} and $LUI_{region}^{satellite}$ based on classifications of CNN, shown as blue bars, and RF models, shown as green bars, and using data of each observatory (ALB: *Schwäbische Alb*, HAI: *Hainich* and SCH: *Schorfheide*), as well as the union of all observatories (*Overall*). All correlations are significant $(p < 10^{-20})$.

Table 7: Median absolute error (MedAE) and root mean square error (RMSE) between LUI_{region}^{ground} and $LUI_{region}^{satellite}$ based on classifications of CNN and RF models and using data of each observatory (ALB: Schwäbische Alb, HAI: Hainich and SCH: Schorfheide), as well as the union of all observatories (Overall).

			ALB	HAI	\mathbf{SCH}	Overall
CNN	2017	MedAE	0.21	0.10	0.15	0.18
		RMSE	0.66	0.68	1.87	1.21
	2018	MedAE	0.10	0.15	0.09	0.11
		RMSE	0.73	0.91	5.03	2.97
\mathbf{RF}	2017	MedAE	0.16	0.32	0.12	0.19
		RMSE	0.77	1.01	2.63	1.69
	2018	MedAE	0.25	0.26	0.07	0.13
		RMSE	0.84	0.86	5.01	2.97

The grazing intensity model is strongly influenced by the two SWIR bands 11 and 12 and by the red-edge band 6 (in 2017) and 5 (in 2018). In 2018, the two near-infrared (NIR) bands 7 and 8a show a high contribution, which is not reflected in the feature importances of 2017. However, the green band 3 shows a higher contribution in 2017. Grazing intensity models' composite period importance shows highest values from April to June and September to October.

In 2017, the mowing count model is most strongly influenced by the SWIR bands 11 and 12 and NIR bands 7 and 8a. In 2018, the red band 4, SWIR band 11 and NIR bands 5 and 7 show the highest contribution. Composite periods in April to May and August to September stem the major contribution.

The fertilisation model is most strongly influenced by band 11. All other bands, except band 6, contribute moderately to the predictions in 2017. In ⁵¹³ 2018, red-edge bands 5, 6, SWIR band 12 and blue band 2 exhibit a moderate ⁵¹⁴ contribution. The composite periods from April to first half of July exhibit ⁵¹⁵ the highest variable importance.



Figure 6: Feature importance: Summary of CNN feature contribution on predictions using data from 2017 (left) and 2018 (right) generated by using the mean absolute SHAP values of features grouped by satellite band (first and third column) or composite period (second and fourth column) for each variable (by row from top to bottom). Mean absolute feature contribution is shown on the ordinate, the abscissa shows the respective bands and composite periods.

516

517 4.3. Spatial transferability of land-use intensity component models

The spatial 3-fold CV reveals varying performance depending on obser-518 vatory, year and LUI component (see Figure 7). The grazing model is robust 519 against leaving out the observatory HAI for training. Leaving out the obser-520 vatory ALB leads to high accuracy loss in 2018, but not in 2017. Accuracy 521 decreases more than 20% when leaving out the observatory SCH. The mow-522 ing model shows a low CV accuracy loss in 2017 and a high accuracy loss 523 in 2018 for all observatories. The fertilisation model is robust against leav-524 ing out ALB in 2017 and SCH in 2018. Consequently, all training areas are 525 valuable and leaving out one of them reduces the accuracy of at least one of 526 the models. 527

528

The delineation of AOA reveals varying spatial transferability for different 529 years and LUI components. Out of a total number of 146.4 million grassland 530 pixels, we found 29.3% outside AOA_{LUI} in 2017. In 2018, the number of 531 pixels outside AOA_{LUI} decreased to 6.9%. The grazing intensity model is not 532 applicable for 19.7% and 4.1% of pixels in 2017 and 2018, respectively. The 533 mowing model is not applicable for 17.4% and 5.4% of pixels, respectively. 534 The fertilisation model shows the highest amount of pixels outside AOA, with 535 27.3% and 5.9% in 2017 and 2018, respectively. The spatial distribution of 536 areas outside AOA_{LUI} varies between our regions (a)-(d) (see Figure 8). We 537 observe the lowest amount of pixels outside AOA_{LUI} in region (b) and (c), 538 a moderate amount in region (a) and a high amount in region (d). Pixels 539 outside AOA_{LUI} show spatial small scale patterns resembling fields or parcels 540 with respective management. 541


Figure 7: Spatial 3-fold cross-validation: CNN models, 100 per fold, were trained and validated on different spatial subsets for the observation years 2017 (blue) and 2018 (green). Training was done with data from two regions, e.g. *Schwäbische Alb* (ALB) and *Hainich* (HAI), and validation with the remaining third region, e.g. *Schorfheide* (SCH). Boxplots show the OA^* of the resulting 100 models per fold. The bars show the accuracy loss (or, in one case, gain) of the CV model with the highest OA^* compared to the highest OA of the models applied on data of all observatories.



Figure 8: The maps of the four regions (a)-(d) show land cover information with colours respective to the bottom-right legend (\bigcirc GeoBasis-DE / Bundesamt für Kartographie und Geodäsie, 2015), whereas grassland pixels are overlaid by AOA_{LUI} of 2018, with areas inside AOA_{LUI} in green and areas outside AOA_{LUI} in magenta colours.

542

543 4.4. Germany-wide extrapolation of land-use intensity and its components

The dataset of national extent prototype results was made available online (Lange et al., 2021) and provided in a web service (www.ufz.de/land-useintensity) enabling visual exploration. The maps within the web service show regional patterns, such as a high land-use intensity in the Alpine Foothills with a high grazing intensity and a high share of fertilised grasslands, a high ⁵⁴⁹ grazing intensity in north-western Germany in vicinity to the Northern Sea, ⁵⁵⁰ and divers regional patterns of mowing counts. Further, the share of pixels ⁵⁵¹ outside AOA is highest for the Alpine regions and, in 2017, for north-western ⁵⁵² Germany. Here, we show results from the four regions (a)-(d) of 2018, where ⁵⁵³ data availability was high and thus a larger share of pixels within the AOA.



Figure 9: The maps of the four regions (a)-(d) show land cover information with colours respective to the bottom-right legend (\bigcirc GeoBasis-DE / Bundesamt für Kartographie und Geodäsie, 2015), whereas grassland pixels are overlaid by their $LUI_{Germany}^{satellite}$ of 2018 with colours ranging from green (extensive use) to magenta (intensive use).

554

Figure 9 reveals large scale grassland management patterns characteristic for each demonstration region most likely due to different abiotic conditions, but also high spatial variations of $LUI_{Germany}^{satellite}$ within (a)-(d). Figures 9a and 9b exhibit a heterogeneous small scale colouring within groups of 10 x 10 pixels.

Figure 10 illustrates the high variability of grassland LUI and its under-560 lying components in region (d). Please note that for moving class 4 only one 561 training data point and no validation data was available. However, we kept 562 the value within the results as the predictions are not necessarily wrong. Re-563 gions with high number of mowing events exhibit lower grazing intensity and 564 vice versa, e.g. in the southwestern part, suggesting a contradictory relation 565 between both management types. Highest $LUI_{Germany}^{satellite}$ is observed in areas 566 with detected fertiliser application and either with three or more moving 567 events or with grazing intensity class two or three, e.g. in the eastern part, 568 between village Oy-Mittelberg and lake Grüntensee. Lowest $LUI_{Germany}^{satellite}$ are 560 found in areas with steep slopes, e.g. Burgkranzegger Horn, ranging from 570 south-west to north-east between the lakes, where neither moving nor fertil-571 isation is detected. 572

573

Figure 11 shows the density distribution of the spatial patterns visible in Figure 9 and Figure 10. Region (b) exhibits lowest overall $LUI_{Germany}^{satellite}$ due to a low grazing intensity, zero to one mowing events in 2018 and a low number of fertilised pixels. Nature reserve core zones are located in the southwestern part of the extracted extent and exhibit lowest $LUI_{Germany}^{satellite}$ (see Figure 9b).



Figure 10: Subsets of national extent maps: (a)-(d) grazing classes, mowing counts, fertilisation and $LUI_{Germany}^{satellite}$, respectively, of 2018 for an area of 10 km x 10 km in the district Oberallgäu (see Figure 9d) in the federal state Bavaria. All non-grassland pixels use colours respective to the legend of background values in the right. Grazing classes range from zero (low grazing intensity, green) to three (high grazing intensity, magenta) and mowing counts from zero (green) to four (magenta). Fertilisation (no/yes) is indicated in green and light magenta, respectively. $LUI_{Germany}^{satellite}$ values are aggregated into five classes with colours ranging from green (extensive use) to magenta (intensive use). Map (e) displays the digital elevation model of the region based on NASA's Shuttle Radar Topographic Mission (SRTM; Jarvis et al., 2008) and highlights specific locations.

Region (a) shows a moderate $LUI_{Germany}^{satellite}$ with a comparably high grazing 579 intensity, a low number of moving events and lowest number of fertilised 580 areas. However, a moderate number of pixels shows distinctively higher 581 $LUI_{Germany}^{satellite}$ values, reflected in high small scale variations of respective maps 582 (see Figure 9a). Region (c) shows a high $LUI_{Germany}^{satellite}$ based on high grazing 583 intensity and around 70% of fertilised pixels, as well as a moderate number of 584 moving events. Although the shown extent is situated in a biosphere reserve, 585 most of the pixels are in transition areas and not in core or buffer zones. 586 Further, the histogram reveals a contrasting partition with fields either of 587 high or of low $LUI_{Germany}^{satellite}$, which translate into spatially coherent respective 588 areas in map 9c. Analysis of region (d) reveals highly diverse $LUI_{Germany}^{satellite}$ 589 values based on a high grazing intensity, a high number of mowing events 590 and around 40% of fertilised pixels. 591

592

National scale statistics of $LUI_{Germany}^{satellite}$ and its components (see Figure 12) 593 reveal ample differences between years and classes. We observe an increase in 594 grazing intensity in 2018, with the majority of pixels in class two compared 595 to class one in 2017. Contrarily, the number of mowing events decreased. 596 We detected mowing events on 66% of all grassland pixels in 2017 compared 597 to only 38% in 2018. We found one mowing event in 45% of pixels in 2017 598 and in 27% in 2018, and two mowing events in 13% resp. 8%. 9% and 3%599 of pixels were mown three times in 2017 and 2018, and less than 0.1% and 600 0.05% four or more times, respectively. Fertilisation was detected on around 601 39% and 31% of all pixels in 2017 and 2018, respectively. $LUI_{Germany}^{satellite}$ values 602



Figure 11: $LUI_{Germany}^{satellite}$ and its components (grazing class, mowing count and fertilisation) within the AOA for 2018 in grasslands in the four demonstration regions (a)-(d).

mainly remain in the range from one to ten, with the majority of pixels with 603 values less than three, a moderate amount with values between three and 604 six, a low amount between six and ten and less than 1% above ten. The 605 amount of pixels with $LUI_{Germany}^{satellite}$ between zero and one increased from 3% 606 in 2017 to 27% in 2018, whereas the amount of pixels with values between 607 one and five decreased from 66.5% to 45.5%. Further, the number of pixels 608 with $LUI_{Germany}^{satellite}$ between five and nine decreased from 29.5% to 24.5% and 609 the number of pixels with $LUI_{Germany}^{satellite}$ above nine increased from less than 610 1% to 3%. 611

612

613 5. Discussion

⁶¹⁴ 5.1. Validation of land-use intensity and its components

We quantitatively estimated LUI of grasslands in three regions situated 615 across Germany with fine spatial resolution of 20 m x 20 m with an r^2 of 616 0.82 and subsequently applied the methodology on national extent. Other 617 studies inferring LUI on a similar scale exist (Gómez Giménez et al., 2017; 618 Stumpf et al., 2020) but either focus on only one LUI component or lack 619 overall quality measures. The CNN approach showed slightly higher OA es-620 timating LUI components than the state-of-the-art method Random Forest 621 with significant better classifications of moving count and fertiliser applica-622 tion. The variance in 100 training repetitions was higher for CNN than for 623 RF, potentially revealing the demand for a higher number of training data 624 when using CNN. However, both approaches revealed reasonable results and 625



Figure 12: $LUI_{Germany}^{satellite}$ and its components (grazing class, mowing count and fertilisation) for 2017 and 2018 in grasslands of Germany within the AOA.

consequently demonstrate the feasibility of inferring LUI by combining re mote sensing based quantifications of mowing frequency, grazing intensity
 and fertilisation.

Each LUI component may be addressed in more detail in separate stud-629 ies, e.g. using discrete regression or ordinal classification. We dismissed 630 this for the current study as i) such approaches are not readily available 631 within common AI frameworks and ii) an aggregation to classes mitigated 632 the high variability within the land management data. However, information 633 is lost during this aggregation, potentially leading to an underestimation of 634 classification model performance. Further, we did not provide uncertainty es-635 timates. Using class probabilities instead of discrete classes when modelling 636 LUI components allows to assess prediction uncertainty and could potentially 637 increase the accuracy of $LUI_{region}^{satellite}$ depictions. 638

Mowing count prediction is usually done by counting local extrema or fea-639 tures in time series of optical or SAR data. However, validation data is rare. 640 Most of these studies rely on visual interpretation of time series for validation 641 data acquisition. Consequently, they lack proper ground truth. We found 642 one recent study using in situ data for the validation of a SAR-based mowing 643 count estimation (de Vroey et al., 2021). They correctly identified 56% of all 644 parcels in terms of mowing dynamics, with grazing events as major confound-645 ing factor. Griffiths et al. (2020) did no quantitative validation due to the 646 low sample size, but showed a validity check. They detected a total of 25%647 of grasslands not mown in Germany in 2016, whereas our study found 33% 648 grasslands with no mowing events in 2017 and 62% in 2018. This discrepancy 649 may stem from climatic differences between 2016, 2017 and 2018, as in 2018 650

a severe drought occurred in Germany during summer potentially leading to 651 lower biomass production in grasslands. However, we would expect to iden-652 tify at least one mowing event before the drought event. Consequently, the 653 model seems to underestimate mowing. This is not only visible in decreased 654 model precision and recall of mowing classes with increasing mowing counts, 655 but also in spatial patterns in regions with intensively managed grasslands, 656 e.g. the alpine foothills, where we would expect more than three mowing 657 events per year. This is presumably caused by the lack of grasslands mown 658 more than three times a year in our calibration data. Further, national scale 659 statistics (Figure 12) show a decrease in moving events and an increase in 660 grazing intensity from 2017 to 2018, potentially reflecting a confounding of 661 moving and grazing as found in de Vroey et al. (2021). Contrarily, count-662 ing local extrema or features in time series may overestimate moving, as 663 extrema or features may stem from several reasons, such as weather effects, 664 misclassification in cloud detection in satellite imagery (Lange et al., 2017), 665 flooding, fire and land management or conversion (Griffiths et al., 2020). Our 666 compositing approach eliminates short-term changes, such as weather effects 667 and misclassifications in cloud detection, in the input data. Consequently, 668 we assume a less pronounced effects of these factors on our results. However, 669 long-term changes, such as fire, flooding and land conversion, alter vegetation 670 vitality, structure and composition or remove vegetation completely and thus 671 alter the overall reflectance properties captured by our models. Further, the 672 compositing approach might also have negative impacts on our results as it 673 might blur certain management activities, leading to an underestimation of 674 management activities when their effect on vegetation diminishes quickly, e.g. 675

rapid regrowth after a mowing event. Estel et al. (2018) depicted mowing fre-676 quencies from MODIS data and did a quantitative validation by comparing 677 predictions to visually interpreted time series. They achieved an OA of 77% 678 and 80% in years 2009 and 2012, respectively. We found an OA of mowing 679 count prediction of 62% and 68% in 2017 and 2018, respectively. However, 680 OA comparison is limited due to different validation data, spatial resolution 681 of satellite data and climatic differences between years. Kolecka et al. (2018) 682 used Sentinel-2 data of 2017 to infer moving frequency in northern Switzer-683 land, although validating results with visually interpreted time series, and 684 achieved an OA of 79.7%. They found 27% of observed pixels not mown 685 in this fairly intensively managed area. We found 33% of grassland pixels 686 not mown in Germany in 2017, including extensively managed areas and 687 pastures. Taravat et al. (2019) used a field campaign to acquire validation 688 data and SAR data for the classification of mowing practices and achieved 689 an OA of 85.7%. The discrepancies between literature and our results may 690 be due to different approaches, regions, managements, climates and spatial 691 scales. Furthermore, we used *in situ* ground truth for validation and applied 692 the methodology to a diverse landscape. Here, we did not assess the tim-693 ing of moving events as it is not included in the LUI definition proposed by 694 Blüthgen et al. (2012). However, it is of high ecological relevance (Bernhardt-695 Römermann et al., 2011; Blüthgen et al., 2012; Franke et al., 2012) and may 696 be included in future studies improving remote sensing LUI products. 697

Grazing intensity is usually inferred by VI time series analysis. Validation data is commonly acquired in field campaigns. Li et al. (2016) depicted four grazing intensity classes by estimating above ground biomass from Landsat-8

images of a steppe region in China with 170 samples in 2014 and achieved 701 an OA of 57.65%. Conception and results compare well to our approach. 702 which achieved an OA of 59% and 66% in 2017 and 2018, respectively. Ma 703 et al. (2019) used MODIS images of 2016 and 2017 to relate vegetation 704 indices, above ground biomass and grazing intensity for grazing areas of 705 three herders in China. They found a power regression model of NDVI and 706 grazing intensity with an r^2 of around 0.56. Studies on the classification of 707 grazing intensity using more spectral information than given by vegetation 708 indices are rare and our results suggest potential for large scale applications. 709 However, the decrease in precision and recall of higher classes of grazing 710 intensities in our models suggest an underestimation of grazing intensity, 711 although patterns observed in regions (a)-(d) match our empirical knowledge. 712 The potential underestimation of grazing intensity and moving frequency is 713 substantiated by the probability of each pixel to belong to the class assigned, 714 which is mostly lower for grazing and mowing models than for the fertilisation 715 models (see Supplementary Material, Figure S.3 and S.4). 716

Although hyperspectral remote sensing is used to study plant nutrient 717 status and supply on crops (Cilia et al., 2014; Xia et al., 2016), the depiction 718 of grassland nutrient status or fertilisation from remote sensing data is seldom 719 addressed in literature (Pellissier et al., 2015; Sibanda et al., 2015; Hollberg 720 and Schellberg, 2017). However, Hollberg and Schellberg (2017) simulated 721 RapidEye data from ground-based hyperspectral data to subsequently differ-722 entiate five different fertiliser treatments in grasslands and achieved OA of up 723 to 91%. Sibanda et al. (2015) discriminated grasses under different fertilizer 724 treatments using ground-based hyperspectral data with an OA of 85%. Our 725

approach achieved an OA of 85% differentiating between fertilised and un-726 fertilised plots, supported by respective high model precision and recall and 727 an F1-score for the detection of fertilised plots of 72% in 2017 and 65% in 728 2018. Consequently, this demonstrates the feasibility differentiating between 729 fertilised and unfertilised plots by classifying optical satellite data in three 730 geographically distinctly separated regions varying in fertilisation intensity. 731 The combination of the national-extent extrapolation and the models' AOA 732 is a first step towards a large-scale grassland fertilisation assessment and con-733 sequently supports LUI depiction. Further research might include extended 734 calibration data to e.g. depict different levels of fertilisation. 735

Generally, the validation of LUI component models demonstrated the feasibility to quantify mowing frequency, grazing intensity and fertilisation with CNN with limitations stemming from calibration and validation data availability and from using a classification instead of a regression approach.

740 5.2. Variable importance analysis

The feature contribution analysis revealed reasonable functional relation-741 ships between LUI components and temporal (composite periods) as well as 742 spectral (satellite bands) predictors. Satellite images outside the vegetation 743 period have low impact on the predictions, whereas images at the begin-744 ning and end of the vegetation period are highly relevant. This highlights 745 the strong relationship of grassland management and land-surface phenol-746 ogy, e.g. a potentially stronger increase of plant growth due to fertilisation 747 in early spring or possibly multiple regrowth events due to moving in early 748 summer. However, satellite images of Germany in spring and autumn are 749 often affected by cloud contamination. This could influence our results, e.g. 750

leading to an underestimation of mowing events or grazing intensity, although
our compositing scheme was set-up to mitigate these effects. The inclusion of
SAR data could be beneficial by providing additional predictors unaffected
by cloud contamination.

Grazing intensity models' feature importance revealed a high relevance 755 of composite periods from April to June and medium importance of periods 756 in September to October, potentially reflecting farmers' livestock number 757 adjustments per site as fodder quantity in grasslands is highest during the 758 vegetation period and fodder quality peaks in spring and fall (Gilhaus and 759 Hölzel, 2016). Mowing is carried out during spring and summer months, 760 with first cuts in late spring (Griffiths et al., 2020). Feature contribution 761 of composite periods underpins this usage behavior: main feature contribu-762 tion stems from periods in April to May, followed by periods of August to 763 September. Fertilisation, usually done in late winter or spring, accelerates 764 plant growth at the beginning of the vegetation period. This is reflected in 765 the two highest feature contribution values of composite periods: April and 766 first half of May. 767

The spectral dimension of feature contributions showed a high relevance 768 of the SWIR, RED and NIR bands. SWIR bands are highly correlated with 769 vegetation structural parameters, such as dry vegetation masses or cover 770 fraction (Jacques et al., 2014; Jenal et al., 2021), average leaf angle (Jacque-771 moud et al., 2009) and leaf dry mass per area (LMA; Rossi et al., 2020). E.g., 772 they can be used to monitor forage mass in grasslands and semi-arid areas 773 (Jacques et al., 2014; Jenal et al., 2021). Further, SWIR bands contribute 774 significantly to estimations of leaf equivalent water thickness (Jacquemoud 775

et al., 2009) and leaf nitrogen (Pellissier et al., 2015; Ramoelo et al., 2015), and consequently plant vitality. RED and NIR bands are highly correlated with biophysical parameters related to vegetation vitality, such as chlorophyll and carotenoid content (Tucker, 1979; Gitelson et al., 2003), but also to vegetation structure and structural parameters such as biomass, LMA and LAI (Tucker, 1979; Jacquemoud et al., 2009; Rossi et al., 2020).

Changes in vegetation composition may not be visible within single bands, 782 as many plant species feature similar reflectance characteristics. However, 783 optical traits vary between different species compositions (Feilhauer et al., 784 2017). These differences may only be reliably detected by analysing whole 785 time series, accounting for temporal variations of optical traits (Feilhauer 786 et al., 2017; Gholizadeh et al., 2020). Contrarily, other management-induced 787 short-term changes might be obvious. Fertilisation is usually carried out to 788 influence plant nutrient status and to accelerate its growth, thus to increase 789 vegetation structure and vitality (Gibson, D. J., 2009; Sibanda et al., 2015; 790 Rossi et al., 2020). This is reflected in the high contribution of SWIR bands 791 to the fertilisation assessment. Other studies discriminating fertilizer appli-792 cation (Sibanda et al., 2015) or plant nutrient status (Pellissier et al., 2015; 793 Ramoelo et al., 2015) with remote sensing methods ranked red-edge or NIR 794 bands more important than SWIR bands, although the latter was still found 795 highly important. This discrepancy might stem from i) the mono-temporal 796 approach used in the given studies, ii) different study design as two of them 797 assessed plant nutrient status and not management, iii) different ecosystems 798 as Sibanda et al. (2015) and Ramoelo et al. (2015) analysed a South African 799 rangeland and iv) spectral composition as Sibanda et al. (2015) and Pellissier 800

et al. (2015) worked with hyperspectral data.

Grazing reduces vegetation biomass until regrowth occurs. Further, large 802 animals such as cattle may cause structural damage by trampling (Jantunen, 803 2003). Analogically, moving leads to short-term reductions of vegetation 804 biomass. This is reflected in the high contribution of SWIR and red-edge 805 bands to the grazing intensity and mowing count models. Other studies as-806 sessing grazing intensity (Ma et al., 2019) and moving count (Estel et al., 807 2018; Kolecka et al., 2018; Griffiths et al., 2020) commonly use the NDVI 808 and thus red-edge and NIR bands. Li et al. (2016) assessed grazing in-809 tensity by estimating above ground biomass with artifical neural networks 810 using Landsat-8 images. These images include also red-edge, NIR and SWIR 811 bands. However, Li et al. (2016) does not give any variable importance mea-812 sures. 813

Although grazing intensity and mowing models show fairly similar ab-814 solute values of feature contribution, the sign of their contribution may be 815 contradictory: often mown grasslands are mainly used for fodder production, 816 whereas fields with livestock are mown less frequently to facilitate grazing. 817 This effect is visible in Figure 10. Results of Dusseux et al. (2014) sup-818 port this thesis, since they could differentiate grazing and cutting with 80%819 accuracy, suggesting major differences in the spectral signature of these man-820 agement practices. 821

The variable importance analysis supports the underlying assumption that management practices change vegetation reflectance characteristics mainly by modifying the vegetation composition, structure and vitality. Generally, the variable importance analysis demonstrates the CNN's ability to recognize spectral and temporal patterns related to grassland management.

827 5.3. Spatial transferability

We evaluated the spatial transferability by using two different approaches: 828 a spatial 3-fold CV and the delineation of the methodology's AOA. The spa-829 tial 3-fold CV revealed the value of each of the three observatories for the 830 training of our models. While removing one of the observatories from the 831 training set, one or the other model's accuracy decreased substantially. Thus, 832 the low number of training areas affects the models' robustness, as machine 833 learning algorithms usually require large diverse training datasets when ap-834 plied to large scale remote sensing data (Blatchford et al., 2021). This also 835 refers to the temporal dimension, as the accuracy loss for each fold varies 836 between years. We can conclude that the data available to this study was 837 sufficient to demonstrate the feasibility of the approach, but the national-838 extent application may be limited by the methodology's sensitivity to the 839 selection and number of training areas. Further, the sampling strategy for 840 the division of data into training and validation sets is crucial. Thus, results 841 should be carefully examined and interpreted. We tried to cover different 842 climates and management practices by using the most comprehensive grass-843 land management data available to science in Germany and by sampling 844 with a method preserving the high natural variance of environmental data. 845 However, the grassland plots used here are equipped with long-term environ-846 mental monitoring units and several manipulative experiments are carried 847 out within some of these plots. Although the intruments and the spatial ex-848 tent of experiments cover only a fraction of a Sentinel-2 pixel (Fischer et al., 849 2010), they potentially influence our models and the transferability to other 850

⁸⁵¹ regions.

The 3-fold CV reveals a high variance in OA^{*} and a conspicuously high 852 accuracy loss when applying grazing intensity models trained on ALB and 853 HAI on SCH. We also observe a lower predictive power of $LUI_{region}^{satellite}$ in SCH 854 (see Figure 5) and a higher RMSE than in ALB and HAI (see Table 7). 855 Contrarily, MedAE in SCH are low. Further, OA of component models per 856 region (see Supplementary Material, Figure S.2) are in line with OA shown 857 in Figure 4. Consequently, the r^2 is not related to an inferior LUI component 858 prediction, but may be related to outliers in the prediction of $LUI_{region}^{satellite}$. Vi-859 sual inspection of individual results reveals an overestimation of $LUI_{region}^{satellite}$ 860 for fertilised pixels in this region. The equation proposed by Blüthgen et al. 861 (2012) reacts highly sensible to the low overall number of fertilised fields (see 862 Table 1) as the regional mean of fertilisation is used as denominator in the 863 fertilisation term. This effect causes extremely high values in the $LUI_{region}^{satellite}$ 864 estimate for a low number of pixels with false positives in fertilisation classifi-865 cation, subsequently hampering the validation. Blüthgen et al. (2012) found 866 a similar issue when studying LUI on ground level: they found no significant 867 relationship between LUI_{region}^{ground} and their five response variables (nitrogen 868 indicator, nitrogen and phosphorus in plant biomass, soil phosphorus and 869 soil C/N ratio) in SCH and assume a relation to low plant diversity and 870 drainage effects of peat soils. This is supported by results of Socher et al. 871 (2013), suggesting the explanation of regional differences in the relationship 872 of land-use and plant species diversity by soil types. 873

The extrapolation of machine learning algorithms is usually limited by only being applicable within the feature space defined by the training data.

Consequently, we delineated the methodology's AOA accounting for the fea-876 ture space given by the training data and the models' feature importances. 877 Analysis of the AOA show ample differences between 2017 and 2018, po-878 tentially related to the increasing Sentinel-2 data availability. The number 879 of pixels outside AOA differs substantially between regions (a)-(d) and vi-880 sual inspections reveal spatial patterns potentially reflecting fields or parcels. 881 This points towards regional differences in land management practices, abi-882 otic conditions or vegetation compositions not reflected by our training data. 883 However, we see no obvious relation between areas outside the AOA and 884 distance to calibration plots, suggesting a comparably stronger influence of 885 different land management practices than abiotic conditions on the models' 886 AOA. Further research is needed to reveal the major drivers of model applica-887 bility. The AOA maps are valuable tools to determine areas where additional 888 training data is required and to chose regions for data acquisition campaigns. 889 Our products would benefit from data from e.g. i) north-western Germany 890 in vicinity of the Northern Sea and ii) the Alpine Foothills in southern Ger-891 many by increasing the feature space of the training data. Despite providing 892 the AOA maps, we cannot answer our third research question entirely. 893

⁸⁹⁴ 5.4. National extent estimation of land-use intensity

Our nation-wide LUI estimates, grazing intensity and mowing event depictions show large differences between 2017 and 2018, but only minor differences in fertilisation (see Figure 12). This effect is also visible in the differences in OA of land-use component models between 2017 and 2018: whereas grazing intensity and mowing model OA increased and their transferability decreased in 2018, fertilisation model OA is almost unaffected. This suggests

a climatic influence on recognising mowing numbers and grazing intensity. 901 In 2018, Germany was effected by a drought, hampering the growth of grass 902 species and thus potentially impacting the yield of grasslands, the number of 903 mowing events (see Table 1) and grazing intensity. Fertilisation of grasslands 904 is prohibited in Germany until January 31 (German fertiliser regulation, § 905 6 VIII DüV) and thus usually carried out in late winter and spring. Con-906 sequently, the impact on vegetation is potentially most pronounced at the 907 start of the vegetation period, reflected in our models by a high relevance of 908 springtime satellite imagery, and droughts during the summer months may 909 have less impact on fertilisation models than on moving or grazing intensity 910 models. 911

Our four demonstration regions (see Figure 9a-d) exhibit different man-912 agement regimes. They revealed lowest $LUI_{Germany}^{satellite}$ in region (d), covering 913 parts of the low-intensity nature reserve Ohre-Drömling (Figure 9b; Unte-914 necker et al., 2016), and highest $LUI_{Germany}^{satellite}$ in region (c), covering parts of 915 the transition areas of the biosphere reserve Rhön, and (d), in Oberallgäu 916 (see Figure 11c-d). For the former an increase in fertilisation and moving in-917 tensity was reported (Jedicke, 2013), whereas in the latter intensive grassland 918 management is common (Haas et al., 2001). In Figure 10 we could clearly 919 identify livestock dominated as well as moving-oriented regions with different 920 fertilisation patterns. The maps reveal a high small scale variance, especially 921 9a and 9b. Visual inspection of both regions, using satellite imagery from 922 Google Earth (map data from (c) Google 2021 and (c) GeoBasis-DE / BKG 923 2021) and field parcel geometry from the Integrated Administration and Con-924 trol System (IACS) of the EU provided by the respective federal state, reveals 925

field sizes below 10 ha in (a) and up to 90 ha in (b), containing up to 250 and 926 2250 Sentinel-2 pixels with 20 $m \ge 20$ m resolution, respectively. Hence, the 927 detected variances within 10 x 10 pixels are within common field sizes found 928 in these regions and may be related to features within fields, potentially 929 indicating piece-wise management practices. We witnessed these practices 930 in the Biodiversity Exploratories datasets occasionally referring to meadows 931 fractionally managed, as well as in our preceding field work on meadows 932 and pastures in the context of the terrestrial environmental observatories 933 (TERENO; Wollschläger et al., 2016). We found it challenging to work with 934 such ground truth data containing piece-wise management practices, as it 935 is often recorded only on field level and not on the level of pieces actually 936 managed, resulting in discrepancies between high resolution satellite obser-937 vations within the field and recorded management practice. Further, data 938 availability, especially cloud cover in the satellite data, may cause such het-930 erogeneities. We expect a less pronounced effect from cloud cover, especially 940 in 2018 where data availability was high, as we i) discarded satellite observa-941 tions not taken under clear sky conditions, ii) applied a broad compositing 942 scheme and iii) filled the remaining gaps by linear interpolation, assuming 943 that changes between two composites occur gradually. These remaining gaps 944 are mainly situated in winter time (see Supplementary Material, Figure S.1 945 and Table S.1) where features contribution is low (see Figure 6). However, an 946 effect of cloud cover cannot be ruled out completely. The highly diverse na-947 ture of grassland management demands for future studies extending ground 948 truth data and analysing the generated map in detail. 949

950 6. Conclusion & Outlook

We presented a framework enabling large-scale LUI mapping and verified 951 its feasibility in three observatories across Germany. The products gener-952 ated (www.ufz.de/land-use-intensity; Lange et al., 2021), namely national 953 scale maps of mowing frequency, grazing intensity, fertilisation and a LUI 954 quantification, may contribute to the timely endeavour of continuous grass-955 land monitoring and to the scientifically valuable assessment of interactions 956 of grassland management with biodiversity, hydrology and climate change. 957 Thus, they support decision making in land management and conservation. 958 However, the scarcity of validation data is a challenging obstacle in grassland 950 remote sensing. We found a high sensitivity of our models to the selection 960 of calibration areas and a resulting potential underestimation of mowing fre-961 quency and grazing intensity. Studies on grassland LUI would greatly benefit 962 from ground truth data from different regions with varying climates, vegeta-963 tion, soil and topography. Inter-annual model predictions may be used to fill 964 data gaps in studies relying on LUI data, such as hydro- and ecological mod-965 els, by training the machine learning algorithm on one or more observation 966 years and applying it to years or regions where ground data is missing. This 967 would facilitate large-scale applications by increasing the pool of available 968 data. First tests of temporal transferability were promising, but a detailed 969 analysis can only be done with longer time series and thus more observa-970 tion years. Further, implementing discrete regression or ordinal classification 971 approaches into current machine learning frameworks would allow, together 972 with an increased amount of spatially and temporally explicit ground truth 973 data, for a more detailed analysis of LUI components and would improve 974

the presented methodology. Spatial resolution of satellite imagery is another 975 issue in grassland remote sensing. Parcels are often small or managed piece-976 wise, thus signals are blurred when pixels cover more than one piece. Im-977 provements in positional accuracy, data fusion of different sensors and higher 978 spatial resolution of upcoming satellite missions may solve this issue. Last, 979 but not least, the differentiation between climate impacts, e.g. droughts, 980 and management changes remains challenging and could be topic of future 981 scientific studies. Combining the products of this study, especially the in-982 tegrative LUI index generalized across land-use types and unprecedented in 983 spatial extent, with auxiliary climatic data may reveal knowledge about the 984 interactions of land-use, environment and climate. 985

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1020 Description of author's responsibilities

All authors contributed significantly to the work presented in this paper. Maximilian Lange contributed to study design, drafted the manuscript, acquired and processed the data and performed the analysis. Hannes Feilhauer
and Ingolf Kühn contributed to data analysis and reviewed the manuscript.
Daniel Doktor designed the present study and reviewed the manuscript. All
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List of Figure Captions

Figure 1: Location of study areas in Germany. Calibration and validation plots from the DFG Biodiversity Exploratories are marked with circles. We are not allowed to publish exact parcel positions due to privacy policies. Exact positions of the four demonstration regions chosen for visual and statistical interpretation are given as red boxes. Background colors show simplified land cover information. State borders are shown as black lines (© GeoBasis-DE / Bundesamt für Kartographie und Geodäsie, 2017).

Figure 2: Normalised difference vegetation index (NDVI) time series of 2018 of center pixels in six plots (a-f) within the Biodiversity Exploratories. Pastures (b, d, f) are and meadows (a, c, e) are not grazed.

Figure 3: CNN structure: The input was reshaped such that 16 composite periods and nine bands per Sentinel-2 pixel serve as input for the net, which consists of two convolutional layers, with 64 and 128 filters of kernel-size three and valid padding, respectively, a batch normalisation layer in between, a (max-)pooling layer of size two and a subsequent dense neural network with an input layer consisting of 768 neurons with a rectified linear unit (ReLU) activation function, a dropout layer with a dropout rate of 0.25 and an output layer with a softmax activation function and as many neurons as the number of classes in the target variable. Figure 4: Overall accuracies of 100 runs with CNN, shown as blue boxes, and RF models, shown as green boxes, with whiskers extending to the full range of accuracies. The models were trained and validated with grazing, mowing or fertilisation data of the years 2017 and 2018 (70% training, 30% validation).

Figure 5: Squared Pearson correlation coefficients (r^2) between LUI_{region}^{ground} and $LUI_{region}^{satellite}$ based on classifications of CNN, shown as blue bars, and RF models, shown as green bars, and using data of each observatory (ALB: *Schwäbische Alb*, HAI: *Hainich* and SCH: *Schorfheide*), as well as the union of all observatories (*Overall*). All correlations are significant $(p < 10^{-20})$.

Figure 6: Feature importance: Summary of CNN feature contribution on predictions using data from 2017 (left) and 2018 (right) generated by using the mean absolute SHAP values of features grouped by satellite band (first and third column) or composite period (second and fourth column) for each variable (by row from top to bottom). Mean absolute feature contribution is shown on the ordinate, the abscissa shows the respective bands and composite periods.

Figure 7: Spatial 3-fold cross-validation: CNN models, 100 per fold, were trained and validated on different spatial subsets for the observation years 2017 (blue) and 2018 (green). Training was done with data from two regions, e.g. *Schwäbische Alb* (ALB) and *Hainich* (HAI), and validation with the remaining third region, e.g. *Schorfheide* (SCH). Boxplots show the OA^* of the resulting 100 models per fold. The bars show the accuracy loss (or, in one case, gain) of the CV model with the highest OA^* compared to the highest OA of the models applied on data of all observatories.

Figure 8: The maps of the four regions (a)-(d) show land cover information with colours respective to the bottom-right legend (\bigcirc GeoBasis-DE / Bundesamt für Kartographie und Geodäsie, 2015), whereas grassland pixels are overlaid by AOA_{LUI} of 2018, with areas inside AOA_{LUI} in green and areas outside AOA_{LUI} in magenta colours.

Figure 9: The maps of the four regions (a)-(d) show land cover information with colours respective to the bottom-right legend (\bigcirc GeoBasis-DE / Bundesamt für Kartographie und Geodäsie, 2015), whereas grassland pixels are overlaid by their $LUI_{Germany}^{satellite}$ of 2018 with colours ranging from green (extensive use) to magenta (intensive use).

Figure 10: Subsets of national extent maps: (a)-(d) grazing classes, mowing counts, fertilisation and $LUI_{Germany}^{satellite}$, respectively, of 2018 for an area of 10 km x 10 km in the district Oberallgäu (see Figure 9d) in the federal state Bavaria. All non-grassland pixels use colours respective to the legend of background values in the right. Grazing classes range from zero (low grazing intensity, green) to three (high grazing intensity, magenta) and mowing counts from zero (green) to four (magenta). Fertilisation (no/yes) is indicated in green and light magenta, respectively. $LUI_{Germany}^{satellite}$ values are aggregated into five classes with colours ranging from green (extensive use) to magenta (intensive use). Map (e) displays the digital elevation model of the region based on NASA's Shuttle Radar Topographic Mission (SRTM; Jarvis et al., 2008) and highlights specific locations.

Figure 11: $LUI_{Germany}^{satellite}$ and its components (grazing class, mowing count and fertilisation) within the AOA for 2018 in grasslands in the four demonstration regions (a)-(d).

Figure 12: $LUI_{Germany}^{satellite}$ and its components (grazing class, mowing count and fertilisation) for 2017 and 2018 in grasslands of Germany within the AOA.