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Numerical investigation on the capacity and efficiency of a deep enhanced U-tube borehole heat exchanger system for building heating

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Abstract

Deep geothermal energy has become widely exploited in recent years through the use of closed loop systems for building heating. Intended to meet high heating demand in densely populated neighbourhoods, an enhanced U-tube borehole heat exchanger (EUBHE) system, in which a deviated deep borehole is connected with another vertical one to form a closed loop, is introduced in this work. For capacity and efficiency analysis of applying EUBHE systems to extract deep geothermal energy, a 3D numerical model is implemented and established based on the OpenGeoSys software. Through evaluation by thermal performance tests and thermal response tests on the EUBHE system, the maximum sustainable heat extraction rate is found to be 1.2 MW in a single heating season and 1.1 MW in 10 years, which can provide heating to more than 35 000 m² of residential buildings located in

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northern China. Moreover, the 10-year system thermal performance and efficiency are evaluated when coupled with a ground source heat pump (GSHP), and compared with the two deep borehole heat exchanger (2-DBHE) array system that has the same total borehole length as the EUBHE system. Results show that GSHP-coupled EUBHE system is more efficient than the 2-DBHE array system, as it consumes 27 % less electricity.

Keywords: Geothermal energy, Building heating, Enhanced U-tube borehole heat exchanger, Long-term thermal performance, Efficiency

1

2 **Nomenclature**

3 **Roman letters**

4	A	pipe cross section area (m^2)
5	c	specific heat capacity ($\text{J kg}^{-1} \text{K}^{-1}$)
6	d	pipe diameter (m)
7	D_h	hydraulic diameter of pipe (m)
8	f	friction factor (-)
9	H	heat source/sink (W m^{-3})
10	\mathbf{I}	identity matrix (-)
11	L	length of the borehole (m)
12	Nu	Nusselt number (-)
13	P	heat extraction rate or thermal power (W)
14	Pr	Prandtl number (-)
15	Q	flow rate ($\text{m}^3 \text{s}^{-1}$)
16	q_{nTs}	normal heat flux of soil/rock (W m^{-2})
17	r	pipe radius (m)
18	Re	Reynolds number (-)

19	T	temperature ($^{\circ}\text{C}$)
20	t	time (s)
21	t_p	wall thickness of pipe (m)
22	U	overall heat transfer coefficient ($\text{W m}^{-2} \text{K}^{-1}$)
23	v	flow velocity (m s^{-1})
24	\dot{W}	electric power (W)
25	W	electricity consumption (kWh)
26	z	depth (m)
27	Greek Letters	
28	β_L	longitudinal heat dispersivity coefficient (m)
29	ϵ	soil/rock porosity (-)
30	Λ	tensor of thermal hydrodynamic dispersion ($\text{W m}^{-1} \text{K}^{-1}$)
31	η	efficiency of circulation pump (%)
32	Γ	boundary
33	λ	thermal conductivity ($\text{W m}^{-1} \text{K}^{-1}$)
34	μ	dynamic viscosity of circulation fluid (Pa s)
35	Φ	thermal resistance ($\text{W m}^{-1} \text{K}^{-1}$)
36	ρ	density (kg m^{-3})
37	Operators	
38	Δ	difference operator
39	\int	integral operator
40	∇	nabla vector operator
41	Subscripts	
42	b	borehole

43	cp	circulation pump
44	f	circulation fluid
45	g	grout
46	hp	heat pump
47	p	pipe
48	s	soil/rock
49	w	groundwater

50 Abbreviations

51	BHE	Borehole Heat Exchanger
52	CSP	Coefficient of System Performance
53	DBHE	Deep Borehole Heat Exchanger
54	EUBHE	Enhanced U-tube Borehole Heat Exchanger
55	GSHP	Ground Source Heat Pump
56	TPT	Thermal Performance Test
57	TRT	Thermal Response Test

58 1. Introduction

59 As a renewable and clean resource, geothermal energy has been increas-
60 ingly used for building heating in closed loop systems. In projects over
61 30 kW, ground source heat pumps (GSHP) are often coupled with a bore-
62 hole heat exchanger (BHE) array to extract a large amount of heat from
63 the shallow subsurface [1]. Recent researches have been conducted on the
64 design optimisation [2] and long-term sustainability [3] of these shallow sys-
65 tems. Nevertheless, in densely populated urban areas, there is limited land
66 available for the installation of many BHEs. In this case, deep borehole heat
67 exchangers (DBHE) with a depth of more than 2 km can be constructed to
68 provide heat to commercial buildings [4] and residential neighbourhoods [5].
69 Due to limited sustainable heat extraction rate of a single DBHE [6], it is
70 still hard to meet heating demand for a densely populated neighbourhood,

71 especially in northern China [7]. Therefore, engineers tend to construct mul-
72 tiple DBHEs that are connected by a pipe network to provide heating for
73 newly-developed building projects [8]. However, only increasing the number
74 of DBHEs might be not the best method to extract more deep geothermal
75 energy for building heating because of structural limitations of DBHEs for
76 heat exploitation [9].

77 Given the recent low oil prices and the resulting over-capacity in ad-
78 vanced drilling market at the same time, a new design for deep geothermal
79 energy exploitation is thus proposed called an Enhanced U-tube Borehole
80 Heat Exchange (EUBHE) system (see Fig. 1). Its design typically includes
81 two deep boreholes to a depth of more than 2 km, with one deviated bore-
82 hole connected with another vertical one at the bottom. Casing is installed
83 in both boreholes and the entire borehole wall is cemented, forming a closed
84 loop to extract heat from the deep subsurface.

85 To our knowledge, the idea of EUBHE design is not entirely new. For
86 example, Schulz [10] has investigated the closed loop geothermal system
87 (CLGS), which is a kind of large EUBHE system with long horizontal bore-
88 holes. Li et al. [11] established a 3D numerical model of a deep-buried pipe
89 and studied its heat transfer, extraction, and storage capacity. Meanwhile, a
90 similar idea has also been proposed in the geothermal industry by the com-
91 pany Eavor. They introduced a closed buried-pipe system (Eavor-LoopTM)
92 that is formed by the connection of two vertical wells with many horizontal
93 multilateral wellbores [12].

94 Such EUBHE systems and similar concepts do have advantages over
95 DBHE array systems. Firstly, by connecting two deep boreholes at the
96 bottom, the effective heat exchange area can be significantly expanded, par-
97 ticularly at the deep high-temperature section. Secondly, elimination of the
98 coaxial pipe not only leads to less pressure drop of fluid circulating [13], it
99 also increases the system thermal efficiency by removing the internal heat
100 loss due to inner pipe [14]. However, because of increasing drilling complex-
101 ity and elevated investment in constructing one EUBHE system compared
102 with a 2-DBHE array system, several scientific and engineering questions
103 have to be addressed before the new design can be applied in reality. For
104 example, how much heat can be extracted via a typical EUBHE system?
105 How does the thermal performance of a EUBHE compare with a 2-DBHE
106 array for building heating? Will the operational cost of the EUBHE system
107 be higher? For the building heating industry in any other potential loca-
108 tions, investigations on these questions are very much needed. To answer
109 the above questions, an EUBHE model needs to be precisely established, in
110 which the complicated borehole geometry and geothermal gradient of the

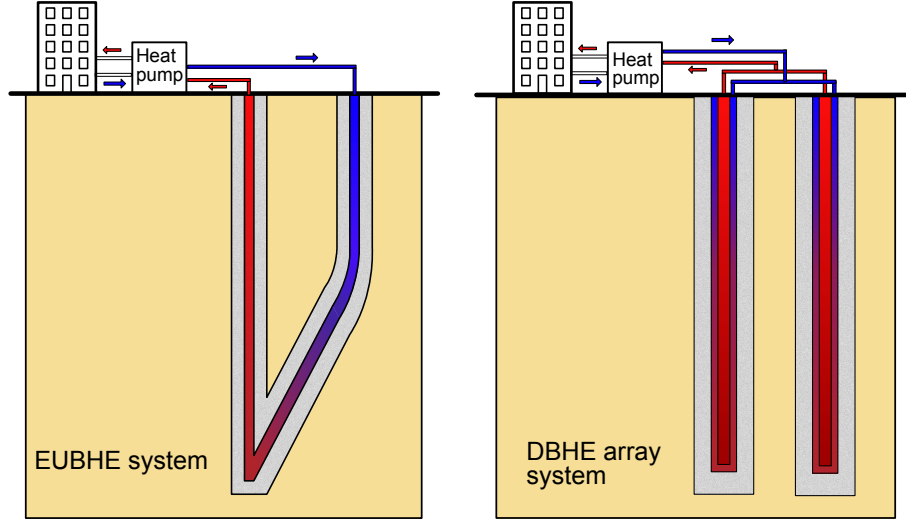


Figure 1: Structural overview of an EUBHE system and a 2-DBHE array system.

surrounding soil/rock have to be reflected.

Essentially, the thermal performance of an EUBHE system is controlled by the heat transport process between the borehole and surrounding soil/rock. Significant advances have already been achieved in the literature to analytically quantify this process. Starting from the pioneering work of Ramey Jr et al. [15], the temperature of fluid in the borehole can be calculated as a function of borehole depth and circulation time. Subsequently, Hasan et al. [16] advanced Ramey's model by considering the changing heat flux at the borehole-subsurface interface. Moreover, in order to estimate the soil/rock temperature, Eskilson [17] presented a line source model for calculating the soil temperature distribution induced by the borehole heat exchangers. Dehghan and Kukrer [18] also derived the 1D analytical expression for the specific heat transfer rate of a borehole. By coupling the heat transfer equations in the soil/rock and the borehole compartments, Beier et al. [19, 20] developed a transient model for the thermal response test on the U-tube and coaxial types of borehole heat exchangers. These analytical solutions can conveniently and efficiently calculate different types of BHEs. However, in an EUBHE system, the U-shaped borehole is surrounded by the soil/rock with non-linearly distributed temperature along the flowing direction. There is no analytical solution available to our best knowledge which is capable of predicting the transient temperature evolution caused by an EUBHE system.

133 Alternatively, for the large buried pipe and BHE system with distributed
 134 soil/rock temperature, many researchers investigated the system perfor-
 135 mance and efficiency by employing sophisticated numerical models. For
 136 example, Song et al. [21] numerically analysed the heat production perfor-
 137 mance of the CLGS based on the FDM model. Cui et al. [22] investigated
 138 the heat extraction rate of a horizontal well buried in hot dry rock forma-
 139 tions and assessed its technical and economic feasibility. Li et al. [23] set
 140 up a fully discretized 3D model to study the heat transfer characteristics
 141 of the vertical deep-buried U-bend pipe. In long-term operation, Tang and
 142 Nowamooz [24] set up a 3D numerical model of the shallow BHE system
 143 and estimated its performance over five years. Larwa and Kupiec [25] stud-
 144 ied the long-term effects of a horizontal ground heat exchanger operation.
 145 Focusing on the DBHE system, Renaud et al. [26] investigated the thermal
 146 influence and heat recovery in 30 years of production. Chen et al. [6] and
 147 Kong et al. [27] simulated the temperature evolution of inflow and outflow
 148 over the operation of 30 years by applying dual-continuum approach that
 149 was originally proposed by Al-Khoury et al. [28] and extended by Diersch
 150 et al. [29, 30].

151 Although both large buried pipe systems and DBHE systems can al-
 152 ready be efficiently simulated by advanced numerical models, to the best of
 153 our knowledge, few studies evaluated the sustainable heat extraction rate of
 154 the newly proposed EUBHE system for building heating and compared the
 155 system efficiency with the DBHE array system in long-term operation for a
 156 design reference. In order to reveal the capacity and efficiency of applying
 157 EUBHE systems to extract deep geothermal energy for building heating, a
 158 3D EUBHE numerical model was implemented based on the open-source
 159 scientific software OpenGeoSys (OGS), then verified against the analytical
 160 solution [15]. The maximum sustainable heat extraction rates of the EUBHE
 161 system in northern China in short and long terms were evaluated through
 162 conjoint analysis on Thermal Performance Test (TPT) and Thermal Re-
 163 sponse Test (TRT). Subsequently, 10-year thermal performance and system
 164 efficiency were predicted and compared with the 2-DBHE array system that
 165 has the same total borehole length as the EUBHE system. The benefits
 166 of applying the EUBHE system for building heating was also evaluated by
 167 10-year simulations when coupled with GSHP.

168 **2. Theoretical framework**

169 The numerical model of the EUBHE system is constructed as shown in
 170 Fig. 2: the circulation fluid flows through the pipe, which is surrounded by

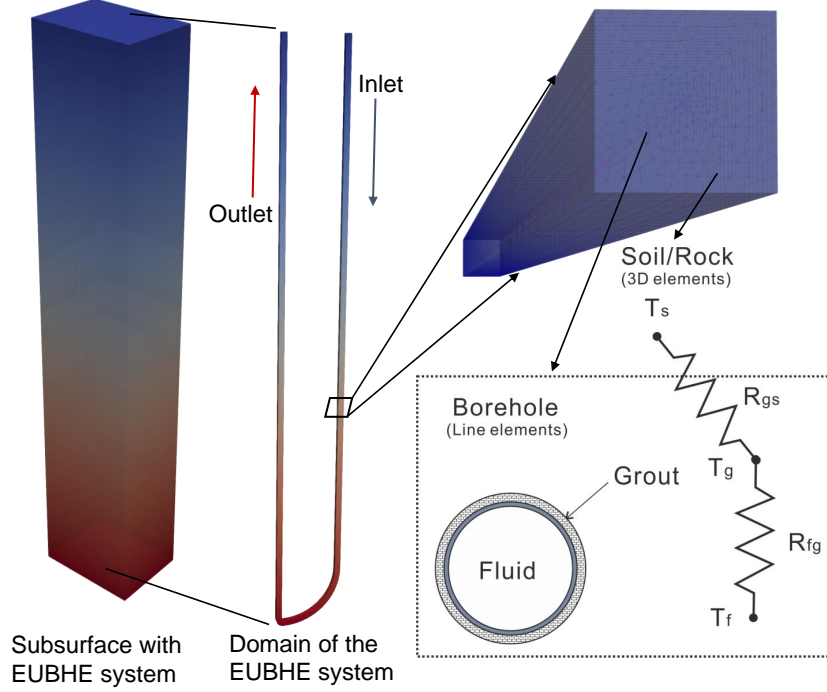


Figure 2: The numerical model of an EUBHE system in OGS.

171 grout and encapsulated inside the borehole. This allows fluid to exchange
 172 heat energy with the surrounding soil/rock. Following the dual-continuum
 173 approach [31], the OpenGeoSys (OGS) software [32, 33] divides the subsur-
 174 face into two coupled compartments, which are the soil/rock part and the
 175 borehole part. Typically, 3D elements are used to discretize the soil/rock
 176 part, and line elements are introduced to represent the pipe and grout in-
 177 side of the borehole. There are three governing equations in the borehole
 178 heat transport process, which correspond to the heat balance in each one
 179 of the compartments. With the soil/rock specific heat capacity c_s , soil/rock
 180 density ρ_s and soil/rock porosity ϵ , the soil/rock temperature evolution T_s
 181 is determined by the following governing equation considering both the heat
 182 convection and conduction,

$$[\epsilon\rho_w c_w + (1 - \epsilon)\rho_s c_s] \frac{\partial T_s}{\partial t} + \nabla \cdot (\rho_w c_w \mathbf{v}_w T_s) - \nabla \cdot (\Lambda_s \cdot \nabla T_s) = H_s, \quad (1)$$

183 where c_w , ρ_w , and \mathbf{v}_w refer to the specific heat capacity, density, and ve-
 184 locity of groundwater, respectively. Λ_s denotes the tensor of thermal hy-

185 hydrodynamic dispersion and H_s represents the heat source and sink terms.
 186 The heat flux between soil/rock and borehole is then given by the following
 187 equation,

$$q_{nT_s} = -\Phi_{gs} (T_g - T_s) \text{ on } \Gamma_s, \quad (2)$$

188 where Γ_s is the boundary between soil/rock and borehole, Φ_{gs} is the thermal
 189 resistance between soil/rock part and grout component inside the borehole,
 190 and T_g is the grout temperature inside the borehole.

191 For the grout compartment surrounding the pipe, the heat transport
 192 process is assumed to be dominated by the heat conduction,

$$(1 - \epsilon_g) \rho_g c_g \frac{\partial T_g}{\partial t} - \nabla \cdot [(1 - \epsilon_g) \lambda_g \cdot \nabla T_g] = H_g \quad (3)$$

with Robin type of BC :

$$q_{nT_g} = -\Phi_{gs} (T_s - T_g) - \Phi_{fg} (T_f - T_g) \text{ on } \Gamma_g. \quad (4)$$

193 The heat exchange term Φ_{fg} is the thermal resistance between circulation
 194 fluid (T_f) and grout (T_g). Detailed calculation of heat exchange coefficients
 195 (Φ_{fg} and Φ_{gs}) can be found in Diersch et al. [29] and [31].

196 For the pipe compartment, the heat transport process is mainly domi-
 197 nated by the thermal convection of the circulation fluid f with a flow velocity
 198 of \mathbf{v}_f ,

$$\rho_f c_f \frac{\partial T_f}{\partial t} + \rho_f c_f \mathbf{v}_f \cdot \nabla T_f - \nabla \cdot (\Lambda_f \cdot \nabla T_f) = H_f \quad (5)$$

with Robin type of BC :

$$q_{nT_f} = -\Phi_{fg} (T_g - T_f) \text{ on } \Gamma_f, \quad (6)$$

199 in which the hydrodynamic thermal dispersion tensor can be written as,

$$\Lambda_f = (\lambda_f + \rho_f c_f \beta_L \|\mathbf{v}_f\|) \mathbf{I} \quad (7)$$

200 where λ_f , ρ_f , c_f denote the heat conductivity, density, and specific heat
 201 capacity of the circulation fluid. In the above equation, β_L refers to the
 202 longitudinal heat dispersivity coefficient, and \mathbf{I} is the identity matrix.

203 In the OpenGeoSys software, a dual-continuum approach has been suc-
 204 cessfully applied to solve single-U (1U), double-U (2U), and coaxial (CXA
 205 and CXC) types of BHEs (Hein et al. [34]; Chen et al. [6]; Chen et al. [35]).
 206 Also, for CXA type of the DBHE, OpenGeoSys model has been successfully
 207 validated against monitoring data (Huang et al. [36]). For the borehole heat
 208 transport process of the EUBHE design presented in this work, a new BHE
 209 type has been further implemented, in which the governing equations (1),
 210 (3), and (5) are linked together and solved in an implicit manner.

211 3. Numerical simulations

212 3.1. Model Verification

213 In order to verify the borehole heat transport process implemented in
 214 OpenGeoSys, a benchmark is simulated and the numerical result is com-
 215 pared against the analytical solution proposed by Ramey Jr et al. [15]. In
 216 this benchmark, a 30 m long pipeline (see Table 1 for its material properties)
 217 is horizontally placed in the subsurface (see Fig. 3(a)), with circulation fluid
 218 transported inside at a velocity of 0.0038 m/s. Detailed input parameters
 219 required by the benchmark are listed in Table 1. The inlet circulation fluid
 220 temperature is kept at 20 °C, and the surrounding soil/rock has an initial
 221 temperature of 55 °C. Due to the lower temperature of the injected circula-
 222 tion fluid, the surrounding soil/rock is gradually cooled down. Particularly,
 223 in order to illustrate in detail the impact of initial conditions in the numer-
 224 ical model, the initial circulation fluid and grout temperatures are set at 20
 225 and 55 °C, respectively. The calculation of the Ramey’s analytical solution
 226 for this benchmark is described in Appendix A. For detailed configura-
 227 tion of the numerical model for the benchmark, readers may refer to the
 228 OpenGeoSys online documentation [37].

Table 1: Detailed parameters set in the benchmark.

Parameter	Symbol	Value	Unit
Borehole diameter	d_b	0.28	m
Internal diameter of pipe	d_p	0.25826	m
Wall thickness of pipe	t_p	0.00587	m
Thermal conductivity of pipe wall	λ_p	1.3	W m ⁻¹ K ⁻¹
Soil/rock thermal conductivity	λ_s	2.78	W m ⁻¹ K ⁻¹
Heat capacity of soil/rock	$\rho_s c_s$	3.2×10^6	J m ⁻³ K ⁻¹
Thermal conductivity of grout	λ_g	0.73	W m ⁻¹ K ⁻¹
Heat capacity of grout	$\rho_g c_g$	3.8×10^6	J m ⁻³ K ⁻¹
Thermal conductivity of circulation fluid	λ_f	0.59	W m ⁻¹ K ⁻¹
Heat capacity of circulation fluid	$\rho_f c_f$	4.19×10^6	J m ⁻³ K ⁻¹
Dynamic viscosity of circulation fluid	μ_f	1.14×10^{-3}	kg m ⁻¹ s ⁻¹

229 The simulated evolution of the outlet circulation fluid temperature over
 230 time is compared against that from the analytical solution (see Fig. 3).
 231 The main difference is concentrated at the beginning stage of the simula-
 232 tion, when the outlet circulation fluid temperature is affected by the initial
 233 temperature of the pipe inside the borehole and grout heat capacity in the

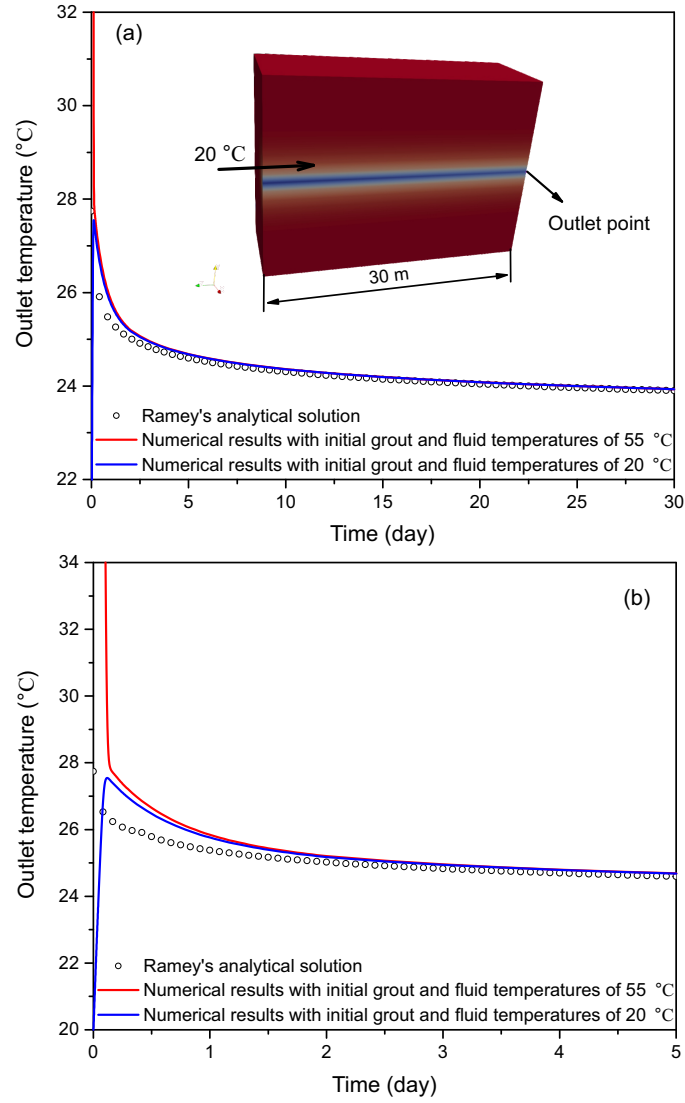


Figure 3: Change of the simulated outlet fluid temperature over (a) 30 days and (b) the first 5 days, in comparison against Ramey's analytical solution. The blue and red lines represent the numerical results with initial circulation fluid and grout temperatures of 20 °C and 55 °C, respectively. The circles are results of Ramey's analytical solution.

numerical model. Those are two differences between the numerical model and Ramey's analytical solution. Due to the initial condition of the circulation fluid and grout in the numerical model, the initial circulation fluid in the pipe takes 7894.74 seconds (a little less than 0.1 days) to be drained, while there is no such process in Ramey's analytical solution. In the analytical solution, circulation fluid temperature in the pipe is calculated to be in equilibrium with the surrounding soil/rock. It can be observed from Fig. 3(b) that due to around 0.1 days' delay in the numerical results, the numerical results are effectively shifted forward temporally (see the red line). In addition, because of consideration of the grout heat conduction in the numerical model (Eq. (3)), the circulation fluid temperature decreases more slowly than that in the analytical solution (see Appendix A). The above two differences make the numerical outlet temperatures consistently higher than those in the analytical solution in the beginning as presented in Fig. 3. However, when the simulated time is long enough, *e.g.* more than five days in Fig. 3(a), the heat transfer will be more dominated by soil/rock heat conduction and less influenced by the initial condition and grout heat capacity. Then analytical and numerical results match well as the simulated time increases.

For the circulation fluid temperature distribution along the pipe after 10 days and 30 days presented in Fig. 4, both the analytical and the numerical model predict nearly identical results. The difference in temperature is accounted to be less than 0.15 % after 30 days. With this successful verification, the borehole heat transport process of the EUBHE system can thus be predicted by the numerical model.

3.2. Model setup

3.2.1. Model domain

According to the preliminary design provided on the EUBHE system in the city of Xi'an, China [9], the horizontal distance between the two boreholes on the ground surface is 205 m and depth of the vertical borehole is 2505 m. The deviated borehole kicks off at 2355 m with an about 45-degree deviation, and is connected with the vertical borehole at the bottom. The total offset accounts for about 5200 m. In order to keep a fair comparison to the EUBHE system, a second numerical model with two 2600 m deep boreholes is constructed and connected in parallel to form an equivalent 2-DBHE array. The parameters of the EUBHE and DBHE systems are listed in Table 2. The parameter values used in our numerical model are following those reported in the experimental setup in Xi'an city [4, 9]. The subsurface

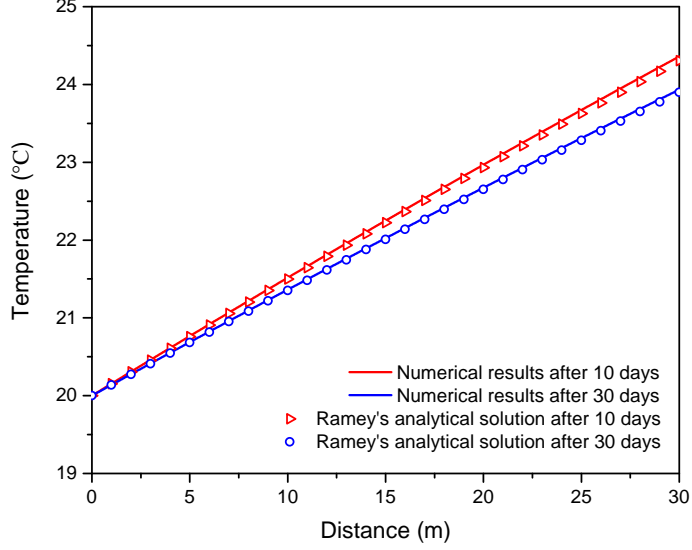


Figure 4: Comparison on the circulation fluid temperature distribution along the pipe after operation of 10 days and 30 days.

soil/rock properties are kept to be homogeneous and isotropic over the entire domain.

3.2.2. Initial and boundary conditions

For the initial and boundary conditions of the model, the ground surface temperature is set to 14.7°C with a geothermal gradient of 0.035°C/m according to the environmental and geological data obtained in Xi'an city [38]. The lateral boundaries are considered to be the no-heat-flux type. Three different EUBHE boundary conditions are included in simulation scenarios: constant inflow temperature, constant heat extraction rate, and constant building thermal power. The mechanisms behind the EUBHE boundary conditions are explained as follows.

- Constant inflow temperature

With this type of boundary, the inflow temperature of EUBHE is kept constant during the whole simulation. The amount of extracted heat can be quantified dynamically by the multiplication of circulation flow rate Q_f and the temperature difference between the inflow T_i and outflow T_o (*cf.* Eq (8)). This setup is equivalent to a so-called

Table 2: Subsurface properties, EUBHE and DBHE borehole structure information, and operating parameters in numerical models.

Item	Parameter	Symbol	Value	Unit
	Borehole diameter	d_b	0.2159	m
	Internal diameter of pipe/outer pipe	d_p	0.178	m
	Wall thickness of pipe/outer pipe	t_p	0.00587	m
	Thermal conductivity of pipe/outer pipe wall	λ_p	2.0	$\text{W m}^{-1} \text{K}^{-1}$
Shared by EUBHE and DBHE	Soil/rock thermal conductivity	λ_s	2.78	$\text{W m}^{-1} \text{K}^{-1}$
	Heat capacity of soil/rock	$\rho_s c_s$	3.2×10^6	$\text{J m}^{-3} \text{K}^{-1}$
	Thermal conductivity of grout	λ_g	1.7	$\text{W m}^{-1} \text{K}^{-1}$
	Heat capacity of grout	$\rho_g c_g$	3.8×10^6	$\text{J m}^{-3} \text{K}^{-1}$
	Thermal conductivity of circulation fluid	λ_f	0.59	$\text{W m}^{-1} \text{K}^{-1}$
	Heat capacity of circulation fluid	$\rho_f c_f$	4.19×10^6	$\text{J m}^{-3} \text{K}^{-1}$
	Dynamic viscosity of circulation fluid	μ_f	1.14×10^{-3}	$\text{kg m}^{-1} \text{s}^{-1}$
	Flow rate of circulation fluid	Q_f	50	$\text{m}^3 \text{h}^{-1}$
DBHE only	Internal diameter of inner pipe	d_{pi}	0.09532	m
	Thermal conductivity of inner pipe wall	λ_{pi}	1.3	$\text{W m}^{-1} \text{K}^{-1}$
	Wall thickness of inner pipe	t_{pi}	0.00734	m

Thermal Performance Test (TPT) [39]. This configuration is applied in scenarios 1A to 1D (see Table 3), and the simulated heat extraction rate is quantified based on the simulation results presented in section 4.1.

$$P_{\text{EU}} = \rho_f c_f Q_f (T_o - T_i). \quad (8)$$

- Constant heat extraction rate

When an EUBHE system is in operation, it is very rare to have a fixed inflow temperature. Instead, the inflow temperature is dynamically adapted to the outflow temperature and the heat extraction rate imposed. When a fixed heat extraction rate is applied, it is ideally operated as a Thermal Response Test (TRT) [39]. To make the EUBHE run sustainably, the inflow temperature should always be kept above 0°C , as water is commonly used as the circulation fluid. The circulation fluid temperature constraint can then be used to determine the

302 maximum sustainable heat extraction rate P_{EU}^m . This type of bound-
 303 ary condition is applied in scenarios 2A to 2D (see Table 3) in order to
 304 quantify the maximum P_{EU}^m value. When the heat extraction rate is
 305 imposed in the simulation, the temperature difference between inflow
 306 and outflow is regulated according to Eq. (9),

$$T_i = T_o - \frac{P_{\text{EU}}}{\rho_f c_f Q_f}. \quad (9)$$

307 • Constant building thermal power

308 In a more realistic case, when EUBHE is constructed for building
 309 heating purposes, the heat pump is often installed to elevate the fluid
 310 temperature from the ground loop. Hence, the building thermal power
 311 is not equal to the heat extraction rate imposed on EUBHE. The
 312 performance of the heat pump can be quantified by the Coefficient of
 313 Performance (COP), which is defined as a ratio between the amount
 314 of thermal power supplied to the building P_{building} versus the amount
 315 of electric power consumed by the heat pump W_{hp} . With a constant
 316 building thermal power, the dynamic heat extraction rate on EUBHE,
 317 *i.e.* P_{EU} can be described as,

$$P_{\text{EU}} = \frac{\text{COP} - 1}{\text{COP}} P_{\text{building}}. \quad (10)$$

318 Although a number of factors have an impact on the COP of a heat
 319 pump, it is widely accepted (*cf.* Casasso and Sethi [40] and Kahraman
 320 and Çelebi [41]) that a linear relationship can be established between
 321 the heat pump COP and the outflow temperature of EUBHE or DBHE
 322 (T_o).

$$\text{COP} = aT_o + b. \quad (11)$$

323 Here, a and b are constants under the specific operation model of heat
 324 pump. In this study, the COP curve is provided based on a designed
 325 floor heating temperature of 35 °C. The coefficients of Eq. (11) read
 326 $a = 0.083$, $b = 3.925$ for building heating (*cf.* Hein et al. [34], Zheng
 327 et al. [42]). Scenarios 5A and 5B (see Table 3) are configured with this
 328 type of boundary condition to evaluate the efficiency of the EUBHE
 329 system under the constant building thermal power.

3.2.3. Domain and meshing

When the EUBHE system is in operation, only the soil/rock in the vicinity of the boreholes will be affected. Therefore, all thermally undisturbed subsurface areas can be excluded from the finite element mesh of the EUBHE system. The mesh used in this work is constructed in two steps. In the first step, a 2D mesh is established with the borehole point. Following Diersch's approach [31, 30], the element size around the borehole is selected based on the radius of the borehole and the number of connecting nodes. According to the borehole radius listed in Table 2, the size of a typical triangular element in the vicinity of the borehole is chosen to be around 0.66 m. It is important to include sufficient subsurface areas in the model, so that the thermal plume will not interfere with the no-heat-flux boundaries. Therefore, several trial simulations with different lengths of operation time are performed to find out the influence range of the thermal plume. In these simulations, an annual heating season is set to 120 days followed by a 245-day long recovery period. The simulated soil/rock temperature distribution profile crossing the borehole at a depth of 1300 m is presented in Fig. 5. The thermally affected distance reaches around 30 m away from the borehole location after 4 years. After 10 years, the distance increases to around 70 m with specific properties listed in Table 2. The extent of the thermally affected zone along the borehole remains almost the same. Therefore, a cross-section of 100×100 m is chosen for the short-term (120 days) modelling domain in the vicinity of the borehole and 205×205 m for 10-year simulations to avoid the influence of the soil/rock boundary.

In the second step, the 2D mesh is further extruded along the trajectory of the two connected boreholes to form the 3D mesh. As for the vertical element size, a sensitivity analysis is also performed with a vertical length of 1, 5, 10, 20, and 50 m. All meshes are simulated for a single heating season (120 days). The outflow temperature difference is merely 0.011°C at the end of the heating season. However, the computational time of the model with vertical elements size of 50 m is 8.47 % of that with the 10 m vertical resolution and 2.13 % of that with 1 m vertical resolution. In order to save computational time, the average vertical elements size is chosen to be 23.31 m (refined in the bottom) in the EUBHE model and 20 m in the DBHE model, leading to 116,183 prism and 223 line elements in the EUBHE model, and 97,090 prism elements and 130 line elements in the DBHE model accordingly.

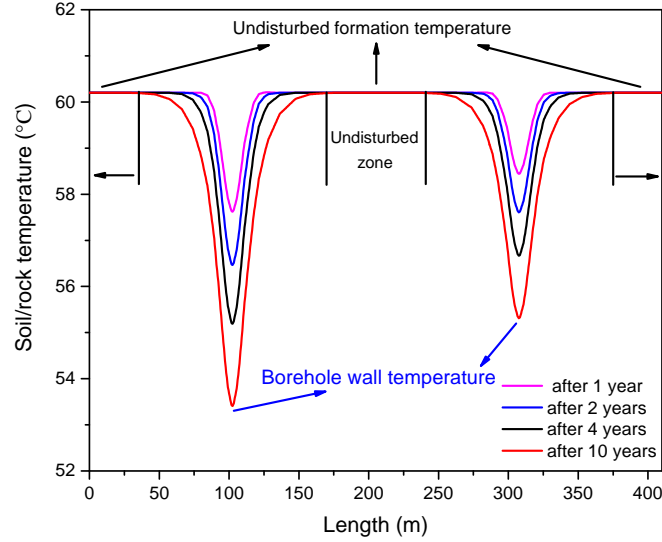


Figure 5: Extent of thermally affected zone at depth of 1300 m after operation of 1 year, 2 years, 4 years, and 10 years.

3.3. Simulation Scenarios

With the verified numerical model and domain mesh of the EUBHE system as described above, a series of scenarios are set up (see Table 3) and simulated. In the first step, our intention is to find out how much heat and at what extraction rate can an EUBHE system be sustainably operated over 120 days (a single heating season). To investigate this issue, scenarios 1A to 1D with four different constant inflow temperatures (5, 10, 15 and 20 °C) of the EUBHE system are set up to achieve different heat extraction rates. With these different inflow temperature, the range of heat extraction rate from the EUBHE system is calculated to be 0.82 MW to 1.13 MW (see section 4.1). Usually, the inflow temperature can never be constant. Hence, four scenarios 2A to 2D with different constant heat extraction rates, at 0.9, 1.0, 1.1 and 1.2 MW are set up. The evolution of both inflow and outflow temperatures is observed and presented in section 4.2 to evaluate the maximum sustainable heat extraction rate. Following this, the results from scenario 2B at a heat extraction rate of 1.0 MW are further analysed in section 4.3, where the spatial temperature distribution and heat flux distribution along the borehole are quantified. In scenarios 3A to 3D, the total borehole length of the EUBHE system is kept constant, while the ratios

386 between the vertical and the horizontally deviated section are varied. The
 387 intention here is to figure out the optimal vertical/horizontal ratio leading
 388 to the best performance. The analysis is presented in section 4.4. Since
 389 the EUBHE system will be utilised for a very long period of time after
 390 construction, the circulation fluid temperature evolution in the long term is
 391 critical to its sustainability. Therefore, in the third step, scenarios 4A and
 392 4B are simulated for an operation period of 10 years at the heat extraction
 393 rate of 1.0 MW and 1.1 MW, respectively. Meanwhile, the 2-DBHE array
 394 system is also operated for 10 years at 1.0 MW in scenario 4C, to compare
 395 its thermal performance with that of the EUBHE system. At last, their
 396 long-term efficiency for heating buildings is further analysed and compared
 397 in section 4.6.

Table 3: Overview of simulated scenarios and features.

Scenario ID	Inflow temperature (°C)	Heat extraction rate (MW)	Ratio of vertical to horizontal section	Operation time (day)
1A	5	-		
1B	10	-	12.2	120
1C	15	-		
1D	20	-		
2A	-	0.9		
2B	-	1.0	12.2	120
2C	-	1.1		
2D	-	1.2		
3A	-		46.9	
3B	-	1.0	12.2	120
3C	-		5.9	
3D	-		2.7	
4A	-	1.0	12.2	
4B	-	1.1	12.2	3650
4C / DBHE	-	1.0	-	
5A	-	Varies according to Eq. (10)	12.2	3650
5B / DBHE	-	Varies according to Eq. (10)	-	3650

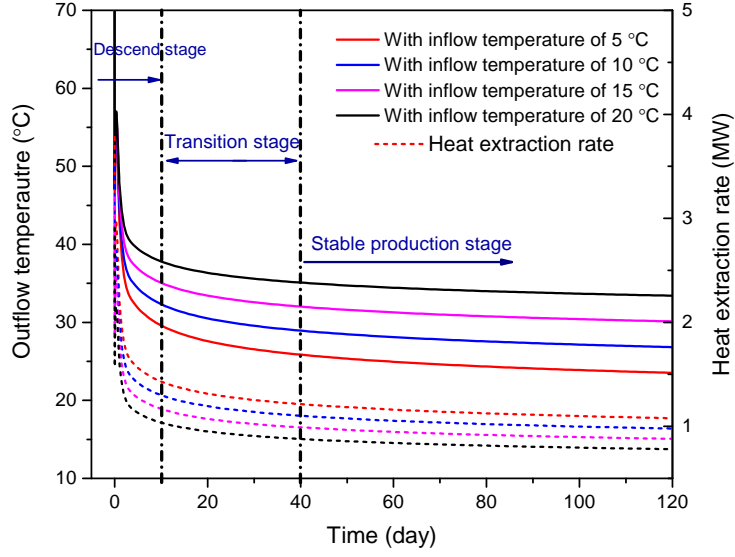


Figure 6: Changes of outflow temperature (solid line) and heat extraction rate (dash line) of the EUBHE system for four different inflow temperatures in a single heating season (120 days).

4. Results and discussions

4.1. Heat extraction rates of EUBHE with different inlet temperatures

As listed in Table 3, the Dirichlet-type boundary condition is imposed on the EUBHE system with constant inflow temperatures at 5, 10, 15 and 20 °C in scenarios 1A to 1D. These scenarios are simulated for a single heating season (120 days). The evolution of outflow temperature and heat extraction rate is presented in Fig. 6. Since the low-temperature fluid is injected continuously into the EUBHE, the outflow temperature drops rapidly in the beginning stage. After that, the outflow temperature decreases slowly (transition stage) and barely changes at the end of the heating season (stable production stage). When the inflow temperature increases from 5 °C up to 20 °C, the corresponding outflow temperature increases from 23.53 °C to 33.42 °C after the heating season. To the contrary, the temperature difference between outflow and inflow of the EUBHE decreases from 18.53, 16.83, 15.12 down to 13.42 °C at the end of the heating season. Since the heat extraction rate is calculated according to the inflow and outflow temperature, the calculated heat extraction rate decreases along with the elevated

415 inflow temperature. In addition, the change of the heat extraction rate fol-
 416 lows the same trend as that of the outflow temperature over time. From the
 417 40-th day to the end of the heating season, the outflow temperature changes
 418 within a small range of 1.7°C , which means the system has entered a stable
 419 production state (see Fig. 6). Therefore, the average stable heat extraction
 420 rate of the EUBHE system can be calculated over the entire stable produc-
 421 tion stage, which is calculated to be 1.13, 1.03, 0.92 and 0.82 MW under
 422 constant inflow temperatures at 5, 10, 15 and 20°C .

423 4.2. Short-term performance under different heat extraction rates

424 In the previous thermal performance tests, the inflow temperature is
 425 imposed as the constant boundary condition. Hence, the calculated rate is
 426 limited by the inflow temperature. In order to investigate the maximum
 427 sustainable rate, thermal response tests as described in section 3.2.2 are
 428 conducted. In scenario 2A to 2D, the heat extraction rates are increased in
 429 four steps, from 0.9, 1.0, 1.1 up to 1.2 MW based on the TPT results. All
 430 these four scenarios are simulated one after the other for a single heating
 431 season (120 days). In a sustainable EUBHE system, the inflow and outflow
 432 temperatures should always be kept above 0°C .

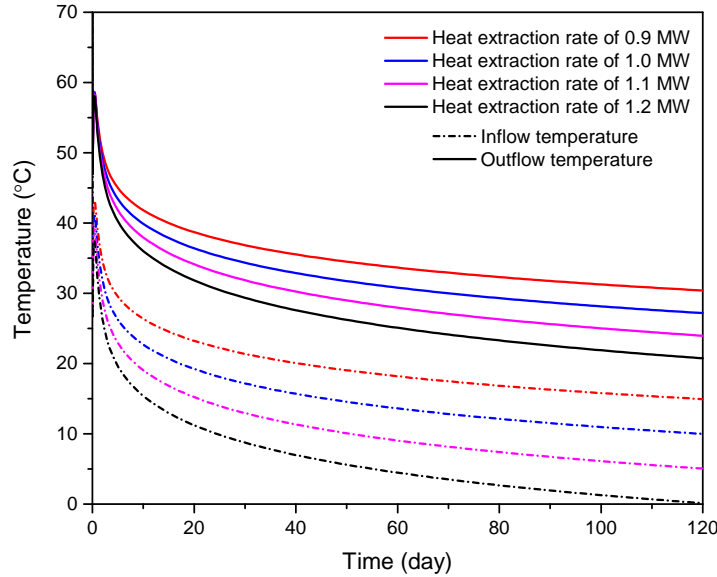


Figure 7: Inflow (dash-dot lines) and outflow (solid lines) temperatures of the EUBHE system for four different heat extraction rates in a single heating season.

433 The simulated inflow and outflow temperatures in a single heating season
 434 are presented in Fig. 7. With the increase in heat extraction rate, the inflow
 435 and outflow temperatures drop faster. For example, with 0.9 MW thermal
 436 load, the inflow temperature is 14.93 °C after the heating season. Increase
 437 of the heat extraction rate to 1.1 MW results in the inflow temperature drop
 438 down to 5.06 °C at the end of the heating season. If the heat extraction
 439 rate is further raised up to 1.2 MW, the same temperature approaches 0 °C
 440 after continuously operated for a single heating season. Further, under a
 441 heat extraction rate of 1.2 MW, the inflow temperature is 0.12 °C after 120
 442 days. This operation mode may be impracticable in reality. However, the
 443 purpose here is to investigate the upper limit of the EUBHE system in
 444 terms of heat extraction rate through thermal response tests. That means
 445 the inflow temperature should be as low as possible, in this case just above
 446 the freezing point. This kind of thermal response test can help us to reveal
 447 the maximum sustainable heat extraction rate of the EUBHE system with
 448 water as the heat transport working fluid. It can be concluded, for the
 449 current EUBHE design in the short term, that 1.2 MW is the upper limit of
 450 the heat extraction rate.

451 4.3. Heat flux distribution along the boreholes

452 In a sustainable EUBHE system, *e.g.* scenario 2B, the specific heat ex-
 453 traction rate is 192.3 W/m. This value can be regarded as the averaged
 454 specific heat flux transferred from the grout to the circulation fluid. How-
 455 ever, the averaged specific value does not reflect the flux distribution in the
 456 subsurface, let alone a varying re-distribution process over the entire heating
 457 season. In order to reveal this trend, the simulated temperature and heat
 458 flux distribution along the boreholes after operation of 1 day, 30 days and
 459 120 days in scenario 2B are depicted in Fig. 8 for further analysis.

460 When the inflow temperature is higher than the soil/rock temperature,
 461 the heat stored in the circulation fluid is transferred to the surrounding
 462 soil/rock, resulting in the heat flux values being positive in the top of the
 463 downward inflow pipe at the beginning of the operation. The zero-heat-flux
 464 point in the downward inflow pipe is located at the depth of around 500 m
 465 after 1 day of operation (see Fig. 8(a)). At this depth, temperature of the
 466 circulation fluid reaches equilibrium with the surrounding soil/rock. Above
 467 it, heat will be dissipated into the subsurface. Below this point, as the
 468 temperature of the surrounding rock increases, the heat flux value becomes
 469 negative, indicating that the circulation fluid is being heated up.

470 However, the location of the zero-heat-flux point moves upwards as op-
 471 erational time progresses. It reaches the ground surface after operation of 30

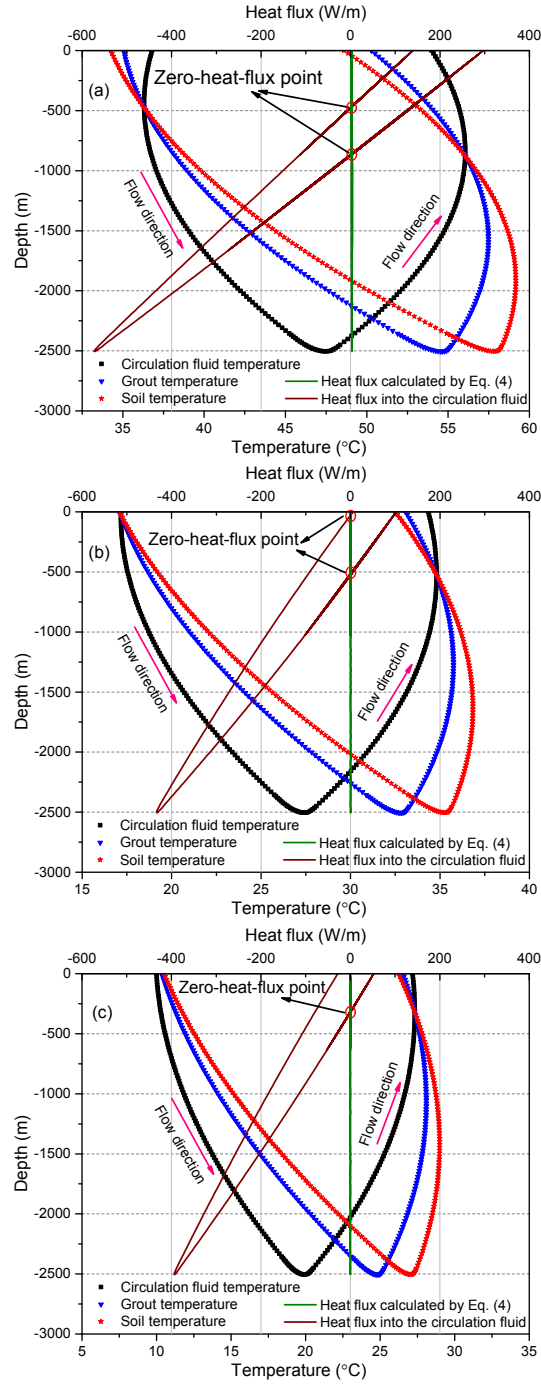


Figure 8: Distributions of temperatures and heat flux along the U-shaped borehole after operation of (a) 1 day, (b) 30 days, and (c) 120 days.

472 days as presented in Fig. 8(b). This is because the inflow temperature de-
 473 creases over time when a continuous thermal load is imposed on the EUBHE
 474 as demonstrated in Fig. 7. Once the inflow temperature drops below the
 475 ground surface temperature (in Fig. 8(c)), the heat starts to be transferred
 476 from the soil/rock to the circulation fluid and the direction of heat flux is
 477 thus inverted, resulting in negative heat flux values at the top of the down-
 478 ward inflow pipe. This process shifts the zero-heat-flux point upwards. After
 479 *ca.* 40 days, this point disappears and the entire downward inflow pipe ab-
 480 sorbs heat. To the contrary, in the upwards outflow pipe, as the circulation
 481 fluid is already heated up by the deep formation, the circulation fluid above
 482 the zero-heat-flux point is always hotter and dissipates heat to the shallow
 483 soil/rock. This suggests that the insulation layers should always be added
 484 to the upward outflow section of the EUBHE, in order to minimise the heat
 485 loss and improve the thermal performance.

486 When looking at the distribution of heat flux over depth, the temperature
 487 difference between soil/rock and circulation fluid is the greatest at the bot-
 488 tom section. At the depth of 2505 m, the ΔT value decreases from 10.35 °C
 489 to 7.12 °C after 120 days (see black and red scattered line in Fig. 8(a) and
 490 Fig. 8(c)). Although this decrease in ΔT causes the heat flux to decrease
 491 from 572.07 W/m after 1 day down to 392.96 W/m after 120 days, it is still
 492 much higher than the average value of 192.3 W/m, showing that the bottom
 493 section of the EUBHE has a much better thermal performance.

494 4.4. Ratio of deviated and vertical borehole sections

495 The above heat flux analysis raises an interesting question, *i.e.* whether
 496 it is possible to increase the system thermal performance of EUBHE by
 497 increasing the ratio of deep deviated section or the horizontal distance be-
 498 tween two boreholes? In order to answer this question, four scenarios (3A
 499 to 3D) with different ratios of deviated and vertical sections are constructed
 500 while keeping the total borehole length unchanged. At the ground surface,
 501 the horizontal distance between two vertical boreholes is set to be 55, 205,
 502 405 and 805 m, respectively. Following this design, the vertical depth of
 503 EUBHE system is set to be 2580, 2505, 2405 and 2205 m in scenario 3A to
 504 3D accordingly (see Table 3). Other model parameters, such as the ground
 505 surface temperature and geothermal gradient are kept the same in these four
 506 scenarios, 1.0 MW heat extraction rate is equally imposed on each model,
 507 and all scenarios are simulated for the same heating season of 120 days.

508 The resulting inflow and outflow temperature profiles over time are de-
 509 picted in Fig. 9. With the biggest horizontal distance between two boreholes
 510 at the surface, *i.e.* 805 m (scenario 3D), the outflow temperature at the end

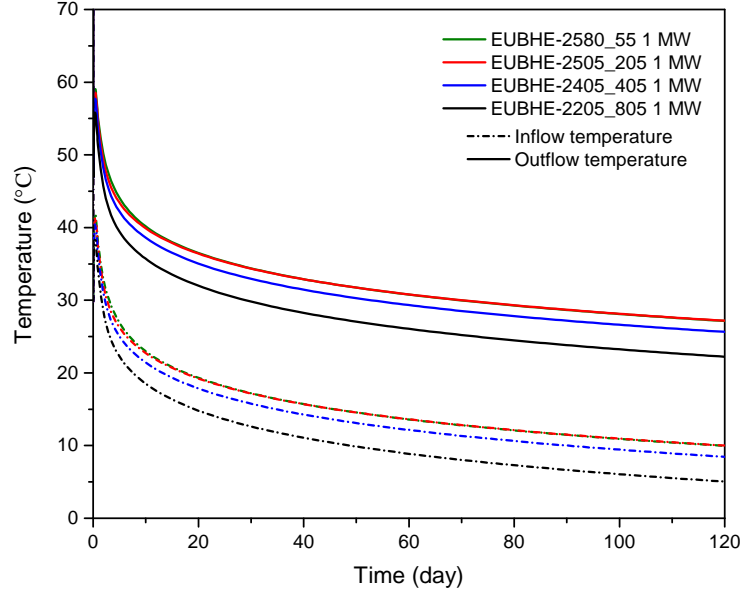


Figure 9: Inflow (dash-dot lines) and outflow (solid lines) temperatures for four EUBHE systems with different ratios of vertical depth and horizontal distance.

511 of the heating season is 22.23°C . When the horizontal distance decreases to
 512 405 m with a vertical depth of 2405 m (scenario 3C), the outflow temperature
 513 increases to 25.64°C at the end of 120 days. However, when the horizontal
 514 section is further decreased from 205 m in scenario 3B to 55 m in scenario 3A,
 515 the outflow temperature decreases by 0.04°C and approaches 27.14°C at the
 516 end of the heating season in scenario 3A. It can be found that increasing
 517 depth of vertical section can lead to a better thermal performance rather
 518 than extending the length of the horizontal section. This is because the tem-
 519 perature difference between circulation fluid and soil/rock is higher in the
 520 deeper formation, so that more heat transfer can be achieved there. With
 521 the constraint on total borehole length, a 205 m surface distance between
 522 the two boreholes produces the best heat extraction rate. With a shorter
 523 distance, *e.g.* 55 m, the short-term heat extraction rate decreases slightly.
 524 Nevertheless, the long-term heat extraction rate may decline considerably
 525 over a span of 10 to 15 years due to the thermal interactions between two
 526 boreholes.

527 4.5. Long-term thermal performance of EUBHE and 2-DBHE array

528 When an EUBHE system is constructed, it is planned to operate for
529 more than 10 years. After the EUBHE system has operated for 120 days
530 every year, the soil/rock temperature around the borehole can hardly be
531 fully recovered in the following 245 days until the beginning of the next
532 heating season. Thus, long-term operation of the EUBHE system needs to
533 be continuously simulated to investigate its sustainability. In the long-term
534 simulations over 10 years, the geometry of the soil/rock domain has been
535 increased to include a cross section of 205×205 m surrounding the EUBHE
536 to ensure that there is no interference caused by the boundary effect. In every
537 heating season (120 days), the constant heat extraction rates at 1.0 MW
538 and 1.1 MW are imposed in scenarios 4A and 4B, respectively. The heating
539 season is followed by a recovery period of 245 days every year. Meanwhile, in
540 order to compare the long-term performance with the 2-DBHE array system,
541 a separate model with two DBHEs connected in parallel is also simulated for
542 the same period of time. Thus, on each of the DBHEs, the heat extraction
543 rate of 0.5 MW is imposed over the same heating season.

544 Figure 10 depicts the outflow and inflow temperatures of the EUBHE
545 system in 10 years. It is found that temperatures of inflow and outflow at
546 the end of each heating season decrease gradually. However, the decrements
547 become smaller as the operational time progresses. For example, in scenario
548 4A, the outflow temperature at the end of the second heating season
549 decreases by 1.52°C compared with that of the first heating season, while
550 it drops only 0.19°C from the end of the 9th to the 10th heating season.
551 Additionally, with a higher heat extraction rate imposed on the EUBHE system,
552 the temperature difference between inflow and outflow over the entire
553 heating season becomes greater under the same flow rate of the circulation
554 fluid. The temperature difference is 17.18°C under the heat extraction rate
555 of 1.0 MW, while it becomes 18.90°C under 1.1 MW, causing the temperatures
556 to fall fast. Overall, both 1.0 MW and 1.1 MW heat extraction rates
557 can be considered sustainable. In the 1.0 MW case (scenario 4A), the inflow
558 and outflow temperature at the end of the 10th heating season are 5.94°C
559 and 23.13°C , respectively. With a heat extraction rate of 1.1 MW (scenario
560 4B), the inflow temperature value is at 0.60°C , indicating that the EUBHE
561 system is operating close to its upper limit.

562 As for the 2-DBHE array, the simulated inflow and outflow temperatures
563 are presented in Fig. 11. The temperature evolution follows a similar trend
564 as in the EUBHE system. The outflow temperature difference between the
565 end of 9th and 10th heating season is only 0.19°C , suggesting that the heat

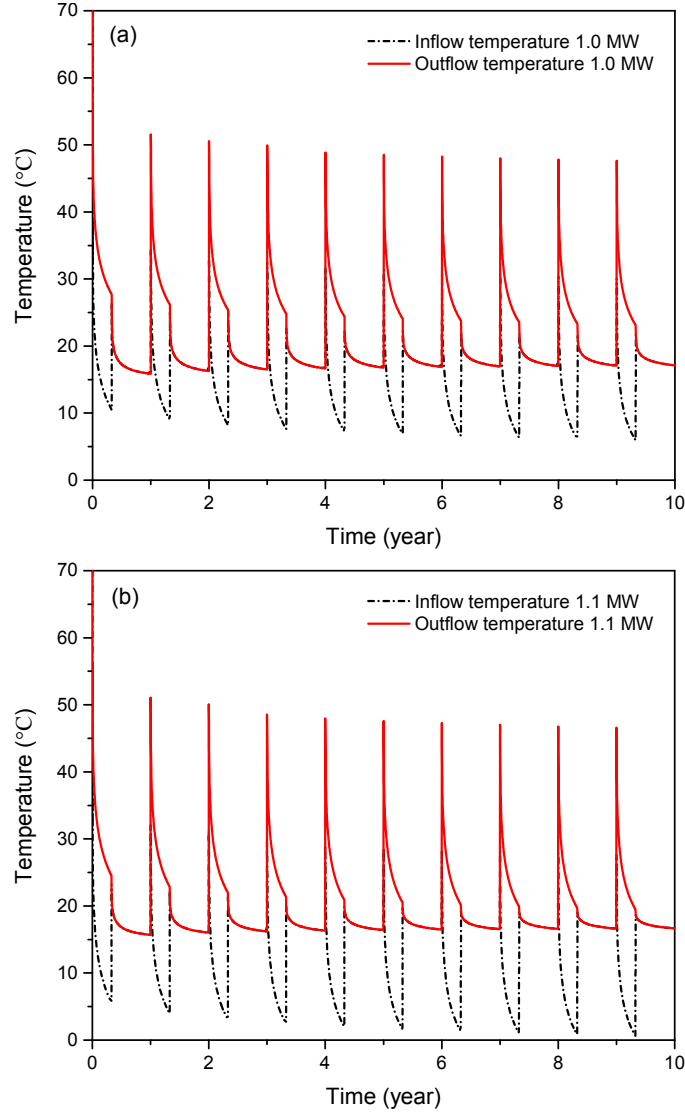


Figure 10: Inflow (dash-dot lines) and outflow (solid lines) temperatures of the EUBHE system over operation of 10 years at heat extraction rates of (a) 1.0 MW and (b) 1.1 MW.

transfer has reached a quasi-steady state. Meanwhile, the inflow temperature at the end of 10th season remains at 3.45 °C. These results suggest that the 2-DBHE can also be sustainably operated with a heat extraction rate of 1.0 MW over 10 years. In comparison, the EUBHE system clearly has a better performance: with a heat extraction rate of 1.0 MW, its outflow

571 temperature is 11.09 °C higher than the 2-DBHE array system after oper-
 572 ation of 10 years. From the economical point of view, this higher outflow
 573 temperature will lead to more savings in electricity consumed by the heat
 574 pump. Additionally, it can be seen from Fig. 11 that the heat extraction
 575 rate of 1.0 MW almost reaches the upper limit of the 2-DBHE array system.
 576 Overall, the EUBHE system can support a larger sustainable heat extraction
 577 rate than the 2-DBHE array system.

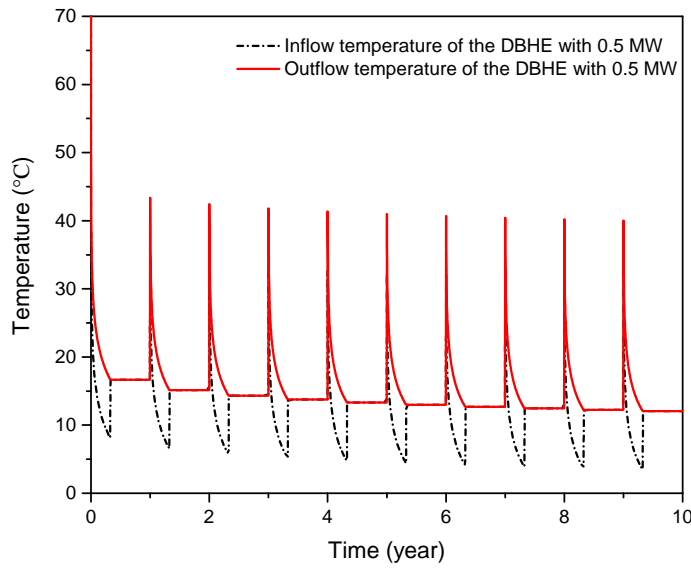


Figure 11: Inflow (dash-dot lines) and outflow (solid lines) temperatures of the single DBHE system over operation of 10 years at the heat extraction rate of 0.5 MW.

578 4.6. Efficiency comparison between EUBHE and 2-DBHE array systems

579 The operational costs of both the EUBHE system and the DBHE arrays
 580 system are largely composed of two types of electricity consumption: one
 581 from the heat pump and the other from the circulation pump. Following our
 582 previous work [6], the system efficiencies of the EUBHE and 2-DBHE array
 583 are quantified and compared using the Coefficient of System Performance
 584 (CSP), which is defined as,

$$\text{CSP} = \frac{P_{\text{building}}}{\dot{W}_{hp} + \dot{W}_{cp}}. \quad (12)$$

585 The electric power of the heat pump \dot{W}_{hp} can be further computed by
 586 its COP values and the transient heat extraction rate imposed on BHE
 587 (following Hein et al. [34] and Zheng et al. [42]),

$$\dot{W}_{hp}(t) = \frac{P_{BHE}(t)}{COP(t)}. \quad (13)$$

588 where $P_{BHE}(t)$ is the transient heat extraction rate imposed on DBHE or
 589 EUBHE. The electric power consumed by the circulation pump is a combi-
 590 nation of borehole structure with the circulation fluid flow rate Q_f [13],

$$\dot{W}_{cp} = \frac{Q_f}{\eta} \left(\frac{L}{D_h} \frac{\rho_f Q_f^2}{2A^2} \frac{1}{(0.790 \ln(\text{Re}) - 1.64)^2} \right). \quad (14)$$

591 Here η is the efficiency of the circulation pump, assumed to be 70%. Re is
 592 the Reynolds number. L denotes the borehole length, D_h is the hydraulic
 593 diameter of pipe and A is the pipe cross-section area. With the above
 594 relationship available, the total amount of electricity consumption (W) over
 595 operational time can be calculated by integrating the dynamic electric power,

$$W = \int_0^{t_{\text{end}}} [\dot{W}_{hp}(t) + \dot{W}_{cp}] dt. \quad (15)$$

596 In order to compare the long-term system efficiency for building heating
 597 either by EUBHE or 2-DBHE array, scenarios 5A and 5B are set up. Here
 598 both systems are employed to supply heating to a floor area of 35 000 m² for
 599 residential buildings in northern China. With an averaged outdoor air tem-
 600 perature of -9 °C and the indoor air temperature kept at 18 °C. According
 601 to the code for urban heating supply planning (GB/T 51074) [43], the spe-
 602 cific thermal power value of the residential buildings in Beijing ranges from
 603 30 to 36 W/m² over the entire heating season. Here with a value of 35 W/m²
 604 , the total required thermal power is accounted to be 1.225 MW from the
 605 building side. By including the heat pump into the numerical model (see
 606 section 3.2.2), both EUBHE and 2-DBHE array systems are simulated for a
 607 10-year period. In the DBHE array system, the two boreholes are parallely
 608 connected. Thus, the total building thermal power is evenly divided, with
 609 0.6125 MW on each DBHE.

610 The CSP values in each heating season are compared and presented in
 611 Fig. 12(a) with box plots, and the total electricity consumption is presented
 612 in Fig. 12(b). It can be found from Fig. 12(a) that the CSP values of the
 613 EUBHE system are consistently higher than that of the DBHE array in each
 614 of the 10 heating seasons. The average CSP value of the EUBHE system in

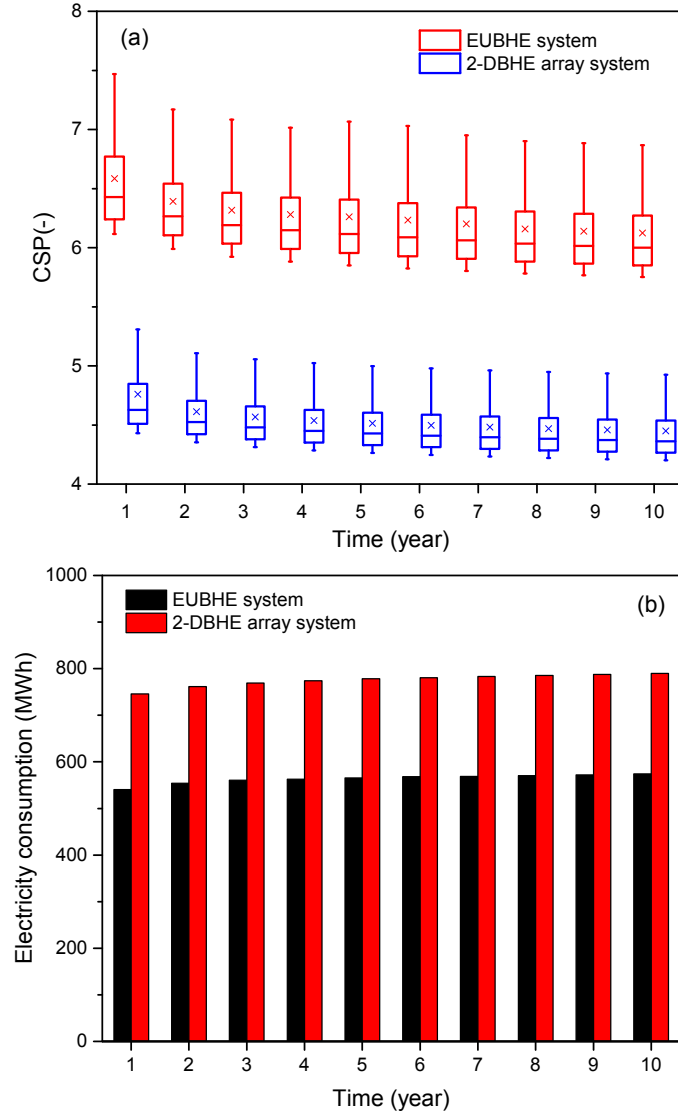


Figure 12: Efficiency comparison between the EUBHE system and the 2-DBHE array system when coupled with GSHP for building heating over the operation of 10 years. (a) The box plot of the CSP values, and (b) power consumption at every heating season.

the first heating season is 6.59, while it is only 4.76 in the DBHE array. The difference of average CSP value between two systems stays at 1.66 after 10 heating seasons. Such difference is mainly caused by the outflow temperature difference. To be specific, the outflow temperature of the EUBHE system at the end of the first heating season is 27.03 °C, which is 10.24 °C higher than that from the DBHE array. This trend is consistent over 10 years.

The electricity consumption presented in Fig. 12(b) shows that the EUBHE system is always more efficient than the 2-DBHE array. The EUBHE system uses 540.56 MWh electricity in the first heating season, which is 205.29 MWh less than the 2-DBHE array. As operational time increases, the EUBHE consumption gradually increases to 574.15 MWh in the 10th heating season, that is still 215.91 MWh (or about 27 %) less than the DBHEs. When looking into the origin of electricity consumption, the circulation pump in the EUBHE system needs 4.83 MWh in every heating season, while it is considerably higher (up to 132.77 MWh) in the 2-DBHE array system. The heat pump, in the first year for example, consumes 535.72 MWh (99.1 % of the total consumed electricity in the first heating season) in the EUBHE system and 613.08 MWh (82.2 % of the total consumed electricity in the first heating season) in the 2-DBHE array. Overall, the EUBHE system has higher efficiency with lower energy consumed by the heat pump and circulation pump, compared with the 2-DBHE array system.

4.7. Comparison and discussion on existing work of similar EUBHE systems

In applications of closed loop systems to extract deep geothermal energy, most of engineers and researchers focused on DBHE systems. Some representative applications and literature of reporting the capacity of DBHE systems are selected and compared in Table 4. It can be found that the DBHE specific heat extraction rate can hardly reach 200 W/m, while for the EUBHE system in this work, the sustainable heat extraction rate is found to be 211.5 W/m without further optimisation. For densely populated neighbourhoods, the heating demand cannot be satisfied by a single DBHE coupled GSHP system. Therefore, some engineers choose to increase the numbers of DBHE following the same idea as designing shallow BHE arrays [35]. However, DBHE has unavoidable structural limitation of extracting deep geothermal energy due to its coaxial pipe as stated in the Introduction section. Some researchers started to explore other high-efficiency closed loop systems for deep geothermal exploitation, for example, Song et al. [21] analysed the heat production performance of a closed loop geothermal system that has a horizontal borehole with a length of more than 7 km to connect two 3.5 km deep vertical boreholes. They concluded that the thermal

power can reach more than 2 MW over 20 years. However, due to very high drilling cost of the horizontal boreholes, such large closed loop systems are not likely to be constructed in reality for heating neighbourhoods. Despite Li et al. [44, 9, 23] having reported the EUBHE system and studied the heat transfer characteristics, the efficiency analysis when using EUBHE coupled with GSHP systems for heating neighbourhoods is still lacking. From a construction perspective, EUBHE includes a deviated deep borehole and a connection with another vertical deep borehole at the bottom. This will undoubtedly increase the initial investment compared with 2-DBHE array systems. Therefore, it is very much needed to evaluate which benefits can be obtained by using EUBHE systems for building heating. From the analysis in Sections 4.5 and 4.6, the EUBHE system has better thermal performance and capacity than the 2-DBHE array system. Even providing heating for the same building areas, the total electricity consumption of the EUBHE coupled with GSHP system decreases by around 27 %. If the savings are higher than the additional initial investment, then the EUBHE system should be the preferred construction for heating densely populated neighbourhoods.

Table 4: Simple review on capacity of closed loop systems for deep geothermal energy exploitation.

Application / Reference	Depth (m)	Bottom temperature (°C)	Specific heat extraction rate (W/m)
Penzlau [45]	2786	108	53.8
Aachen [46]	2500	85	46.8
Weissbad [47]	1200	45	66.7
Weggis [48]	2300	78	43.5
Hawaii [49]	1962	110	188.8
Kong et al. [27]	2000	75	150
Chen et al. [6]	2600	84	125
Le Lous et al. [14]	5000	160	120
Wang et al. [8]	2000	75.6	143.2
Dai et al. [50]	1780	64	151.69
Fang et al. [51]	2000	70	100
This study	2505	102.375	211.5

5. Conclusions and outlook

In this work, a deep EUBHE system has been introduced to extract deep geothermal energy for building heating in densely populated neighbourhoods. In order to evaluate the system thermal capacity and efficiency

675 compared with 2-DBHE array systems, a 3D EUBHE numerical model has
676 been established using OGS software based on the geological conditions
677 in northern China. The maximum sustainable heat extraction rate of the
678 EUBHE system has been evaluated in short and long terms. The 10-year
679 system thermal performance and efficiency have also been compared with
680 the 2-DBHE array system when coupled with GSHP for building heating.
681 The key findings of this study are as follows:

- 682 • The thermal performance tests and thermal response tests indicate
683 that the maximum heat extraction rate of the EUBHE system is
684 1.2 MW in a single heating season. Considering thermal performance
685 decline in long-term operation, the upper limit of sustainable heat ex-
686 traction rate is 1.1 MW in 10 years. The system thermal performance
687 can be improved by adding an insulation layer on the top of the outflow
688 pipe.
- 689 • Under the same total borehole length, the EUBHE system performance
690 can be improved by increasing the depth of vertical section instead of
691 extending horizontal section. However, it is noted that, in the current
692 design, the horizontal section should not be less than 55 m to prevent
693 thermal interaction between neighbouring boreholes.
- 694 • The EUBHE system is more efficient than the 2-DBHE array system
695 when coupled with GSHP for building heating under the same total
696 borehole length. For the thermal power of 1.225 MW from the building
697 site, the total electricity consumed by the present EUBHE system is
698 approximately 27 % less than that by the 2-DBHE array system in 10
699 years. And the average CSP value of the EUBHE system is 1.66 higher
700 over 10 heating seasons.

701 Although the EUBHE system has been predicted to have better thermal
702 performance and higher efficiency than the 2-DBHE array system, it might
703 need higher initial investment in constructing the deviated borehole and
704 the corresponding connection. For the economical feasibility of the EUBHE
705 system application in real building heating projects, it is important to obtain
706 more cost information and compare it with the savings from the long-term
707 operation. In addition, the EUBHE system performance can be influenced
708 by soil/rock properties, borehole structures, pipe and grout properties, and
709 flow rate of the circulation fluid. The specific influence of these parameters
710 will be discussed in a system optimisation study in the future.

711 **CRedit authorship contribution statement**

712 **Chaofan Chen:** Conceptualization, Methodology, Software, Validation,
713 Writing - Original Draft. **Wanlong Cai:** Formal analysis, Visualization.
714 **Dmitri Naumov:** Software, Data Curation. **Kun Tu:** Formal
715 analysis. **Hongwei Zhou:** Resources, Supervision. **Yuping Zhang:** Investigation,
716 Conceptualization. **Olaf Kolditz:** Project administration, Supervision.
717 **Haibing Shao:** Methodology, Software, Writing - Review &
718 Editing, Supervision.

719 **Declaration of competing interest**

720 The authors declare that they have no known competing financial in-
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901 **Appendix A. Ramey's analytical solution**

902 In Ramey's analytical solution, the outflow temperature of the pipe $T_o(t)$
 903 inside the borehole can be expressed as a function of depth Δz and time t ,

$$T_o(t) = T_s + (T_i(t) - T_s) \exp(-\Delta z/X) \quad (\text{A.1})$$

904 where, $T_i(t)$ is the inflow temperature, T_s is the soil/rock temperature, and
 905 coefficient X is determined by,

$$X = \frac{Q\rho_f c_f (\lambda_s + r_p U f(t))}{2\pi r_p U \lambda_s} \quad (\text{A.2})$$

906 here Q is the flow rate of the fluid in the pipe.

907 With dimensionless time $t_D = \lambda_s t / (\rho_s c_s r_b^2)$, the time function $f(t)$ is given
 908 as,

$$f(t) = [0.4063 + 0.5 \ln(t_D)] [1 + \frac{0.6}{t_D}] \quad t_D > 1.5, \quad (\text{A.3})$$

$$f(t) = 1.1281 \sqrt{t_D} (1 - 0.3 \sqrt{t_D}) \quad t_D \leq 1.5, \quad (\text{A.4})$$

909 and the overall heat transfer coefficient U is,

$$U = \left[\frac{r_p + t_p}{r_p h} + (r_p + t_p) \left(\frac{\ln \frac{r_p + t_p}{r_p}}{\lambda_p} + \frac{\ln \frac{r_b}{r_p + t_p}}{\lambda_g} \right) \right]^{-1} \quad (\text{A.5})$$

$$h = \frac{\lambda_f \text{Nu}}{2r_p} \quad (\text{A.6})$$

910 where, t_p is pipe wall thickness and r_p is internal radius of the pipe and r_b
 911 is radius of the borehole.

912 The Nusselt number can be determined according to the Gnielinski's
 913 equation [52],

$$\text{Nu} = 4.364 \quad \text{Re} < 2300, \quad (\text{A.7})$$

$$\text{Nu} = \frac{f/8(\text{Re} - 1000)\text{Pr}}{1 + 12.7\sqrt{f/8}(\text{Pr}^{2/3} - 1)} \quad 2300 \leq \text{Re} < 5 \times 10^6, \quad (\text{A.8})$$

914 Pr is the Prandtl number, and the friction factor f is evaluated by
 915 Churchill correlation [53],

$$f^{-1} = \left(\frac{1}{\left[\left(\left(\frac{8}{\text{Re}} \right)^{10} + \left(\frac{\text{Re}}{36500} \right)^{20} \right)^{1/2} + \left[2.21 \left(\ln \frac{\text{Re}}{7} \right) \right]^{10} \right)} \right)^{1/5} \quad (\text{A.9})$$

916 The Prandtl and Reynolds number are defined as,

$$\text{Pr} = \frac{\mu_f c_f}{\lambda_f} \quad \text{Re} = \frac{\rho_f v_f d_p}{\mu_f} \quad (\text{A.10})$$

917 where, μ_f is the circulation fluid dynamic viscosity, ρ_f is the circulation
 918 fluid density, λ_f is the circulation fluid thermal conductivity, and c_f is the
 919 specific heat capacity of circulation fluid.