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- 1 Ex situ conservation of Pinus koraiensis can preserve the species' genetic
- 2 diversity but homogenizes differentiated population structure.
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14 Abstract: Pinus koraiensis is a conifer species of ecological and economic importance in northeast 15China that has been excessively exploited in recent years. To enhance the evaluation, management, and 16 conservation of this species, we applied 9 pairs of simple sequence repeat (SSR) primers to study the 17genetic diversity and population structure of six maternal populations as well as their progeny 18 populations in a clonal seed orchard (CSO). The results showed a high genetic diversity in both 19 maternal and progeny populations, with the average expected heterozygosity of 0.617 and 0.632, 20 respectively. The level of genetic diversity in the progeny populations was slightly higher than that in 21 the maternal populations, and almost all diversity descriptors were correlated between maternal and 22 progeny populations, indicating that the CSO could preserve the established species' gene pool. An 23 overall low level of genetic differentiation of P. koraiensis ( $F_{ST} = 0.029$  and 0.025 for maternal and 24progeny populations) was found. The six maternal populations clustered into two groups by Bayesian 25 cluster analysis with the two northernmost populations comprising one group and the other four 26 populations as another group, potentially indicating regional adaptation. The northern group had 27 significantly higher levels of within population diversity. Population structure was not evident anymore

in the progeny populations, although differences in diversity were maintained. These results will inform efforts for the conservation and management of *P. koraiensis* and provide guidance for future studies of population genetics and breeding programs.

Key words: *Pinus koraiensis, ex situ* conservation, clonal seed orchard, genetic diversity, population
 structure

### 33 1. Introduction

34 Forests are considered one of the most complex terrestrial ecosystems due to the high level of 35 biodiversity, and play an important role in the function of forest ecosystems (Geburek and Konrad, 36 2008; Litkowiec et al., 2018). Genetic diversity, widely recognized as a key component of biodiversity 37 (Assessment, 2005), provides the essential basis for the adaptation and resilience of species to 38 environmental stress and change (Potter et al., 2017). As long-lived, immobile, and often widespread 39 life forms, forest tree species need high levels of genetic diversity to adapt to changing environmental 40 influences (Loo et al., 2014; Potter et al., 2017). Unfortunately, the genetic resources of forest trees are 41 threatened by an increased use of timber and reduction of the area of forestland (Rajora and Mosseler, 42 2001). For example, deforestation, which directly eliminates numbers and sizes of the locally adapted 43 populations is an immediate threat to forest tree genetic diversity (Ledig, 1992). Therefore, maintaining 44 or enhancing genetic diversity in forest tree species is an urgent global necessity for genetic resource 45 conservation (Ratnam et al., 2014; Holliday et al., 2017). This may require the integration of both in 46 situ (on site) and ex situ (off site) conservation strategies, especially in high-value species and those 47 with small and vulnerable populations (Dawson et al., 2013; Pritchard et al., 2014; Schwartz et al., 48 2017).

49 Ex situ conservation strategies preserve plants or plant germplasm away from their areas of natural 50 occurrence (Given, 1994). A clonal seed orchard (CSO), one of these strategies, is defined as an 51 artificial plantation derived from vegetatively propagated wild trees with multiple provenances and 52 multiple clones per provenance (Fernandes et al., 2008). Although the main goal of CSO is to 53 maximize the genetic gain in economic and/or adaptive traits, it is still important to consider its genetic 54 diversity, because the CSO represents the link between breeding programs and reforestation activities, 55 inadequate genetic diversity in seed crops may result in accumulation of inbreeding, which directly 56 reduces potential productivity (Kang et al., 2001; Kang et al., 2005; Sonstebo et al., 2018;

57 Chaloupkova et al., 2019). Generally, the genetic diversity of seeds produced in a CSO is determined 58 by that of provenances and parent trees which, however, was unknown during the establishment. 59 Moreover, the diversity of seeds will depend on planting design (Yuan et al., 2016) and the pollen 60 dispersal distance. Although most forest temperate forest trees are wind pollinated, pollen dispersal 61 distance is limited and may be non-random, e.g. due to preferential wind direction (Feng et al., 2010). 62 If provenances are genetically differentiated and seeds are produced by random mating in a seed 63 orchard, higher genetic diversity is expected for seeds compared with the average source provenance. 64 However, while mixing genetically differentiated populations will increase genetic diversity, 65 potentially with hybrid vigor, this is connected with a potential risk of outbreeding depression (hybrid 66 breakdown) by disrupting coadapted gene complexes if provenances are adapted to different 67 environmental conditions (Johansen-Morris and Latta, 2006). Therefore, as CSOs are a tool for 68 breeding of numerous tree species around the world, studying the genetic diversity of maternal 69 provenances and offspring produced in a CSO can provide a reliable basis for evaluating the potential 70 risks in the management of seed orchards and plantations (El-Kassaby and Ritland, 1996; Nielsen and 71Hansen, 2012; Sonstebo et al., 2018).

72 Pinus koraiensis Siebold et Zucc. (Korean pine, Pinaceae), is one of the most ecologically and 73 economically important conifer species in the mountainous area of Northeastern China (Ma et al., 74 1992). It is a dominant forest tree species in its habitat and produces high-quality timber and edible 75 pine nuts (Chen et al., 2010; Aizawa et al., 2012). In recent decades, the populations of natural broad-76 leaved Korean pine mixed forests have been sharply reduced (Yu et al., 2011), and the species has been 77 listed as nationally endangered in China (http://www.plant.csdb.cn/endangeredplants). Thus, the 78 protection of P. koraiensis has become urgent, especially for the genetic resources. There are several 79 hypotheses about the origin of Korean pine populations in continental Asia ranging from a single 80 glacial refugium, substantiated by the genetically depauperate plastid genomes that lack variation 81 (Aizawa et al., 2012) to the hypothesis of several continental in situ relic populations (Potenko and 82 Velikov, 1998). For the nuclear genome of P. koraiensis, a gradient of genetic variation decreasing 83 from South (Korea) to North (Russia) (Kim et al., 2005) indicates however that populations are not 84 homogenous and likely display genetic structure.

In the 1980s, a breeding program to protect the genetic resources of *P. koraiensis* was started in China and a clonal seed orchard (CSO) was established including provenances from the whole distribution area. However, the genetic diversity and population structure of the different provenances had not be assessed as well as their progeny. Therefore, our objectives of this study were, based on six populations of *P. koraiensis* in the CSO, to (i) estimate genetic diversity and population structure of *P. koraiensis* provenances, and (ii) to compare the genetic variation of maternal populations and offspring populations that represent first generation hybrids produced in the CSO.

### 92 **2. Materials and Methods**

#### 93 **2.1. Study site and plant material**

94 We studied the Hongwei Seed Orchard which is located in Lushuihe town, northeast China (42° 28' 95 30" N 127° 47' 00"E, 760~800 m a.s.l., 2.7°C mean annual temperature, 871 mm mean annual 96 precipitation) and was established in 1989. This clonal seed orchard (CSO) was established from 97 cuttings of 660 Pinus koraiensis mother trees which were selected by phenotypic evaluation (volume, 98 height, diameter at breast height, bole straightness, and branching habits) from 17 provenance areas in 99 Northeast China. Each provenance has 20 to 60 mother trees (clones) and each clone is represented by 100 10 to 20 ramets. The CSO has a total area of 16.33 ha with rectangular planting scheme with 3 m 101 distance between trees in 17 plots. All ramets were distributed using random block designs, and 102 avoiding close vicinity of ramets from the same clone. This work is guided on "Observation 103 Methodology for Long-term Forest Ecosystem Research" of National Standards of the People's 104 Republic of China (GB/T 33027-2016).

We selected six provenances, henceforward called "populations" (Table 1), representing a S-N cline with the two northernmost populations (DL, FL) separated from the southern populations by the river Heilong Jiang (Amur). The minimum and maximum geographic distances among populations ranged from 100 km to 650 km. For each population, we collected both needles (henceforward referred to as "mothers") and seeds from one random ramet per clone for each of 25 clones (Table 1). Seeds were germinated and then the needles of one random seedling per clone were sampled. All samples were stored at -80 °C before DNA extraction.

112 **Table 1** Names, population ID, and original site coordinates of *Pinus koraiensis* populations analysed.

Population	ID	Latitude (°)	Longitude (°)	Annual Mean Temperature (°C)	Annual Mean Precipitation (mm)
Lushuihe	LSH	42.53	127.80	4.12	783.68
Huangnihe	HNH	43.55	128.01	3.76	635.42

Dahailin	DHL	44.52	128.86	1.14	619.72
Dongfanghong	DFH	46.58	133.58	3.17	648.99
Dailin	DL	47.18	128.85	0.30	574.59
Fenglin	FL	48.13	129.19	0.04	596.15

### 113 **2.2. Genotyping**

114 Total genomic DNA was extracted from leaf tissue using the DNAsecure Plant Kit DP320 (TIANGEN) 115 according to the manufacturer's instructions. The quality and concentration of genomic DNA were 116 assessed by agarose gel electrophoresis. Samples were genotyped at eight dinucleotide and one 117 trinucleotide SSR markers (P5, P6, P29, P45, P51, P52, P62, P63 and P79; see supplement Table S1) 118 (Yu et al., 2012; Jia et al., 2017). PCRs for all loci were performed separately in a 20 µL reaction 119 volume containing 40 ng of genomic DNA, 0.3 µM concentrations of each primer (the forward primers 120 were labelled with a fluorescent dye (FAM or HEX)), 0.1mM dNTP (TransGen Biotech, Beijing, 121 China), 2 µL 10 × buffer and 1.0 U Taq DNA Polymerase (TransGen Biotech, Beijing, China). Cycling 122 parameters were initial denaturation at 94 °C for 5 min; followed by 35 cycles of denaturation at 94 °C 123 for 30 s, annealing for 35 s (for annealing temperature, see supplement Table S1), extension at 72 °C 124 for 40 s; and final extension at 72 °C for 3 min. The amplification products were separated on the 125 ABI3730XL DNA analyzer (Applied Biosystems) using GS-500 LIZ (Applied Biosystems) as internal 126 size standard. Allele binning and genotyping were performed with GeneMarker <sup>®</sup> software 127 (SoftGenetics LLC, State College, PA, USA).

## 128 2.3. Data Analysis

### 129 Genetic diversity indices

We calculated a set of population genetic diversity estimators using GeneAlEx 6.5.1 (Peakall and Smouse, 2012). The observed ( $N_a$ ) and effective ( $N_e$ ) number of alleles, the observed ( $H_o$ ) and expected ( $H_e$ ) heterozygosity and the Shannon diversity index (I) were all calculated for each locus, over all loci, and for each population. In addition, we calculated allelic richness ( $A_r$ ) and the inbreeding coefficient  $F_{IS}$  (Weir and Cockerham, 1984) in FSTAT 2.9.3 (Goudet, 1995).

# 135 **Population structure analysis**

Genetic population structure was assessed and quantified in several steps. First, Principal coordinatesanalysis (PCoA) was used to illustrate genetic distances between individuals. Next, analysis of

138 molecular variance (AMOVA) (1,000 permutations) based on the degree of genetic divergence among 139 populations was performed using GeneAlEx 6.5.1 (Peakall and Smouse, 2012). In addition, genetic 140 structure was assessed with a Bayesian clustering algorithm using STRUCTURE version 2.3.4 (Hubisz 141 et al., 2009). The range of possible number of clusters (K) tested was from 1 to 13 for all populations 142 and 7 for mother and seed populations (number of populations plus 1), for which a series of ten 143 independent runs was performed with a burn-in period of 10 000 steps followed by 100 000 MCMC 144 replicates. We used provenance as location prior (LOCPRIOR) (Hubisz et al., 2009) under the 145 admixture model. Note that using a location prior will enable detection of weak genetic differentiation 146 among locations only when differentiation is actually present (Manual of Structure software). The ad 147 hoc statistic  $\Delta K$  (Evanno et al., 2010) together with inspecting the log-likelihood values was used to 148 identify the most likely number of clusters. The ten runs from the most probable K were averaged by 149 applying a FullSearch algorithm by CLUMPP 1.1.2 (Jakobsson and Rosenberg, 2007).

#### 150 Statistical analysis

Mean values of genetic diversity descriptors were compared among sample types or populations by Ttest in R (Team, 2013). We tested for clinal variation of genetic diversity descriptors with latitude with linear models in R.

154 **3. Results** 

#### 155 **3.1. Genetic diversity**

156 The nine SSR loci assayed were all polymorphic in *Pinus koraiensis*. Overall, there were a total of 73 157 different alleles  $(N_a)$  with an average of 6.0 alleles per locus. Among loci, locus P51 revealed the 158 highest level of polymorphism across all diversity descriptors, while P5 and P29 showed lowest values 159(Tab. S2). Among loci, observed heterozygosity ( $H_o$ ) varied from 0.457 to 0.890 with an average of 160 0.685, expected heterozygosity ( $H_e$ ) was just slightly lower than  $H_o$ , and ranged from 0.422 (P29) to 161 0.853 (P51), with an average of 0.624 (Tab. S2).  $A_r$  ranged from 2.5 (P5) to 11.3 (P51), and the average 162  $A_{\rm r}$  was 6.7 (Tab. S2). The  $F_{\rm IS}$  showed negative and significant values in loci P6, P45, P51, P52 and P63, 163 and the overall  $F_{\rm IS}$  calculated across 9 loci was -0.109 (Tab. S2, P < 0.05), indicating the existence of 164 heterozygosity excess.

Genetic diversity parameters and inbreeding coefficients at population level are given in Table 2. In mothers, for all the diversity descriptors, the two northernmost populations had the highest values. 167 When grouped into northern and southern populations, the northern group had significantly higher 168 values for  $N_a$ , I,  $H_e$ ,  $uH_e$  and  $A_r$  (Tab. 2). When testing for clinal variation with latitude,  $N_a$ , I and  $A_r$ 169 showed increasing values towards North (Tab. 2). The  $F_{\rm IS}$  showed significantly negative values in four 170 populations indicating slight departure from Hardy-Weinberg equilibrium with heterozygosity excess. 171Almost all diversity descriptors were correlated between mothers and seeds ( $H_e$ ,  $uH_e$ , I: p<0.05;  $N_a$ ,  $A_i$ : 172p<0.1). For all diversity descriptors values where higher in seeds than in mothers, significantly so in  $N_a$ , 173 I, and A<sub>r</sub>. The spatial patters found for mothers were similarly found, however with reduced strength, in 174seeds as the two northern populations had significantly higher values than the southern populations for 175I,  $H_{\rm e}$ ,  $H_{\rm e}$  and  $uH_{\rm e}$ , but only marginally significantly higher values for  $N_{\rm a}$  and  $A_{\rm r}$ . Clinal variation with 176 latitude was only marginally significant for the same parameters as in mothers ( $N_a$ , I,  $A_r$ ). The 177 inbreeding coefficient was significantly negative in four seed populations, but  $F_{IS}$  was not correlated 178 between mothers and seeds.

**Table 2** Genetic diversity parameters for the mother and seed populations of six *P. koraiensis* analyzed at nine microsatellite loci: mean number of alleles ( $N_a$ ), mean number of effective alleles ( $N_e$ ), Shannon's index (*I*), observed heterozygosity ( $H_o$ ), expected heterozygosity ( $H_e$ ), unbiased expected heterozygosity ( $uH_e$ ), allelic richness ( $A_r$ ) and inbreeding coefficient ( $F_{IS}$ ). In addition, correlation coefficients and p-value of correlation with latitude and mean values for mother and seed populations, and p-value of an ANOVA testing for differences between northern and southern populations as well as mothers and seeds.

Population	Туре	$N_{ m a}$	$N_{ m e}$	Ι	$H_{\circ}$	$H_{ m e}$	uH <sub>e</sub>	$A_{ m r}$	$m{F}_{ m is}$
LSH	mother	5.2	3.3	1.212	0.649	0.603	0.616	5.222	-0.055
HNH	mother	5.2	3.2	1.210	0.680	0.610	0.622	5.222	-0.095 *
DHL	mother	5.3	3.3	1.220	0.693	0.612	0.624	5.333	-0.113 *
DFH	mother	5.3	3.7	1.235	0.600	0.593	0.605	5.333	0.009
DL	mother	5.8	3.6	1.333	0.720	0.638	0.652	5.778	-0.108 *
FL	mother	5.8	3.4	1.319	0.716	0.643	0.656	5.778	-0.092 *
Correlation	r	0.854	0.677	0.852	0.304	0.628	0.626	0.866	0.014
mother vs. latitude	р	0.030	0.139	0.031	0.558	0.181	0.184	0.026	0.979
ANOVA N vs. S	р	< 0.001	0.520	< 0.001	0.114	0.006	0.005	< 0.001	0.422
LSH	seed	6.3	3.6	1.305	0.707	0.622	0.635	6.333	-0.116 *
HNH	seed	6.4	3.3	1.279	0.640	0.623	0.636	6.444	-0.007
DHL	seed	6.1	3.8	1.320	0.644	0.633	0.646	6.111	0.002
DFH	seed	6.6	3.6	1.303	0.667	0.612	0.625	6.556	-0.069 *
DL	seed	6.8	3.6	1.366	0.724	0.646	0.660	6.778	-0.100 *
FL	seed	6.7	3.5	1.364	0.782	0.657	0.671	6.667	-0.170 *

Correlation	r	0.792	0.06	0.772	0.609	0.616	0.623	0.732	0.509
seed vs. latitude	р	0.061	0.910	0.072	0.199	0.193	0.187	0.098	0.302
ANOVA N vs. S	р	0.065	0.882	0.008	0.038	0.016	0.014	0.069	0.135
Mean	mother	5.4	3.4	1.255	0.676	0.617	0.629	5.444	-0.076
Mean	seed	6.5	3.6	1.323	0.694	0.632	0.645	6.481	-0.077
Correlation	r	0.773	0.273	0.937	0.440	0.978	0.977	0.744	-0.142
mother-seed	р	0.071	0.600	0.006	0.382	< 0.001	< 0.001	0.090	0.789
ANOVA mothers vs. seeds	р	< 0.001	0.178	0.031	0.557	0.170	0.165	< 0.001	0.976

186 **3.2. Genetic differentiation and population structure** 

The non-hierarchical AMOVA (Table 3) showed that 4.25%, 3.49% and 2.27% of genetic variation was among populations (P < 0.001) for all, mother, and seed populations, respectively, with the rest residing within populations. Pairwise  $F_{ST}$  values were low (0.009 to 0.035), but often significant (Table 4, S3). For the mother populations, nine out of 15 pairwise  $F_{ST}$  values were significant, while for the seed populations six were significant (Table 4). Pairwise  $F_{ST}$  values were significant mostly for comparisons including the two northern populations.

193 **Table 3** Non-hierarchical AMOVA for all, mother and seed populations of *P. koraiensis*, and a 194 hierarchical AMOVA with mothers and seeds as groups for all populations, as well as with the two 195 northern and four southern populations as two geographic groups for mother populations and seed 196 populations; significant values are indicated with \* (P < 0.05).

	Source of		Sum of	Variance	Percentage of
Populations	variation	df	square	components	variation
	Among Pops	11	122.680	0.235	4.25% *
All	Within Pops	288	1522.160	5.285	95.75% *
	Total	299	1644.840	5.520	100%
	Among Pops	5	49.707	0.189	3.49% *
Mother	Within Pops	144	752.000	5.222	96.51% *
	Total	149	801.707	5.411	100%
	Among Pops	5	42.293	0.124	2.27% *
Seed	Within Pops	144	770.160	5.348	98.73% *
	Total	149	812.453	5.473	100%
	Between groups: mothers vs. seeds	1	30.680	0.143	2.56% *
All	Among Pops	10	92.000	0.157	2.80% *
	Within Pops	288	1522.160	5.285	94.63% *
	Total	299	1644.840	5.585	100.00%
	Between groups: N vs. S	1	16.857	0.130	2.37% *
Mother	Among pops	4	32.850	0.120	2.19% *
	Within pops	144	752.000	5.222	95.44% *
	Total	149	801.707	5.471	100.00%
Seed	Between groups:	1	8.883	0.008	0.15%

N vs. S					
Among pops	4	33.410	0.120	2.19% *	
Within pops	144	770.160	5.348	97.66% *	
Total	149	812.453	5.476	100.00%	

197 Furthermore, a hierarchical AMOVA analysis revealed significant differentiation (2.56%) between 198 groups of mother and seeds (P < 0.001), and a similar amount of variation (2.80%) among populations 199 within groups (P < 0.001) (Table 3). Similarly, a hierarchical AMOVA was carried out according to the 200 results of the genetic diversity analysis which indicated that the two northern and four southern 201 populations could be considered as two geographic groups. For the mother populations, genetic 202 variation between groups was 2.37% (P < 0.001) and variation among populations within groups was 203 2.19% (P < 0.001, Table 3). However, in the seed populations, the variation between groups was not 204 significant and 2.19% resided among populations within groups (Table 3).

205 Table 4 Pairwise  $F_{ST}$  for all populations of *P. koraiensis*, significant values are indicated with \* (P <

206 0.05).

		moth	ners						seeds			
LSH	HNH	DHL	DFH	DL	FL	LSH	HNH	DHL	DFH	DL	FL	
0.000												LSH
0.011	0.000											HNH
0.015 *	0.012	0.000										DHL
0.011	0.014	0.013	0.000									DFH
0.033 *	0.023 *	0.018 *	0.032 *	0.000								DL
0.017 *	0.014 *	0.012	0.016 *	0.019 *	0.000							FL
0.016	0.019	0.019	0.023	0.031	0.018	0.000						LSH
0.029	0.021	0.014	0.027	0.020	0.023	0.021 *	0.000					HNH
0.020	0.019	0.011	0.018	0.019	0.018	0.014	0.013	0.000				DHL
0.014	0.020	0.018	0.016	0.035	0.019	0.011	0.028 *	0.015	0.000			DFH
0.021	0.021	0.017	0.025	0.020	0.016	0.009	0.017 *	0.012	0.013	0.000		DL
0.029	0.029	0.023	0.028	0.027	0.019	0.014	0.014 *	0.016 *	0.023 *	0.010	0.000	FL

207 The subsequent STRUCTURE analysis provided additional information on number of gene pools and 208 the level of genomic mixture and admixture among populations. For mothers, the most probable 209 division with the strongest support in terms of log-likelihood values was at K = 2 (Fig. S1), with 210 southern populations LSH and HNH representing pure cluster I (blue), northern populations DL and FL 211 pure cluster II (red) and intermediate populations DHL and DFH being admixed (Fig. 1). For seeds, 212 only a single cluster was found, with L(K) being highest at K=1 and no geographic separation of 213 populations whatsoever (Fig. S2, S3). Analyzing all populations, the  $\Delta K$  value reached a maximum for 214 K = 2 with mothers (blue) and seed (red) populations representing the two clusters (Fig. 2, S4).



Fig. 1. Results of the STRUCTURE analysis of mother populations at K = 2. Each individual is represented by a single vertical bar, which is partitioned among gene pools. Colours represent genetic clusters and the coloured segments show the individual's estimated ancestry proportion.

219



Fig. 2. Results of the STRUCTURE analysis of all populations at K = 2.

222 **4. Discussion** 

### 223 4.1. Genetic diversity

The main task of seed orchards is to produce a large number of high genetic quality seeds for reforestation without reducing its genetic diversity (Chaloupkova *et al.*, 2019). In this paper, we analysed genetic diversity and population structure of *Pinus koraiensis* in a CSO, to assess the degree of genetic differentiation among provenances and the changes in genetic variation between mothers and seeds.

229 Firstly, the average expected heterozygosity of the mother populations of *Pinus koraiensis* in this study 230 was 0.617, indicating that genetic diversity was high. This result is similar to most current research 231 revealing high levels of genetic diversity in Pinus species when assessed with SSR markers. For 232 example, He was 0.782 for P. thunbergii (Iwaizumi et al., 2018), 0.601 in P. koraiensis (Feng et al., 233 2010), 0.55 in P. sylvestris (Bernhardsson et al., 2016), 0.586 also in P. sylvestris (Toth et al., 2017), 234 0.531 in P. strobus (Mandak et al., 2013), and 0.428 in P. yunnanensis (Xu et al., 2016). Meanwhile, 235 we found a pattern of genetic diversity for *P. koraiensis* in this study, that is, the genetic diversity of the 236 northern populations was higher than that of the southern populations. This is in contrast to results of 237 Kim (Kim et al., 2005) who found a large-scale decline of variation from south (North Korea) to north (Russia). Thus, large scale patterns are not necessarily true on a more regional scale. This pattern went also undetected in Kim *et al.* (2005), potentially due to biased sample sizes or choice of genetic markers or of populations. Anyway, the results indicate that against a general cline of northward reduction of genetic diversity, populations in Daxinganling mountains maintained an increased level of diversity.

243 Secondly, the level of genetic diversity of the seed populations in our study was actually higher than 244 that of the mother populations. The number of alleles increased on average across loci and populations 245 by one allele from 5.4 to 6.5 and  $H_e$  increased from 0.617 to 0.632. Thus, the progeny populations not 246 only maintain but actually surpass the maternal level of genetic diversity. This confirms the hypothesis 247 that the ex situ conservation can preserve the species' gene pool, provided that a diverse wild gene pool 248 is encompassed. In contrast, both in natural forests and orchards, usually a constant genetic diversity 249 was found across generations. Roberds & Conkle found that there was no significant change allele 250 frequencies between the maternal and progeny populations of Pinus taeda (Roberds and Conkle, 1984). 251 Furthermore, for Pinus massoniana (Ai et al., 2006) and Pseudotsuga menziesii (Prat and Arnal, 1994) 252 in CSOs is was shown that genetic diversity is maintained across generations. The underlying causes 253 for the observed increase of diversity in our study likely is first the rich gene pool of 17 source 254 populations representing the whole species range in China, second the efficient pollen dispersal of P. 255 koraiensis up to 60 m (Feng et al., 2010) and third the planting strategy in this CSO that maximizes the 256 probability of crossing between accessions. Therefore, the genetic diversity of the seed populations of 257 P. koraiensis can not only be maintained, but increased in this CSO. Still, the geographic pattern of 258 increased variation in northern populations is maintained, although with reduced effect in the seed 259 populations.

### 260 4.2. Genetic Structure and Genetic Differentiation

The population structure is important for establishing the appropriate scale and subunits for conservation management (Moritz, 1999). It is affected by mutation, gene flow, natural selection and genetic drift, and thus is related to the evolutionary history and biological characteristics of the species (Loveless and Hamrick, 1984; Schneller and Liebst, 2007). Population structure is manifested mainly by genetic differentiation among populations (Frankham *et al.*, 2002). As expected for conifer tree species, we found an overall low level of population differentiation ( $F_{st} = 0.029$  and 0.025 for mother and seed populations) according to Wright's study (Wright, 1965), which is consistent with the findings
of most species with outcrossing breeding system and wind pollination (Belletti *et al.*, 2012; Iwaizumi *et al.*, 2013; Mandak *et al.*, 2013; Durka *et al.*, 2017). *Pinus koraiensis* is wind pollinated and
outcrossing (Feng *et al.*, 2010), and its seeds are dispersed mainly by birds and rodents (Miyaki, 1987).
Such pollination and seed dispersal syndromes are beneficial to increase gene flow within and among
populations (Nybom, 2004; Petit *et al.*, 2005), and consequently to reduce genetic differentiation
among populations.

274 According to the genetic diversity parameters and the STRUCTURE analysis, the six mother 275 populations can be divided into two groups, with the two northern populations in the Daxinganling 276 Mountains as one group displaying higher genetic diversity and the southern four populations in the 277 Changbai Mountains and its adjacent highlands as another group with lower genetic diversity. These 278 groups are separated by the large Heilong Jiang river valley which may have restricted gene flow 279 between these geographic regions. While populations in the Daxinganling Mountains have always been 280 part of natural forests with low human disturbance, the southern region has long been subjected to 281 strong human disturbance. In addition, the regions also differ climatically which may have resulted in 282 adaptive divergence among these regions. It is important to mention that one of the nine genetic 283 markers used are EST-SSR (P79), i.e. it is part of the expressed genome. Thus unlike random genomic 284 SSRs, which are typically considered to be neutral (Vieira et al., 2016), the EST-SSR markers are more 285 likely to be under selection. Thus our data suggest that adaptive divergence may be at least partially 286 responsible for genetic divergence between the two gene pools.

287 Both adaptive divergence and differences in human intervention are unlikely to be responsible for 288 differences in genetic variation between the two population groups. However, the maternal population 289 genetic structure and level of diversity also reflects the glacial refugia and postglacial expansion 290 processes to a certain extent. A comprehensive study of the phylogeography of Pinus koraiensis is 291 lacking and both a multiple refugia hypothesis (Potenko and Velikov, 1998) and a single-refugium 292 hypothesis with a latitudinal cline of genetic variation (Kim et al., 2005; Aizawa et al., 2012) have 293 been stated. Our data suggest that a scenario of a single refugium with later northward expansion 294 resulting in constant decrease of genetic variation from south to north likely is too simple. More 295 complex scenarios have to be considered and the high diversity detected in the Daxinganling 296 Mountains may indicate a role as a local refuge.

However, for the seed populations in the CSO, the genetic population structure of the six populations became similar and clustered into just one group. This occurred due to the efficient mixing of gene pools among mother populations in the CSO. Thus, while seeds produced in the CSO have efficiently mixed gene pools resulting in increased diversity, but still maintaining regional differences in diversity, the primary geographically organized population differentiation, which may be in part due to regional adaptation, was wiped out.

### 303 **4.3. Implications for forest management and conservation of** *Pinus koraiensis*

304 Pinus koraiensis is a second-class protected precious timber species in China, it has formed rich genetic 305 variation by long-term geographical isolation and natural selection (Feng et al., 2010; Park et al., 306 2017). At present, natural populations of P. koraiensis are declining due to habitat fragmentation and 307 reduced population size entailing also long-term risks for its genetic resources. On the basis of the 308 genetic diversity and population structure of P. koraiensis in this study, several strategies for more 309 effectively protecting this species may be proposed: (1) The population genetics of the Korean pine 310 should be studied and integrated with analysis techniques of molecular biology (e.g. transcriptome), 311 physiology (e.g. rates of photosynthesis, water use efficiency, frost resistance) (Hofmann et al., 2015), 312 and ecology to evaluate the ability to survive future changes and planning conservation strategies. (2) 313 Strengthening the protection of existing natural forests by conserving their habitats, prohibiting timber 314 harvest and installing sustainable management regimes are essential actions for maintaining the genetic 315 diversity of this species. (3) In this paper, we have shown that the genetic diversity of the progeny 316 population in the CSO is slightly increased compared with the maternal population, providing evidence 317 that the gene pool of *P. koraiensis* as present in the CSO can be preserved by the *ex situ* conservation. 318 Therefore, strengthening the *ex situ* conservation efforts and combining them with *in situ* conservation, 319 can not only protects the *P. koraiensis* resources, but also enriches its genetic diversity. Lastly, 320 however, our finding that P. koraiensis in China is divided into a Southern and a Northern gene pool 321 suggests that the two clusters represent regionally adapted gene pools. Thus the question arises whether 322 hybrid offspring with higher diversity and potential hybrid fitness effects, e.g. heterosis (Agrawal, 323 2009; Oakley et al., 2015), actually show higher fitness in the field compared to local seed that may 324 have lower diversity, but is regionally/locally adapted and therefor may outperform foreign genotypes. 325 To assess the relevance of the "Mix or Match" debate (Lesica and Allendorf, 1999; Bucharova et al., 326 2019), more extensive experimental research should be carried out.

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