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# Sewage from airplanes exhibits high abundance and diversity of antibiotic resistance genes

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## <sup>1</sup> Abstract

Airplane sanitary facilities are shared by an international audience. We hypothesized 2 the corresponding sewage to be an extraordinary source of antibiotic resistant bacteria 3 (ARB) and resistance genes (ARG) in terms of diversity and quantity. Accordingly, 4 we analyzed ARG and ARB in airplane-borne sewage using complementary approaches: 5 metagenomics, quantitive PCR, and cultivation. For the purpose of comparison, we also 6 quantified ARG and ARB in the inlets of municipal treatment plants with and without 7 connection to airports. As expected, airplane sewage contained an extraordinary rich 8 set of mobile ARG and the genes' relative abundances were mostly increased compared 9 to typical raw sewage of municipal origin. Moreover, combined resistance against third 10 generation cephalosporins, fluorochinolones and aminoglycosides was unusually common 11 (28.9%) among E. coli isolated from airplane sewage. This percentage exceeds the one 12 reported for German clinical isolates by a factor of eight. Our findings suggest that 13 airplane-borne sewage can effectively contribute to the fast and global spread of antibiotic 14 resistance. 15

16 Keywords: antibiotic resistance, airplane, sewage, wastewater, diversity

## 17 1 Introduction

Since their discovery in the 1940s, antibiotics saved millions of lives, but due to the 18 global spread of resistance genes these drugs rapidly lose their activity. This threat-19 ens the very core of modern medicine by limiting the means to effectively cure bacterial 20 infections (World Health Organization, 2015). In the era of globalization and high mobil-21 ity, pathogenic strains carrying antibiotic resistance genes (ARG) are spreading quickly 22 and globally (Nordmann et al., 2011). In the endeavor to decelerate or stop the spread 23 of antibiotic resistance, it is necessary to identify the hotspots and pathways of ARG 24 dissemination. 25

Airports are places where sewage of people from different parts of the world is collected and, after treatment, released in the local aquatic environment. It is thus reasonable to assume that airports serve as an entrance for ARG which are endemic in specific parts of the world while being rare or absent in the flights' country of destination. This should be reflected in a particularly high diversity of ARG in airplane-borne sewage as compared to conventional municipal wastewater (hypothesis 1).

The prevalence of antibiotic resistant bacteria (ARB) is known to be linked with 32 veterinary and human antibiotic use (World Health Organization, 2014). Specifically, 33 Forslund et al. (2013) found the resistance potential in human guts to be positively 34 correlated with country-specific antibiotic use. In Germany, antibiotic consumption is 35 relatively low compared to, e.g., China, India, or the USA (Van Boeckel et al., 2014) 36 and it is also lower than in many member states of the EU (European Surveillance of 37 Antimicrobial Consumption Network, 2017). Consequently, airplane-borne sewage was 38 hypothesized to contain ARB and ARG in elevated abundances as compared to standard 39 municipal sewage generated by the local population (hypothesis 2). 40

The two hypotheses related to the diversity and abundance of ARG were tested by 41 the complementary approaches of metagenome sequencing, quantitative PCR (qPCR), 42 and cultivation, thereby providing a comprehensive picture of antimicrobial resistance 43 in studied systems. Specifically, the strength of the metagenomics approach lies in its 44 capability to detect and quantify the full spectrum of ARG with the downside of lim-45 ited sensitivity. Quantitative PCR, on the other hand, allows even rare genes and gene 46 variants to be quantified since the target sequences are specifically amplified. However, 47 qPCR necessarily has a narrow focus dictated by the chosen primers. Finally, suscepti-48 bility tests provide the only means to study phenotypic antibiotic resistance, including 49 multi-resistance. Unlike the other techniques, cultivation covers a small part of the mi-50 crobial community only. 51

In this study, any of the three approaches was used to quantify antibiotic resistance in untreated sewage collected from (1) airplane tanks and (2) the inlets of municipal wastewater treatment plants (WWTP). The set of WWTP was chosen such that some of the plants received wastewater from the nearest airport while others did not. The latter distinction was made to test whether the hypothesized peculiarities of airplane-borne sewage are still noticeable after mixing with "conventional" municipal wastewater.

## 58 2 Material and methods

#### <sup>59</sup> 2.1 Sampling

Eight grab samples of airplane-borne sewage were obtained from five different airports, three of which were sampled twice (with sufficient delay to ensure independence). Three of the airports had >20 million passengers p.a. in 2015 while the other two were of intermediate size (>10 million passengers p.a.) or smaller. The sewage was gathered from vacuum trucks collecting the waste from multiple aircrafts. Since the aircraft's tanks are emptied on demand, each tank contains sewage from multiple flights. The
samples thus represent pooled samples integrating over space and time.

Incoming sewage from WWTP having a connection to airports was collected at six 67 different locations, two of which were visited twice (total number of eight samples; each 68 being a 24 h composite). At the respective plants, airport-borne sewage was known 69 to contribute at least 20% of the total inflow. These 20% represent a mixture of fecal 70 material from airplane tanks with sewage from other airport facilities such as terminal 71 buildings. Detailed data on mixing ratios and its temporal variation were unavailable to 72 us. Surface runoff from airports is generally disposed separately from sewage not least 73 because of the possible contamination with, e.g., de-icing agents. 74

Incoming sewage from WWTP without connection to airports was collected at six
locations. At one of the locations, samples were taken from four different sewers and
another plant was sampled multiple times (with sufficient delay to ensure independence).
The total number of 24 h composite samples was 19.

All samples were stored in 1 L sterile glass bottles at 4 °C and processed within 24 h.
Relevant meta-information is provided in Table S.1.

#### 81 2.2 Metagenomics

DNA was extracted using the PowerWaterKit (MoBio, Vancouver, Canada; see Ta-82 ble S.1 for amount and quality of DNA). All samples were shotgun-sequenced on a 83 MiSeq device (2×150 bp; GATC Biotech AG, Konstanz, Germany). Quality checking and 84 trimming (q=28, minimum length=100 bp) was performed using TrimGalore! (http: 85 //www.bioinformatics.babraham.ac.uk/projects/trim\_galore/). The preprocessed 86 forward reads were then aligned to the latest (2019-03-05) resfinder data base (Zankari 87 et al., 2012). Specifically, we relied on the python implementation of the resfinder 88 script which is publicly available from the Center for Genomic Epidemiology (http: 89 //www.genomicepidemiology.org/). The resfinder script attempts to match the nu-90 cleotide sequences contained in the samples with the sequences of known ARG as stored in 91 the resfinder data base. Internally, the resfinder script calls the Basic Local Align-92 ment Search Tool (blastn; http://blast.ncbi.nlm.nih.gov) to compare nucleotide 93 sequences. blastn-reported alignments with e-values exceeding  $10^{-10}$  were generally 94 ignored to minimize the chance of false positive hits. 95

The set of unique ARG contained in a particular sample was established from the full 96 list of resistance genes whose signatures matched with a nucleotide sequence from the 97 sample. Technically speaking, the obtained list of hits was filtered to remove any dupli-98 cate gene identifiers. The **resfinder** data base holds information on a large number genes 99 and variants, e.g. about 2000 of the registered ARG target beta-lactams. So as to focus 100 on ARG diversity at a higher level, we generally truncated the genes' identifiers at the 101 first underscore before establishing the unique set of ARG. For example, instead of count-102 ing the tetracycline resistance gene variants tet(M)\_7\_FN433596 and tet(M)\_1\_X92947 103 as individual instances, they were commonly registered as just tet(M). For the purpose 104 of additional quality assurance, a particular gene was only registered as present if its 105 signature was detected at least three times. Moreover, nucleotide sequences matching 106 the signatures of multiple ARG registered in the **resfinder** data base (ambiguous hits), 107 were ignored altogether. 108

#### 109 2.3 Quantitative PCR

Fourteen ARG were selected for qPCR-based quantification in line with activities of international research consortia investigating antimicrobial resistance in aquatic environments. The set of ARG includes common and rare ones with a special focus on clinically

relevant genes coding for carbapenemases (bla<sub>TEM</sub>, bla<sub>CTX-M-15</sub>, bla<sub>CTX-M-32</sub>, bla<sub>CMY-2</sub>, 113 bla<sub>OXA-48</sub>, bla<sub>OXA-58</sub>, bla<sub>KPC-3</sub>, bla<sub>NDM-1</sub>, bla<sub>VIM-2</sub>, ermB, mecA, mcr1, tetM, sul1). All 114 ARG as well as 16S rRNA genes were quantified following the same procedure as de-115 scribed in Heß et al. (2018). The relevant references for the primers of the additionally 116 quantified ARG are the NORMAN network (www.norman-network.net) for bla<sub>TEM</sub>, and 117 bla<sub>CTX-M-32</sub>, the ANSWER project (www.answer-itn.eu) for bla<sub>CTX-M-15</sub>, Kurpiel and 118 Hanson (2012) for bla<sub>CMY-2</sub>, Monteiro et al. (2012) for bla<sub>OXA-48</sub>, Hembach et al. (2017) 119 for mcr1, and Peak et al. (2007) for tetM. Primer sequences can be found in Table S.2. For 120 the 16S rRNA gene, sull and  $bla_{CTX-M-32}$  the pNORM plasmid designed by Ch. Merlin 121 (University of Lorraine, France; www.norman-network.net) was used as standard. For 122 the remaining genes, standards were created by cloning the respective qPCR amplicons 123 into the pGEM-T vector (Promega, Madison, Wisconsin, USA). 124

The detection limit was  $10^2$  copies per reaction for all genes. The efficiency of these assays was between 0.9 and 1 with  $R^2 > 0.997$  for all the runs. All genes were quantified in duplicates with a standard deviation of the cycles < 0.2.

#### 128 2.4 Standardization of data and community analysis

In the context of this study, the diversity and abundance of ARG was to be compared 129 across samples of varying composition and dilution (toilet waste, municipal wastewater), 130 hence, standardization was required. With regard to ARG abundances, we adopted the 131 common practice of dividing absolute ARG counts by the corresponding number of 16S 132 rRNA copies (see, e.g. Pärnänen et al., 2019). The resulting numbers are referred to as 133 relative abundances. We applied the same standardization also to metagenomics-based 134 information on ARG diversity. Specifically, the diversity of resistance genes was expressed 135 as the number of unique ARG per 1000 copies of 16S rRNA genes. 136

The calculation of relative ARG abundances from qPCR-based data involved a qPCRbased quantification of 16S rRNA genes (see Table S.2 for primer). Likewise, metagenomicsbased information on ARG was standardized using metagenomics-based estimates on the abundance of 16S rRNA genes. The latter was extracted from the nucleotide sequences using METAXA2 (Bengtsson-Palme et al., 2015b, version 2.1.3).

The number of 16S rRNA gene copies per bacterial cell is known to vary between 142 taxonomic groups. Consequently, a comparison of relative ARG abundances (or ARG 143 diversities) requires that the respective microbial communities are similar in terms of 144 taxonomic composition or, at least, with regard to the community-weighted average 145 number of 16S rRNA gene copies per cell. We employed METAXA2 to infer information 146 on the composition of the microbial community based on 16S rRNA. Finally, we relied 147 on the **rrnDB** data base (Stoddard et al., 2015) to compute for all metagenomics-samples 148 the expected average number of 16S rRNA copies per bacterial cell taking into account 149 taxonomic groups and their proportions. The matching of taxonomic items reported by 150 METAXA2 with items registered in rrnDB was successful at family level in 86% of the cases, 151 at genus level in 54% of the cases and at species level in 10% of the cases. 152

#### <sup>153</sup> 2.5 Bacteria isolation and susceptibility testing

Escherichia coli was chosen as a model organism because it is widely considered as a fecal
indicator and resistance levels of *E. coli* from different origins are well documented (e.g.
European Centre for Disease Prevention and Control, 2017; Osińka et al., 2017; Rosas
et al., 2015). *E. coli* is furthermore a potential pathogen and its harboring of resistance
genes can thus directly impact human health.

To obtain at least 24 *E. coli* isolates from each sample, suitable dilutions were plated on mFC agar (Carl Roth, Karlsruhe, Germany). After  $18 \pm 2$  h of incubation at 44 °C <sup>161</sup> blue colonies were streaked on Brilliance agar (Oxoid, Wesel, Germany) and grown <sup>162</sup> overnight at 37 °C to obtain pure cultures. To identify the isolates as *E. coli*, colony <sup>163</sup> PCR was performed as in Heß et al. (2018) to amplify a species specific fragment of the <sup>164</sup> yccT gene.

In total, 1140 *E. coli* isolates (Table S.1) were tested against 24 antibiotics which are commonly used to treat the respective infections (187 isolates from airplanes, 161 and 362 isolates from the inflow of municipal treatment plants with and without connection to an airport). The tests followed the EUCAST guidelines (agar diffusion test; www. eucast.org) with *Escherichia coli* ATCC 25922 as a quality control. Applying the clinical breakpoints defined by EUCAST, the isolates were classified as resistant or susceptible (intermediary was counted as susceptible).

#### 172 2.6 Statistics

Data analysis was conducted with R 3.4.3 (R Core Team, 2017). Relative ARG abun-173 dances in samples of different origin were compared using the Welch test (t.test()) 174 with log-transformed data. A non-parametric rank sum test (wilcox.test()) was used 175 to test for differences in ARG richness. Proportion data were tested for significant dif-176 ferences using Fisher's exact test (fisher.test()). In case of multiple tests, p-values 177 were conservatively adjusted with the default p.adjust() method Holm (1979). p-values 178 were marked with asterisks according to the usual convention where \* indicates p < 0.05, 179 \*\* denotes  $p \leq 0.01$  and \*\*\* corresponds to  $p \leq 0.001$ . Bootstrap confidence inter-180 vals (Fig. 5) were generated with boot() using ordinary resampling and  $10^4$  replicates. 181 Rarefaction curves (Fig. 3) were constructed from metagenomics data by evaluating an 182 increasing number of sequences from the whole set of sequences available for a particular 183 sample by means of sampling without replacement (R method sample). To minimize 184 random effects, we considered the medians of five replicate rarefaction curves per sam-185 ple. Statistical models fitted to empirical rarefaction curves (Fig. 3) take the structure 186 of Eqn. 1 where R represents the number of different ARG, n denotes the number of 187 analyzed sequences, and  $R_{inf}$  and h are free parameters, respectively, fitted with R's 188 default optimizer (optim). 189

$$R = R_{inf} \cdot \left(\frac{n}{n+h}\right)^{0.5} \tag{1}$$

Based on the overall number of sequences in a sample and the corresponding number of 16S rRNA copies, the variable *n* was adjusted individually for each sample to pragmatically compensate for varying proportions of bacterial and non-bacterial DNA.

### 193 **3** Results

#### <sup>194</sup> 3.1 Characterization of samples

In accordance with expectation, untreated wastewater sampled at WWTP inlets was generally more diluted as compared to the sewage derived from airplane tanks. This is reflected, for example, in the electric conductivity but also in the number of 16S rRNA gene copies per volume (Table 1). In addition, airplane sewage exhibited higher values of pH which is likely due to an elevated concentration of soap residues.

The metagenomics-derived information on 16S rRNA fragments allowed for a deeper characterization of the samples in terms of the composition of the bacterial community. The latter was very similar in all samples taken at the inlets of WWTP whereas airplaneborne samples showed larger variations in the contribution of different bacterial orders (Fig. 1). Moderate contrasts were observed between samples of different origins in terms

Table 1: Mean values of electric conductivity (EC), pH, and the concentration of 16S rRNA genes in samples of different origins. The corresponding information for individual samples can be found in Table S.1.

Origin	EC (mS $\text{cm}^{-1}$ )	рН	$16S \text{ rRNA gene copies } \text{mL}^{-1}$
Airplane	10.1	8.9	$1.6 \times 10^9$
WWTP, with airport	1.7	7.3	$2.6 \times 10^{8}$
WWTP, no airport	1.1	7.3	$2.9 \times 10^{8}$

of taxonomic diversity. For example, the signatures of 6.5 bacterial families (median) were 205 detected in  $10^5$  nucleotide sequences obtained from airplane sewage. This compares to 206 values of 4 and 3 for wastewater from WWTP with and without connection to airports, 207 respectively. This fact is also visible in Fig. 1 which shows a greater evenness in the 208 contribution of taxonomic groups for airplane-borne samples as compared to WWTP 209 samples. Characteristic differences between samples of different origins were observed, 210 e.g., for Aeromonadales and Campylobacterales (rare in airplane sewage but common 211 in WWTP samples) as well as Enterobacterales and Erysipelotrichiales (rare in WWTP 212 samples but highly abundant in some airplane samples). 213

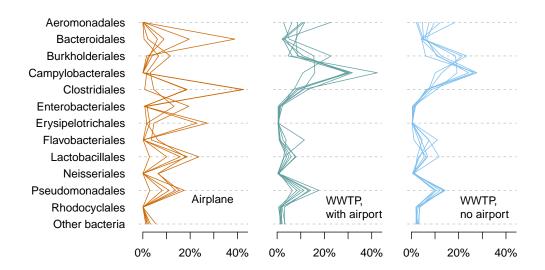
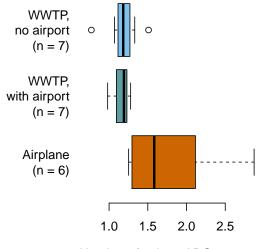


Figure 1: Percentage of 16S rRNA fragments attributable to different bacterial orders. Lines depict individual samples. Bacterial orders which did not contribute at least 5% in any of the samples were dropped for the sake of clarity.

In spite of the differences in taxonomic composition, the expected number of 16S 214 rRNA genes per cell varied only little between sample of different origin. The merging 215 of the metagenomics-based taxonomy data with information from the rrnDB data base 216 resulted in a mean value of 4.9 copies of 16S rRNA genes per cell for airplane-borne 217 samples. The respective mean values for raw wastewater with and without connection to 218 airports were 4.5 and 4.8, respectively. The most extreme ratios observed for individual 219 samples ranged between 4 and 5.5 and none of the differences in means fulfilled the 220 criteria of statistical significance (ANOVA: p > 0.23; all p > 0.13 in post-hoc rank sum 221 tests). In view of that, a standardization of ARG counts by the corresponding number 222 of 16S rRNA genes (see Sec. 2.4) was considered appropriate. In other words, it is very 223 unlikely that marked shifts in the relative abundance of ARG, e.g. between samples of 224 different origin, merely reflect dissimilarities of the respective bacterial communities. 225

#### 226 3.2 Diversity of antibiotic resistance genes

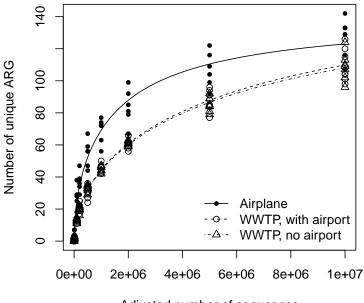
As outlined in Sec. 2.2, the diversity of antibiotic resistance genes was expressed as the 227 number of unique ARG per 1000 copies of 16S rRNA genes. That measure of diversity 228 was found to be significantly increased in airplane sewage as compared to untreated 229 sewage sampled from the inlets of municipal WWTP (Fig. 2; p < 0.05, Wilcoxon rank 230 sum test). On average, a unique ARG was detected every 580 copies of 16S rRNA genes 231 in airplane sewage. In the WWTP samples, a unique ARG appeared every 750 copies of 232 16S rRNA genes. WWTP samples were statistically similar in terms of ARG diversity 233 regardless of whether the plant received sewage from an airport or not (blue vs. green 234 boxes in Fig. 2). 235



Number of unique ARG per 1000 16S rRNA gene copies

Figure 2: Diversity of antibiotic resistance genes in samples of untreated sewage collected from airplanes and WWTP with/without connection to airports. Whiskers extend to the most extreme data points not being classified as outliers.

The numbers on ARG diversity presented in Fig. 2 represent point estimates based 236 on the number of sequences in each sample and the respective number of 16S rRNA gene 237 copies. In order to verify the robustness of these estimates, we computed rarefaction 238 curves for all samples processed with metagenomics (Fig. 3). The latter confirm that 239 samples of airplane sewage are likely to contain a greater number different ARG in a 240 given amount of DNA as compared to untreated wastewater. For example, the average 241 number of unique ARG per  $5 \times 10^6$  sequences was about 110 in airplane-borne samples 242 compared to about 85 in samples taken from the inlets of WWTP (Fig. 3). 243



Adjusted number of sequences

Figure 3: Rarefaction curves relating the number of unique ARG to the number of analyzed sequences (adjusted for varying abundances of 16S rRNA genes). Point symbols represent empirical estimates for individual samples. Statistical models of the form of Eqn. 1 (lines) were fitted to all points of a particular sample origin.

#### 244 3.3 Abundance of antibiotic resistance genes

The metagenomics data indicate an increased relative abundance of ARG in airplane 245 sewage as compared to sewage sampled at the inlets of WWTP (Fig. 4). The elevated 246 prevalence in airplane-borne sewage was most pronounced for ARG directed against 247 phenicols, sulphonamides, and tetracyclin (p < 0.01) as well as aminoglycosides and 248 macrolides (p < 0.05, two-sided Welch test, conservatively adjusted for multiple testing). 249 Contrary to the trend, ARG targeting colistin were more abundant in municipal sewage 250 than in airplane sewage (value near limit of quantification). Generally, the samples taken 251 at treatment plants with and without connection to airports (green and blue symbols in 252 Fig. 4) did not exhibit significant differences in terms of relative abundances according 253 to the Welch test. 254

The auxiliary qPCR-based analyses support the main outcome of the metagenomics approach depicted in Fig. 4. Specifically, the relative abundances of *sul*1 (sulphonamide) and *tet*M (tetracycline) resistance genes were significantly increased in airplane samples compared to samples taken at the inlets of WWTP (Table 2). The median relative abundances differ between the two sample origins by factors of 5 (*sul*1) and 18 (*tet*M), respectively.

With the exception of *bla*<sub>VIM-2</sub>, differences in relative abundance between airplane and WWTP samples were not statistical significant for the remaining ARG (Table 2). Especially for the  $\beta$ -lactamase genes, this finding is compatible with the metagenomic results (Fig. 4) according to which the total prevalence of genotypic  $\beta$ -lactam resistance was only slightly increased in airplane-borne samples.

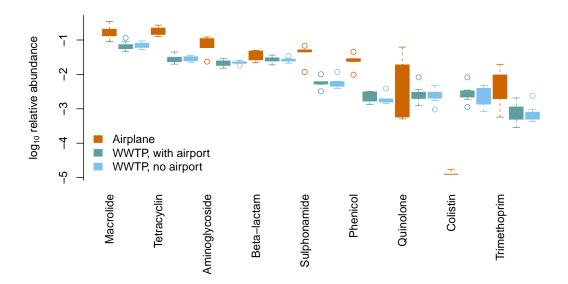


Figure 4: ARG copies per 16S rRNA gene copies in untreated sewage collected from airplanes and WWTP with/without connection to airports. The respective information on ARG and 16S rRNA genes is based on the metagenomics analysis. Genes were aggregated by target drug classes to reduce the complexity of information.

WWTP samples with and without contribution of airport sewage were also processed through qPCR. Like with the metagenomics approach, statistically significant differences between the two origins in terms of relative abundance could not be established (same set of ARG as in Table 2, results not shown).

Table 2: Relative abundance of resistance genes (ARG copies per 16S rRNA gene copies) in airplane sewage and the influent of wastewater treatment plants with connection to airports. Reported numbers are median values obtained by qPCR. Adjusted p-values refer to a two-sided Welch test.

Tarrat alaga	ARG	Airplane	WWTP, with airport	adj.
Target class	AnG	(n=8)	(n=8)	p-value
Colistin	mcr1	$2.8 \times 10^{-6}$	$9.7 \times 10^{-6}$	0.81
MLSB	ermB	$2.0 \times 10^{-2}$	$1.7 \times 10^{-2}$	1
ß-lactam	$bla_{\rm KPC-3}$	$1.6 \times 10^{-7}$	$2.0 \times 10^{-7}$	1
ß-lactam	$bla_{\text{OXA-48}}$	$6.5 \times 10^{-6}$	$1.3 \times 10^{-3}$	0.33
ß-lactam	$bla_{\text{OXA-58}}$	$1.6 \times 10^{-6}$	$6.1 \times 10^{-4}$	0.22
ß-lactam	$bla_{\mathrm{TEM}}$	$1.1 \times 10^{-3}$	$4.3 \times 10^{-4}$	1
ß-lactam	mecA	$2.7 \times 10^{-7}$	$2.3 \times 10^{-7}$	1
ß-lactam	$bla_{\rm CMY-2}$	$2.2 \times 10^{-4}$	$1.8 \times 10^{-5}$	0.81
ß-lactam	$bla_{\mathrm{CTX-M-15}}$	$3.2 \times 10^{-4}$	$4.5 \times 10^{-5}$	0.81
ß-lactam	$bla_{\mathrm{CTX-M-32}}$	$8.3 \times 10^{-4}$	$1.3 \times 10^{-4}$	0.81
ß-lactam	$bla_{\rm NDM-1}$	$1.5 \times 10^{-5}$	$2.2 \times 10^{-5}$	1
ß-lactam	$bla_{\text{VIM-2}}$	0	$1.4 \times 10^{-4}$	$0.037^{*}$
Sulphonamide	sul1	$4.7 \times 10^{-2}$	$9.2 \times 10^{-3}$	$0.019^{*}$
Tetracyclin	tet M	$2.4 \times 10^{-2}$	1.3×10 <sup>-3</sup>	$9.4e-05^{***}$

#### <sup>270</sup> 3.4 Phenotypic resistance in Escherichia coli

The highest percentage of resistant E. coli isolates was generally detected in airplane 271 samples (Table 3). The difference in proportions between airplane and WWTP sam-272 ples was statistically significant for 18 out of 24 antibiotics. Some Odds ratios reached 273 values around 40, namely for two cephalosporins (cefotaxime, cefuroxime) and one of 274 the fluoroquinolones (ciprofloxacin). At the same time, the influent of treatment plants 275 receiving sewage from airports did not exhibit elevated proportions of resistant E. coli 276 when compared to treatment plants that do not receive such input (p-values generally 277 > 0.15, results not shown). 278

Table 3: Antibiotic resistance among E. coli isolated from airplane sewage and the inlet of WWTP with connection to airports. Odds ratios (OR) greater than one indicate a higher level of resistance in the airplane samples compared to WWTP samples. pvalues refer to Fisher's exact test. SXT stands for the combination of trimethoprim and sulfamethoxazole also known as co-trimoxazole.

Antibiotic	Airplane	WWTP, with airport	OR	adj. p-value
Ampicillin	125:187	21:161	13.3	$2.9e-24^{***}$
Amoxicillin-CA	65:187	8:161	10.1	$1.3e-11^{***}$
Piperacillin	21:187	5:161	3.9	$0.032^{*}$
Ticarcillin	55:187	4:161	16.3	$1.3e-11^{***}$
Cefepime	7:187	0:161	Inf	0.099
Cefotaxime	97:187	4:161	41.9	$1.2e-26^{***}$
Cefoxitin	9:187	1:161	8.1	0.12
Cefopodoxim	97:187	16:161	9.7	$1.2e-16^{***}$
Ceftazidim	67:187	8:161	10.6	$3.3e-12^{***}$
Cefuroxim	109:187	5:161	43.1	$1.1e-30^{***}$
Doripenem	4:187	3:161	1.2	1
Ertapenem	4:187	2:161	1.7	1
Imipenem	83:187	7:161	17.4	$3.5e-18^{***}$
Meropenem	56:186	7:161	9.4	$7.8e-10^{***}$
Ciprofloxacin	94:187	4:161	39.3	$2.2e-25^{***}$
Levofloxacin	82:187	8:161	14.8	$4.8e-17^{***}$
Norfloxacin	31:187	10:161	3.0	$0.024^{*}$
Amikacin	136:187	24:161	15.1	$2.2e-27^{***}$
Gentamycin	64:187	10:161	7.8	$4.1e-10^{***}$
Netilmicin	15:187	4:161	3.4	0.13
Tobramycin	126:187	17:161	17.3	$1.2e-27^{***}$
Tigecyclin	4:187	1:161	3.5	1
Chloramphenicol	128:187	39:161	6.7	$1.4e-15^{***}$
SXT	52:187	25:161	2.1	$0.046^{*}$

Almost 90% of the *E. coli* isolates from airplane samples were resistant to at least one of the tested antibiotics (Fig. 5). This compares to about 45–60% in samples taken at WWTP. The peculiarity of airplane sewage is also reflected in the proportion of multiresistant isolates. Airplane-borne *E. coli* were far more likely to be resistant against  $\geq 3$  classes of antibiotics than isolates obtained from raw sewage entering the WWTP (Fig. 5).

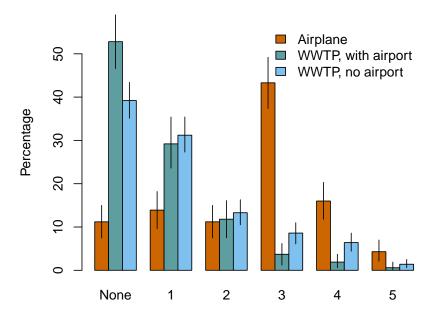


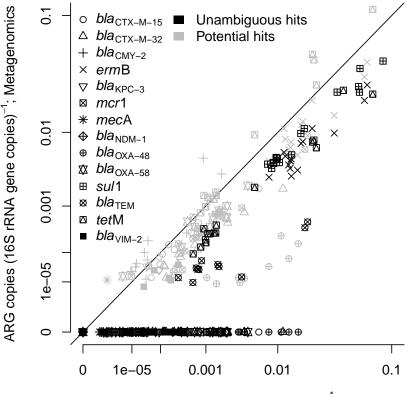
Figure 5: Prevalence of single- and multi-drug resistance among *E. coli* isolated from airplane sewage and WWTP influents with/without connection to airports. X-axis labels indicate the number of ineffective classes of drugs. The classes covered by susceptibility tests include penicillins, cephalosporines, carbapenemes, fluoroquionolones, aminogly-cosides where each class is represented by 3–6 antibiotics (see 1<sup>st</sup> column of Table 3). Further drug classes represented by a single substance include tetracyclines, phenicols, and trimethoprim/sulfamethoxazole. Error bars represent 90% confidence intervals estimated by bootstrapping.

#### 285 3.5 Methodological aspects

Since many of the available samples were processed in parallel through shotgun-sequencing and qPCR, there was a unique chance to compare the two approaches regarding the quantification of ARG. Doing so, we had to distinguish between unambiguous and potential hits in the metagenomes (Fig. 6). Unambiguous hits are those where a sample sequence  $\approx 150$  bp) matched just a single ARG registered in the data base (black symbols). This is in contrast to potential hits (gray symbols), where the sample sequence matched multiple related ARG among which is the particular gene of interest.

In general, we observed a reasonable agreement between the metagenomics and qPCR 293 data for ARG with relative abundances greater than about 10<sup>-3</sup> copies (16S rRNA gene 294 copies)<sup>-1</sup>. The correlation coefficients were > 0.98 for sull and tetM, for example, and 295 > 0.93 for ermB (based on unambiguous hits). Nevertheless, Fig. 6 also shows some 296 characteristic mismatches between the two approaches. Most notably, there appears to 297 be a negative bias in the metagenomics-based estimates. At relative abundances > 0.01298 the deviation hardly exceeds  $1/2 \log$  unit but underestimation gets stronger as relative 299 abundances decline. That negative bias gradually turns into failure of the metagenomics 300 approach (symbols accumulating on the lower axis) as the signatures of rare ARG occur 301 just by chance in the set of sample sequences (about  $5 \times 10^7$  in this study). 302

However, not all of the deviations depicted in Fig. 6 should be blamed on the limitations of metagenomics. For example, symbols associated with  $bla_{OXA-48}$  and  $bla_{TEM}$ are far off the 1:1 line. This might well be due to unspecific amplification of primers <sup>306</sup> resulting in an overestimation of ARG abundances by qPCR.



ARG copies (16S rRNA gene copies)<sup>-1</sup>; qPCR

Figure 6: Comparison of relative ARG abundances obtained with qPCR and metagenomics for identical extracts of DNA. The diagonal indicates a 1:1 match. The data include raw sewage samples from all sources (airplane and WWTP). Note the custom axes resulting from square-root transformation.

## 307 4 Discussion

Cultivation and culture-independent techniques yielded a largely consistent and well 308 differentiated picture of the prevalence of ARB/ARG in untreated wastewater of different 309 origins. In agreement with initial expectations, sewage from airplane tanks was found to 310 stand out from conventional sewage in terms of ARG diversity (Fig. 2). Nevertheless, the 311 rarefaction models plotted in Fig. 3 suggest that the difference between airplane sewage 312 and untreated municipal wastewater in terms of ARG diversity might level off for very 313 large numbers of analyzed sequences. Considering the fact that municipal wastewater 314 integrates bacteria from various sources besides those related to human waste (e.g. from 315 pets, slaughterhouses, soil runoff, etc.) a convergence of the curves seems plausible. Thus, 316 the main feature of airplane sewage appears to be the fact that a large number of different 317 ARG can already be found in limited quantities of bacterial DNA. The mixing of human 318 gut bacteria from different geographical backgrounds (hypothesis 1) provides a plausible 319 explanation for this finding. Considerable variation in human resistomes is known to 320 exist already at European level (European Centre for Disease Prevention and Control, 321 2017; Pärnänen et al., 2019) and even larger disparities are expected on global scales. For 322 example, Bengtsson-Palme et al. (2015a) demonstrated the import of ESBL-producing 323 E. coli by travelers returning from India while no such import was observed from Africa. 324 However, the elevated diversity of ARG in airplane sewage could also be due to alternative 325

mechanisms. For example, disparities in ARG diversity between samples of different 326 origin might – at least in parts – reflect contrasts in taxonomic diversity. In particular, the 327 elevated number of unique ARG in airplane sewage coincided with an increased diversity 328 of bacterial groups as reflected in taxonomic evenness (Fig. 1) as well as in the number of 329 bacterial families detected per  $10^5$  DNA sequences (Sec. 3.1). Against this background, 330 the true cause(s) of the increased ARG diversity in airplane-borne sewage are yet to be 331 explored. Instead of focusing on ARG diversity, future research might also attempt to 332 identify specific ARG which are characteristic for wastewaters of different origin. Our 333 metagenomics-based data suggest that such characteristic genes exist. For example, the 334 beta-lactamase gene bla<sub>CARB-4</sub> was found in high relative abundances in airplane sewage 335 while it was never detected in any sample of municipal wastewater without contribution 336 from airports. Similarly, the carbapenemase resistance gene  $bla_{OXA-427}$  was frequently 337 detected in samples from WWTP influents while its signature was not found in any 338 sample of airplane sewage. 339

Our data clearly support the second hypothesis according to which airplane-borne 340 sewage stands out from common untreated municipal wastewater in terms of the rel-341 ative abundance of ARG. The elevated prevalence of antibiotic resistance in airplane 342 sewage was most clearly demonstrated by drug susceptibility tests carried out on E. coli 343 (Table 3, Fig. 5). The high abundance of multi-resistant E. coli in airplane sewage is 344 particularly remarkable. For example, the proportion of isolates carrying a combined re-345 sistance against 3<sup>rd</sup> generation cephalosporins, fluorochinolones and aminoglycosides was 346 increased by a factor of about eight compared to German clinical isolates (28.9% com-347 pared to 3.5%; European Centre for Disease Prevention and Control, 2017). With regard 348 to the prevalence of genotypic resistance, the clearest differentiation between wastewa-349 ters of different origin was obtained by the metagenomics approach (Fig. 4). The median 350 relative abundance of ARG was higher in airplane-borne sewage than in conventional 351 sewage for seven out of nine classes of target drugs. Similar to the case of gene diver-352 sity, the elevated prevalence of ARG/ARB in airplane sewage might reflect differences in 353 gut microbiomes between the local population (Germany) and the flights' or passengers' 354 countries of origin. However, storage conditions in the airplanes' wastewater tanks pro-355 vide an alternative explanation. Those tanks typically contain disinfectants. A common 356 formulation certified for use in aircraft toilets lists alkylbenzyldimethylammonium chlo-357 ride, a quaternary ammonium compound, as its major ingredient. A number of potential 358 linkages between this compound and antibiotic resistance bacteria is known to exist (see 359 SCENIHR, 2009, Sec. 3.8.5). The sewage tanks might thus serve as incubators that select 360 for antibiotic resistance via mechanisms of, e.g. cross- or co-resistance (Buffet-Bataillon 361 et al., 2012). The "breeding" of ARB should be particularly efficient if the tanks are 362 not completely purged, leaving a highly resistant inoculum for continued vertical and 363 horizontal ARG transfer. 364

In order to assess the potential risk associated with the special resistome of airplane 365 sewage, information on the fate of the respective ARG/ARB is required. Our data in-366 dicate that airplane-borne sewage is strongly "diluted" upon mixing with wastewater 367 from other sources. None of the employed methods (susceptibility tests, qPCR, metage-368 nomics) indicated significant shifts in ARG diversity or ARG/ARB prevalence between 369 samples of raw sewage acquired from WWTP with and without connection to airports. 370 Assuming that bacteria and genes do not undergo considerable retention or degradation 371 in the sewer system, that lack of significance should mainly reflect the limits of current 372 analytical methods to detect small increments in ARG/ARB abundances in the presence 373 of considerable background levels (unfavorable signal-to-noise ratio). 374

One might be tempted to conclude that airport-borne sewage is of little relevance for the dissemination of ARG because of the apparently strong dilution. Furthermore,

airplane tanks are just one source of ARG and other hot-spots of antibiotic resistance 377 are known to exist, e. g. large health care facilities. Such reasoning, however, disregards 378 an essential property of genetic material, namely the potential for replication via hor-379 izontal and vertical transfer. Consequently, even very small amounts of ARG released 380 into the water cycle may spread within the aquatic environment with the chance of 381 (re)emerging in human pathogens. From this point of view, the potential threat coming 382 from airport-borne sewage is not the quantity of imported ARG but the dissemination 383 of resistances which are rarely found in the local environmental systems. This relates 384 not only to rare ARG but also to combined resistances against multiple classes of drugs. 385 With the example of *E. coli*, airplane-sewage was shown to be an unusual source of highly 386 multi-resistant isolates. Nevertheless, we currently cannot provide evidence for the fact 387 that rare ARG and/or combined resistances originating from airplane-sewage actually 388 undergo considerable enrichment via selection or horizontal gene transfer in the receiv-389 ing treatment plants. As pointed out by Bengtsson-Palme et al. (2016), comprehensive 390 analyses of resistant and susceptible strains in WWTP influents and effluents would be 391 necessary to specifically target that question. As a consequence of our study, we suggest 392 to first explore evolutionary processes inside airplane waste tanks and to look for options 393 that prevent (or deal with) the increased level of antibiotic resistance "begin-of-pipe". 394

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## 400 Competing interests

401 The authors declare no competing interests.

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# 500 Supplement

samples. Location names	codes. Volumes represent	was extracted.
Table S.1: Characteristics of individual samples. Location names	were substituted by anonymous integer codes. Volumes represent	the amount of sample from which DNA was extracted.

16S gene	copies mL <sup>-1</sup>	$6.8\mathrm{E}{+}08$	$2.2\mathrm{E}{+}09$	$2.2\mathrm{E}{+}09$	$3.0\mathrm{E}{+}09$	$8.5\mathrm{E}{+}08$	$4.0\mathrm{E}{+}08$	$8.3E{+}08$	$2.9\mathrm{E}{+}09$	$5.6\mathrm{E}{+}08$	$3.6\mathrm{E}{+}08$	$9.7\mathrm{E}{+}07$	$3.8\mathrm{E}{+}08$	$5.5\mathrm{E}{+}07$	$1.3\mathrm{E}{+}08$	$2.1\mathrm{E}{+}08$	$3.1\mathrm{E}{+}08$	$1.8\mathrm{E}{+}08$	$2.6\mathrm{E}{+}08$	$2.9\mathrm{E}{+}08$	$3.0\mathrm{E}{+}08$	$7.4\mathrm{E}{+}07$	$2.1\mathrm{E}{+}08$	$1.9\mathrm{E}{+}08$	$1.5\mathrm{E}{+}08$	$3.1\mathrm{E}{+}08$	$2.8\mathrm{E}{+}08$	$3.4\mathrm{E}{+}08$	$2.4\mathrm{E}{+}08$	$2.0\mathrm{E}{+}08$
EC	$(mS cm^{-1})$	9.44	10.62	13.00	10.16	8.23	14.09	4.24	20.83	1.85	1.76	2.21	2.00	2.35	1.62	0.76	1.34	1.26	NA	0.54	1.81	1.88	2.01	0.87	1.43	1.08	0.73	1.37	1.05	NA
	$_{\mathrm{pH}}$	9.0	8.3	9.0	8.9	8.9	9.2	8.7	9.0	7.8	7.4	6.6	8.2	7.5	7.4	6.8	6.6	6.9	8.0	7.7	7.0	7.4	7.7	7.4	7.3	6.7	6.7	6.7	6.9	7.9
qPCR	$_{ m done}$	>	>	>	>	>	>	>	>		>	>		>	>	>	>	>	>	>	>	>	>	>	>	>	>	>	>	>
Sequen-	ced	>	>	>	>	>		>			>	>		>	>	>	>	>									>	>		
E. coli	isolates	0	46	48	47	24	0	22	0	23	24	23	0	0	24	24	24	0	19	0	21	23	0	24	0	24	24	24	0	24
260/230	nm ratio	1.025	2.095	1.84	1.56	1.27	0.725	1.555	2.19	1.69	1.735	1.69	1.97	0.2	1.375	1.75	1.605	1.55	1.09	1.715	1.78	1.25	1.51	1.915	1.79	1.835	1.74	1.155	1.035	0.97
260/280	nm ratio	1.875	1.91	1.885	1.89	1.86	1.855	1.88	1.92	1.87	1.92	1.96	1.98	2.17	2.025	1.95	1.93	1.9	1.97	1.92	1.89	1.99	1.99	2.395	1.985	1.915	1.955	1.97	1.92	1.875
DNA	$({ m ng}\ \mu{ m L}^{-1})$	109	771	322	260	136	81	183	221	356	251	185	536	88	175	434	180	222	587	380	291	180	310	304	369	544	567	212	372	411
Volume	(mL)	10	25	17.5	10	20	20	25	10	65	70	100	100	50	100	100	50	100	100	100	100	100	100	100	100	100	100	50	100	100
Origin		Airplane	Airplane	Airplane 1	Airplane 2	Airplane	Airplane	Airplane	Airplane	WWTP, with airport, sewer 1	WWTP, with airport, sewer 2	WWTP, with airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport	WWTP, no airport, sewer 1							
City	code	5	1	ŝ	ŝ	Ŧ	2	1	3	Ŧ	Ŧ	20	0	Ŧ	ŝ	ŝ	6	20	x	1	1	1	1	1	1	1	3	6	1	x
Date (		2016-10-31	2016-11-14	2016-11-17	2016-11-17	2016-11-29	2016-12-05	2016-12-12	2017-01-31	2016-11-29	2016-11-29	2017-01-17	2017-01-31	2017-02-14	2017-02-28	2017-03-06	2017-03-14	2017-03-15	2017-05-25	2016-09-17	2016-12-06	2017-01-03	2017-02-01	2017-02-24	2017-02-28	2017-03-07	2017-03-06	2017-03-14	2017-05-12	2017-05-25

VTP, n	WWTP, no airport, sewer 2	100	453	1.895	1.86	22		>	7.7	NA	$2.9\mathrm{E}{+}08$
đ	WWTP, no airport, sewer 3	100	125	1.805	0.495	12		>	8.0	NA	$5.5\mathrm{E}{+}07$
ġ	WWTP, no airport, sewer 4	100	393	1.855	1.295	23		>	7.7	NA	$1.6\mathrm{E}{+}08$
ġ	WWTP, no airport	100	111	1.87	1.195	22	>	>	NA	NA	$8.4\mathrm{E}{+}07$
ġ,	WWTP, no airport	100	359	1.915	1.785	23		>	7.4	0.82	$2.6\mathrm{E}{+}08$
ġ,	WWTP, no airport	100	281	1.905	1.675	24		>	NA	0.85	$2.2\mathrm{E}{+}08$
ů Ú	WWTP, no airport	100	56	1.945	0.495	24		>	7.3	0.49	$3.1\mathrm{E}{+}07$
P,	WWTP, no airport	100	91	1.96	0.68	24	>	>	7.3	0.89	$8.3\mathrm{E}{+}07$
P, p	WWTP, no airport	100	362	1.885	1.68	24	>		7.2	0.79	$9.4\mathrm{E}{+}08$
P, p	WWTP, no airport	100	365	1.89	1.68	0	>		NA	NA	$2.6\mathrm{E}{+}08$
Ŀ,	WWTP, no airport	100	347	1.94	1.98	0	>		NA	NA	$1.4\mathrm{E}{+}09$

Table S.1, continued

			Amplicon	
Gene		Primer sequence	size $(bp)$	Reference
bla <sub>TEM</sub>	Fwd.	TTCCTGTTTTTGCTCACCCAG	112	NORMAN Network <sup>1</sup>
	Rev.	CTCAAGGATCTTACCGCTGTTG		
ermB	Fwd.	TGAATCGAGACTTGAGTGTGCAA	71	Alexander et al. $(2016)$
	Rev.	GGATTCTACAAGCGTACCTT		
tetM	Fwd.	GGTTTCTCTTGGATACTTAAATCAATCR	88	Peak et al. $(2007)$
	Rev.	CCAACCATAYAATCCTTGTTCRC		
sul1	Fwd.	CGCACCGGAAACATCGCTGCAC	161	NORMAN Network <sup>1</sup>
	Rev.	TGAAGTTCCGCCGCAAGGCTCG		
$bla_{\rm CMY-2}$	Fwd.	CGTTAATCGCACCATCACC	172	Kurpiel and Hanson (2012
	Rev.	CGTCTTACTAACCGATCCTAGC		
$bla_{\rm CTX-M-15}$	Fwd.	CTATGGCACCACCAACGATACTYM	103	ANSWER Network $^2$
	Rev.	ACGGCTTTCTGCCTTAGGTT		
$bla_{\rm CTX-M-32}$	Fwd.	CGTCACGCTGTTGTTAGGAA	155	NORMAN Network <sup>1</sup>
	Rev.	CGCTCATCAGCACGATAAAG		
$bla_{OXA-48}$	Fwd.	TGTTTTTGGTGGCATCGAT	177	Monteiro et al. $(2012)$
	Rev.	GTAAMRATGCTTGGTTCGC		
$bla_{OXA-58}$	Fwd.	GCAATTGCCTTTTAAACCTGA	152	Szczepanowski et al. (2009
	Rev.	CTGCCTTTTCAACAAAACCC		
mecA	Fwd.	CGCAACGTTCAATTTAATTTTGTTAA	91	Volkmann et al. $(2004)$
	Rev.	TGGTCTTTCTGCATTCCTGGA		
$bla_{\rm NDM-1}$	Fwd.	TTGGCCTTGCTGTCCTTG	82	Monteiro et al. $(2012)$
	Rev.	ACACCAGTGACAATATCACCG		
$bla_{\rm VIM-2}$	Fwd.	GAGATTCCCACGCACTCTCTAGA	93	van der Zee et al. $(2014)$
	Rev.	AATGCGCAGCACCAGGATAG		
	probe	ACGCAGTGCGCTTCGGTCCAGT		
$bla_{\rm KPC-3}$	Fwd.	CAGCTCATTCAAGGGCTTTC	196	Szczepanowski et al. (2009
	Rev.	GGCGGCGTTATCACTGTATT		
mcr1	Fwd.	GGGCCTGCGTATTTTAAGCG	183	Hembach et al. $(2017)$
	Rev.	CATAGGCATTGCTGTGCGTC		
16S rRNA	Fwd.	TCCTACGGGAGGCAGCAGT	195	NORMAN Network <sup>1</sup>
	Rev.	ATTACCGCGGCTGCTGG		

Table S.2: List of qPCR primers.

<sup>1</sup>www.norman-network.net <sup>2</sup>www.answer-itn.eu