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1	Competitive Reaction of Neptunium(V) and
2	Uranium(VI) in Potassium Sodium Carbonate
3	Rich Aqueous Media – Speciation study with
4	focus on high resolution X-ray spectroscopy
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12 ABSTRACT

13 Np(V) and U(VI) are precipitated from an aqueous potassium-sodium containing carbonate rich solution and the solid phases are investigated. U/Np $M_{4.5}$ edge high energy resolution X-ray 14 15 absorption near edge structure (HR-XANES) spectroscopy, Np 3d4f resonant inelastic X-ray 16 scattering (3d4f RIXS) are applied in combination with thermodynamic calculations, U/Np L₃ edge 17 XANES and extended X-ray absorption fine structure (EXAFS) studies to analyze the local atomic 18 coordination and oxidation states of U and Np. The XANES/HR-XANES analyses are supported 19 by *ab-initio* quantum chemical computations with the finite difference method near edge structure 20 code (FDMNES). The solid precipitates are also investigated with powder X-ray diffraction 21 (pXRD), scanning electron microscopy – energy dispersive X-ray spectroscopy (SEM-EDX) and 22 Raman spectroscopy. The results strongly suggest that $K[Np(V)O_2CO_3]_{(cr)}$, $K_3[Np(V)O_2(CO_3)_2]_{(cr)}$ 23 and K₃Na[U(VI)O₂(CO₃)₃]_(cr) are the predominant Np and U solid phases formed. Despite the 100 24 times lower initial Np(V) concentration at pH 10.5 and oxic conditions, Np(V) rich phases 25 predominately precipitate. Prevailing formation of Np(V) over U(VI) solids demonstrate the high 26 structural stability of Np(V) carbonates containing potassium. It is illustrated that the Np M₅ edge 27 HR-XANES spectra are sensitive to changes of the Np-O axial bond length for neptunyl(V)/(VI).

28 INTRODUCTION

Neptunium-237 is an actinide with a long half-life $(2.14 \times 10^6 \text{ years})$ and high specific activity presenting a complex redox and coordination chemistry in aqueous systems which makes it difficult to study. For example, Np(V) carbonates have been investigated with a variety of spectroscopic techniques,¹ whereas a few examples of Np(VI) carbonates exist.² Only few investigations exist regarding the interaction of Np with different minerals by adsorption or structural incorporation. Balboni et al. have shown that the incorporation of Np into carbonate minerals such as calcite (CaCO₃), aragonite (CaCO₃) and strontianite (SrCO₃) is possible.³
Extended X-ray absorption fine structure (EXAFS) was applied to study the speciation of Np coprecipitated with calcite⁴ and sorbed⁵ onto calcite. Studies dedicated to the interaction of Np with
other minerals are even more scarce and focus mainly on Fe(II) bearing minerals. When Np(V)
reacts with certain iron(II) phases, depending upon the conditions, reduction to Np(IV)⁶ and either
sorption or precipitation has been observed.⁷

41 Depending on the geochemical conditions of the environment, different U alteration products are expected.⁸ For example, within the weathered U ore minerals, the mixed U(VI)/(V) oxidation state 42 43 mineral wyartite $(CaU(V)[(UO_2)_2(CO_3)O_4(OH)]\cdot 7H_2O)$ can be formed after oxidation of uraninite 44 (UO_2) . This mineral can potentially incorporate minor pentavalent actinides (An) like neptunium (Np(V)) and plutonium (Pu(V)).⁹ On the other hand, different U minerals were used in the 45 literature to study the reactivity of Np with them, observing that ²³⁷Np was sorbed onto their 46 47 surface or pure Np solid phases were formed. It was also found that Np can be incorporated into 48 the mineral structure by cation exchange or by substitution of a U(VI) for a Np(V) or (VI) ion in 49 the mineral framework, with or without a compensating charge balancing cation substitution.¹⁰ 50 Within the available experimental data, it seems that the structure and/or chemical composition of the U mineral phase is of direct importance to the amount of incorporated Np.¹¹ In this sense, 51 52 several laboratory studies have already illustrated that the U alteration products can effectively 53 retain Np by sorption of incorporation processes but then subsequently release it into the aqueous solution.¹⁰ 54

In this work, we investigate the reactivity of U(VI) and Np(V) in a competitive reaction with high content of carbonate and the presence of potassium and sodium in the aqueous solution. The focus of our study is on the speciation of the products formed by co-precipitation reactions of Np(V) 58 during the syntheses of the U(VI) carbonate phase grimselite $(K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)})$. We 59 apply the Np 3d4f resonant inelastic X-ray scattering (3d4f RIXS) and Np M_5 edge high-energy resolution X-ray absorption near edge structure (HR-XANES) experimental techniques. These are 60 used here for the first time for determination of the oxidation state of Np.¹² Their sensitivity to the 61 62 U/Np=O axial bond length in actinuls is also discussed. The An M_{4.5} edge HR-XANES gives a significant advantage^{12a} over the widely applied conventional An L₃ edge XANES mode in studies 63 related to the oxidation states and the electronic structure of the An elements.¹³ It is very sensitive 64 65 to minor amounts of An oxidation states in mixtures and directly probes the An 5f unoccupied 66 states, which are sensitive to changes in the chemical bonding. In addition to Np M_5 and U M_4 edge HR-XANES/3d4f RIXS, we also applied powder X-ray diffraction (pXRD), scanning 67 electron microscopy energy dispersive X-ray spectroscopy (SEM-EDX), Raman spectroscopy and 68 69 $U/Np L_3$ edge XANES and EXAFS techniques as well as thermodynamic calculations. 70 Computations of U/Np L₃, Np M₅ and U M₄ and M₅ edge HR-XANES spectra are performed with 71 the *ab-initio* finite difference method near edge structure (FDMNES) code based on the multiple scattering theory.^{23b} The combination of conventional and modern spectroscopic tools and 72 thermodynamic calculations gives conclusive evidence for the Np and U oxidation states and 73 coordination environment and thereby provide knowledge about the chemical behavior of Np(V) 74 75 when precipitated with higher amounts of U(VI) in a K-Na-CO₃-H₂O system.

76 MATERIAL AND METHODS

77 **Caution!** ²³⁷Np is a radioactive isotope and an α -emitter. It should be handled in dedicated 78 facilities with appropriate equipment for radioactive materials to avoid health risks caused by 79 radiation exposure.

80 Synthesis. A grimselite $(K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)})$ reference sample was synthesized according 81 to the procedure reported in the literature.¹⁴ A Np stock solution was prepared from 16.2 mg of ²³⁷Np(V) agua complex dissolved in 0.055 M HClO₄ to give a total volume of 9.86 mL. The [Np] 82 = 6.9×10^{-3} M concentration was determined by liquid scintillation counting. Under ambient 83 conditions, a vial was charged with UO₂(NO₃)₂·6H₂O ([U] = 9.9×10^{-3} M, [NO₃] = 1.8×10^{-2} M), 84 85 Na_2CO_3 ([Na] = 0.24 M), K_2CO_3 ([K] = 0.74 M), [CO_3]_{TOT} = 0.49 M solutions and MQ-water with 86 20 mL total volume. 0.246 mL of Np(V) stock solution was then added and the vial stoppered. The resulting [U] and [Np] in the aqueous solution were 9.9×10^{-3} M and 8.4×10^{-5} M, respectively. The 87 88 pH was about 10.5 and the conditions were oxic. After standing for 2 weeks, a light-green 89 precipitate formed, which was isolated, quickly washed with water $(2 \times 1 \text{ mL})$ and samples were prepared for the measurements. The following nomenclature is used: Np-cp - Np in Np(U)90 91 precipitate, U-cp - U in Np(U) precipitate, grimselite ($K_3Na[UO_2(CO_3)_3]_{(cr)}$) and metaschoepite 92 $(UO_3 \cdot nH_2O, n < 2)$ used as a reference and prepared via the literature procedure and confirmed by pXRD and vibrational spectroscopy.¹⁵ 93

94 **pXRD**, **SEM-EDX** and **Raman spectroscopy**. pXRD patterns for both **grimselite** and the Np(U) 95 precipitate were collected using a Bruker AXS D8 powder diffractometer equipped with a BSI 96 (Baltic Scientific Instrument) Si(Li) solid detector and a Cu K_{α} X-ray source. The Np(U) 97 precipitate was studied by SEM-EDX applying a commercial Quanta 650 FEG (FEI, The 98 Netherlands) equipped with a silicon drift X-ray detector (Thermo Scientific, model UltraDry). 99 The Raman spectra were measured at room temperature using a Bruker Sentera dispersive Raman 100 microscope at 532 nm excitation wavelength; a few small crystals of the Np(U) precipitate were 101 placed into a 1 mL glass vial and dried onto inner wall surface.

102 X-ray Absorption Spectroscopy (XAS). The U/Np L₃ edge EXAFS and Np/U M_{4,5} edges HR-103 XANES/3d4f RIXS measurements were performed at the INE-Beamline, Karlsruhe Research Accelerator (KARA), Karlsruhe, Germany.¹⁶ Two Ge(422) and two Si(111) crystals were mounted 104 105 in the double crystal monochromator (DCM) for An L_3 or $M_{4,5}$ edge XAS measurements, 106 respectively. The beam was focused to ~0.5 mm vertically and ~0.5 mm horizontally onto the 107 sample. For the U/Np M_{4.5} edge HR-XANES experiments, the Np(U) precipitate was placed into 108 a double containment aluminum cell, where the inner compartment was sealed by 8 µm and the 109 outer compartment by 13 µm Kapton foil, respectively (Figure S1). The grimselite and 110 **metashoepite** references were mixed with cellulose and pressed as pellets. Np M_5 and U M_4 edges 111 XANES spectra were measured in high-energy resolution mode (HR-XANES) using an X-ray emission multi analyzer crystal spectrometer (MAC-Spectrometer).^{17,18} The MAC-Spectrometer 112 113 was also applied for Np 3d4f RIXS measurements. The sample, five Si(220) analyzer crystals and 114 a detector (single diode silicon drift detector, SDD Vortex) were positioned on a Rowland circle 115 in the vertical plane with diameter of 1 m equal to the bending radius of the spherically bent 116 analyzer crystals. The MAC-Spectrometer was set at the maximum of the Np M_{α} (M₅N₇, E = 3261 eV) and U M_{β} (M₄N₆, E = 3337.0 eV) emission lines at 81.92° and 75.36° Bragg angles, 117 118 respectively. The energies of the emission lines and the absorption edges are taken from the 119 program PyMca¹⁹ since the energies of the M_5N_7 and M_5N_6 emission lines are listed separately, in contrast to the program Hephaestus²⁰ previously used. A glovebox filled with He and equipped 120 121 with a lock chamber for transfer of samples was built around the MAC-Spectrometer to minimize 122 loss of X-ray intensity during the measurements in the tender X-ray range - Np M_5 (E = 3664 eV) and U M₄ (E = 3726 eV) absorption edges. A constant He flow was maintained; the O₂ level was 123 124 monitored continuously and kept constant (~ 0.1%) inside the glovebox. The experimental energy

125 resolution during the Np M₅ edge HR-XANES measurements was 1.0 eV, which was determined 126 by measuring the full width at half maximum (FWHM) of the elastic peak at 81.92° Bragg angle 127 of the analyzer crystals. The DCM was calibrated setting the energy position of the main absorption 128 maximum (white line, WL) of the U M₄ edge HR-XANES spectrum of a reference UO₂ sample to 129 3725.2 eV. Np/U M₅/M₄ edge HR-XANES and normal emission spectra of NpO_{2(am,hvd)}/UO₂ were 130 measured after each sample to verify the energy calibration of the DCM and the alignment of the 131 MAC-Spectrometer. No shifts of the normal emission lines measured for each sample were found 132 during the experiments. For recording the Np 3d4f RIXS maps and the HR – XANES spectra the 133 following parameters were applied: Np RIXS – excitation energy 3659 – 3684 eV, 0.5 eV step 134 size, emission energy 3248 - 3268 eV, 0.25 eV step size, 2 s integration time; U M₄ and Np M₅ edge HR-XANES – the energy ranges are relative to the main absorption maximum: -15 - -5 eV, 135 136 0.5 eV step size, -5 - +15, 0.1 eV step size, +15 - +65, 0.5 eV step size, 1 s integration time. The INE-Beamline is a bending magnet beamline with $< 10^9$ ph/s onto the sample at 3-4 keV. We first 137 138 measured Np(VI) in aqueous solution, which is much more sensitive to radiation damage; we did 139 not observe any damage for this liquid material. We measured several spectra for each of the Np 140 and U solid samples and did not observe any changes.

For the U and Np L₃ edge EXAFS measurements of the Np(U) precipitate, a sample aliquot was placed into 300 µl Eppendorf vials in air. The oscillating $\chi(k)$ (EXAFS) part of the X-ray absorption fine structure (XAFS) spectrum was extracted, Fourier transformed (FT) and modelled by using the ATHENA and ARTEMIS programs, respectively, parts of the IFFEFIT program package.²⁰ The $\chi(k)$ spectra within 2.6-10.4 Å⁻¹ range for Np-cp, 2.6-8.6 Å⁻¹ for U-cp and 2.6-10.6 Å⁻¹ for grimselite were weighted by k = 1, 2 or 3 and Hanning windows with sills equal to 2 (dk=2) were applied. The fits were performed in R space for 1.0-3.0 Å range (Np-cp) and for 1.0-4.1 Å

(U-cp and grimselite). Three shells were modeled for Np: two Np-O and one Np-C distances and 148 149 four shells for U: two U-O, one U-C and one U-K distances. Structures of $K_3[NpO_2(CO_3)_2] \cdot nH_2O_{(cr)}$ (reproduced from Volkov et al.²¹) and grimselite mineral 150 151 K₃Na[(UO₂)(CO₃)₃]·H₂O_(cr) (AMCSD 0005731) were used as initial models for the fit of the Np 152 and U EXAFS spectra, respectively. The FEFF input files were generated by the FEFF9.6 *ab-initio* quantum chemical theoretical code based on the multiple scattering theory.²² The scattering 153 154 potentials were calculated for a cluster of 59 atoms by including the self-consistent field loop (SCF 155 4.0). The amplitude reduction factor (S_0^2) was set to 0.8 for Np and U, which is an average value 156 as determined for previous EXAFS measurements performed in florescence mode at the INE-157 Beamline. The shells of the Np FT-EXAFS spectrum were fit in the following order: Np-O1, Np-158 O2, Np-C and for U FT-EXAFS in the order: U-O1, U-O2, U-C and U-K. For each shell, initially 159 the interatomic distances (R) were varied, while the coordination numbers (N) were fixed; N and 160 R were consecutively varied until the best fit was obtained; this procedure was repeated for each 161 shell. The Debye-Waller factors (DW) and the energy shifts of the ionization potential (ΔE_0) were 162 always varied. The number of variables was kept approximately half the number of independent 163 data points during the fitting procedure. A goodness of fit parameter (r) within 0.004-0.007, which is 0.4-0.7% difference between data and model, was obtained for the fits. The ΔE_0 parameters were 164 165 found around 7.4(1) for Np-cp, 4.3(4) for U-cp and 3.2(8) for grimselite (for details see Table 1). 166 Computations of U and Np L₃ edge XANES and M_{4,5} edge HR-XANES spectra. U and Np L₃ 167 edge XANES and the M_{4.5} edge HR-XANES as well as DOS spectra were computed with the 168 FDMNES code.²³ These *ab-initio* calculations were performed for a cluster with 6 Å radius (≈ 60 atoms, L₃ edge)/3.5 Å radius (M_{4.5} edge) using Green's function formalism, muffin-tin potentials 169 170 (L₃) or finite difference method (M_{4.5}) and taking into account spin-orbit interactions. The Fermi

171 energy was determined using self-consistent field (SCF) calculations. The calculation approach 172 described in T. Vitova at al.^{12f} was used for the calculations of the M_{4.5} edge spectra. The calculated 173 U M₄ and Np M₅ edge HR-XANES spectra with and without quadrupole transitions are identical. 174 The calculated L_3 spectra were convoluted using the default values of FDMNES and experimental 175 broadening was not included. The convolution parameters for the M_{4.5} HR-XANES spectra are 176 given in SI. Example of input files are provided in SI too. The U L₃ edge spectra were calculated 177 for the following crystal structures: K₃Na[UO₂(CO₃)₃]·H₂O_(cr) (grimselite – ICSD 186867; Figure 178 S4 depicts the grimselite spectrum calculated using AMCSD 0005731, which is very similar), 179 UO₂CO₃·H₂O (rutherfordine - ICSD 87760) and Np L₃ edge spectra for K[NpO₂CO₃]_(cr) (ICSD 15685, Pu is exchanged with Np, lattice parameters: a = b = 5.09, c = 9.83 Å) and 180 $K_3[NpO_2(CO_3)_2]_{(cr)}$ (reproduced from Volkov et al.²¹) were calculated. We verified this approach 181 182 by applying the same crystal structure - **grimselite** with either U or Np and found that the spectra 183 are very similar as it can be expected for $Z \pm 1$ difference (Figure S5). For the calculation of the 184 Np L₃ edge XANES of K[NpO₂CO₃]_(cr) the lattice parameters: a = b = 5.12, c = 9.971 Å reported by Keenan and Kruse²⁴ were also applied; the spectrum is similar to the spectrum calculated for 185 186 ICSD 15685 and exchanging Pu with Np (Figure S6). We verified the influence of the cluster size on the spectra by increasing the size of the atomic cluster to 7 Å for grimselite (94 atoms) and 187 188 rutherfordine (100 atoms). The computed spectra do not considerably differ (not shown). U M₄ 189 and M₅ edge HR-XANES and DOS spectra were calculated for grimselite. Np M₅ edge HR-190 XANES and DOS were calculated for K[NpO₂CO₃]_(cr) and K₃[NpO₂(CO₃)₂]_(cr). In both cases the 191 same structures as for the L_3 edge calculations were used.

Thermodynamic Calculations. Calculations were performed at 25 °C with the PHREEQC 3.3
 code.²⁵ The activity coefficient formalism of SIT (Specific Interaction Theory) was used to

194 describe the deviations from ideal chemical behavior that occur in concentrated electrolyte media. 195 The ThermoChimie v9.b database was selected as the primary database because it provides an 196 internally-consistent database with SIT interaction coefficients capable of reproducing measured and observed behaviors of the Np and U systems.²⁶ Additionally, thermodynamic data for the 197 198 potassium Np(V) carbonate solids, grimselite (K₃Na[(UO₂)(CO₃)₃]·H₂O_(cr)) and SIT coefficients for anions with K⁺ was included from Guillaumont et al.²⁷ However, considering the uncertainties 199 200 in the thermodynamic data selection of grimselite, the solubility constant of this solid must be 201 considered as only being provisional in thermodynamic calculations.

202 **RESULTS**

203 The synthesis of **grimselite** $(K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)})$ with addition of Np(V) in the solution $([Np] = 8.4 \times 10^{-5} \text{ M} \text{ and } [U] = 9.9 \times 10^{-3} \text{ M})$ results in an intense green precipitate. In contrast, 204 yellow crystals of **grimselite** are obtained in the absence of Np.¹⁴ A significantly higher Np content 205 206 compared to U in this precipitate was observed from SEM-EDX ([U] below the limit of detection 207 - cf. SEM-EDX results) and corroborated by Raman and X-ray spectroscopies (vide infra). The 208 intensity of the characteristic U M_{β} fluorescence line is 4% of the Np M_{α} line (similar probability 209 for emission) for the Np(U) precipitate suggesting that the U concentration is about 4% of the Np 210 concentration in the sample. This strong evidence for preferential Np phase precipitation over a U phase may be explained simply by differing solubility of $Np(V)^{28}$ and U(VI) carbonates for the 211 212 given experimental conditions ([K] = 0.74 M, [CO₃]_{TOT} = 0.49 M, pH ~ 10.5, oxic conditions). 213 Additionally, it is well known that potassium solid phases of Np(V) can precipitate in alkaline 214 solutions containing large sodium and potassium concentrations, even if the concentration of Na⁺ 215 is much higher than that of K⁺, which is supported by the difference of almost four orders of 216 magnitude of the solubility constants of the solids containing potassium compared to their sodium

homologous (Equations 1-4).^{29,30} The preferential precipitation could also be related to formation of a metastable solid phase of Np as described in the literature.³¹ The green precipitate was analyzed by multiple techniques to identify its composition, U and Np local coordination environments and oxidation states.

$$K[NpO_2(CO_3)](s) \iff K^+ + NpO_2^+ + CO_3^2$$
 Log K_s = -13.6 (1)

$$K_3[NpO_2(CO_3)_2](s) \implies 3K^+ + NpO_2^+ + 2CO_3^2$$
 Log $K_s = -15.9$ (2)

$$Na[NpO_2(CO_3)](s) \implies Na^+ + NpO_2^+ + CO_3^2$$
 Log K_s = -10 (3)

$$Na_{3}[NpO_{2}(CO_{3})_{2}](s) \implies 3Na^{+} + NpO_{2}^{+} + 2CO_{3}^{2} \qquad Log K_{s} = -12 \qquad (4)$$

221

222 SEM-EDX. Traces of crystalline K₂CO₃ phase are identified by SEM-EDX formed on K-Np(V)-223 CO_3 phase (Figures 1b and S2a-d). With SEM-EDX it is difficult to detect characteristic X-ray 224 lines of U at low concentration besides intense Np lines due to their strong overlap. This is also 225 valid for a small amount of Na in a sample with a high K content. The U concentration is estimated 226 less than 10% of the Np concentration. Similarly the Na concentration is estimated less than 10% 227 of the K concertation in the Np(U) precipitate. At high magnification (100kx), a granular structure 228 is observed by SEM suggesting a particle size of roughly 50 nm. Since the volume of the 229 characteristic X-rays generated at 30kV of the primary electron beam is much larger than 50 nm, 230 individual particle compositions of the Np(U) precipitate cannot be distinguished by SEM-EDX.

pXRD and Thermodynamic calculations. The pXRD pattern of the Np(U) precipitate has main diffraction peaks similar to those found for K[NpO₂CO₃]_(cr) reported by Keenan et al. and Visyashcheva et al. (Figure 1a).³² However, the presence of K₃[NpO₂(CO₃)₂]_(cr) cannot be excluded. K[NpO₂CO₃]_(cr) is reported to form by adding K₂CO₃ to Np(V) ($10^{-5}-10^{-7}$ M) dilute acid solutions obtaining final carbonate concertation < 0.2 M, whereas K₃[NpO₂(CO₃)₂]_(cr) is preferably

formed in more concentrated K₂CO₃ solutions (~0.5-2.0 M).^{32,33} For 0.2-0.5 M K₂CO₃ solutions 236 237 (this study) a metastable K-Np(V)-CO₃ system is formed where depending on conditions either K[NpO₂CO₃]_(cr) or K₃[NpO₂(CO₃)₂]_(cr) phase precipitates or even coexist.³³ According to 238 239 thermodynamic calculations, $K_3[NpO_2(CO_3)_2]_{(cr)}$ is predicted to be formed under the conditions 240 selected for the present study (Pourbaix diagram in Figure 2b); however, it should be kept in mind 241 that large uncertainties are expected in these simulations due to the combination of high ionic 242 strength of the system (i.e. ~1 M), presence of highly charged species in the aqueous solution (i.e. 243 $NpO_2(CO_3)_3^{5-}$) and some unknown SIT coefficients in the thermodynamic database. The 244 $K[NpO_2CO_3]_{(cr)}$ and $K_3[NpO_2(CO_3)_2]_{(cr)}$ phases have distinct structural differences but a similar design of the anionic layers $[NpO_2(CO_3)_x]^{(-2x+1)}$ (x = 1 or 2) (Figure 3); in both compounds the 245 246 NpO_{2⁺} ion is coordinated by six oxygen atoms originating from three carbonate ligands in the 247 equatorial plane. The K[NpO₂CO₃]_(cr) phase forms a hexagonal structure consisting of [NpO₂CO₃]⁻ 248 anionic layers with K^+ ions located in between the layers. The $K_3[NpO_2(CO_3)_2]_{(cr)}$ phase has an 249 orthorhombic structure where half of the NpO_2^+ moieties are replaced by K⁺ ions. The $[NpO_2(CO_3)_2]^{3-}$ anionic layers are located at $1/2 \cdot c$ crystallographic intervals and polymerize such 250 251 that $\{-K-O=Np=O-K-O=Np=O-K-\}$ infinite chains are formed along the c axis with closest Np-K atoms being found in the adjacent anionic layers.²¹ Depending on the structure of the K-NpO₂-252 253 CO_3 phase, 0.5 to 2.0 H₂O were reported to enter the structure, which are randomly distributed 254 about the fourfold positions between the anionic layers, and likely enhancing the stability of the compound.²¹ In the M[NpO₂CO₃]_(cr) structures (M = Li, Na, K) bond distance parameters vary 255 256 depending on the alkaline metal, which define the structural variations with an orthorhombic to hexagonal transformation on the Na-K boundary.33b 257

258 In Figure 1a, the most intense and narrow pXRD peak detected at $2\theta \approx 29^{\circ}$ (highlighted with a 259 black arrow) can be assigned to one of the uranyl carbonate phases, either rutherfordine 260 $([UO_2CO_3] \cdot H_2O_{(cr)})$ or **grimselite** $(K_3Na[(UO_2)(CO_3)_3] \cdot H_2O_{(cr)})$. The latter option is supported by 261 the thermodynamic calculations, albeit with considerable uncertainties in the simulation, and the 262 same caveats as in the case of Np also apply (Pourbaix diagram in Figure 2a). The structure of 263 grimselite is different to the potassium neptunyl carbonates described above as it consists of a 264 typical uranyl tricarbonate cluster $[(UO_2)(CO_3)_3]^{3-}$ forming a hexagonal bipyramid interconnected through bonds to Na and K polyhedra via U=O^{...}M⁺ interactions,¹⁴ whilst the structure of 265 rutherfordine contain sheets of uranyl carbonate polyhedra (Figure 3).³⁴ 266

Raman spectroscopy. Raman spectroscopy reveals bands for the Np(U) precipitate associated with the symmetric –yl stretching: $v_1(Np=O) = 768 \text{ cm}^{-1}$; $v_1(U=O) = 821 \text{ cm}^{-1}$ (Figure 1c). The Np=O stretch can be compared to that of Na₃[NpO₂(CO₃)₂].nH₂O_(cr) ($v_1(Np=O) = 772 \text{ cm}^{-1}$),^{1d} as the spectrum for M[NpO₂CO₃]_(cr) is not reported in the literature, whilst the uranyl stretch is similar to that in grimselite ($v_1(U=O) = 815 \text{ cm}^{-1}$)³⁵ or in UO₂CO₃·H₂O_(cr) ($v_1(U=O) = 837 \text{ cm}^{-1}$).³⁶ The Raman spectra also exhibit bands typical for the asymmetric deformation - v_4 of the carbonate ion at 716 cm⁻¹ and three bands at 1060, 1070 and 1086 cm⁻¹ corresponding to v_1 symmetric stretch.

U and Np L₃ edge EXAFS. To gain further insights into the local atomic environments of Np and U, we conducted an L₃ edge EXAFS spectroscopic study of Np and U in the Np(U) precipitate (Np-cp and U-cp). Grimselite (K₃Na[(UO₂)(CO₃)₃]·H₂O_(cr)) was also investigated as a suitable U reference. The Np and U L₃ edge FT-EXAFS spectra and their best fits are depicted in Figure 4ac. The Np FT-EXAFS spectrum of the Np-cp reveals two intense peaks originating from scattering of the photoelectron from axial and equatorial O ligands (Figure 4a). The analyses result in two axial O atoms at an average distance (R) R(Np-O)ax = 1.83(1) Å from Np and in six equatorial O

281 atoms at R(Np-O)eq = 2.53(1) Å originating from three carbonates with R(Np-C) = 2.99(4) Å 282 (Table 1). The EXAFS fits performed using three shells (O1 + O2 + C) or two shells (O1 + O2)283 showed that the data is better described with a three-shell model (see Figure S3, Table S1). The 284 structure obtained from pXRD for $K_3[NpO_2(CO_3)_2] \cdot nH_2O_{(cr)}$ exhibits the following average values for R(Np-O)ax = 1.80 Å, R(Np-O)eq = 2.58 (R(Np-C) is not reported).²¹ The coordination of Np 285 in K[NpO₂CO₃]_(cr) has a more significant discrepancy for the axial bond R(Np-O)ax = 1.96 Å, 286 whereas the average equatorial R(Np-O)eq = 2.57 Å bond and R(Np-C) = 2.96 Å are similar (cf. 287 288 Table 1 and 2). No clear contribution from K atoms can be found in the EXAFS spectrum; K atoms 289 are expected at ~3.8 Å (6 atoms) for $K[NpO_2CO_3]_{(cr)}$.

In Table 1 the EXAFS best-fit structural parameters for **grimselite** $(K_3Na[(UO_2)(CO_3)_3] \cdot H_2O_{(cr)})$ are listed - (R(U-O)ax = 1.80(1) Å, R(U-O)eq = 2.41(1) Å, R(U-C) = 2.90(1) Å) and are close to those determined by X-ray crystallography for **grimselite** (R(U-O)ax = 1.78 Å, R(U-O)eq = 2.42Å, R(U-C) = 2.89 Å).¹⁴ The 0.08 Å elongation of the R(U-K) found from the EXAFS fit (EXAFS: R(U-K) = 3.98(3) Å, pXRD: R(U-K) = 3.89 Å) might indicate structural disorder as EXAFS measures an average of the local coordination environments of all U atom, whereas pXRD is sensitive only to the long-range atomic order in the material.

297 U-cp The structural for slightly differ grimselite parameters compared to 298 $(K_3Na[(UO_2)(CO_3)_3] \cdot H_2O_{(cr)})$; there is 0.02(1) and 0.03(1) Å shortening and elongation of the axial and equatorial U-O bonds, respectively (R(U-O)ax = 1.78(1) Å, R(U-O)eq = 2.44(1) Å) (Table 1 299 300 and 2). This result agrees with the Raman spectroscopy and the U M₄ edge HR-XANES results 301 (vide infra). Comparable U-C coordination numbers (N) and interatomic distances for U-cp (N =3.1(8), R(U-C) = 2.91(2) Å) and grimselite (N = 2.8(6), R(U-C) = 2.90(2) Å) are found (Table 1) 302 303 suggesting formation of similar uranyl tricarbonate compounds. The interatomic distances for 304 rutherfordine (UO₂CO₃·H₂O(cr), ICSD 87760) deviate more substantially: R(U-O)ax = 1.74 Å,
 305 R(U-O)eq = 2.44-2.52 Å, R(U-C) = 2.94 Å.

Both actinide elements are coordinated by carbonate but interestingly the peak corresponding to K cannot be identified clearly in the Np L₃ edge FT-EXAFS, whereas it is well visible in both U L₃ edge FT-EXAFS spectra, presumably due to the poor crystallinity of the Np solid phases (cf. Figure 4b,c).

No indication for coordination of U/Np with Np/U is found in the three FT-EXAFS spectra due to very long R(U/Np-Np/U) distance and/or disorder effects, e.g. R(U-U) ~ 6.77 Å for **grimselite** $(K_3Na[(UO_2)(CO_3)_3] \cdot H_2O_{(cr)})$. Also, it must be remembered that EXAFS is usually not sensitive to Z differences of ± 1 .

314 U and Np L₃ edge XANES. We compared experimental and calculated with the FDMNES code 315 U and Np L_3 edge XANES spectra. Those spectra fingerprint the local atomic environment of all 316 U or Np atoms in the materials therefore the analyses are complementary to XRD and EXAFS. 317 The U L_3 edge XANES experimental spectra for U-cp and grimselite (Figure 5) are almost 318 identical and very similar to the U L₃ edge calculated spectra of grimselite 319 $(K_3Na[(UO_2)(CO_3)_3] \cdot H_2O_{(cr)})$ and rutherfordine $(UO_2CO_3 \cdot H_2O_{(cr)})$. The energy positions of peaks 320 C and D in the experimental Np L₃ edge XANES spectrum of **Np-cp** are shifted to lower energies 321 compared to the spectra of U-cp and U-pg. Thus the Np-cp spectrum is more similar to the 322 computed spectra of K[NpO₂CO₃]_(cr) and K₃[NpO₂(CO₃)₂]·nH₂O_(cr), in agreement with EXAFS 323 and XRD results. Note that the spectra are plotted on a relative energy scale and we did not find 324 significant differences by calculating U or Np L_3 edge XANES for the same crystal structure 325 (Figure S4). The main absorption peaks (white line, WL) for the calculated spectra has much 326 higher intensity since no experimental broadening is taken into account in the computations. The

energy positions of the WLs of the U and the Np L₃ edge XANES spectra correspond to oxidation states U(VI) and Np(V). The spectra are compared to reference compounds in Figures S7 and S8. **Np 3d4f RIXS.** To obtain further information on the oxidation state and coordination environment, we applied U M₄ edge HR-XANES and Np 3d4f RIXS and M₅ edge HR-XANES spectroscopy.¹² Spectra for reference compounds containing Np in its +IV, +V and +VI oxidation states were also recorded, namely Np(IV)O_{2(am,hyd)}, Ca_{0.5}Np(V)O₂(OH)₂·1.3H₂O_(cr) and Na₂Np(VI)₂O_{7(cr)} (Figures 6 and 7).^{37,38}

334 The Np 3d4f RIXS map is a two dimensional representation of the Np M_{α} emission line measured across the Np M₅ absorption edge.^{12f} The Np M₅ edge HR-XANES spectrum is a cross section of 335 336 the RIXS map at a constant emission energy, i.e. the emission intensity integrated within 0.1 eV 337 emission energy range is plotted as a function of the excitation energy. Usually the HR-XANES 338 is extracted at the maximum of the normal emission line measured at excitation energy well above 339 the main absorption peak (green line in Figure 6). However, we recently showed for U, Np and Pu 340 that the main resonant peak (the most intense structure in the RIXS maps) can be shifted to higher 341 emission energies with respect to the normal emission line depending on the material (shift 342 between red and green lines in the RIXS maps in Figure 6). This shift was explained with variations 343 of the interaction of the 3d excited electron in the 5f states and the created 4f core-hole and was correlated to the level of localization of the 5f states.^{12f} If the excited electron is in a more localized 344 345 5f states, the interaction will be stronger and the energy shift between normal and resonant 346 emission will be larger. The energy shifts between normal and resonant emission for the Np(IV), 347 Np(V) and Np(VI) reference compounds -1.0 ± 0.1 eV (Np(IV) and Np(V)) and 0.5 ± 0.1 eV 348 (Np(VI)) (Figure 6 a-c). According, the 5f states are more delocalized for the Np(VI) compound 349 and similarly localized for the Np(IV) and Np(V) compounds. This energy shift is 0.7 ± 0.1 eV for 350 the Np 3d4f RIXS of the Np(U) precipitate (Figure 6 d). Note that the oxidation state, but also 351 coordination environment and crystal structure can influence the level of localization of the 5f 352 states and thereby the magnitude of this energy shift. Since our references are oxides whereas the 353 Np(U) solid is a carbonate material a specific trend might not be observed, nevertheless the energy 354 shift for Np(U) is in between the values found for Np(V) and Np(VI). We recently observed that 355 this energy shift in the An 3d4f RIXS maps also depends on the experimental energy resolution 356 (not shown), therefore RIXS maps should be measured at the same experimental conditions to 357 allow for any comparison. We plotted the cross section of the main resonant peak along the 358 emission and excitation energy axis (violet lines in Figure 6). It is clearly visible that the energy 359 positions of the maxima of these cross sections, the resonant emission (red line on the right of the 360 RIXS maps) and the main peak of the HR-XANES extracted at the maximum of the resonant peak 361 agree well (red line on the top of the RIXS maps). In contrast, the HR-XANES extracted at the 362 normal emission maxima and the normal emission lines are shifted to lower energy (green lines in 363 Figure 6). The main absorption peak of these HR-XANES spectra is a cross section of the tail of 364 the broadening of the resonant peak therefore it does not measure the absorption cross section and 365 might be not appropriate for any quantitate analyses like oxidation states analyses applying a linear 366 combination least squares fit. We also simulated the conventional Np M₅ edge XANES spectra by 367 integrating a larger (17 eV) emission energy region across the RIXS maps (magenta rectangle in 368 Figure 6). It is clear that this Np M₅ edge XANES spectra (magenta line on the top of the RIXS 369 maps) has one broad peak and does not show any fine structure as the HR-XANES spectra (green 370 and red lines on the top of the RIXS maps). Due to the asymmetric distribution of intensity with 371 respect to the maxima of the normal emission lines (green lines), the conventional XANES spectra 372 are shifted to higher energy compared to the HR-XANES spectra (top of RIXS maps). Further

details on the contributions of core-hole lifetime and experimental broadening to the RIXS maps
 are given in Vitova et al.^{12f}

375 U and Np M_{4.5} edge HR-XANES The Np M_5 edge HR-XANES spectra of the Np(U) precipitate 376 (Np-cp and U-cp) and the Np(IV), Np(V) and Np(VI) reference compounds are depicted in Figures 377 7a and 8a. The Np(V) and Np(VI) compounds generally contain an axial Np trans-dioxo moiety 378 (O=Np=O) with a typical short bond length of less than about 1.80 Å (neptunyl). The elongated 379 bond is referred to as neptunate. The energy position of the main absorption peak (A) of the Np 380 M₅ edge HR-XANES spectra shifts $\pm 0.3 \pm 0.05$ eV from Np(IV) to Np(V) and ± 0.6 eV ± 0.05 381 eV from Np(V) to Np(VI) (Table 3). The small $+ 0.3 \pm 0.05$ eV energy shift between Np(IV) and 382 Np(V) is not unusual since Np(V)-yl forms a covalent bond with the 2 axial O atom and as a result 383 there is shift of electronic density towards Np(V) leading to energy shift of the absorption edge of 384 the spectrum to lower energies. For example for Np L₃ edge XANES, the order is revers – the spectrum of Np(V)-yl is at lower energies compared to Np(IV).³⁹ 385

The oxidation state of Np in Np(U) does not change from the initial +5 as evidenced by the position of peak A at 3667.9 eV, which is only 0.1 eV lower than that for the Np(V) reference spectrum. Similar minor energy shifts of the main peak are found for U M₄ edge HR-XANES spectra for a series of U(VI) materials and are explained by different electronic density on the U(VI) atom depending on its short and long range atomic environments.⁴⁰

391 Peak C located at higher energies is well resolved in the Np M₅ edge HR-XANES spectra of the 392 Np(VI), Np(V) references and the Np(U) solid. Peak B, present in the U M₄ edge HR-XANES 393 spectra of uranyl(VI) (Figure 7b), is visible only in the spectrum of Np(VI) (Figure 7a) and 394 overlaps with the main peak in the Np(V) spectra. We attribute peaks B and C to electronic 395 transitions to π^* and σ^* molecular orbitals with predominant contributions of Np 5f and O 2p

atomic orbitals in analogy to those for U(VI)-vl resolved in U M₄ edge HR-XANES spectra.^{12e} 396 397 Peak A describes transitions to the δ and φ largely nonbonding U 5f orbitals (cf. simplified 398 molecular orbital scheme for neptunyl in Figure 9). The molecular orbital schemes of uranyl and 399 neptunyl in the ground state calculated with DFT taking into account also spin-orbit coupling are discussed in T. Vitova at al.^{12e} We recently also compared ground state FDMNES calculations 400 401 with multiplet calculations of Pu M₅ edge HR-XANES spectra of Pu(VI) in aqueous solution 402 (plutonyl) and found that the computed spectra are very similar. The multiplet effects lead to 403 broadening of the peaks but the σ^* peak is well separated from the main absorption peak.^{12f}

404 In order to compare the HR-XANES spectra measured at the U M₄ and Np M₅ absorption edges 405 and to verify that the assignment of spectral peaks to electronic transitions to specific molecular 406 orbitals of neptunyl is correct, we performed quantum chemical calculations of U M_{4,5} and Np M₅ 407 edge HR-XANES and f-DOS spectra with the FDMNES code (Figures 10, 11 and 12). The 408 computed U M₄ and M₅ edge HR-XANES and the f-DOS spectra of grimselite are depicted in 409 Figure 10. Due to selection rules ($\Delta J = 0, \pm 1$), $3d_{3/2} \rightarrow 5f_{5/2}$ (M₄ edge) and $3d_{5/2} \rightarrow 5f_{5/2}, 3d_{5/2} \rightarrow 5f_{7/2}$ 410 $(M_5 \text{ edge})$ electronic transitions take place at the two absorption edges. In addition, the screening 411 of the core-hole differs (multiplet effects), which also has influence on the spectra. The main 412 differences between the U M₄ and M₅ edge HR-XANES calculated spectra depicted in Figure 10 413 are the overlap of the first two peaks and the higher intensity of the second peak (transitions to π^*) 414 in the M₄ HR-XANES spectrum (Figure 10 a). The well separated peak C, describing transitions 415 to the σ^* orbital, is at the same energy position in both spectra. The post-edge regions of the two 416 spectra are identical (cf. Figure S9). Considering these results, we conclude that the assignment of 417 the spectral peaks for Np M₅ edge HR-XANES spectrum of neptunyl is appropriate.

418 The computed Np M₅ edge HR-XANES and f-DOS spectra of the two Np(V) compounds -419 K[NpO₂CO₃]_(cr) and K₃[NpO₂(CO₃)₂]·nH₂O_(cr) are shown in Figures 11 a and b. Similarly to the 420 uranyl case, there is a peak in the Np f-DOS and the HR-XANES spectra corresponding to the σ^* 421 orbital (Np(VI) – at 5 eV, U(V) – at 3 eV). The U M₄ edge and the Np M₅ edge computed spectra 422 are compared to the experimental spectra in Figure 12. The calculated and experimental spectra of 423 grimselite and U-cp are in agreement (cf. Fgiure 12a and S10). The Np(U) sample is a mixture of 424 the two Np(V) compounds, which is also suggested by the energy position of peak C of the 425 experimental **Np-cp** spectrum located between the C peaks of the computed spectra of the two 426 Np(V) reference compounds. Note that the main absorption maxima of the calculated and the 427 experimental spectra are aligned.

428 The position of peak C varies significantly in all spectra (Table 3). In the previous studies it was 429 shown that the energy shift between peaks A and C (ΔE_{C-A}) in U(VI)-yl M₄ edge HR-XANES spectra increases by shortening of the axial U-O bond length (Table 2, Figure 7b).^{40,41,42} DFT + 430 431 U calculations of the f-DOS of uranyl(VI) suggest that changes of equatorial U-O bond length does 432 not influence directly ΔE_{C-A} . The computations were performed by fixing the axial and changing the equatorial U-O bond length.⁴¹ However, there is an indirect influence since usually changes of 433 434 the equatorial also lead to changes of the axial bond distances. There is often an inverse relation -435 shorter U-Oeq leads to longer U-Oax bond length. DFT + U calculations also indicate that extreme 436 bending of uranyl can lead to smaller ΔE_{C-A} - as for example bending of the mostly linear O=U=O bond from 180° to 168.5°.⁴¹ Note that materials with that strong bending of uranyl are very rare 437 and specifically synthesized.⁴³ 438

439 The correlation between ΔE_{C-A} and the axial U-O bond length is also valid for the Np M₅ edge HR-

440 XANES spectra of neptunyl, i.e. larger ΔE_{C-A} value corresponds to a shorter axial Np-O bond

(Table 2 and Figure 13). For example Np(VI) in perchloric acid $R(Np=O) = 1.74 \text{ Å}^{44}$ has larger 441 $\Delta E_{C-A} = 5.2 \text{ eV}^{12\text{f}}$ compared to Na₂Np₂O_{7(cr)} with R(Np=O) = 1.76 Å, ³⁸ $\Delta E_{C-A} = 3.3 \text{ eV}$. There is a 442 443 good agreement between the ΔE_{C-A} values and axial R(Np-O_{ax}) for the Np-cp and the Np(V) reference; a smaller $\Delta E_{C-A} = 1.9$ eV corresponds to longer R(Np=O) = 1.89(1) Å⁴⁵ for Np(V) 444 compared to $\Delta E_{C-A} = 3.0 \text{ eV}$, R(Np=O) = 1.83(1) Å for Np-cp (Table 3). Note also that the ΔE_{C-A} 445 446 correlation with the bond length depends on the oxidation state of the actinyl, but also on the 447 coordination environment. Therefore, this analysis is most reasonable for actinyl materials with 448 either the same An oxidation state or similar atomic environment and different oxidation states.

No intense higher energy features are observed for the Np(IV)O_{2(am,hyd)} spectrum, which is the case also for the U/Pu M_{4,5} edges HR-XANES spectra of U(IV) and Pu(IV) in UO₂ and PuO₂, respectively.^{12d,46} In NpO₂ the Np ion has a 5f³ electronic configuration and cubic structure (Fm $\overline{3}$ m symmetry), therefore a different electronic structure compared to the Np(V) (5f²) and Np(VI) (5f¹) reference compounds.

The U M₄ edge HR-XANES spectrum reveals that the oxidation state of U in U-cp is +VI due to its very similar energy position compared to the spectra of the **grimselite** and **metaschoepite** references (Figure 7b). The 0.1 ± 0.05 eV energy shift between peaks A of the U M₄ edge HR-XANES spectra for **grimselite/U-cp** and **metaschoepite** can be attributed to a higher electronic density on the U atoms in **metaschoepite**.⁴⁰ A ~0.2 eV smaller ΔE_{C-A} value is found for **grimselite** compared to U-cp in correlation with the slightly longer R (+ 0.02 Å, R(U=O) = 1.80 (1) Å).

460 **DISCUSSION**

We have applied the advanced spectroscopic U and Np $M_{4/5}$ edge HR-XANES technique along with conventional characterization methods to describe the coordination structure and U and Np oxidation states of Np(V) co-precipitated with U(VI) in potassium – sodium - carbonate rich

464 solution. The concentration of Np in the precipitate was much larger than U (< 10 % U + > 90 % 465 Np) indicating lower solubility of the Np solid form in the given conditions (pH = 10.5, oxic 466 conditions). The experimental and computational results as well as the thermodynamic 467 calculations reveal that Np(V) and U(VI) alkali metal carbonate solid phases are formed. XRD, 468 SEM-EDX, EXAFS, Np L₃ edge XANES, Raman and Np M₅ edge HR-XANES results 469 demonstrate that K[NpO₂CO₃]_(cr) and K₃[NpO₂(CO₃)₂]·nH₂O_(cr) are the Np phases formed. XRD 470 reveals K[NpO₂CO₃]_(cr), whereas EXAFS shows K₃[NpO₂(CO₃)₂]·nH₂O_(cr) as a predominant Np 471 phase. This might be explained with higher structural disorder for the $K_3[NpO_2(CO_3)_2] \cdot nH_2O_{(cr)}$ 472 phase. EXAFS probes the near atomic environment of U for all species, whereas XRD reveals only 473 crystalline phases with long range atomic order.

474 One strong diffraction peak can be assigned to both $K_3Na[(UO_2)(CO_3)_3] \cdot H_2O_{(cr)}$ and 475 $UO_2CO_3 \cdot H_2O_{(cr)}$ phases. Due to minor differences between the U L₃ EXAFS, U L₃ XANES, U M₄ 476 edge HR-XANES and Raman spectra for the reference $K_3Na[(UO_2)(CO_3)_3] \cdot H_2O$ and the 477 precipitated Np(U) compound, we conclude that the $K_3Na[(UO_2)(CO_3)_3] \cdot H_2O_{(cr)}$ phase dominates, 478 whereas the $UO_2CO_3 \cdot H_2O_{(cr)}$ phase might have a small contribution.

Additional implications regarding the possible Np(V) incorporation into the U(VI) phase could be derived from Raman spectroscopy as small variations in the frequencies of Raman peaks can be a diagnostic for changes in the structure. Thus, $v_1(U=O) = 821 \text{ cm}^{-1}$ is similar to pure grimselite (K₃Na[(UO₂)(CO₃)₃]·H₂O_(cr)). Given the $v_1(Np=O)$ stretch in the precipitate is also very close to that reported for the neptunyl carbonates, the vibrational data corroborates two separate phases. However, the spectroscopic evidence does not allow to completely rule out incorporation of U in Np phases or Np in U phases.

486 **Incorporation vs precipitation.** The formation of separate U and Np phases in this system 487 warrants comment. Our initial assumption is that this is primarily due to the metastability of the 488 system and the large difference of solubility of the potassium carbonate solid phases of Np and U 489 (lower solubility for Np(V) compared to U(VI) for the given conditions). Whilst there is precedent 490 in the literature of actinide (in trace concentration) incorporation into the structure of uranyl 491 minerals, we are specifically interested in what happens when high concentrations of U and Np 492 are mixed. We note that incorporation of Np into some uranyl phases occur under mild 493 hydrothermal approaches, either as Np(V) in a number of uranyl minerals where a charge balancing substitution occurs,⁴⁷ or a direct substitution of U(VI) for Np(VI) in metatorbernite 494 (Cu[(UO₂)₂(PO₄)₂].8H₂O)_(cr),⁴⁸ whilst we have postulated that Am(III) can be incorporated into the 495 structure of grimselite.³⁵ The differing reactivity we observe may be due to the distinct chemical 496 behavior and crystal chemistry of UO_2^{2+} and NpO_2^{+} carbonates. 497

498 SUMMARY

499 In summary we showed that Np carbonate phases with compositions close to $K[Np(V)O_2CO_3]_{(cr)}$ 500 and $K_3[Np(V)O_2(CO_3)_2] \cdot nH_2O_{(cr)}$ co-precipitated from aqueous K-Na-U(VI)-CO₃-H₂O systems 501 along with the minor $K_3Na[(U(VI)O_2)(CO_3)_3]_{(cr)}$ phase. Small contribution of $U/VI)O_2CO_3 \cdot H_2O_{(cr)}$ 502 could not be excluded. We did not find clear evidence for incorporation of U/Np in Np/U 503 The thermodynamic calculations crystalline/amorphous phases. suggest that K₃Na[(UO₂)(CO₃)₃]_(cr) and K₃[NpO₂(CO₃)₂]·nH₂O_(cr) should be formed, so it might be that in the 504 505 short timescales of these experiments, the system did not reach thermodynamic equilibrium. 506 However, given the uncertainties in the thermodynamic data available, the modelling results 507 should not be over-interpreted. Np 3d4f RIXS and M5 edge HR-XANES was applied for oxidation state and coordination studies of Np for the first time. The correlation between the size of the 508

509	energy shift of peak C compared to peak A (ΔE_{C-A}) in Np M ₅ edge HR-XANES spectra and the
510	axial Np-O bond lengths for neptunyl(V)/(VI) was demonstrated. The observation that $Np(V)$
511	carbonates precipitate in preference to uranyl carbonates in alkaline K-Na-U(VI)-CO3-H2O
512	systems is important for understanding the fundamental chemical behavior of actinides in a
513	potassium carbonate rich aqueous system.

514

515 ASSOCIATED CONTENT

- 516 Supporting Information: Sample holder for An M_{4,5} edges HR-XANES, SEM images of the
- 517 precipitate, Np L₃ edge EXAFS spectra of **Np-cp** fitted with two and three shells; Computed U
- 518 and Np L₃ edge XANES spectra and an input file for the FDMNES calculations.

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523 AUTHOR CONTRIBUTIONS

524 The manuscript was written through contributions of all authors. All authors have given approval

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- 534



Figure 1. (a) pXRD pattern of Np(U) precipitate (top) with pXRD patterns of K[NpO₂CO₃]_(cr) (Bruker PDF 170264 based on 32a), K₃[NpO₂(CO₃)₂]_(cr) (reproduced from Volkov et al.),²¹ K₂CO₃·1.5H₂O (ISCD 22257), rutherfordine (UO₂CO₃·H₂O_(cr)) (reproduced from Finch et al.)³⁴ and grimselite (K₃Na[UO₂(CO₃)₃]·H₂O_(cr)) (as measured with Bruker AXS D8 – it agrees with AMCSD 0005731), peak from possibly U phase is indicated by a black arrow; (b) SEM images of the Np(U) precipitate and K₂CO₃ crystals formed on a cracked Np(U) precipitate after drying and preparation for SEM analysis (K₂CO₃ crystals are identified by the zoomed in regions); (c) Raman spectrum of Np(U) precipitate.



Figure 2. (a) U and (b) Np Pourbaix diagrams: $[U]_{TOT} = 9.9 \cdot 10^{-3} \text{ M}$, $[Np]_{TOT} = 8.4 \cdot 10^{-5} \text{ M}$, Na₂CO₃ ([Na] = 0.24 M), K₂CO₃ ([K] = 0.74 M), $[CO_3]_{TOT} = 0.49 \text{ M}$. The expected phases are given with colors.



Figure 3. Structures of (a) $K[NpO_2CO_3]_{(cr)}$; (b) $K_3[NpO_2(CO_3)_2]_{(cr)}$; (c) **grimselite** $(K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)})$ and (d) **rutherfordine** $(UO_2CO_3 \cdot H_2O_{(cr)})$ showing the different

layer structures. Key: Np – green polyhedral; U – yellow polyhedral, Na – orange; K – purple; O – red; C – black.



537

Figure 4. (a) R-space fit results for the **Np-cp**, (b) **U-cp** and (c) **grimselite** magnitude of the FT-EXAFS (colored rhombs) and their best fits (black dash dot line) and single scattering paths; imaginary parts of the FT-EXAFS (light colored triangles) and the best fits (black dash line) (top

inset); k^3 -weighted filtered $\chi(k)$ -function (colored rhombs) and their best fits (black solid line); back-transformed EXAFS and the back-transformed fits (bottom inset).



Figure 5. From bottom to top: experimental U L₃ edge XANES spectra of **grimselite**, **U-cp** and Np L₃ edge XANES spectrum of the **Np-cp** samples. U L₃ edge XANES of **grimselite** $(K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)})$, **rutherfordine** $(UO_2CO_3 \cdot H_2O_{(cr)})$ and Np L₃ edge XANES of $K_3[NpO_2(CO_3)_2]_{(cr)}$, and $K[NpO_2CO_3]_{(cr)}$ computed with the FDMNES code. All spectra are aligned and plotted on a relative energy scale so that the most intense peak is at 0 eV.





Figure 6. (a) Np 3d4f RIXS maps of Np(IV)O_{2(am,hyd)}, (b) Ca_{0.5}Np(V)O₂(OH)₂·1.3H₂O_(cr), (c) Na₂Np(VI)₂O_{7(cr)} and (d) **Np-cp**. The energy positions of the maxima of the normal (measured 3700 eV excitation energy) and resonant emission lines are marked with horizontal green and red lines, respectively. Those lines are shown in green and red (obtained at the position of the red vertical line) lines on the right side of the maps. The violet line marks the cross section of the most intense resonance in the RIXS map. The cross sections plotted along the emission and the excitation energy scales are shown also with violet lines on the right and top of the RIXS maps, respectively. Rectangle in magenta with 17 eV width shows the emission energy region over which the intensity is integrated to obtain the conventional Np M₅ edge XANES spectrum plotted on the top also in magenta. The intensity of this spectrum is multiplied by 5.



Figure 7. (a) From top to bottom: Np M₅ edge HR-XANES spectra of $Na_2Np(VI)_2O_{7(cr)}$, $Ca_{0.5}Np(V)O_2(OH)_2 \cdot 1.3H_2O_{(cr)}$, **Np-cp** and $Np(IV)O_{2(am,hyd)}$. (b) U M₄ edge HR-XANES spectra of **U-cp**, grimselite and metaschoepite.



Figure 8. (a) From top to bottom: Np M₅ edge HR-XANES spectra of $Na_2Np(VI)_2O_{7(cr)}$, Ca_{0.5}Np(V)O₂(OH)₂·1.3H₂O_(cr), **Np-cp** and Np(IV)O_{2(am,hyd)}. (b) U M₄ edge HR-XANES spectra of **U-cp**, **grimselite** and **metaschoepite**.



Figure 9 A qualitative molecular orbital scheme of neptunyl adapted from 49. Only frontier electrons are indicated.



540 Figure 10. (a) U M₅ and (b) M₄ edge HR-XANES spectra and f-DOS of **grimselite** 541 $(K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)})$ computed with the FDMNES code.

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553 Figure 12. (a) U M₄ edge HR-XANES spectra of grimselite ($K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)}$), U-cp

and a computed spectrum of grimselite. (b) Np M_5 edge HR-XANES spectra of Np-cp and

555 computed spectra of $K_3[NpO_2(CO_3)_2] \cdot nH_2O(cr)$, and $K[NpO_2CO_3](cr)$. The computations are 556 performed with the FDMNES code.



558 Figure 13. Correlation between the energy shift between peaks A and C of the Np M₅ edge HR-

559 XANES spectra of neptunyl(V)/(VI) compounds (cf. Figure 7a) as a function of the Np – O axial

560 bond length (cf. Table 3). The Np(VI)aq – Np in perchloric acid, 12f Np(V) -

 $Ca_{0.5}Np(V)O_2(OH)_2 \cdot 1.3H_2O_{(cr)}$, $Np(VI) - Na_2Np(VI)_2O_{7(cr)}$ and Np(V)-cp.

Table 1. EXAFS fit results for the Np-cp, U-cp and grimselite (SP denotes scattering path, N – coordination number, R – interatomic distance, σ^2 - Debye-Waller factor, ΔE_0 – energy shift of the ionization potential, r - goodness of fit parameter. The S₀² – amplitude reduction factor is fixed to 0.8. Uncertainties are given in the parentheses as the last decimal in the fit value, ^f – parameters are fixed). The O coordination number in the Np – O1 scattering path was first fixed to 2. As a second step N and R were consecutively varied until the best fit was obtained.

Sample	SP	N	R, [Å]	σ ² ·10 ⁻³ , [Å ²]	ΔE ₀ , [eV]	r, %
Np-cp (Np in Np(U) precipitate	Np-O1 Np-O2 Np-C	2 ^f 5.4 (4) 2.9 (10)	1.83 (1) 2.53 (1) 2.99 (4)	0.8 (7) 6 (1) 6 (1)	7.4 (11)	0.5
U-cp (U in Np(U) precipitate)	U-O1 U-O2 U-C U-K	2.4 ^f 5.9 (5) 3.5 (4) 5.0 (18)	1.78 (1) 2.44 (1) 2.91 (2) 4.02 (2)	1.0 (9) 4 (1) 4 (1) 8 (3)	4.3 (4)	0.4
Grimselite (reference)	U-O1 U-O2 U-C U-K	2 ^f 5.3 (5) 2.7 (7) 5.1 ± 1.9	1.80 (1) 2.41 (1) 2.90 (2) 3.98 (3)	2 (1) 6 (1) 6 (1) 7 (2)	3.2 (8)	0.7

Table 2. U/Np-O and U/Np-C interatomic distances for the O and C atoms in the first and second coordination spheres of U and Np in reference solid compounds proposed by XRD to be present in the Np(U) precipitate.

Solid compound	R(U-O)ax, Å	R(U-O)eq, Å	R(U-C), Å
$\begin{array}{l} K_3[NpO_2(CO_3)_2]\cdot nH_2O_{(cr)}\\ Volkov \ et \ al.^{2l} \end{array}$	1.80	2.58	*
K[NpO ₂ CO ₃] _(cr) ICSD 15685	1.96	2.57	2.96
grimselite K ₃ Na[UO ₂ (CO ₃) ₃]·H ₂ O _(cr)) AMCSD 0005731	1.78	2.42	2.89
rutherfordine $UO_2CO_3 \cdot H_2O_{(cr)}$ ICSD 87760	1.74	2.44-2.52	2.94

*The U-C value is not reported in the Ref. 21

Table 3. Energy positions of spectral peaks and energy differences for the Np/U M₅/M₄ edge HR-XANES spectra of Np(VI), Np(V), Np-cp, Np(IV) and U-cp, grimselite (AMCSD 0005731), metaschoepite (AMCSD 0004319) depicted in Figure 7. The uncertainty of the energy positions is \pm 0.05 eV. The R(An=O) bond lengths are found from EXAFS (cf. Table 1) - ^{*}X. Gaona et al.³⁸ and [&]D. Fellhauer.⁴⁵

Sample	A [eV]	B [eV]	C [eV]	B-A [eV]	C-A [eV]	R(An-O)ax [Å], ± 0.01
Np(VI) (Na ₂ Np ₂ O _{7(cr)})	3668.6	-	3671.9	-	3.3	1.76^{*}
$Np(V) (Ca_{0.5}NpO_2(OH)_2 \cdot 1.3H_2O_{(s)})$	3668.0	-	3669.9	-	1.9	1.89 ^{&}
Np-cp (Np in Np(U) precipitate)	3667.9	-	3670.9	-	3.0	1.83
Np(IV) (NpO _{2(am,hyd)})	3667.7	-	-	-	-	-
U-cp (U in Np(U) precipitate)	3726.9	3728.6	3732.3	1.7	5.4	1.78
grimselite (K ₃ Na[UO ₂ (CO ₃) ₃]·H ₂ O)	3726.9	3728.6	3732.1	1.7	5.2	1.80
metaschoepite ([(UO ₂) ₄ O(OH) ₆]·5H ₂ O)	3726.7	3728.5	3732.3	1.8	5.6	1.77

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Synopsis:

Our observation that neptunyl(V) carbonates precipitate in preference to uranyl(VI) carbonates in a alkaline K-Na-CO₃-H₂O system is important for understanding the fundamental chemical behavior of actinides. It is demonstrated that $K[NpO_2CO_3]_{(cr)}$, $K_3[NpO_2(CO_3)_2] \cdot H_2O_{(cr)}$ and $K_3Na[UO_2(CO_3)_3] \cdot H_2O_{(cr)}$ are the main solid phases formed. Np 3d4f RIXS and M₅ edge HR-XANES are applied for oxidation state and coordination studies of Np for the first time. We reveal that the Np M₅ edge HR-XANES is sensitive to the Np-Oaxial bond length in neptunyl(V)/(VI).