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# 1 A Multi-Omics Approach Reveals Mechanisms of

# 2 Nanomaterial Toxicity and Structure-Activity-

# 3 Relationships in Alveolar Macrophages

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# 31 Abstract

In respect to the high number of released nanomaterials and their highly variable properties, novel grouping approaches are required based on the effects of nanomaterials. Proper grouping calls for a combination of an experimental setup with a higher number of structurally similar nanomaterials and for employing integrated omics approaches to identify the mode of action.

36 Here we analyzed the effects of seven well characterized NMs comprising different chemical 37 compositions, sizes and chemical surface modifications on the rat alveolar macrophage cell line NR8383. The NMs were investigated at three doses ranging from 2.5 -  $10 \mu g/cm^2$  after 24 h 38 39 incubation using an integrated multi-omics approach involving untargeted proteomics, targeted 40 metabolomics and src homology 2 (SH2) profiling. By using Weighted Gene Correlation Network 41 Analysis (WGCNA) for the integrative data, we identified correlations of molecular pathways with 42 physico-chemical properties and toxicological endpoints. The three investigated SiO2 variants induced strong alterations in all three omics approaches and were therefore be classified as 43 44 "active". Two organic phthalocyanines showed minor responses and Mn2O3 induced a different 45 molecular response pattern than the other NMs. WGCNA revealed that agglomerate size and 46 surface area as well as LDH release are among the most important parameters correlating with 47 nanotoxicology. Moreover, we identified key drivers that can serve as representative biomarker 48 candidates, supporting the value of multi-omics approaches to establish integrated approaches to 49 testing and assessment (IATAs).

# 51 Introduction

52 Due to their specific and often unique properties nanomaterials (NMs) are applied in a wide range 53 of different applications (Stark *et al.*, 2015) and physico-chemical properties of NMs can be fine-54 tuned resulting in a broad range of NM variants. However, altering size, shape, morphology and 55 surface chemistry of a NM may modify its biologic interactions, activity and/or biokinetics 56 (Landsiedel *et al.*, 2012, Arts *et al.*, 2015, Louro, 2018), thus influencing the potential toxicity 57 (Oberdörster *et al.*, 2005, Rahi *et al.*, 2014).

58 In the last decades, nanosafety research has generated a huge amount of studies describing 59 potential NM hazards in vitro. These have increased our general understanding of nanotoxicity but 60 are of limited relevance for risk assessment (Krug, 2014). Regulatory risk assessment of chemicals 61 including NMs still mainly depends on *in vivo* testing to fulfill information requirements, even 62 though animal tests are time-consuming, costly and ethically questionable. In addition, there are 63 debates on whether the underlying mechanisms are conserved between species (Olson et al., 2000, 64 Wall and Shani, 2008, Shanks et al., 2009). Unfortunately, it is neither easy nor straightforward to 65 replace animal tests by *in vitro* assays. The current state-of-the art is to develop integrated 66 approaches to testing and assessment (IATAs) combining several in chemico, in vitro and in vivo tests in a structured manner employing specific decision trees (OECD, 2018). For this purpose the 67 inclusion of mechanism-linked bioactivity assays along with traditional cytotoxicity assays for in 68 69 *vitro* screening as well as the correlation of toxicity effects with physico-chemical properties has 70 been shown to be advantageous (Xia et al., 2013, Feliu et al., 2016). Furthermore, the development 71 of IATAs is strongly connected to the development of Adverse Outcome Pathways (AOPs) 72 (OECD, 2012).

73 The development of IATAs and AOPs for NMs has made great progress recently (Shatkin and 74 Ong, 2016, Gerloff et al., 2017). However, it is hampered by a still limited understanding of NM 75 toxicity mechanisms and mode of actions (MoAs). A few MoAs concerning some toxicological 76 endpoints of some NMs are already quite well understood. Examples are the release of toxic ions 77 for some metal and metal oxide NMs, the fibre paradigm following inhalation of bio-persistent 78 high aspect ratio NMs, and the generation of oxidative stress due to a highly specific surface area 79 and/or surface reactivity (Donaldson et al., 2006, Nel et al., 2006, McShan et al., 2014, Arts et al., 80 2015, Dong and Ma, 2015, Tee et al., 2016). However, for many NMs the underlying MoAs are 81 not well understood but toxicogenomics techniques have been shown to be in particular useful to elucidate NM toxicity mechanisms and MoAs (Lin et al., 2013, Riebeling et al., 2017). 82 83 Furthermore, there are several studies that used a single omics technique to understand molecular 84 changes induced by NMs (Nath Roy et al., 2017). However, each technique individually provides 85 only limited insights into the overall appearance which was demonstrated in a multi-omics study 86 conducted with ten different CNTs (Scala et al., 2018). Thus, the number of studies using 87 complementary omics approaches is increasing (Scala et al., 2018, Gallud et al., 2019), where 88 often transcriptomics and proteomics have been combined, while posttranslational modifications 89 or metabolomics have been included less frequently.

Here we applied a multi-omics approach comprising untargeted proteomics, targeted metabolomics and SH2 profiling to investigate the effects of seven NMs in an alveolar macrophage cell line (NR8383). The chosen NMs possess different chemical compositions, sizes, shapes and surface chemistries and have been extensively characterized. Moreover, most of them were already well characterized with respect to their inhalation toxicity by *in vivo* short-term inhalation studies (STIS) and standard *in vitro* assays in the same cellular model (Wiemann *et al.*, 2016). Hence,

96 plenty of existing toxicological and physico-chemical data are available for correlation with our 97 multi-omics approach. For this purpose Weighted Gene Correlation Network Analysis (WGCNA), 98 a p-value independent co-expression network approach, was used (Zhang and Horvath, 2005). 99 WGCNA has been shown to be a valuable tool in systematically deciphering cellular responses or 100 identifying pathways relevant to key traits or conditions (Pei et al., 2017). Additionally, this type 101 of analysis allows the correlation with external conditions or sample traits as for example physico-102 chemical properties (Langfelder and Horvath, 2008). Thus, we characterized not only NM specific 103 effects on proteome and metabolome but also effects linked to physico-chemical properties.

# 105 Materials and Methods

# 106 Selected NMs and NM characterization

107 A set of seven NMs with specifically selected properties was investigated. Unmodified SiO2\_15 108 (precipitated) was provided by BASF SE. Phthalocyanine Blue and Phthalocyanine Green were 109 provided by BASF Colors and Effects in technical grade. SiO2\_7 (pyrogenic) and SiO2\_40 110 (pyrogenic) were manufactured by Evonik Industries. Graphene Oxide was obtained from Sigma-111 Aldrich. All NMs were delivered as powders, except SiO2\_15, which was provided in suspension. 112 The supplement contains a description of the NMs physico-chemical properties (Table S1) and 113 average values in F12K cell culture medium (Table S2 - Table S4). Selected properties are 114 summarized in Table 1.

# 115 NM Dispersion

116 NMs were dispersed freshly prior use by an indirect probe sonication protocol with a Bandelin 117 Cup Horn (Bandelin, Germany). A 0.5 mg/ml stock solution was prepared in water or serum-free 118 cell culture medium. The centrifuge vial was placed in the middle of the Cup Horn or in a multi-119 vial holder and sonicated to a final power input of 6 W during continuous water exchange 120 (Taurozzi *et al.*, 2011).

# 121 NM Characterization

For the basic physical chemical characterization the NMs were suspended in deionized water (0.5 mg/ml) and in various cell media (F12K and DMEM plus Fetal Calf Serum) and analyzed by different techniques (or data were given by literature or provider) towards their primary particle size (Scanning Electron Microscopy – SEM, Brunauer-Emmett-Teller – BET), agglomeration size

126 (SEM, Dynamic Light Scattering – DLS), specific surface area (BET, DLS, SEM), pH-dependent 127 zeta potential (Electrophoretic Light Scattering - ELS), isoelectric point (ELS), pH value & redox 128 potential (Electrodes), oxidative potential (spin trap and spin probe based EPR spectroscopy), 129 solubility/dissolution (in a steady state system after 24 h and 48 h shaking by Inductive Coupled 130 Plasma Optical Emission Spectrometry - ICP-OES), morphology (SEM), density (literature) and 131 band gap (Ultraviolet-Visible spectroscopy - UV/VIS). Dispersion and analysis were performed 132 according to former NM characterization procedures published by nanOxiMet, nanoGEM, 133 MARINA. Slight adjustments to NanoToxClass NM characterization can be found in specific 134 SOPs (www.nanotoxclass.eu).

# 135 Cell culture

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137 (Thermo Fisher Scientific, #21127030, USA), supplemented with 15 % FCS (heat-inactivated, 138 PAN Biotech, P30-1506, Germany), 100 U/mL penicillin and 100  $\mu$ g/mL streptomycin (PAN 139 Biotech, P06-07100, Germany). Cells were exposed to 45, 22.5 and 11.25  $\mu$ g/mL NM for 24 h 140 under serum free conditions. Thus, nominal doses assuming complete sedimentation were 10, 5 141 and 2.5  $\mu$ g/cm<sup>2</sup>.

NR8383 alveolar macrophages (ATCC, CRL-2192, USA) were cultivated in Ham's F12K medium

# 142 In vitro toxicity

143 Cytotoxicty of NMs was assessed by lactate dehydrogenase (LDH) and  $\beta$ -glucuronidase (GLU) 144 release. In addition, H<sub>2</sub>O<sub>2</sub> formation and tumor necrosis factor alpha (TNF $\alpha$ ) release were 145 investigated. Information were taken from literature, if available (Wiemann *et al.*, 2016). For the 146 remaining NMs, toxicity was investigated using the same methods as described before (Wiemann *et al.*, 2016) with one exception. TNFα levels were determined by ELISA (BMS622, Thermo
Fisher Scientific, Austria), following manufacturer's instructions.

For the H<sub>2</sub>O<sub>2</sub> assay NR8383 cells were seeded at a density of  $5 \times 10^5$  cells/ml in 96-well plates and were exposed to NMs in serum free Ham's F12K medium for 16 h, and 1.5 h. Blanks (cell free medium  $\pm$  NMs) corresponding to each sample were used to eliminate any interference. Three biological replicates from each sample were acquired using a FlexStation 3 multiplate reader (Molecular Devices, San Jose, CA). The obtained values are summarized in Table S9 for NM screening and in Table S10 for concentration-dependent effects.

# **Sample Preparation for Omics Studies**

Cells (4 x  $10^6$ ) were seeded in complete cell culture medium into 22 cm<sup>2</sup> cell culture dishes (TPP, 156 Switzerland) and rested overnight. Freshly dispersed NMs were added, followed by incubation for 157 24 h in serum-free medium. For the initial screening NMs were applied at 10 µg/cm<sup>2</sup>, which 158 159 corresponds to in vivo overload conditions in rat lungs (Kroll et al., 2011). Protein samples were 160 generated in three to five biological replicates, containing untreated control samples, while 161 metabolites were extracted from four to six replicates. Dose-dependent effects were investigated for selected NMs at doses of 2.5, 5 and 10  $\mu$ g/cm<sup>2</sup> with three to four biological replicates. Detailed 162 163 information can be revealed from Additional file 1. Proteins and metabolites were extracted from 164 individual samples prepared at the same day.

Proteins for proteomics and SH2 profiling were extracted from the same samples using RIPA
buffer containing 0.05 M Tris/ HCl (pH 7.4, Roth, Germany), 0.15 M Na-chloride (Roth,
Germany), 0.001 M EDTA (Roth, Germany), 1 % Igepal (Sigma Aldrich, Germany), 0.25 % Nadeoxycholate (Sigma Aldrich, Germany), 10 mM Na-Pyrophosphate (Sigma Aldrich, Germany),

169 10 mM β-Glycerolphosphate (Sigma Aldrich, Germany), 1 mM Na-orthovanadate (Sigma Aldrich, 170 Germany), 10 µl/ml Protease-inhibitor (Merck Millipore, USA), 10 µl/ml β-Mercaptoethanol, 10 171 µl/ml Na-fluoride and 2 µl/ml Na-pervanadate. Protein concentrations were determined using 172 Bradford assay (Bio-Rad, USA). Metabolites were extracted using 5 % (v/v) chloroform, 45 % 173 (v/v) methanol, 50 % (v/v) water.

# 174 Targeted Metabolomics, untargeted Proteomics and SH2 profiling

For Metabolomics, the AbsoluteIDQ p180 Kit (Biocrates, Austria) was used as described previously (Potratz *et al.*, 2017). Samples were analyzed with an API 5500 triple quadrupole mass spectrometer (ABSciex, Germany) coupled to an Agilent 1260 Infinity HPLC system (Agilent, USA). Analyst® software and MetIDQ were used for data analysis. Values below the limit of detection were excluded. Fold changes (FCs, treatment vs. control) were calculated prior further analysis.

For proteomics, tandem mass tag (TMT)-labeling (TMT-10-plex, Thermo Scientific, USA) was applied. In case of the screening the workflow was as specified in manufacturer's instructions and as described before but with 50  $\mu$ g protein (Thompson *et al.*, 2003, Wewering *et al.*, 2016). For the investigation of dose-dependent effects, TMT labeling was performed on paramagnetic beads (Supplement: Using tandem mass tags on paramagnetic beads), which leads to an improved sample quality and allows for fractionation (Hughes *et al.*, 2014, Hughes *et al.*, 2018).

In both cases, labeled samples obtained from one biological replicate were combined and analyzed
on a nano-UPLC system (Ultimate 3000, Dionex, USA). After trapping (Acclaim PepMap 100
C18, 3 μm, nanoViper, 75 μm × 5 cm, Thermo Fisher, Germany), peptides were separated on a
reversed-phase column (Acclaim PepMap 100 C18, 3 μm, nanoViper, 75 μm × 25 cm, Thermo

Fisher, Germany), applying a non-linear gradient of 150 minutes. Obtained MS raw data were processed using ProteomeDiscoverer 2.1.0.81, where we applied a co-isolation threshold of 50 % to minimize ratio compressions (Sandberg et al., 2014). The database search was performed against the UniprotKB reference proteome of rattus norvegicus (28 April 2017), resulting in FCs (treatment vs. control) for 2051 proteins, which were log2-transformed and median normalized before further analyses.

197 SH2 profiling was performed as described previously (Dierck et al., 2009). In brief, RIPA extracts 198 were separated by SDS-PAGE, transferred to PVDF membranes, blocked with 10% skim milk in 199 TBST buffer and probed with different SH2-domains pre-complexed with 200 streptavidin/horseradish-peroxidase conjugate. Tyrosine phosphorylated proteins were detected by 201 chemiluminescence, films were scanned and signal intensities of individual phosphoprotein bands 202 were quantified applying ImageJ software package. Mean signal intensities of phosphoprotein 203 bands were calculated from minimum three (to five) biological replicates and FCs of 204 phosphorylation were determined in comparison to mean signals obtained from controls.

# 205 Statistical Analysis

Statistical analysis of the log2-transformed FCs was performed in R-3.5.0. To unravel significant (p-value  $\leq 0.05$ ) changes compared to control, the Student's t-test with Benjamini & Hochberg adjustment was performed for proteins and metabolites that were quantified in at least three biological replicates over all the treatments. Hierarchical clustering was conducted with Euclidean distance measure and complete clustering algorithm. FCs and adjusted p-values for all data sets can be found in Additional file 1.

# 212 Integrative Analysis

For integrative analysis, Log2(FCs) of all proteins and metabolites obtained in the initial screening with seven NMs (independently of the p-value) were scaled to integer values between 0 and 100, without changing the distribution of the values per sample (Additional file 2) (Langfelder and Horvath, 2008, Love *et al.*, 2014).

Soft power in WGCNA was set to 18, cut-height to 0.1 and minimum module size to 25. 10
modules of co-expressed analytes were obtained (Figure S2). A summary of analytes that have
been assigned to each of the modules can be found in Additional file 3. For each of the obtained
modules significantly enriched pathways were determined using Ingenuity Pathway Analysis
(IPA, Qiagen, Germany).

#### **Results** 223

#### 224 NM characterization

225 NMs have been characterized extensively. Key physico-chemical properties are summarized in Table 1. More details are given in Table S1 - Table S4. Characterizations of several materials have 226 227 also been published elsewhere (Landsiedel et al., 2014, Driessen et al., 2015, Wiemann et al., 228 2016).

### Table 1: Summary of physico-chemical properties.

229 230 231 Summarized are core materials, provided primary particle sizes (PPS) determined using BET, the agglomerate sizes in F12K determined using DLS as well as the zeta potential at pH 7.4. \*(Wiemann et al., 2016, Wiemann et al., 2018)

Substance (CAS Nr.)	Short Name	PPS (provided)	PPS (BET)	Agglomerate Size in F12K (z.average)	Zeta Potential	Name in Literature*
		[nm]	[m <sup>2</sup> /g]	[nm]	[mV]	
Silica (7631-86-9)	SiO2_15	15	-	$42.2 \pm 5.4$	$-35.5 \pm 3.6$	SiO2.naked
	SiO2_40	40	$\begin{array}{c} 66.2 \pm \\ 1.0 \end{array}$	$255.0\pm2.1$	-38.8 ± 19.4	F1
	SiO2_7	8	$9.1\pm0.2$	$275.1\pm7.6$	$-26.6 \pm 1.2$	
Graphene Oxide	Graphene Oxide	NA	$\begin{array}{c} 15.1 \pm \\ 0.1 \end{array}$	1927.2 ± 594.4	$-16.2 \pm 10.9$	
Cu-phathalo- cyanine	Phthalocyani ne Blue	17	75.6±	1760.1 ± 27.2	$-24.1 \pm 3.4$	Pigment blue
(147-14-8)			0.4			15.1
Halogenated Cu-phathalo- cyanine	Phthalocyani ne Green	NA	45.6 ± 0.1	1783.9 ± 304.1	$-37.0 \pm 4.3$	
(14832-14-5)						
Manganese	Mn2O3	50	22.9 ± 0.1	$675.6 \pm 71.4$	-24.6	

# 233 **Proteomics, SH2 Profiling and Metabolomics**

234 To get mechanistic information about NM effects on alveolar macrophages (NR8383) at a dose of 235  $10 \,\mu\text{g/cm}^2$ , a multi-omics approach was applied. From proteomics, fold changes (FCs) of protein 236 abundances relative to the control were obtained for 1200 proteins. Hierarchical clustering of 237 protein FCs that is shown in Figure 1A revealed two groups of NMs: Silica NMs SiO2\_7, SiO2\_15 238 and SiO2 40 led to significant (p-value  $\leq 0.05$ ) changes in the proteome (Figure 1B), while 239 Phthalocyanine Blue, Phthalocyanine Green, Mn2O3 and Graphene Oxide showed almost no 240 significant alterations. SiO2 7 had a similar pattern as SiO2 15 and SiO2 40 but with 241 considerably more significant changes. Thus, the silica NMs were classified to be "active".

242 SH2 profiling was performed to gain insights into changes of the global state of tyrosine 243 phosphorylation after NM treatment. Profiling was performed with 11 different SH2 domains and 244 the mean phosphoactivity of 648 phosphoprotein bands was determined (Figure S1). Clustering of 245 the SH2 profiles revealed two major groups, in which SiO2\_40, Mn2O3 and SiO2\_15 were clearly 246 separated from phthalocyanines and Graphene Oxide, while SiO2\_7 was apart (Figure 1C). 247 Significant (p-value  $\leq 0.05$ ) changes in the phosphorylation state of different proteins are 248 summarized in Figure 1D, demonstrating that SiO2\_7, SiO2\_15 and SiO2\_40 as well as Mn2O3 249 are among the top scorers leading to a strong decrease in tyrosine phosphorylation, while treatment 250 by phthalocyanines and Graphene Oxide led to only few changes. Based on these findings, Mn2O3 251 and the three silica NMs tested here were classified to be "active".

The hierarchical clustering of metabolome data showed again the formation of three major clusters: SiO2\_15 and SiO2\_40 on the one hand and Phthalocyanine Blue, Phthalocyanine Green, Mn2O3 and Graphene Oxide on the other hand. SiO2\_7 was separated from the other silica NMs due to its considerably different expression profile (Figure 1E). Anyway, the comparison of significantly (pvalue  $\leq 0.05$ ) altered metabolites (Figure 1F) highlights that SiO2\_7, SiO2\_15 and SiO2\_40 are the NMs which induced significant changes, rendering these again as "active".



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259 Figure 1: Summary of obtained proteins, phosphoproteins and metabolites.

Presented are Euclidean clustering analyses for proteins, phosphoproteins and metabolites in A, C and E, respectively, that are colored by Log2(FCs). B, D and F show numbers of analytes with significantly (p-value  $\leq 0.05$ ) altered abundances.

263 Next, we performed an integrated data evaluation, for which the data from proteome, 264 phosphoproteome and metabolome at NM doses of  $10 \,\mu g/cm^2$  were scaled to the same range from

-2 to 2. Noticeably, the overall clustering remained the same (Figure 2). Again, SiO2\_7 was located
in a different cluster, pointing to a different MoA compared to SiO2\_15 and SiO2\_40. Since
Mn2O3 is located in the middle of both clusters, we classified this NM as equivocal. The fact that
the clusters derived from each individual method were highly comparable to the integrated clusters
demonstrates that the gained multi-omics results are consistent.



- 271 Figure 2: Results from integrative hierarchical clustering analysis.
- 272 Presented is a Euclidean clustering analysis conducted with protein, phosphoprotein and metabolite Log2(FCs) scaled
- 273 to the same ranges (min = -2, max = 2). Coloring was performed based on the scaled Log2(FCs).
- 274

## 275 Integrative Analysis

276 To get information about the NMs MoAs and on physico-chemical properties influencing 277 biological effects, we performed a WGCNA of proteins and metabolites. Co-expressed analytes 278 were summarized into color-coded modules, followed by correlation of the obtained module 279 eigengenes (modules first principal components) with traits (summarized in Table S5 - Table S9). 280 WGCNA revealed ten modules which were analyzed by Ingenuity Pathway Analysis (IPA). A 281 summary of WGCNA and IPA is provided in Table S11. Significant correlations with NM 282 treatments were observed for SiO2 7, SiO2 15, SiO2 40, Mn2O3 and Phthalocyanine Blue 283 (Figure 3A). Interestingly, the only but clearly significant correlation of Mn2O3 was associated with DNA methylation, Guanosine Nucleotides Degradation and Glycolysis indicating that 284 285 Mn2O3 led to different cellular effects than the other tested NMs. Again, Mn2O3 was located in 286 the middle of both trees (Fig. 3A). The significant correlations of the NM treatments with several 287 of the modules and comprised pathways (Table S11) were analogous to the correlation patterns 288 observed for the respective core materials and morphologies (Figure 3B, C). Furthermore, the 289 clustering (Fig. 3A) was identical to the single omics analyses. Graphene Oxide and both 290 phthalocyanines showed similarities although they are chemically different. The involved modules 291 contained analytes linked to chemokine signaling, oxidative stress response and cell death (Table 292 S11). In contrast, all silica variants showed negative correlations with the yellow module, which 293 is positively associated with Mn2O3, Graphene Oxide and the phthalocyanines, promoting the 294 assumption of different MoAs. Mn2O3 and SiO2\_7 were clearly separated from the other two, but 295 furthest apart. However, SiO2 15 and SiO2 40 were both positively correlating with the brown 296 module, containing analytes connected to NRF2-mediated oxidative stress response and IL-8

- signaling. SiO2\_7 was the only NM significantly correlating with the turquoise module, containinganalytes related to mitochondrial dysfunction and DNA damage response.
- 299 Significant correlations of modules with physico-chemical properties and toxicological endpoints
- 300 (Figure 3D) were observable for agglomerate size and LDH release. These were anti-correlating,
- 301 indicating larger agglomerate forming NMs to lead to lower LDH release and concludingly to
- 302 higher cell viability. Results for agglomerates surface areas (SA (z.average)), DMPO reactivity,
- 303 band gap and TNF $\alpha$  release were analyzed in more detail below.
- 304



- 305 Figure 3: Results from module-trait correlation performed for WGCNA.
- 306 Shown are modules of co-expressed analytes that were correlated with NM treatments (A), base materials (B), 307 morphologies (C), physico-chemical properties and toxicological endpoints (D), respectively. The heatmaps are
- 308 colored according to the correlation and significance of correlation is indicated (\*: p-value  $\leq 0.1$ , \*\*: p-value  $\leq 0.05$ , 309
- \*\*\*: p-value  $\leq 0.01$ ).

## 310 Silica-specific effects

In the present study three silica NMs with different sizes and synthesis routes (pyrogenic and precipitated) were investigated. Hence, silica-specific effects were analyzed. Silica was the only core material that positively correlated with the turquoise module (Figure 3B), with enrichment of mitochondrial dysfunction, DNA damage response and cell death (Table S11). Furthermore, significant negative correlation was observable for modules with enriched central carbon metabolism, ERK/MAPK signaling, NRF2-mediated oxidative stress response and cytokine signaling.

## 318 **Physico-chemical properties**

Next, we aimed to correlate alterations in proteome and metabolome of NR8383 cells with physico-chemical properties to get hints into the property-activity relationship. Most significant correlations were found for agglomerate size, showing positive correlation with cytokine signaling, phagocytosis, NRF2-mediated oxidative stress response and mitochondrial dysfunction.

DMPO reactivity showed significant correlations with the red and grey modules. The correlation pattern was similar to the one observed for Mn2O3 (Figure 3A), suggesting that changes could be Mn2O3-specific, according to its high oxidative potential (Delaval *et al.*, 2017). Importantly, no significant correlation was observed with the surface area corrected DMPO reactivity, indicating that their reactivity is mainly determined by the available surface area.

The band gap showed significant correlation with ERK/MAPK Signaling and NRF2-mediated oxidative stress response for instance (magenta module). NMs positively correlating with this module (Figure 3A) were Graphene Oxide, Phthalocyanine Blue and Phthalocyanine Green, suggesting this module to be specific for these NMs.

## 332 **Toxicological endpoints**

From the toxicological endpoints, LDH release led to most significant correlations. This parameter
showed a negative correlation with the agglomerate size, indicating that NMs such as SiO2\_15,
SiO2\_7 and SiO2\_40 forming small agglomerates led to higher LDH release, and hence low cell
viability.

TNFα release showed significant positive correlation with analytes related to NRF2-mediated
oxidative stress response and chemokine signaling. Furthermore, significant negative correlations
were observed for production of nitric oxide and reactive oxygen species in macrophages and cell
death. Interestingly, TNFα release clustered with LDH release.

# 341 Key driver analysis

To identify key drivers involved in the NMs MoA, we determined analytes highly connected to particular modules and traits (e.g. silica, surface area, LDH or TNF $\alpha$  release), suggesting their importance as mediators of the observed effects (Figure 4). The list of key drivers (Figure 5) comprises proteins from different pathways and different biological functions ranging from immune response to DNA damage (Table S12). Taken together, all of them allowed distinguishing between the tested silica NMs and the others, with the exception of Mn2O3.



349 Figure 4: Identified key drivers.

- Plotted are analytes with absolute gene significance  $\ge 0.75$  and absolute module membership  $\ge 0.75$  for traits highly correlating with at least one module. Analytes are colored based on their assigned module.
- 352 Especially Idh1 and Sod2 allowed the differentiation of SiO2\_7, SiO2\_15 and SiO2\_40 from both
- 353 phthalocyanines and Graphene Oxide. In these cases, Mn2O3 showed similar behavior as the silica
- 354 NMs but with less intensity. Interestingly, Idh1 and Sod2 are both related to oxidative stress, which
- is consistent with the observation that oxidative stress is one of the most common routes upon NM
- treatment (Lujan and Sayes, 2017).
- 357



Figure 5: Proteins that were identified to be key drivers.

- Shown are protein Log2(FCs) (left axis) and p-values (right axis) with respect to the control. Significantly (p-value  $\leq$
- 358 359 360 361 0.05) altered proteins are highlighted (\*). Furthermore, assigned modules are indicated by the color behind the analyte
- name.
- 362 Metabolites suggested to be key drivers are shown in Figure 6. Interestingly, most metabolites
- 363 clearly separated the supposedly "active" silica NMs from others, again with exception of Mn2O3.

Relevant molecules were lysoPC.a.C.16.0 and lysoPC.a.C.16.1 (phosphatidylcholines), Asn, His

365 and Pro (amino acids), spermidine and putrescine (biogenic amines).

366



367Figure 6: Metabolites that were identified to be key drivers.368Shown are metabolite Log2(FCs) (left axis) and p-values (right axis) with respect to the control. Significantly (p-value369 $\leq 0.05$ ) altered metabolites are highlighted (\*). Furthermore, assigned modules are indicated by the color behind the370analyte name.

# 371 **Dose dependency**

372 To get deeper insights into dose-dependent effects, the silica NMs were assessed at 2.5, 5 and  $10 \,\mu\text{g/cm}^2$  using proteomics and metabolomics. The dose-dependent abundances for several key 373 374 drivers that were initially identified based on the screening (Figures 5 and 6) indicate that SiO2\_7 375 induced the highest concentration-dependent responses, followed by SiO2 15 and SiO2 40 376 (Figure 7). This is true for spermidine as well as several proteins. Especially Sod2, B2m, Thrap3 377 and Trap1 showed concentration dependencies, which confirms the findings from the screening 378 and renders them interesting biomarkers candidates. Thus, several key drivers, that confirm the 379 separation of "active" NMs from "passive" NMs, were identified, which might facilitate future 380 risk assessment. The dose-dependent effects for the three silica NMs were also investigated by the

- 381 assessment of toxicological endpoints (Table S10) that showed dose-dependent signals as well.
- 382 Thereby, the highest response was achieved by SiO2\_7, followed by SiO2\_15 and SiO2\_40, which
- 383 is in accordance with the obtained results for the key drivers.



Figure 7: Concentration dependency of selected key drivers.

384 385 386 387 Shown are Log2(FCs) (left axis) and p-values (right axis) for selected key drivers that were extracted from data obtained by applying proteomics and metabolomics with different NM doses. Significantly (p-value  $\leq 0.05$ ) altered 388 analytes are highlighted (\*). Furthermore, assigned modules are indicated by the color behind the analyte name.

# 390 **Discussion**

391 A detailed understanding of NM toxicity mechanisms and NM MoAs is useful for the distinction 392 and/or grouping of NMs. Here we applied a multi-omics approach including proteomics, SH2 393 profiling and metabolomics to a set of seven NMs with different properties. Interestingly, all three 394 omics approaches led to similar outcomes with respect to NM categorization, indicating the 395 reliability of our approach. Moreover, the integrative hierarchical clustering analysis of this multi-396 omics data set (Figure 2) gave a refined view with respect to the existing classification into "active" 397 and "passive" NMs, confirming SiO2\_7, SiO2\_15 and SiO2\_40 to be "active" in vitro. This 398 classification was also supported by the analysis of key drivers and toxicological endpoints in the 399 concentration-dependent follow-up studies. Based on the data of the four toxicological endpoints 400 (Table S10), these particles were all to be classified as "active" according to the existing 401 classification, under consideration of the NMs surface areas. SiO2\_15 has been shown to be "active" in vitro (Wiemann et al., 2016) and in vivo (Landsiedel et al., 2014) before, which is 402 403 consistent with our findings.

404 Phthalocyanine Blue, Phthalocyanine Green and Graphene Oxide showed almost no changes, 405 suggesting them to be "passive" *in vitro*. Phthalocyanine Blue has been shown before to be "active" 406 *in vitro* (Wiemann *et al.*, 2016) and "passive" *in vivo* (Landsiedel *et al.*, 2014, Arts *et al.*, 2016), 407 showing that a more detailed investigation as done here can support the previous *in vivo* 408 observations even though simple toxicity assessment in the same cell model has suggested that 409 this NM can be "active", which is an additional evidence that the MoA should be considered to 410 establish NM grouping. 411 When taking together all evidences, Mn2O3 should be classified as "active" as well. While it did 412 not induce significant changes in the metabolome, there were significant changes observable in 413 the proteome. Furthermore, it was located between the supposedly "active" and "passive" 414 materials within the WGCNA (Figure 3A) and in case of several of the key drivers the abundances 415 had the same direction as in case of the NMs that were classified to be "active" within this study. 416 Examples are Stat5a, Stat3, Idh1, and Sod2 (Figure 5). Nevertheless, we would have expected 417 stronger and more pronounced effects for Mn2O3 since this material has already been described 418 as cytotoxic and inducing high cellular oxidative stress levels (Zhang et al., 2012, Hsieh et al., 419 2013). This was not the case in this study. However, differences in NM dispersion methods 420 resulting in differences in agglomerate sizes or differences in cell treatment may account for these 421 variations and need further investigations.

422 The integrative analysis of the results from proteomics and metabolomics in this study was 423 conducted using WGCNA, that allows not only the identification of relevant physico-chemical 424 properties or toxicological endpoints but also to unravel key drivers that are highly connected to 425 particular traits, thus rendering them representative biomarker candidates for future NM toxicity 426 assessment. The conducted key driver analysis revealed several interesting candidates. On the 427 proteome level especially the proteins that are related to oxidative stress response or mitochondrial 428 dysfunction (e.g. Sod2, Cox5a) allow to distinguish the supposedly "active" NMs from the others 429 and show concentration dependencies. However, most of the other identified proteins did not show 430 significant (p-value < 0.05) changes in protein abundances for all the supposedly "active" NMs, 431 which makes categorization based on these data alone challenging. Importantly, there were also 432 several metabolites identified to be key drivers, from which especially phosphatidylcholines, 433 amino acids and biogenic amines seem to be suitable to distinguish "active" and "passive" NMs.

In summary, consistent results for a systematically selected set of NMs were obtained within this multi-omics approach, revealing important insights into potential toxicity mechanisms, including immune responses, oxidative stress response and cell death, which is in accordance with the literature (Li et al., 2008). Furthermore, our study identified physico-chemical properties that can be correlated with observed effects, which allowed distinguishing supposedly "active" from "passive" NMs. Finally, we identified biomarker candidates that might facilitate future hazard and risk assessment.

# 441 **Conclusions**

442 In this study, a set of seven different NMs was investigated, including three silica NMs with 443 varying properties. Biological effects were identified using a multi-omics approach with 444 untargeted proteomics, SH2 profiling and targeted metabolomics. Interestingly, all conducted 445 hierarchical clustering analyses revealed overall similar categorization outcomes, showing that 446 results from different omics data sets were highly consistent. The integrative hierarchical 447 clustering analysis involving all three data sets was the most predictive one in terms of the 448 classification confirming that more parameters allow a more reliable categorization. The 449 conducted WGCNA was a valuable tool to assess NM toxicity since it revealed insights into the 450 NMs MoAs and unraveled physico-chemical properties that were related to observed effects. 451 Furthermore, key drivers were identified based on WGCNA results that might be representative 452 biomarkers for future risk assessment. While metabolites and phosphoproteins where shown to be 453 already suitable indicators of NM toxicity, the proteome is necessary to unravel MoAs due to the 454 information about key events and regulated pathways. In summary, the obtained insights may be 455 useful for future approaches to facilitate targeted hazard and risk assessment and grouping.

# 456 **Disclosure of Interest**

457 The authors report no conflict of interest.

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# 466 Data availability statement

The proteomics and metabolomics datasets generated in this study are available at Zenodo under
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# 610 Additional Files

Reference	File name	Description
Additional file 1	Additional file 1.xlsx	Replicate values, FCs and p-values obtained from proteomics, metabolomics and SH2 profiling.
Additional file 2	Additional file 2.xlsx	Scaled data from proteomics and metabolomics that were used for WGCNA.
Additional file 3	Additional file 3.xlsx	Lists of analytes and their assignment to obtained modules.
Additional file 4	Additional file 4.xlsx	Summary of enriched pathway for each module.
Additional file 5	Additional file 5.xlsx	Calculated module memberships and gene significances together with identified key drivers.
Supplement	Supplement.pdf	All tables and figures that were mentioned to be in the Supplement within the text.