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1	Effect of subsurface dams on saltwater intrusion and	
2	fresh groundwater discharge	
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15	Abstract: Subsurface dams are widely used to prevent saltwater intrusion around the	
16	world. A subsurface dam blocks the groundwater movement both from and towards	
17	the sea. This blockage often leads to an accumulation of pollutants and salt on the	
18	inland and sea-side of the dam, respectively. While the latter is intended, the former	
19	effect is not desired and poses a huge problem in groundwater management. Herein,	
20	we propose the use of dams of minimum effective height to prevent saltwater	
21	intrusion, and the use of the fresh groundwater discharge to assess the environmental	

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22 performance of the subsurface dam. Laboratory tests and numerical simulations were 23 used to study the effects of dam height, distance from the saltwater boundary, and 24 head difference on the subsurface dam's saltwater intrusion prevention efficiency and 25 fresh groundwater discharge. We found that i) the fresh groundwater discharge 26 reaches its peak at the minimum dam effective height, and ii) the minimum effective 27 dam height is shorter than the height of SWI without the dam. This means, under the 28 premise of effectively preventing SWI, we can reduce both construction costs and 29 increase fresh groundwater discharge through constructing the dam with the minimum 30 effective dam height. When the dam height was less than the minimum effective dam 31 height, the subsurface dam had little effect in preventing saltwater intrusion. As the 32 dam distance to the shoreline increased, the minimum effective dam height and the 33 peak fresh groundwater discharge decreased simultaneously. A reduction of the dam 34 height is conducive to saving engineering cost, while a reduction of the peak fresh 35 groundwater discharge favors the accumulation of land-based pollutants and salt. 36 Although an increased distance of the dam to the coast seems more economic during 37 construction, this also implies a larger inland soil salinization and accumulation of 38 pollutants. The site selection of subsurface dams is therefore an optimization task that 39 should consider both the engineering cost and ecological environmental effects. 40 Key word: saltwater intrusion; subsurface dams; dam location; minimum effective

41 dam height; fresh groundwater discharge

42 1. Introduction

43	Saltwater intrusion (SWI) is the primary adverse factor affecting groundwater
44	exploitation in coastal regions (Bear, 1999; Kayode, 2017). Under the action of a
45	regional groundwater flow field, fresh groundwater discharges to the ocean and
46	exchanges with the high-density saltwater body near the coast (Fig. 1a). Excessive
47	pumping of groundwater in coastal aquifers leads to a decreased water table height,
48	and the saltwater wedge keeps invading inland (Goswami, 2007; Walther et al., 2017;
49	Zhang, 2019). SWI directly leads to soil salinization and to the substantial decline of
50	agricultural output (Yu, 2019). SWI has become an important factor restricting the
51	social and economic development in coastal regions (Werner et al., 2013).
52	At present, the main methods to prevent SWI are hydraulic barriers and physical
53	barriers (Abarca et al., 2006; Botero-Acosta and Donado, 2015). In addition, the
54	compressed air injection method was proposed to prevent SWI; but it has not been
55	widely used due to its low efficiency for preventing SWI (Sun et al., 2013). Hydraulic
56	barriers require the continuous pumping of the saltwater or recharge of the fresh water
57	into the aquifers, and the wells used, face the problem of clogging in the long run
58	(Luyun et al., 2011; Allow, 2012). Physical barriers use the "soil mixing wall method"
59	or "trench-cutting remixing deep wall method" to construct impervious
60	soil-cement-bentonite walls that can effectively prevent SWI once and for all (Onder
61	et al., 2005; Luiz et al., 2018).

62 Since the 1980s, Japan, China, India, the Middle East, and African countries
63 have built many underground physical barriers to prevent and control SWI (Raju et al.,

64	2013; Stevanović 2015). At present, physical barriers can be divided into cut-off walls.
65	subsurface dams, and semi-pervious subsurface barriers (SPSB) (Fig. 1-b, c, and d)
66	(Hasan Basri, 2001; Kaleris and Ziogas, 2013). Subsurface dams are the most widely
67	used wall type in the international field of preventing SWI (Nawa et al., 2009;
68	Abdoulhalik et al., 2017c; Jamali et al. 2018). Jamali et al. (2013) used a GIS model
69	coupled to a groundwater balance model to study the siting solution of subsurface
70	dams. Kang et al. (2017) used isotope analysis to investigate the effect of subsurface
71	dams on the groundwater nutrient dynamics in the Wang River watershed in China.
72	They found that due to the blocking effect of subsurface dams on the groundwater, the
73	nitrogen and phosphorus concentrations upstream of the subsurface dams were higher
74	than those downstream. Cantalice et al. (2016) built an underground dam in the
75	Brazilian Jacu watershed and monitored the soil moisture, groundwater quality, and
76	electrical conductivity for more than three years. According to the monitoring results,
77	the underground dam resulted in the retention of more soil moisture in the rainy
78	season. However, the interception effect of the underground dam led to gradual
79	increase of groundwater salinity upstream, which eventually lead to soil salinization.
80	Senthilkumar and Elango (2011) used MODFLOW to study the influence of
81	subsurface dams on groundwater flow fields in the Palar River basin in India. The
82	model predicted that after the establishment of subsurface dams, the upstream
83	groundwater levels would rise by 0.1-0.3 m, and the range of influence would be
84	about 1.5-2 km upstream, while the downstream groundwater level would decrease

85	by 0.1–0.2 m. Abdoulhalik and Ahmed (2017a) used laboratory tests and SEAWAT
86	numerical simulation to study the cut-off wall efficiency for SWI control in stratified
87	aquifers. They combined, for the first time, the use of cut-off walls and subsurface
88	dams to enhance the efficiency of SWI prevention (Abdoulhalik et al., 2017b). Luyun
89	et al. (2009) studied the relationship between the height of subsurface dams and the
90	thickness of the saltwater wedge using laboratory tests and SEAWAT. They found that
91	when the subsurface dams were higher than the thickness of the saltwater wedge,
92	seawater intrusion could be prevented, and the saltwater trapped upstream could be
93	flushed out. However, the effects of the construction location of subsurface dams and
94	head difference were neglected. The efficiency of subsurface dams for preventing
95	SWI is determined by a wall's depth, hydraulic conductivity, distance from the coast,
96	groundwater velocity, and aquifer anisotropy (Kaleris and Ziogas, 2013).
97	The top of a subsurface dam is generally at sea level (Fig. 1-c). In some regions,
98	the subsurface dams intersect the full cross-section of the aquifer to store water
99	underground for irrigation (Fig. 1-d) (Silva et al., 1998; Ishida et al., 2011; Yasumoto
100	et al., 2011). However, the excessive dam height will not only increase the
101	engineering cost, but will also cut off the route of fresh groundwater discharge to the
102	sea. The interception of fresh groundwater leads to inland soil salinization and
103	accumulation of pollutants (see references above). In order to avoid such
104	disadvantages, Sugio et al., (1987) proposed to change impermeable subsurface dams
105	to semi-permeable ones. Then the salt and pollutants in the fresh groundwater could

106 discharge to the sea through the semi-permeable subsurface dam. However, the 107 saltwater could also invade the aquifer through the semi-permeable subsurface dam, 108 which reduced the efficiency of the subsurface dam for preventing SWI. In conclusion, 109 it is a significant problem to prevent the inland soil salinization and accumulation of 110 pollutants by maximizing the fresh groundwater discharge, while ensuring the 111 efficiency of subsurface dams for preventing SWI.



112

Fig. 1. Schematic diagrams of SWI and physical barriers (a) SWI; (b) Cutoff wall; (c)
Subsurface dam; (d) Semi-pervious subsurface barrier (SPSB).

In contrast to previous work, we propose using dams of minimum effective height to prevent SWI. Moreover, we used the fresh groundwater discharge to assess the environmental performance of the subsurface dam, as an increased fresh groundwater discharge is beneficial for carrying land-based pollutants and salt to the sea, which accumulate in traditional high subsurface barriers (Qu et al., 2017; Sathe and Mahanta, 2019; Tavakoli-Kivi et al., 2019; Sun et al., 2019). We used laboratory 121 tests and numerical simulation to investigate the optimization of subsurface dams. The 122 laboratory tests were completed in a flow tank to represent SWI in an unconfined 123 aquifer under the influence of a subsurface dam. SEAWAT was used to interpret the 124 laboratory test results. The subsurface dam height, dam distance to the saltwater 125 boundary, and head difference were investigated to maximize the efficiency of SWI 126 prevention and the discharge of fresh groundwater to the sea. We have found that the fresh groundwater discharge reaches its peak with the minimum effective dam height 127 128 and the minimum effective dam height is slightly shorter than the height of SWI 129 without the dam. Thus, we can reduce both construction costs and increase fresh 130 groundwater discharge through constructing the dam with the optimized dam height.

- 131 Table 1
- 132 Symbols.

c _f	freshwater concentration [ML ⁻³]
cs	saltwater concentration [ML ⁻³]
D	molecular diffusion coefficient [L ² T ⁻¹]
dh	head difference of freshwater and saltwater [L]
H _{aqu}	aquifer thickness [L]
H _{dam}	subsurface dam height [L]
H _{min}	minimum effective dam height to prevent SWI [L]
h _f	freshwater level [L]
h _s	Saltwater level [L]

К	hydraulic conductivity [LT ⁻¹]	
L _{dam}	dam distance from the saltwater boundary [L]	
L _{SWI}	length of the saltwater wedge [L]	
Q	discharge of fresh groundwater to the sea when SWI reaches dynamic	
	equilibrium [L ³ T ⁻¹]	
$\alpha_{\rm L}$	longitudinal dispersivity [L]	
α _T	transverse dispersivity [L]	
ρ _f	freshwater density [ML ⁻³]	
ρ_s	saltwater density [ML ⁻³]	

133 2. Material and methods

134 2.1. Scenario definition

We defined two scenarios to investigate the efficiency of the subsurface dam. A baseline case was used to compare the experimental and numerical setups and acquire a parametrization. In the baseline case, the head differences of freshwater and saltwater were set as 8mm, 9mm and 10mm in the lab experiments. Length of saltwater wedge dynamics were used to compare the lab results and numerical simulations.

A subsurface dam case was used to assess the sensitivity of the dam height, location, and head difference with respect to steady-state SWI length and fresh groundwater discharge. The subsurface dam case was defined as: The base dam case was set as dam height = 7 cm, distance = 20 cm, and head difference = 9 mm. We 145 changed one factor of dam height, location, and head difference at a time in the lab 146 experiments to compare with the base dam case. Pictures of steady-state SWI were 147 taken to observe the shape of saltwater wedge at different dam cases. SEAWAT was 148 used to assess the sensitivity of the dam height, location, and head difference.

149 2.2. Laboratory Material

150 The experiment was carried out in a flow tank with internal dimensions of 90 cm 151 $(length) \times 45$ cm $(height) \times 5$ cm (width) (Fig. 2). In order to simulate an unconfined 152 aquifer, the water tank was divided into three zones by porous plates, freshwater 153 reservoir, saltwater reservoir, and porous media chamber. Glass beads with uniform 154 diameter of 0.7 mm were used to fill in the middle zone of the tank as porous media. 155 The fresh and saltwater reservoirs were positioned on the left and right sides of the 156 flow tank, respectively, and the fresh and saltwater flow was pumped at a constant 157 rate into the reservoirs from the bottom inlets. The constant heads of the fresh 158 groundwater and saltwater were controlled by adjustable drainage overflow pipes.

NaCl solution with a concentration of 36 g/L was prepared to represent seawater. A densitometer (AlfaMirage SD-200L) was used to measure the seawater density (ρ_s) = 1025 Kg/m³, the freshwater density (ρ_f) = 1000Kg/m³. Cochineal dyes (red food color, Sinopharm Chemical Reagent Co.,Ltd) were added to trace the saltwater. The dyes could be migrated synchronously with the saltwater in the flow tank (Goswami et al., 2007). Therefore, the red area in the flow tank could be used to determine the range of the saltwater wedge. The average hydraulic conductivity (K) of the porous 166 media, as calculated by Darcy's law, was 5.8 E-3 m/s, and the porosity measured by 167 the volume method was 0.4. The longitudinal dispersivity (α_L) is 0.13cm, which was 168 determined by fitting the breakthrough curves with an one-dimensional column test. 169 The transverse dispersivity (α_T) was set to be 1/10 of the longitudinal dispersion 170 (Shoemaker et al., 2004 ; Lu et al., 2013). The subsurface dam was made of plasticine 171 which is impermeable.



172

173 Fig. 2. Schematic diagram of the laboratory setup.

174 2.3. Experimental setup

175 The saltwater head was fixed at 26 cm, the fresh groundwater head was adjustable, and was adjusted to 26.8 cm, 26.9 cm, and 27.0 cm, respectively, during 176 177 different scenarios. We filled the glass beads into the porous media chamber layer-by-layer under saturated conditions to avoid air bubbles to reside in the pores. 178 179 The fresh water was pumped into the freshwater reservoir, which was then filled with 180 porous media and the overflow discharged through the overflow outlet of the 181 saltwater reservoir. Then the saltwater entered the saltwater reservoir from the inlet 182 pipe and gradually filled the saltwater reservoir from the bottom up. The saltwater 183 wedge gradually formed and began a continuous invasion of the aquifer. The position of the saltwater wedge was recorded every 10 min by a digital camera (Canon IXUS 184 185 285 HS). As soon as the saltwater wedge reached the subsurface dam, it stopped 186 advancing and rose against the subsurface dam. If the saltwater wedge could be 187 captured at the position of the subsurface dam, the dam was effective to prevent SWI. 188 If the saltwater extended downward behind the dam after flowing over the top of the 189 subsurface dam, the subsurface dam failed to prevent SWI. When the saltwater 190 reached the impervious base behind the dam, a new saltwater wedge formed and 191 continued to invade the aquifer. We considered the setup equilibrated when SWI was 192 not advancing more than 1 mm per 10 minutes.

193 2.4. Numerical setup

194 SEAWAT was used to simulate SWI and to calculate the fresh groundwater 195 discharge to the sea (Guo and Langevin, 2002; Langevin, 2003). During the setup of 196 the numerical model, we followed the laboratory settings and parameters as close as 197 possible. The numerical simulation area was a homogeneous, unconfined, two-dimensional, vertical cross section with the size of 90×27 cm². A no-flow 198 boundary condition was defined on the upper part and lower part of the numerical 199 200 model. The left-side freshwater boundary was set as a variable constant head 201 boundary (26.5 to 27.1 cm); the concentration was set to $c_f = 0$ g/L. The right-side 202 saltwater boundary was set to a constant head of 26 cm, and the concentration was set 203 to $c_s = 36 \text{ g/L}$.



204

Fig. 3. Model domain and boundary conditions for the numerical simulations.

The simulation area was discretized by a uniform grid with quadratic elements with an edge length of 0.5 cm. The grid spacing and dispersivity satisfied the Péclet number criterion to ensure numerical stability (Voss et al., 1987):

$$Pe = \frac{v\Delta L}{D + \alpha_L v} \approx \frac{\Delta L}{\alpha_L} = 3.8 < 4$$
(1)

210 Where ΔL is the grid spacing, D is molecular diffusion. The time step was set to 60 s. 211 The aquifer medium hydraulic conductivity (K) was set to 5.8E-3 m/s. As the used 212 plasticine is practically impermeable, the hydraulic conductivity of the subsurface 213 dam was set to 1E-9 m/s, and the width of the subsurface dam was 1 cm. A stress 214 period was set for both the baseline case and the subsurface dam case, lasting for 6 h, 215 which was adequate for the saltwater wedge to reach a state of dynamic equilibrium.

216 3. Results and discussion

217 *3.1. Baseline case*

In the baseline case, we firstly studied the influence of the variation of the head difference. Fig. 4-a, b, and c shows the results for head differences of 8, 9, and 10 mm which resulted in intrusion lengths of the saltwater wedge of 56.4, 44.7, and 37.1 cm, respectively. Fig. 4-d shows the comparison of the 50% isoline under the different



head conditions. 50% isoline is wildly used for saltwater wedge in the aquifer with

223 low dispersivity (Goswami et al., 2007; Luyun et al., 2009; Stoeckl et al., 2016).

224

Fig. 4. Photographs of the steady-state saltwater wedge with head difference of (a) 8
mm, (b) 9 mm, (c) 10 mm, and (d) comparison of the 50% isoline.

The comparison between the experimental data and the numerical simulation results of the length of the saltwater wedge is shown in Fig. 5. The numerical simulation results and the experimental data have a good degree of fit under the
different head conditions. For the head differences of 8, 9, and 10 mm, SWI reaches
dynamic equilibrium at 300, 230, and 180 min, respectively.



232

Fig. 5. Comparison between transient experimental and numerical length of saltwater
wedge (L_{SWI}) with different head differences.

235 The water flowing out of the right boundary of the flow tank is the brackish 236 water mixed with the fresh groundwater and saltwater. Therefore, the study on the 237 fresh groundwater discharge should be based on the water balance of the fresh 238 groundwater flowing into and flowing out of the flow tank. SEAWAT was used to calculate the fresh groundwater rate flowing into the flow tank, which is equal to the 239 240 discharge of the fresh groundwater. Fig. 6 plots the variation of fresh groundwater 241 discharge versus head difference when SWI reaches dynamic equilibrium. It can be 242 seen that the fresh groundwater discharge increased gradually with increase of the 243 head difference. This is because the velocity of the fresh groundwater flowing into the 244 flow tank increases with increase of the head difference according to Darcy's law. The

245 increased fresh groundwater flow velocity results in an increase of the fresh

246 groundwater discharge .



247

248 Fig. 6. Discharge of fresh groundwater with different head differences .

249 *3.2. Dam height*

250 The head difference of freshwater boundary and saltwater boundary was kept at 251 9 mm. The location of the subsurface dam was set at a distance of 20 cm from the 252 saltwater boundary. The subsurface dam heights were set at 6 cm, 7 cm, and 8 cm, 253 respectively. Fig. 7 shows the results for the variations of the dam height with the 254 laboratory experiments. In the case of no subsurface dam, the length of the saltwater 255 wedge was 44.7 cm (Fig. 3-b). When the dam height was 6 cm, the saltwater wedge 256 flowed over the top of the subsurface dam and advanced to a distance of 43.3 cm. In 257 this case, the subsurface dam lost its function of preventing SWI. When the dam 258 height was increased to 7 cm, the saltwater wedge was intercepted at the position of the subsurface dam, and the thickness of the saltwater wedge at the dam was approximately equal to the height of the subsurface dam. When the dam height was increased to 8 cm, the saltwater wedge was also effectively intercepted, and the thickness of the saltwater wedge toe was lower than the height of the subsurface dam.



Fig. 7. Photographs of the steady-state saltwater wedge in a head difference of 9 mm, with dam heights of (a) 6 cm, (b) 7 cm, and (c) 8 cm, and (d) comparison of the 50%

isoline, SWI is equivalent to dh = 9mm in fig. 3.

The numerical simulation results and the laboratory tests have a good degree of fit in Fig.7 and Fig.8, which shows the numerical simulations are reliable. For the dam heights of 6, 7, and 8 cm, the saltwater wedge reaches dynamic equilibrium at 463, 54, and 64 min, respectively. Comparing with the SWI dynamic equilibrium time of 230min without the dam, the equilibrium time decreases three quarters when the subsurface dam is effective. Even if the subsurface dam is too low to prevent SWI, it can still slow down the speed of SWI.



Fig. 8. The numerical simulations of the steady-state saltwater wedge in a head difference of 9 mm, with dam heights of (a) 6 cm, (b) 7 cm, and (c) 8 cm, and (d) comparison of the 50% isoline, SWI is equivalent to dh = 9mm.

278 In the following, we use the dimenionsless ratio of the dam height H_{dam} and the 279 aquifer thickness H_{aqu} (H_{aqu} =26cm). Fig. 9 shows the influence of the dam height on 280 the SWI length. Until the ratio H_{dam}/H_{acu} reaches 0.27 (i.e. $H_{dam} = 7$ cm), the SWI 281 length decreases only slightly and is similar to the baseline case, indicating that the 282 dam loses the function of preventing SWI. When H_{dam}/H_{aqu} is larger than 0.27, the 283 SWI length decreases sharply to 20 cm, and the saltwater wedge is intercepted by the dam. Thus, $H_{dam}/H_{aqu} = 0.27$ is the minimum effective dam height to prevent SWI in 284 285 the case of a head difference of 9 mm.



Fig. 9. Length of saltwater wedge with different dam height ratios. The purple bars represent dam heights that failed to prevent SWI; the blue bars represent dam heights with effective blocking of SWI.

290	Fig. 10 shows the fresh groundwater discharge for different dam heights. With
291	increase of the dam height, the fresh groundwater discharge increases slightly and
292	then decreases sharply. The fresh groundwater discharge reaches the maximum value
293	at $H_{dam}/H_{aqu} = 0.27$. From Fig. 9, we know that $H_{dam}/H_{aqu} = 0.27$ is the minimum
294	effective dam height to prevent SWI in this case. It is worth noting that the fresh
295	groundwater discharge at the minimum effective dam height is larger than that of the
296	baseline case (without subsurface dam). The flow velocity in the saltwater wedge is
297	far smaller than that of fresh groundwater (Abdoulhalik and Ahmed, 2017a).
298	Therefore the stagnant saltwater wedge decreases the discharge section area just like
299	the subsurface dam does. As we can see in Fig. 7d, the thickness of the saltwater
300	wedge in the baseline case (without subsurface dam) is higher than the minimum
301	effective dam height at the dam location. This means that the fresh groundwater
302	discharge section area in the baseline case is smaller than that of the minimum
303	effective dam case. At the same height as sea level, the fresh groundwater discharge
304	of the subsurface dam decreases to 37.2% of the maximum value. This is because the
305	fresh groundwater discharge section area is too small.



306

Fig. 10. Discharge of fresh groundwater with different dam height ratios. Purple bars
represent failed SWI prevention; blue bars represent effective SWI prevention.

309 *3.3. Dam Location*

Under the condition that the head difference was 9 mm, and the dam height was set at 7 cm (i.e. the minimum height at which the dam was effective), the distance from the saltwater boundary was changed to 15 cm, and 25 cm. According to the laboratory results, when the distance from saltwater boundary was 15 cm, the saltwater wedge crossed the top of the subsurface dam and advanced to a length of 42.8 cm (Fig. 11 a). When the distance was increased to 25 cm, the subsurface dam could still prevent SWI (Fig. 11 b).



317

Fig. 11. Photographs of the steady-state saltwater wedge in a head difference of 9 mm

319 with distance from saltwater boundary of (a) 15 cm, (b) 25 cm, and (c) comparison of

320 the 50% isoline, SWI is equivalent to dh = 9mm in fig. 3.

Fig. 12 shows the influence of the dam location on the saltwater wedge length. The dam location is expressed by the ratio of the distance from saltwater boundary L_{dam} to the thickness of the aquifer H_{aqu} . When L_{dam}/H_{aqu} is less than 0.77 ($L_{dam} = 20$ cm), the saltwater wedge length decreases slightly with increase of L_{dam}/H_{aqu} . When L_{dam}/H_{aqu} is equal to 0.77, the saltwater wedge length sharply decreases to 20 cm, indicating that the saltwater wedge is intercepted by the subsurface dam. When L_{dam}/H_{aqu} is greater than 0.77, the saltwater wedge is still intercepted at the dam position. The saltwater wedge length increases with the dam distance from the saltwater boundary. Therefore, the minimum effective dam height is related to the distance from the saltwater boundary. Therefore, $H_{dam}/H_{aqu} = 0.27$ ($H_{dam} = 7$ cm) is the minimum effective dam height just at the dam position of $L_{dam}/H_{aqu} = 0.77$ ($L_{dam} = 20$ cm).



Fig. 12. Length of saltwater wedge at different dam locations. The purple bars
represent dam distances that failed to prevent SWI; the blue bars represent dam
distances with effective blocking of SWI.

333

Fig. 13 shows the fresh groundwater discharge in cases with different dam distance. When L_{dam}/H_{aqu} is less than 0.77, the fresh groundwater discharge increases slightly with increase of the dam distance. When $L_{dam}/H_{aqu} = 0.77$ (L_{dam} = 20 cm), the discharge reaches its peak. When L_{dam}/H_{aqu} is greater than 0.77, the fresh groundwater discharge gradually decreases with increase of the dam distance. As we know from the previous analysis, $H_{dam}/H_{aqu} = 0.27$ ($H_{dam} = 7$ cm) 343 is the minimum effective dam height only at the dam position of $L_{dam}/H_{aqu} = 0.77$.

344 Therefore, when $H_{dam}/H_{aqu} = 0.27$ ($H_d = 7$ cm), the discharge reaches the peak at



345 the dam position of $L_{dam}/H_{aqu} = 0.77$ ($L_{dam} = 20$ cm).

346

Fig. 13. Discharge of fresh groundwater at different dam locations. Purple bars
represent failed SWI prevention; blue bars represent effective SWI prevention.

349 *3.4. Head difference*

The hydraulic gradient is expressed by the head difference between the fresh groundwater boundary and the saltwater boundary. The dam height was set at 7 cm, and the distance from the saltwater boundary was set at 20 cm. The head differences were changed to 8 mm and 10 mm, respectively. When the head difference was 8 mm, the saltwater wedge flowed over the top of the subsurface dam and advanced to a length of 53 cm. The subsurface dam could not prevent SWI (Fig. 14 a). When the head difference was increased to 10 mm, the dam height was greater than the



thickness of the saltwater wedge toe, and the saltwater wedge stopped at the damlocation (Fig. 14 b).

359

Fig. 14. Photographs of the steady-state saltwater wedge with head difference of (a) 8
mm, (b) 10 mm, and (c) comparison of the 50% isoline, SWI is equivalent to dh = 8, 9,
10mm in fig. 3.

Sensitivity analysis was used to investigate the influence of the head difference on the length of the saltwater wedge (Fig. 15). When the head difference is less than 9mm, the length of the saltwater wedge gradually decreases with the head difference. When the head difference is equal to 9mm, the saltwater wedge sharply decreases to 367 the same distance that the dam is from the shoreline. When the head difference is368 greater than 9mm, SWI is still intercepted at the dam position.



369

Fig. 15. Length of saltwater wedge with different head differences. The purple bars
represent head differences that failed to prevent SWI; the blue bars represent head
differences with effective blocking of SWI.

Fig. 16 shows the fresh groundwater discharge in the cases with different head differences. The fresh groundwater discharge gradually increases with the head difference. As we know, the fresh groundwater flow velocity gradually increases with the head difference according to Darcy's law. Therefore, the increase of fresh groundwater flow velocity leads to gradual increase of the fresh groundwater discharge.



379

380 Fig. 16. Discharge of fresh groundwater with different head differences. Purple bars

381 represent failed SWI prevention; blue bars represent effective SWI prevention.

382 *3.5. Discharge at the minimum effective dam height*

According to the above analysis, the fresh groundwater discharge is related to the 383 384 dam height, location, and head difference. Fig. 17 shows the effect of the dam height 385 and location on the fresh groundwater discharge under the head difference of 9mm. 386 As can be seen from Fig. 17, the boundary between the effective region (red region) and the failed region (blue region) is the minimum effective dam height needed to 387 388 prevent SWI. The discharge reaches its peak value at the minimum effective dam height (H_{min}) at a defined dam position. When the dam distance from the saltwater 389 390 boundary is zero, the peak discharge is the largest. As the dam distance from the 391 saltwater boundary increases, the peak discharge becomes smaller and smaller.

392 The fresh groundwater discharge reaches its peak at the minimum effective dam 393 height, which is beneficial to control the accumulation of land-based pollutants and 394 salt. It is of great significance to investigate the minimum effective height of the395 subsurface dams at different positions and with different head differences.



396

Fig. 17. Effect of the dam location and dam height on the fresh groundwater discharge.
Blue region represents failed SWI prevention; red region represents effective SWI
prevention.

Fig. 18 shows the minimum effective dam height and corresponding fresh groundwater discharge at the different dam distances and head differences. It can be seen that, with the same head difference, and with increase of the dam distance away from the shoreline, the minimum effective dam height and fresh groundwater 404 discharge decrease gradually. This is consistent with Fig. 17. With increase of the 405 head difference, the minimum effective dam height decreases, and the fresh 406 groundwater discharge increases at the same dam location. This indicates that a large 407 head differences is conducive to saving engineering costs and reducing the 408 accumulation of land-based pollutants and salt.



409

410 Fig. 18. Discharge of fresh groundwater with dams of the minimum effective height.

411 The lines represent H_{min}/H_{aqu} ; the bars represent Q.

412 4. Summary and conclusions

In this study, we proposed the use of dams of minimum effective height to prevent saltwater intrusion and used the fresh groundwater discharge to assess the environmental performance of the subsurface dam. Laboratory tests and numerical simulations were used to investigate the effects of the dam height, the dam location, and the head difference on the saltwater intrusion prevention ability of the subsurface dam and on the fresh groundwater discharge. For the baseline case (without subsurface dam), with increase of the head difference, the time for SWI to reach dynamic equilibrium as well as the length of the saltwater wedge shorten, and the fresh groundwater discharge increases.

422 A minimum effective dam height at a defined distance from the shoreline that 423 will prevent saltwater intrusion could be identified. Until a minimum effective dam 424 height, increasing dam height has no significant effect on decreasing of the saltwater 425 wedge length. When the dam height is equal to or higher than the minimum effective dam height, the saltwater wedge can be intercepted at the subsurface dam position. 426 427 The fresh groundwater discharge reaches its peak at the minimum effective dam height. A larger freshwater recharge will push back more saltwater. Therefore, the 428 429 minimum effective dam height is shorter than the height of SWI without the dam. The 430 minimum effective dam height strongly depends on the distance to the shoreline: as 431 the dam distance from saltwater boundary increases, the minimum effective height 432 and the peak fresh groundwater discharge decreases simultaneously.

With increase of the head difference, the minimum effective height decreases, and the fresh groundwater discharge increases at the same dam location. Therefore, a steep head difference is beneficial to reducing engineering costs and reducing the accumulation of land-based pollutants and salt.

The reduction of the minimum effective dam height is conducive for saving
engineering cost. Additionally, avoiding the reduction of the fresh groundwater
discharge, as it is the case for a dam which is constructed over the whole aquifer

440 thickness, decreases the accumulation of land-based pollutants and salt. Therefore, the site selection of the subsurface dams should consider both the engineering cost and 441 ecological environmental effects. Tidal effects on the minimum effective dam height 442 443 by changing the head difference (Luyun et al., 2009). Designers should carefully 444 consider the minimum effective dam height on the lowest head difference (the head 445 difference between the lowest underground water level and maximum tidal heights) in 446 local history. An adequate safety margin should be reserved based on the minimum effective height. As this study firstly focussed on laboratory-scale setups, further 447 448 studies will have to investigate field-scale implications to delineate minimum 449 effective dam heights.

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