

**This is the preprint version of the contribution published as:**

**Heintz-Buschart, A., Wilmes, P. (2018):**  
Human gut microbiome: function matters  
*Trends Microbiol.* **26** (7), 563 – 574

**The publisher's version is available at:**

<http://dx.doi.org/10.1016/j.tim.2017.11.002>

## Human gut microbiome: function matters

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**Keywords:** Multi-omics; functional microbiome; metagenomics; metatranscriptomics; metaproteomics; microbiome variability and individuality.

### Abstract

The human gut microbiome represents a complex ecosystem contributing essential functions to its host. Recent large-scale metagenomic studies have provided insights into its structure and functional potential. However, the functional repertoire which is actually contributed to human physiology remains largely unexplored. Here, by leveraging recent omics datasets, we challenge current assumptions regarding key attributes of the functional gut microbiome, in particular with respect to its variability. We further argue that the closing of existing gaps in

functional knowledge should be addressed by a most-wanted gene list, the development and application of molecular and cellular high-throughput measurements, the development and sensible use of experimental models as well as the direct study of observable molecular effects in the human host.

### *The functional microbiome*

The complex assemblages of microorganisms which populate the human gastrointestinal tract are emerging as key players in governing human health and disease. Several essential functions conferred by the gut microbiome to the human host testify to its importance. These include the fermentation of indigestible food components into absorbable metabolites, the synthesis of essential vitamins, the removal of toxic compounds, the outcompetition of pathogens, the strengthening of the intestinal barrier as well as the stimulation and regulation of the immune system (see recent reviews [1-7]). Most of these functions are interconnected and tightly intertwined with human physiology. For example, products of microbial fermentation, such as short chain fatty acids, represent essential substrates for intestinal cells and play important roles in immunomodulatory processes, such as T-cell differentiation, which in turn may affect the gut microbiome. Although much has been learnt about these tight interrelationships through carefully conducted mechanistic studies, the extensive diversity of microorganisms and molecules in the gut implies that our understanding of this expanse requires a comprehensive toolset to enable new discoveries. More specifically, the emergent functional complement which is actually contributed to human physiology by the gut microbiome requires detailed assessment and systematic study.

A widely applied strategy to deconvolute the complex of interactions and to provide avenues to improve human health is constituted by a triad comprising (i) high-resolution, high-fidelity

and high-throughput **omics** (see Glossary) of microbial biomass and comparative analyses, (ii) hypothesis testing in relevant model **experimental systems**, and (iii) **intervention trials** in humans. Ideally, the first type of study should yield testable hypotheses relating to the nature of functions conferred by specific microbiota to human physiology, how and why these functions differ between individuals (most notably between diseased and healthy individuals) and their impact on human health. In this context, much has been described regarding the structural characteristics of the gut microbiota through the application of 16S rRNA gene amplicon sequencing and **metagenomics** [8]. However, to formulate concrete hypotheses for mechanistic studies aimed at understanding dependencies between host and microbes, observational studies should pinpoint specific functions of the microbiome to specific microbial populations conferring these. In addition, these studies should identify biologically relevant and informative read-outs of health status. Here, functional omics are indispensable.

#### *Variability and information content of the functional microbiome*

The generation and integration of **functional omics** read-outs derived from **metatranscriptomic**, **metaproteomic** and **metabolomic** analyses allow a detailed functional assessment of the human gut microbiome [9-18]. It has been observed that the functional omes display greater variability and sensitivity to perturbation when compared to the information content of the metagenome [9-12,14-16,18,19]. Therefore, functional omics are expected to more accurately portray health and disease states [12,13,18,20]. For example, changes in gene expression have been detected in response to dietary interventions, such as fermented milk products [19], and the oral intake of medication [14], despite only minimal changes in observed community structure in both cases. These observations are seemingly in

contradiction to the widely accepted [21,22] interpretation of metagenomic data whereby metagenomic functional profiles are less variable compared to taxonomic profiles [23] (**Figure 1A**). However, the latter notion may not faithfully reflect reality and may be due to several confounding factors. From a methodological point of view, commonly applied normalization techniques which do not take into account the taxonomic profiles have been shown to underestimate functional variability [24]. In addition, aggregation of genes into broad **functional categories**, such as whole metabolic modules, based primarily on homology irrespective of the direction of metabolic flux, contribute to the impression of stability. Finally, large proportions of the functional genes in a metagenome are not known and their potential variability is not taken into account at all (see also discussion below). Besides this, we [18] and others [16] have observed that the functional profiles in metatranscriptomes are more variable compared to metagenomic profiles, even when based on very broad functional categories [25] (**Figure 1B and 1C**).

The central questions that determine if functional omics can reveal important functional elements of the microbiome are whether the observed variability is biologically meaningful and whether a measured microbial functional state is informative beyond a single snapshot. For the metagenome, individual-specific taxonomic profiles have been demonstrated [26,27]. It is noteworthy that also for functional profiles, greater inter-individual than intra-individual variation is observable, at the metagenomic, metatranscriptomic as well as metaproteomic levels (**Figure 1D**, see also **Box 1**) [18]. Differences in functional profiles provide direct pointers to the functions involved in microbiome-host interactions. Given the differences between metagenomic and metatranscriptomic profiles, the discriminatory power of metatranscriptomics needs to be assessed. Based on our own datasets [18] and estimation methods for sample sizes necessary to reach a targeted power [28,29], metatranscriptomic

functional profiles are at least as (if not more) powerful in resolving differences as metagenomic profiles (**Figure 1 E&F**). Therefore, the functional omes can provide insights into microbial activity and highlight significant microbiome-conferred traits. Our own observations also indicate that the functional omes reflect persistent individual-specific physiology, and that this signal is not dominated by non-specific momentary fluctuations.

Another fundamental question with respect to microbiome research is which gut compartment is reflected by meta-omics data obtained from faecal samples. Metagenomic data reflect a mixture of different locations along the gastrointestinal tract as well as spores [30]. In contrast, the relative abundance of housekeeping transcripts has been found to be related to the site of activity of specific microbial taxa, such that oral species have very low transcript levels in stool samples while colonic organisms are highly active [16,18].

**Resolving gene expression to the taxon** of origin and relating this to the overall activity of that taxon should further help in distinguishing *in situ* activity from noise in functional profiles. Discovery of compartment-specific functional features, which are important in the context of health and disease [31], may therefore be facilitated by metatranscriptomics (but see also **Box 1** for the discussion of other omics technologies).

The observation that metatranscriptomic functional profiles are more variable than might be inferred based solely on metagenomic information suggests that non-housekeeping genes, even those with high genomic copy numbers, are not stably expressed *in situ* [10,11,16,18].

We have recently developed an approach which allows taxon-specific resolution of expressed genes [18]. When applying this method to link functional genes to the genomes which encode them, we observed that functions of interest may be contributed to the community-wide phenotype by single or multiple microbial populations in the absence of observable differences in the respective populations' abundances [18]. The identity of these populations

may differ in different individuals, as the microbiota may have widely divergent taxonomic compositions [18]. The variability observed on the level of gene expression may very well be a prerequisite for stable community function. Consequently, resolving functional differences at multiple omic levels to the taxa contributing them is necessary in order to understand when and how these functions may impact human physiology.

### *The unknowns*

One challenge for microbiome research in relation to elucidating phenotypic impacts on the host is posed by unknown taxa and functions. While the overall proportion of protein-coding genes for which a molecular function cannot be predicted in the human microbiome (40 to 70 %, depending on the prediction method [18,32,33]) is still generally high, this proportion is higher the rarer a microbial gene is in the human population (**Figure 2A**). Furthermore, this is especially the case when encoded in taxa which are not well described or even uncharacterized (**Figure 2B**). In many recent studies, genes without known functions or those from uncultured taxa have been completely ignored, because metagenomic data was analysed by mapping to annotated reference genomes. These approaches often make inefficient use of the data [34], are likely to introduce biases in the interpretation [35] and do not have a handle on the large proportion of horizontally transferred functions in the microbiome [36] as well as on strain-specific functional gene complements [37,38] which make up taxa-specific pangenomes. Horizontally transferred and strain-specific genes may be essential [39], in particular when they code for medically relevant functions such as antibiotic resistance [40] or toxins [41]. In this light, the prediction of functional potential [42,43] or even metabolic outcome [44] based on rough (i.e. genus-level) taxonomic profiles must be regarded as questionable.

Ignoring functional unknowns also limits the potential that metagenomic and metatranscriptomic approaches possess in creating new knowledge. For example, approaches to compare abundances and genomes of uncultured taxa, which contribute approximately 40 % of the metagenomic data, are well established [45,46]. Similarly, collections of orthologous groups and protein families without known functions have been established [32,47,48], allowing for cross-sample comparisons. These approaches facilitate the identification of biologically significant entities, for example because they are found to be enriched or depleted in individuals with a disease or consistently highly abundant and/or expressed. For instance, in our recent multi-omics study, 9 % of the differentially abundant transcripts (between families or between individuals with type 1 diabetes and their healthy family members) were from genes encoding proteins with domains of unknown function. Likewise, in the integrated gene catalogue (IGC) of the human gut microbiome [25], 14 % of the genes without predicted known functions can be associated with orthologous groups without known function and, importantly, we found 28 % of these genes to be expressed in our own data [18] (**Figure 2C**).

Several experimental approaches to gain knowledge on “the dark matter” of the human microbiome have been proposed, in addition to the proven combination of classical microbiological techniques with functional genomics. “Functional metagenomics” involving the large-scale *in vitro* screening of metagenomic sequences have been developed [49-51], including use of microfluidics to assay millions of metagenomic variants of apparently similar genes [52]. “Culturomics”, the combination of miniaturized cultivation and advanced sequencing approaches, e.g. to generate metagenomes from enrichment cultures, allow for the detailed characterization of organisms that are not culturable at a traditional laboratory scale [53,54]. The elucidation of unknowns that differ in health and disease as well as the specific

role they play in microbiome-host interactions are an important challenge for the coming years.

### *Beyond single functions*

Another crucial question regarding the contributions of microbiome-conferred functions to human physiology is related to the dynamics that govern community function and to the functioning of the microbial ecosystem as a whole [55]: does ecosystem functioning, in addition to or independent of specific microbial functions, play a role in human health and are generalizable patterns discernable from multi-omics? At a fine scale, the gene content of the gastrointestinal microbiome is remarkably different between individuals. For example, the majority of the unique genes in the IGC is not found in more than a few percent of the samples (**Figure 3A**) [25]. These unique genes, however, carry common functions. In fact, functional annotations in the IGC are usually carried by many unique genes, both with respect to the whole catalog as well as the subset present in single samples (**Figure 3B**). In our recent study [18], we also observed that most functions in microbial metabolism are encoded (**Figure 3C**) and expressed (**Figure 3D**) by a number of different microbial populations in any given sample. In addition, we have observed that the expression of genes by the same population can change over time, even when the population's relative abundance does not change. Finally, the relative transcript abundance of a gene function with respect to the whole community is independent of the number of different microbial populations that carry it (**Figure 3D**). These results imply that microbiome-conferred services need to be explored with respect to their structural and spatial dimensions in relation to their effect on host physiology.

The above observations are likely a reflection of **functional redundancy** within the healthy human microbiome. Functional redundancy can confer resilience [56] and therefore can stabilize ecosystem functionality during perturbations [57], which, in the context of the human microbiome, is generally assumed to lead to both stability and health [58]. However, the actual relationship between functional redundancy and stability has not been studied in the human gut microbiome, in contrast to other microbial ecosystems [59,60]. It is not even known whether there is true redundancy, as different genomic contexts may determine the impact of genes [61] and, within the gut microbiota, the interaction with the host [62,63]. The assumption that functional redundancy of the microbiome is related to human health is primarily based on an apparent relationship between taxonomic stability and the maintenance of taxonomic and functional diversity over time [18,64,65]. However, for the human gut microbiome, it is currently unclear whether diversity is a prerequisite for stability [66], which has been shown in other contexts [67-69]. **Functional richness** has also been suggested to positively impact human health [70] and decreased **functional diversity** has been observed in several diseases [22], although the observed functional richness may be also be influenced by colonic transit time [71]. A higher metabolic diversity ensures digestibility of a wider range of nutrients [72] and potentially increases overall energy harvest. Metabolic diversity may also offer a protective potential against environmental toxic substances [3]. Despite the likely importance of functional diversity, the exact mechanism by which the human host benefits from redundant, diverse and/or stable communities has not been systematically studied [73-75]. In order to resolve any clear relationships, future analyses of the microbiome in the context of health and disease will have to assess functional redundancy. While most existing studies have examined single time points, the significance of stability of the microbiome will have to be addressed by time series studies during health and disease as well as experimental

perturbations. These studies are also necessary to infer causal links and determine whether and how ecosystem functions of the gut microbiome can be shaped by interventions.

*Concluding remarks: A map to bring it all together*

Given the potentials and challenges highlighted above, future functional studies will have to integrate and compare reference-based alignments and *de novo* genome reconstructions, the wealth of existing omics datasets, functional knowledge and orthology-based annotations to home in on the functions that really matter (**Figure 4, Key figure**). The functional knowledge should also be systematically linked to the taxonomic structure [76-78] of the analysed samples at strain-level resolution [26,79-84] to explain microbiome-conferred phenotypic traits from a mechanistic point of view [85]. In this context, it will be essential to contrast and identify phenotypic traits which are widely distributed across constituent taxa, i.e. those that are redundant, to those which are only encoded and expressed by specific taxa. In either case, identification of functional genes of interest should be performed first, followed by their linking to constituent taxa along the premise of “form follows function” or “function first, taxa second”. Analogous to the most-wanted taxa list [86], which was hunted down to a large extent within half a decade [87], a functional most-wanted list should also be therefore established by the community. Such a functional most-wanted list should explicitly take unknowns into account, based on information from omics experiments, such as when or where the genes and products are observed. This information, as well as potential interaction partners [88], should result in hypotheses for assays to elucidate molecular functions [89]. Another needed resource to understand our “second genome” is an Online Mendelian Inheritance in Man (OMIM)-like framework that would list observed links between (functional) microbial genes and human phenotypes. Such a resource should draw on existing

metagenomic or functional meta-omic data [90], in addition to functional reference genome databases [48,91]. Finally, this resource should already anticipate the omes and readouts which are poised to make an impact in the future, such as growth of different populations within the microbiome [92,93], regulatory elements [94,95] and sRNAs [11]. Additionally, several recent studies have demonstrated the power of integrating functional [18,96-102] and genetic data of the human host [103-105], which should likewise be linked to microbiome data in large-scale databases. This knowledge will be essential to understand the interaction between the microbiome and the human host.

A detailed representation and understanding of the functional microbiome is an essential prerequisite for future rational interventions leveraging the gut microbiome to alter host phenotype (see Outstanding Questions). To assess the impact of specific microbial functions on human physiology and explain their mechanism of action, experiments in representative models will be critical. To model cellular interactions and reach high throughputs, miniaturized *in vitro* models of the human gut interface [106,107] should therefore be employed. Animal models, in spite of notable limitations [108-110], can be used to observe systemic impacts. These studies have yielded remarkable insights through detailed analysis [111-113]. Finally, once useful and safe candidates to improve human health have been established, intervention trials in human cohorts, through diet [114,115] and/or faecal transplants [116,117], faecal components [118], such as small molecules, or faeces-derived selected microbiota [119] could be performed. End-points of these studies should involve the monitoring of health-related physiological markers as well as in detail follow the induced changes in the microbiome over time using omics measurements to understand the role of the microbiome-borne functional complement in governing human health and disease.

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*Box 1: Which functional omes to look at?*

Metatranscriptomics, by highlighting changes in expression, reveals a more dynamic picture of the microbiome than metagenomics. The technology allows high sampling depths and high taxonomic resolution of functional processes. Although metatranscriptomic profiles confer essential information on functional gene expression within microbiomes, metaproteomic profiles may be a better indicator of the actual phenotype. However, metaproteomic analyses are not yet able to achieve the information content or sampling depth of sequencing-based technologies. Due to this fact, highly abundant, stably expressed conserved proteins make up the majority of data points, leading to a higher apparent stability of metaproteomic profiles [13]. However, the current limitations may be overcome through improvements in protein preparation [17], identification [120,121] and the adaptation of quantitative methods [122]. Metabolomics, by directly measuring metabolic outcomes, should be the most sensitive with respect to resolving the functional microbiome and quantitative methods such as proton nuclear magnetic resonance (NMR) analyses are able to capture some of the most important, high abundance microbial metabolites, such as short chain fatty acids. Although advanced metabolomic methods allow the resolution of thousands of metabolite features from human microbiome samples, current limitations include a very large fraction of “unknown” metabolites (well in excess of 90 % of measured features may be unknowns even when searching metabolomic data against comprehensive databases[123]) as well as the difficulty of linking specific metabolite features to their microbial provenance. Advances in computational mass spectrometry as well as in *de novo* metabolic network reconstruction and modelling will allow some of these limitations to be addressed in the future. Given the different limitations of the single omic levels as well as their complimentary information

content, the integration of multi-omic data can also help to close gaps when assessing gut microbial activity *in situ* by bridging genomic content to final phenotype.

### *Glossary*

*Experimental systems* that can be employed as a model for the human microbiome and are amenable for manipulation range from mixed-species and automated culturing, cell-culture-based co-culture systems, and, as all animals carry a microbiome, animal models, including germ-free or gnotobiotic animals, including those with a ‘humanized’ microbiome.

*Functional diversity* is a measure of the number and distribution of different functions within the community. It is related to gene richness but also to phylogenetic diversity, as microbial communities with phylogenetically diverse members often have a wider functional potential. Phylogenetic diversity and functional diversity have been observed as traits of human gut microbiota which are relatively stable over time.

*Functional plasticity*, the ability of the microbial community or its members to adapt to perturbations by changing gene expression, can stabilize the taxonomic community structure as well as ecosystem functions.

*Functional redundancy*, on the other hand, is a measure of the number of different populations within a community that are able to perform the same functions. Functional redundancy can increase functional resilience, in case perturbations affect the taxonomic community structure, which allows for a return to community function, and therefore can increase stability.

*Intervention studies* seek to manipulate the human microbiome *in situ*, by means of nutrition, probiotics, antibiotics or faecal transplants.

*Metagenomics* refers to the analysis of genomic DNA for mixtures, often unknown, species. Its purpose can be to assess the taxonomic composition of a mixed microbial community or to elucidate the functional potential of its members.

*(Meta)metabolomics* (also referred to as *metabonomics* mainly in the context of research on single organisms) technologies measure intra- and/or extracellular metabolites in and around microbial communities.

*Metaproteomics* aims to characterize microbial activity by applying the analysis of proteomes to mixed-species assemblages.

*Metatranscriptomics* is analogously applied to the analysis of RNA of communities, usually with the aim to infer activity.

*Taxonomic* and *functional profiling* form parts of most meta-omic studies of the human microbiome. Commonly applied categories to describe the functions encoded or carried out by the microbiome (the functional complement) usually group genes into metabolism-centered frameworks, such as the orthology put forth by the Kyoto Encyclopedia of Genes and Genomes (KEGG), or the MetaCyc database, or functionally annotated orthologous groups based on sequence similarity, such as eggNOG. Increasingly, taxonomic resolution of functions of interest within the microbiome is also achieved.

## Figure Legends

**Figure 1: Community-wide view of the variability of encoded and expressed functions in the human gut microbiome.** (A) High-level functional profiles of 1,267 human gut microbiome metagenomes retrieved from the integrated gene catalogue (IGC) of the human gut microbiome [25]. (B) High-level functional profiles from metagenomes of our own smaller integrated multi-omics study [18] annotated using the IGC [25] in comparison to the (C) profiles from metatranscriptomes of the same samples. (D) Comparison of intra-individual to inter-individual and intra-family to inter-family distances (Jensen-Shannon divergence) based on functional metagenomic (MG), metatranscriptomic (MT) and metaproteomic (MP) profiles [18]; \*  $P < 0.05$ , Wilcoxon rank sum test. (E & F) Estimation of power to distinguish functional profiles from members of different families based on metagenome and metatranscriptome measurements [18] applying limma/voom assumptions and the statistical model of Bi *et al.* [29] (E) and van Iterson *et al.* [28] (F). (G) Summarizing scheme, illustrating functional potentials with limited variability (middle left) and functional expression profiles with greater plasticity (bottom left) within an individual over time (t), compared to the variability between different individuals' (i) microbiomes' functional potentials (middle right) and functional expression profiles (bottom right).

**Figure 2: Genes of unknown function.** (A) Relationship between the fraction of functionally annotated genes and the frequency of their occurrence according to the IGC [25]; annotations: “KO BLAST”: KEGG orthologous group (KO) annotations included in the IGC [25], “KO HMM”: HMM-based annotations using KOs [18], “FOAM”: HMM-based

annotations using FOAM [32], “eggNOG”: eggNOG [33]-based annotations included in the IGC [25], “MuSt HMM”: HMM-based annotations using KOs, Pfam-A-families, TIGR-families, Swiss-Prot- or MetaCyc enzymes [18], “all”: all annotations by either of the named methods. **(B)** Relationship between the number of annotated genes (by any of the methods displayed in A), their relative frequency of occurrence and the level of taxonomic assignment in the IGC [25]. **(C)** Frequency of occurrence [25] and maximum observed expression [18] of genes in the IGC. Pink dots highlight genes annotated with orthologous groups or protein domains of unknown functions.

**Figure 3: Functional redundancy in the human microbiome** **(A)** Relationship between the cumulative number of unique genes and the frequency of their occurrence. The graph is based on the 1,267 human gut microbiome metagenomes retrieved from the IGC [25]. **(B)** Numbers of genes with the same functional annotation, based on KEGG orthologous groups (KO) and eggNOG [33] orthologous groups, as published with the IGC [25]. **(C)** Relationship between the number of population-level genomes (“bins”) containing genes annotated with a function in microbial metabolism and the corresponding cumulative metagenomic (MG) depth of coverage of the genes. **(D)** Relationship between the number of population-level genomes (“bins”) expressing annotated genes and the corresponding cumulative metatranscriptomic (MT) depth of coverage. **(C & D)** Graphs based on one representative sample from a healthy individual [18].

**Figure 4: Roadmap for using functional omics to create new knowledge.** Crucial steps are the integration of reference genomes, metagenomic data collections and *de novo* gene and genome reconstructions in genome and gene collections or catalogues. Genes should be linked to functions, taxonomic occurrence and expression in different hosts. Genes without predicted functions can be grouped by orthology to enable comparative analyses and derive a list of “most wanted” yet to be determined functions. Genes with functions that are likely to affect human health and/or display suggestive patterns of expression in different human hosts should be validated in targeted experiments in model systems and human intervention studies.