# This is the accepted manuscript version of the contribution published as:

## Baleeiro, F.C.F., Kleinsteuber, S., Sträuber, H. (2022):

Recirculation of H<sub>2</sub>, CO<sub>2</sub>, and ethylene improves carbon fixation and carboxylate yields in anaerobic fermentation *ACS Sustain. Chem. Eng.* **10** (13), 4073 - 4081

# The publisher's version is available at:

http://dx.doi.org/10.1021/acssuschemeng.1c05133

Recirculation of H<sub>2</sub>, CO<sub>2</sub>, and ethylene improves
 carbon fixation and carboxylate yields in anaerobic
 fermentation

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ABSTRACT. Anaerobic fermentation with mixed cultures has gained momentum as a bioprocess for its promise to produce platform carboxylates from low-value biomass feedstocks. Anaerobic fermenters are net carbon emitters and their carboxylate yields are limited by electron donor availability. In a new approach to tackle these two disadvantages, we operated two bioreactors at pH 6.0 and 32°C fed with acetate and lactate as a model feedstock while recirculating H<sub>2</sub>/CO<sub>2</sub> to stimulate concomitant autotrophic activity. After 42 days of operation, hydrogenotrophic methanogenesis was predominant and ethylene ( $\geq$ 1.3 kPa) was added to one of the reactors,

inhibiting methanogenesis completely and recovering net carbon fixation (0.20 g  $CO_2 L^{-1} d^{-1}$ ). 18 19 When methanogenesis was inhibited, exogenous H<sub>2</sub> accounted for 17% of the consumed electron donors. Lactate-to-butyrate selectivity was 101% (88% in the control without ethylene) and 20 21 lactate-to-caproate selectivity was 17% (2.3% in the control). Community analysis revealed that 22 ethylene caused *Methanobacterium* to be washed out, giving room to acetogenic bacteria. In 23 contrast to 2-bromoethanosulfonate, ethylene is a scalable methanogenesis inhibition strategy that 24 did not collaterally block *i*-butyrate formation. By favoring the bacterial share of the community to become mixotrophic, the concept offers a way to simultaneously increase selectivity to medium-25 26 chain carboxylates and to develop a carbon-fixing chain elongation process.

KEYWORDS. Mixotrophy; Volatile fatty acids; Medium-chain carboxylic acids; Carbon capture;
Methanogenesis inhibition; Syngas fermentation; Acetogenesis; Wood-Ljungdahl pathway.

29 INTRODUCTION

Carboxylate production via anaerobic fermentation of complex biomass feedstocks, such as lignocellulose or food waste, recovers value-added products from low value waste streams.<sup>1</sup> Among the most common carboxylates produced, medium-chain carboxylates (MCC, e.g. caproate and caprylate) have received particular attention. MCC stand out as promising platform chemicals and sustainable energy carriers compared to short-chain carboxylates (SCC) and ethanol due to their higher energy density and low water solubility enabling an easier separation from fermentation broths.<sup>2, 3</sup>

MCC production by mixed cultures results from the process of chain elongation in which linear carboxylate carbon chains are extended by two carbon atoms in each cycle. Chain elongation requires an electron donor and an electron acceptor (typically an SCC). Conventional electron

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donors (i.e. lactate, sugars, or ethanol) and SCC are produced by hydrolysis and fermentation of
biomass.<sup>4</sup>

As a drawback, anaerobic fermenters are commonly net carbon emitters because some carbon from the feedstock is released in form of CO<sub>2</sub> and CH<sub>4</sub> via various metabolic pathways. CO<sub>2</sub> is formed during fermentation of substrates such as carbohydrates and lactate into SCC via pyruvate (shown in Equation 1 for acetate);  $H_2/CO_2$  is formed by syntrophic bacteria during SCC oxidation (shown in Equation 2 for acetate); CH<sub>4</sub> and CO<sub>2</sub> are produced from acetate by acetoclastic methanogens (Equation 3); and CH<sub>4</sub> is formed from  $H_2/CO_2$  by hydrogenotrophic methanogens (Equation 4).

49 
$$CH_3COCOO^- + H_2O \to CH_3COO^- + CO_2 + H_2$$
 (1)

50 
$$CH_3COO^- + H^+ + 2H_2O \to 4H_2 + 2CO_2$$
 (2)

51 
$$CH_3COO^- + H^+ \to CH_4 + CO_2 \tag{3}$$

52 
$$4H_2 + CO_2 \rightarrow CH_4 + 2H_2O \tag{4}$$

The pathways described by Equations 2, 3, and 4 are counterproductive to carboxylate production and chain elongation<sup>5</sup> whereas  $CO_2$  emission in Equation 1 is a consequence of the stoichiometry in the production of carboxylates with even carbon numbers. If additional H<sub>2</sub> is provided to the mixed culture, carbon emission can be compensated by homoacetogenic activity with reincorporation of  $CO_2$  into acetate (Equation 5).

58 
$$4H_2 + 2CO_2 \to CH_3COO^- + H^+ + 2H_2O$$
 (5)

If  $H_2$  can be kept abundant and accessible to the microorganisms, multiple positive effects can be achieved by i) stimulating autotrophic activity in the community to the point that fermentation can become a net carbon-fixing process (with exogenous  $CO_2$ );<sup>6</sup> ii) disfavoring the oxidation of SCC (Equation 2);<sup>5</sup> and iii) providing substrates for chain elongation. To take profit from these possibilities, the concept of an anaerobic fermenter with recirculation of exogenous H<sub>2</sub>/CO<sub>2</sub> or
 syngas (H<sub>2</sub>, CO<sub>2</sub>, CO) was proposed.<sup>5</sup>

In lab-scale fermenters, operation at acidic pH values, high carboxylate concentrations, or high 65 dilution rates are usually enough to inhibit acetoclastic methanogens (Equation 2).<sup>5</sup> Growth of 66 hydrogenotrophic methanogens (Equation 3) is often limited by H<sub>2</sub> or CO<sub>2</sub> availability and 67 operating at higher dilution rates can be sufficient to keep their activity low (sometimes at the 68 expense of lower MCC productivity and poorer hydrolysis of solid substrates).<sup>7-11</sup> To maintain 69 high partial pressures of both  $H_2$  and  $CO_2$  in the fermenter, other measures are necessary to inhibit 70 hydrogenotrophic methanogens and the use of chemical inhibitors is a popular alternative.<sup>6</sup> Among 71 72 methanogenesis inhibitors considered to be selective, 2-bromoethanesulfonate (2-BES) is the most used chemical in lab-scale fermentations.<sup>12</sup> However, the selectivity of 2-BES has caveats. Recent 73 74 studies indicated collateral effects of 2-BES addition on carboxylate production, such as inhibition of *i*-butyrate formation<sup>6, 13</sup> and an increase of sulfate-reducing bacterial populations, suggesting 2-75 BES degradation in the long term.<sup>14-16</sup> Moreover, application of 2-BES at the high concentrations 76 (50 mM) needed to inhibit hydrogenotrophic methanogenesis<sup>17</sup> (Equation 4) might be 77 economically unfeasible in industrial scale. 78

Alternatively, ethylene and acetylene are commodity gases that can inhibit methanogenesis completely even at partial pressures as low as 0.5 kPa.<sup>18</sup> Up to 5 kPa of ethylene showed no inhibitory effect on pure cultures of the acetogenic bacterium *Acetobacterium woodii*,<sup>19</sup> yet, studies on the use of these gases in anaerobic fermentation are rare, possibly because the gas phase of many reactors is simply vented out. In a few concept demonstrations, acetylene has been proposed as a cost-effective methanogenesis inhibitor in H<sub>2</sub> production.<sup>20-22</sup> To the best of the authors' knowledge, there are no reports testing the cost-effectiveness of ethylene in the literature. In this study, a gas recirculation system was developed with the aims of achieving net carbon fixation and enhancing carboxylate production with exogenous  $H_2/CO_2$  without increasing the supply of conventional electron donors. To deal with the resilient methanogenic activity, the use of ethylene as a methanogenesis inhibitor was tested in culture bottles and scaled up to a  $H_2/CO_2$ recirculation reactor.

#### 91 MATERIAL AND METHODS

BATCH EXPERIMENTS IN CULTURE BOTTLES. Two different culture bottle experiments were realized with duplicates to test the use of ethylene as methanogenesis inhibitor. The first batch lasted 48 days with H<sub>2</sub> (160 kPa) as electron donor and under conditions with and without ethylene. The second batch with addition of H<sub>2</sub> (160 kPa) and ethanol (1.7 g L<sup>-1</sup>) as electron donors lasted 63 days, and conditions with ethylene, with 2-BES, and without methanogenesis inhibitor were tested. Table S1 summarizes the tested conditions and the types of controls used in each batch experiment.

99 The inoculum for the batch experiments originated from a previous study, in which microbial communities were enriched on organic substrates and H<sub>2</sub>/CO<sub>2</sub>.<sup>6</sup> From this study, "community C" 100 101 was used for inoculation. Prior to their use, the inoculum sources were stored in serum bottles 102 initially with 200 kPa H<sub>2</sub>/CO<sub>2</sub> (80/20) in the dark and at room temperature. The basal medium used for batch experiments contained 0.5 g L<sup>-1</sup> yeast extract and 200 mM acetate and is described in 103 detail in the Supporting Information. The cultures were set up with 10 vol% inoculum, whereas 104 the abiotic control bottles received 10 vol% sterile anoxic water instead. Preparation procedures 105 106 for the fermentation and gas purging/pressurization cycles were done as described by Baleeiro et al.<sup>6</sup>. When applicable, 4.5 kPa ethylene was added to the pressurized culture bottles. For 107 comparison of ethylene and 2-BES, every bottle received 1.7 g L<sup>-1</sup> (37 mM) of ethanol in the 108

beginning of the fermentation. 50 mM of 2-BES (sodium salt) was used in one set of duplicates,
whereas all bottles without 2-BES received additionally 50 mM NaCl to achieve a similar salinity
level. The culture bottles were incubated in a rotary shaker at 37°C and 200 rpm. The pH value
was adjusted manually to 5.5 with 4 M KOH or 4 M HCl.

The headspace of the bottles was sampled once or twice per week (depending on methanogenic activity) for monitoring of pressure, gas composition, and gas production. Culture bottles were refilled when their pressure was 130 kPa or lower. The liquid phase was sampled weekly for analysis of organic acids and alcohols. Cell pellets were collected at the end of each batch for microbial community analysis.

118 GAS RECIRCULATION REACTORS. Two identical gas recirculation reactor systems were assembled for this study. Each system (Figure 1) consisted of a bioreactor Biostat A plus (Sartorius 119 120 AG, Göttingen, Germany) with 1.0 L working volume, an 11 L (maximum volume) gas reservoir 121 made of gas-tight, flexible multilayered aluminum-plastic composite material, a condenser, and 122 Hei-Flow Precision peristaltic pumps (Heidolph Instruments GmbH, Schwabach, Germany). The whole system was connected with PVC tubes Tygon<sup>®</sup> R-3603 or LMT-55 and checked regularly 123 124 for gas leakages with a gas leak detector GLD-100 (Coy Laboratory Products, Grass Lake, USA). A peristaltic pump ensured a continuous gas flow of ca. 20 mL min<sup>-1</sup> with injection in the liquid 125 126 phase through a microsparger. The reactor was operated at 32°C with stirring speed of 300 rpm and 7 kPa overpressure on average. Temperature and pH were monitored and controlled, and 127 128 oxidation reduction potential (ORP) was monitored.





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Figure 1. Scheme of the gas recirculation reactor.

The basal medium used in the reactor was similar to the medium used in the culture bottles 131 experiment (see Supporting Information) with the following modifications: it contained 1.61 g L<sup>-1</sup> 132 133 NH<sub>4</sub>Cl, did not contain yeast extract nor ethanol, and was prepared with acetic acid instead of a 134 sodium acetate/acetic acid mixture. The basal medium was made anoxic and was sterilized and then stored at room temperature at pH 4.5. The inoculum for the reactor experiment, derived from 135 a biogas reactor, was the same as the one used for "community C" described by Baleeiro et al.<sup>6</sup> 136 137 and it was stored under anoxic conditions at room temperature in the dark before its use. For startup, each reactor received 14 vol% of inoculum plus 86 vol% of basal medium with pH adjusted 138 139 to 6.0. Anoxic, concentrated cysteine and vitamin solution were added to the basal medium 140 immediately before its addition to the vessel or its connection to the feed pump. 4 M NaOH was 141 used to maintain the pH value between 5.9 and 6.0 and as sodium source. Depending on the amount of NaOH added, salinity of the broth was estimated to be in the range of 16 to 28 g L<sup>-1</sup> NaCl 142 equivalents. Feeding and harvesting were done with peristaltic pumps programmed to operate 10 143

times per day in pulses lasting 90 s each. Flows were set to a hydraulic retention time (HRT) of 14 days. On day 9 of operation, DL-lactic acid (90% purity) started to be fed with a syringe once a week in order to reach a lactate concentration of 6.0 g  $L^{-1}$  (67 mM) after each injection.

147 Before startup, the gas reservoirs of the assembled dry reactor systems were vacuumed and filled several times with 10 L N<sub>2</sub> to remove all O<sub>2</sub>. A defined amount of helium was injected in the 148 system and recirculated to estimate the rigid volume of the system (volume of the system without 149 150 the gas reservoir). After inoculation and for every gas purging/replenishment cycle, the gas reservoir was emptied with a vacuum pump Laboport<sup>®</sup> N810FT (KNF Neuberger GmbH, 151 Freiburg, Germany) and refilled with 10 L of H<sub>2</sub>/CO<sub>2</sub> (80/20). Furthermore, 120 mL helium was 152 injected with a syringe to act as an inert tracer gas to quantify volume variations due to microbial 153 activity. Concentration of N<sub>2</sub> was monitored to identify and quantify possible air contamination in 154 155 the system. For inhibition of methanogenesis, ethylene was added after gas replenishment to one 156 of the reactors ensuring a minimum ethylene share of 1.3% in the recirculating gas. Once 157 methanogenic activity was established, gas reservoirs were refilled once a week or when the  $H_2$ 158 share was below 60%, whichever came first. Gas purging and replenishment cycles were always preceded and succeeded by gas sampling in order to keep track of the volume of the system. 159

160 The reactor broth was sampled three times per week and before and after each lactate addition.
161 The sampled broth was used for collection of cell pellets for microbial community analysis and
162 for biomass concentration and chemical composition analysis.

Assumptions and calculation steps for the gas recirculation experiment as well as conversion factors used for the electron and carbon balances (Table S2) are described in the Supporting Information. 166 ANALYTICAL METHODS. Biomass concentration was determined by measuring the optical 167 density at 600 nm (spectrophotometer Genesys 10 S, Thermo Scientific Inc., Waltham, USA). Concentration of linear monocarboxylates C1-C8, normal alcohols C2-C6, *i*-butyrate, *i*-valerate, 168 169 *i*-caproate, and lactate was measured by high performance liquid chromatography with a refractive 170 index detector (HPLC Prominence-i RID, Shimadzu Europa GmbH, Duisburg, Germany). H<sub>2</sub>, CO<sub>2</sub>, CH<sub>4</sub>, He, N<sub>2</sub>, and ethylene in the gas phase were analyzed by gas chromatography with a 171 172 thermal conductivity detector (Light Gas Analyzer ARNL4159 model 4016, PerkinElmer Inc., Shelton, USA). Details of the chromatographic techniques were described previously.<sup>6, 23</sup> 173

MICROBIAL COMMUNITY ANALYSIS. Cell pellets collected from serum bottles and from 174 the gas recirculation reactors were washed with phosphate-buffered saline (PBS, 12 mM PO4-3) 175 solution and stored at -20°C until their use for amplicon sequencing of the 16S rRNA genes. 176 177 Detailed description of DNA extraction, PCR, Illumina library preparation, and sequencing on the MiSeq platform can be found in the study of Logroño et al.<sup>24</sup>. The used primers targeted the V3 178 and V4 regions of the 16S rRNA gene and were described by Klindworth et al.<sup>25</sup>. The 179 180 bioinformatics workflow used for sample inference from amplicon data was described previously.<sup>6</sup> Taxonomic assignment of amplicon sequence variants (ASVs) was done using the SILVA 138 181 reference database.<sup>26, 27</sup> For the most abundant ASVs, MegaBLAST was used to find the most 182 similar sequences of cultured species within the NCBI 16S ribosomal RNA sequences database.<sup>28,</sup> 183 <sup>29</sup> Phyloseq package for R was used for filtering, agglomeration, normalization, subsetting, and 184 visualization of the microbiome census data.<sup>30</sup> All samples were rarefied to an equal sequencing 185 depth of 44,017 counts. Raw sequence data for this study was deposited at the European Nucleotide 186 Archive (ENA) the accession PRJEB41050 187 under study number 188 (http://www.ebi.ac.uk/ena/data/view/PRJEB41050).

ASSUMPTIONS FOR ECONOMIC ANALYSIS OF GAS RECIRCULATION. Assumptions adopted for the economic analysis of recirculating H<sub>2</sub>, CO<sub>2</sub>, and ethylene and using 2-BES are described in detail in the Supporting Information.

192 RESULTS AND DISCUSSION

The study was divided in two main experiments, namely batch tests in culture bottles and the operation of gas recirculation semi-continuous reactors. First, two batch tests were performed in serum bottles to understand the effectiveness of ethylene as an inhibitor, its stability, its effect on the carboxylate production, and to compare it with 2-BES. Afterwards, the gas recirculation reactor system was assembled and operated for 84 days.

198 To consider chemicals in the gaseous and aqueous phases simultaneously, results are presented 199 in terms of electron equivalents. When relevant, reference is made to results in terms of chemicals 200 concentrations in the Supporting Information.

201 INHIBITION OF METHANOGENESIS IN BATCH CULTURES. The electron balances for 202 the first test are shown in Figure S1. Partial pressure of gases and concentration of chemicals are 203 shown for the conditions with  $H_2$  in Figure S2. Regardless of ethylene presence, more acetate was consumed when H<sub>2</sub> was present. The presence of H<sub>2</sub> with ethylene allowed a 3.7-fold higher 204 butyrate and a 4.1-fold higher *i*-butyrate production, as well as a 56% higher caproate production 205 in comparison to cultures with the presence of H<sub>2</sub> alone (Figure S1-A). 243  $\pm$  2 mmol e<sup>-</sup> H<sub>2</sub> was 206 consumed and  $249 \pm 3 \text{ mmol e}^{-}$  CH<sub>4</sub> was produced when ethylene was not present (Figure S1-B). 207 208 The presence of ethylene inhibited virtually all methane production  $(0.1 \pm 0.1 \text{ mmol e}^{-} \text{ CH}_{4})$ 209 produced), nevertheless  $22 \pm 4$  mmol e<sup>-</sup> H<sub>2</sub> was consumed. Caproate production in this batch 210 experiment was low. Cultures with H<sub>2</sub> produced slightly more caproate on average (uninhibited:  $2.0 \pm 2.4$  mmol e, with ethylene:  $3.2 \pm 2.3$  mmol e<sup>-</sup>) than H<sub>2</sub>-free controls (uninhibited:  $1.4 \pm 1.7$ 211

mmol e<sup>-</sup>, with ethylene:  $1.2 \pm 0.9$  mmol e<sup>-</sup>) (Figure S1-A). Abiotic controls showed no changes in chemical concentration (data not shown). CH<sub>4</sub> was not produced in cultures that did not receive exogenous H<sub>2</sub>, indicating that acetoclastic methanogenesis did not play a role.

To compare the effects of ethylene and 2-BES on chain elongation, a second batch of experiments was carried out with H<sub>2</sub>, CO<sub>2</sub>, and added ethanol to stimulate MCC production (assuming ethanol as the metabolite intermediating chain elongation from H<sub>2</sub>/CO<sub>2</sub>)<sup>3</sup> (Figures S3 and S4). When H<sub>2</sub> was present but no inhibitor was used, CH<sub>4</sub> was the most common product. Both 2-BES and ethylene completely inhibited methanogenesis (Figure S3-B). The use of inhibitors did not strongly affect butyrate or caproate formation (Figure S3-A), however, 2-BES inhibited *i*-butyrate production almost completely (Figure S4).

To account for the possibility of ethylene consumption by the community, the amount of ethylene in the headspace of the bottles was monitored throughout the two batch experiments (Figure S5). No sign of ethylene consumption was found during the periods of the batch experiments. The observed stability of ethylene in the anaerobic cultures is in agreement with a previous study,<sup>19</sup> in which ethylene was not consumed over the whole period of the study (more than 3 months).

A comparison of the microbial community compositions of the inoculum and the inhibited cultures showed that *Methanobacterium* and *Methanobrevibacter* were the methanogens inhibited by ethylene and 2-BES (Figure S6). Being a closed batch system, a complete disappearance of methanogens could not be observed. In the presence of H<sub>2</sub>, CO<sub>2</sub>, and ethylene, the relative abundance of *Clostridium* sensu stricto 12 increased (Figure S6-A). When ethanol was also available, an *Anaerovoracaceae* bacterium had the biggest increase in relative abundance (Figure S6-B). Similar observations were found in a previous study,<sup>6</sup> where *Clostridium* sensu stricto 12 grew most when H<sub>2</sub> was the only electron donor, but *Anaerovoracaceae* bacteria were most
abundant when ethanol and lactate were co-fed. The patterns of the community inhibited by 2BES or ethylene were similar (Figure S6-B). A detailed discussion of the batch experiment results
can be found in the Supporting Information.

239 COMPONENT BALANCES IN THE GAS RECIRCULATION REACTORS. The operation of 240 the two  $H_2/CO_2$  recirculation reactors was divided in two phases lasting 42 days each. The first 241 phase was used for reactor startup and process stabilization. By the end of the start-up phase, 242 hydrogenotrophic methanogenesis was well established in both reactors and ethylene was added 243 to one of the reactors, starting the inhibition phase.

Figure 2 summarizes the results of the two reactor experiments with the profiles of the accumulated compounds during the 84 days of fermentation. Figure S7 presents the concentration profiles of compounds in the aqueous phase. Both reactors were fed with the same amount of lactate, however, the control reactor started lactate consumption later and some lactate was washed out in the beginning (Figure 2).

Butyrate was the main carboxylate produced. Weekly spikes of the substrates were reflected by the curves of lactate, butyrate (Figure 2 and Figure S7), and acetate (Figure S7) suggesting that butyrate production was directly coupled with simultaneous consumption of lactate and acetate. Moreover, net consumption of acetate occurred regardless of whether methanogenesis was inhibited or not.



Figure 2. Accumulated substrate consumption and formation of compounds in the reactor with ethylene (Test reactor) and in the reactor without ethylene (Control reactor) shown in electron equivalents. Negative values mean consumption of the compound.

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Butyrate production started earlier (day 14) than methanogenesis (between days 21 and 28). CH4 formation rates were 216 and 262 mmol e<sup>-</sup> L<sup>-1</sup> d<sup>-1</sup> in the control and in the test reactor, respectively, in the last seven days of the startup phase. CH<sub>4</sub> production stopped immediately after ethylene addition in the test reactor and H<sub>2</sub> consumption slowed down from 269 to 23 mmol e<sup>-</sup> L<sup>-1</sup> d<sup>-1</sup>. Regarding H<sub>2</sub> availability, the partial pressure of H<sub>2</sub> in the control reactor often reached zero and fluctuated strongly in the range of 0 - 80 kPa (Figure S8). In the test reactor, partial pressures of H<sub>2</sub> and ethylene were within the range of 68 - 80 kPa and 1.3 - 4.8 kPa, respectively. The partial 265 pressure of H<sub>2</sub> has a big influence on the thermodynamic feasibility of various microbial pathways. 266 At very low H<sub>2</sub> partial pressures (in the order of 1 Pa), oxidation of carboxylates becomes feasible, while relatively high pressures (in the order of 10 kPa H<sub>2</sub>) avoid excessive ethanol oxidation.<sup>11, 31</sup> 267 Although the feasibility threshold of homoacetogenesis lies in the order of 1 kPa  $H_2$ , <sup>32</sup> higher  $H_2$ 268 pressures (> 5 kPa) are typically preferred for kinetic reasons when growing homoacetogens.<sup>33</sup> H<sub>2</sub> 269 partial pressure has mixed effects on chain elongation. For instance, high H<sub>2</sub> partial pressures 270 271 inhibit (although not completely) growth of pure cultures of C. kluyveri on ethanol, but in microbial communities H<sub>2</sub> abundance can boost chain elongation via interspecies ethanol transfer.<sup>3</sup> 272

273 Caproate and propionate production was not clearly related to lactate consumption (Figures 2 and S7). An onset of propionate production, peaking at about 1 g  $L^{-1}$ , was observed in a late stage 274 in the control reactor despite unchanged operating conditions. *i*-Butyrate production was not 275 276 inhibited by the use of ethylene and its accumulation remained steady in both the control and the 277 test reactor (Figure 2). In the control reactor, variations of *i*-butyrate concentration followed 278 variations of butyrate concentration (Figure S7-A) while this relation was less clear in the test 279 reactor (Figure S7-B). A discussion on the possible role of metabolic intermediates can be found in the Supporting Information. 280

The reactor with ethylene showed 55.7% less net consumption of acetate and higher accumulation of electrons in the butyrate and caproate pools (Figure S9-A). Electron selectivity in the test reactor was 101% lactate-to-butyrate (88.2% in the control reactor), 16.9% lactate-tocaproate (2.3% in the control reactor), and 1.4% lactate-to-propionate (6.3% in the control reactor). Channeling of H<sub>2</sub> to CH<sub>4</sub> was mainly responsible for the consumption of 10.9 moles  $e^-$  H<sub>2</sub> in the control reactor and less than 10% of this consumption (0.93 mol  $e^-$  H<sub>2</sub>) was observed in the reactor that received ethylene (Figure S9-B). Despite being a small amount of electrons in comparison to the methanogenic process, the  $H_2$  consumption in the reactor with ethylene accounted for 17% of the total electron donor consumption. In contrast, the non-methanogenic  $H_2$  consumption accounted for 6.6% of the total electron donors consumed in the control reactor.

NET CARBON FIXATION. Both reactors started with net carbon fixation, but there was a trend in the long run for loss of carbon fixation capacity (Figure S10). The test reactor became a net carbon emitter by the  $3^{rd}$  HRT period, whereas the control reactor became a net carbon emitter in the  $5^{th}$  HRT. The use of ethylene, injected for the first time in the beginning of the  $4^{th}$  HRT, reverted the trend for the test reactor (Figure S10). A maximum carbon fixation rate of 62.2 mmol C per 14-days period was observed, which was equivalent to 0.20 g CO<sub>2</sub> L<sup>-1</sup> d<sup>-1</sup>. CO<sub>2</sub> dissolution in the broth had only a small impact in the carbon fixation estimation.

MICROBIAL COMMUNITY DEVELOPMENT. The development of the microbial communities in the gas recirculation reactors is shown in Figure 3. By the end of the startup phase (day 42), the acidogenic genera *Clostridium, Caproiciproducens, Eubacterium,* and *Oscillibacter,* together with the methanogenic genus *Methanobacterium,* were the main settlers in both reactors. *Bacteroides* settled in both reactors from the middle until the end phase of the experiment. *Rummeliibacillus, Sutterella, Defluviitoga, Fastidiosipila,* and unclassified *Actinomycetaceae* were only transiently detected during the startup phase.



Figure 3. Microbial community profiles for the control and the test reactors. The latter received
 ethylene after day 42. Hydrogenotrophic methanogens of the genus *Methanobacterium* were
 washed out during the period in which ethylene was used.

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309 In the control reactor, an additional methanogenic genus, Methanobrevibacter, ascended in the 310 later experimental phase while Eubacterium and other less abundant genera were washed out from 311 the reactor. With the use of ethylene in the test reactor, the washout of *Methanobacterium* gave 312 room for higher relative abundances of *Clostridium*, *Eubacterium*, and *Colidextribacter*, genera 313 that harbor acetogenic and acidogenic species. A slow but steady increase of 314 Acidipropionibacterium was observed in the late fermentation stages in particular in the control 315 reactor (Figure 3), which temporally corresponds to the profile of propionate concentration in the 316 same period (Figure S7-A).

317 Butyrate formation was positively correlated with the relative abundances of *Clostridium* sensu 318 stricto 12 while caproate correlated positively with abundances of *Eubacterium*, Oscillibacter, 319 *Caproiciproducens*, *Erysipelatoclostridiaceae* UCG-004, and *Colidextribacter* (p<0.01, Figure 320 S9). Production of CH<sub>4</sub> correlated positively with relative abundances of *Methanobacterium* and Methanobrevibacter (Figure S11), known as hydrogenotrophic methanogens. i-Butyrate 321 production correlated with abundances of Oscillibacter, Caproiciproducens, Bacteroides, and 322 Erysipelatoclostridiaceae UCG-004 (Figure S11). Presence of ethylene correlated with higher 323 324 relative abundances of Clostridium sensu stricto 12, Eubacterium, Caproiciproducens, 325 Colidextribacter. It is worth notice that the analysis shows no distinctions between direct and 326 indirect correlations. This is exemplified in the cases that are clearly indirect: correlations between abundances of some bacterial genera and CH<sub>4</sub> formation and between methanogens and formation 327 328 of propionate and *i*-butyrate. Some of the ASVs within the acidogenic and acetogenic genera which 329 had high similarities with isolates are presented in the Supporting Information.

Although the planktonic methanogens were observed to be almost completely washed out with the use of ethylene in the test reactor, biofilms attached to the vessel walls and other inner reactor surfaces still contained methanogens at the end of the experiment (Figure S12).

ETHYLENE AS A SCALABLE INHIBITOR. While 2-BES can be considered a specialty chemical (41 US\$ kg<sup>-1</sup>), ethylene is a commodity with a relatively low price (1 US\$ kg<sup>-1</sup>) and widely available on the chemical market. Moreover, ethylene (as well as acetylene) is a common constituent of syngas from biomass gasification in the concentrations used in this study.<sup>34, 35</sup> With gas recirculation, ethylene could be used as a recyclable methanogenesis inhibitor, which is not the case for 2-BES, as the latter is soluble in water and would be washed out from the aqueous phase. On the other hand, recirculation of gas increases the auxiliary power requirement of the 340 process. Figure 4 presents an order of magnitude estimate of the operating cost of gas recirculation 341 (depending on the compression pressure) and of using 2-BES (depending on its concentration) per  $m^3$  of broth. As a reference for economic feasibility, the potential value that can be obtained by 342 selling the carboxylates present in the fermenter broth is estimated to be between 8 US\$ m<sup>-3</sup> and 343 40 US\$ m<sup>-3</sup> (Figure 4). This value depends on the extractable carboxylate composition and the 344 selling price of the carboxylates. Assumptions adopted for the economic analysis are described in 345 detail in the Supporting Information. Four alternatives were compared: i) H<sub>2</sub>/CO<sub>2</sub>/ethylene 346 recirculation at the flow used in this study (1.2 L  $h^{-1}$  L<sup>-1</sup>); ii) H<sub>2</sub>/CO<sub>2</sub>/ethylene recirculation at a 347 flow at optimized conditions with ten times the microbial gas consumption observed in the test 348 reactor with ethylene (0.14 L h<sup>-1</sup> L<sup>-1</sup>); iii) recirculation of ethylene only (0.018 L h<sup>-1</sup> L<sup>-1</sup>); and iv) 349 use of 2-BES for methanogenesis inhibition at concentrations up to 50 mM (10.5 g L<sup>-1</sup> sodium 2-350 BES). The gas recirculation alternatives i)-iii) cost between 0.02 US\$ m<sup>-3</sup> and 2 US\$ m<sup>-3</sup>, which is 351 352 well below the value range of the carboxylate broth. The use of 2-BES for inhibiting methanogenesis (option iv)) costs at least 43 US\$ m<sup>-3</sup> and is thus not economically feasible even 353 354 at concentrations below those required for inhibition of hydrogenotrophic methanogens. Other operating costs of the process, such as reactor power input, consumption of chemicals, and 355 downstream processing are not considered here. As a reference, an encompassing economic 356 357 analysis considering downstream processing and capital costs (but excluding gas recirculation and methanogenesis inhibition costs) was done previously by Scarborough et al.<sup>36</sup> for an integrated 358 lignocellulosic biorefinery producing MCC, ethanol, and electricity. For a more detailed economic 359 analysis of the gas recirculating, the cost of supplying of H<sub>2</sub> and CO<sub>2</sub> as well as the pay-offs of gas 360 recirculation (in terms of increased selectivity to MCC, higher carboxylate production, and net 361 362 carbon fixation) would have to be considered.





Figure 4. Estimated operating costs of 2-BES addition or  $H_2$ ,  $CO_2$ , and ethylene ( $C_2H_4$ ) recirculation depending on the pressure. The value of the carboxylate-containing broth is estimated to be between 8 US\$ m<sup>-3</sup> and 40 US\$ m<sup>-3</sup>.

Another difference between ethylene and 2-BES found in the batch experiments was that 2-BES showed inhibitory effects on *i*-butyrate formation, whereas ethylene did not. This fact could prove useful if the production of branched carboxylates is desired, in particular considering that *i*butyrate and *i*-caproate have been recognized as potential bio-product platforms.<sup>37, 38</sup>

As a cautionary tale, it was shown that the biofilm formed in inner reactor parts contained methanogens from previous reactor operation phases (Figure S12). Since ethylene is a reversible inhibitor,<sup>19</sup> the planktonic community may be easily re-inoculated with methanogens from the biofilm or unsterile substrate if the use of ethylene ceases.

375 MECHANISM OF ETHYLENE INHIBITION. Research on the mechanism of ethylene 376 inhibition of methanogens and its effects on acidogenic bacteria has been not as encompassing as 377 research with acetylene. Arguably, the mechanism of inhibition by ethylene may be comparable to that of acetylene, since ethylene also has a  $\pi$  C-C bond.<sup>39</sup> The specific inhibition by ethylene 378 may be explained by its effect on some types of hydrogenases, specifically those which 379 methanogens most depend on.<sup>39</sup> Acetylene was shown to strongly inhibit [NiFe] hydrogenases of 380 a methanogen (Methanosarcina sp. MST-AI DSM 2905) and of a sulfate-reducing bacterium 381 (Desulfovibrio gigas) while presenting no effect on the nickel-free hydrogenase of another sulfate-382 reducing bacterium (Desulfovibrio vulgaris).<sup>40</sup> Methanogenic archaea depend on [NiFe] 383 hydrogenases for fast H<sub>2</sub> oxidation<sup>41</sup> whereas fermentative bacteria (in particular *Firmicutes*) have 384 a vast diversity of [FeFe] hydrogenases.<sup>42</sup> Whether ethylene has a differential effect on these two 385 types of hydrogenases needs to be tested in future studies, in particular because alternative 386 inhibition mechanisms have been proposed.<sup>43, 44</sup> It is worth noticing that the effects of acetylene 387 and ethylene on anaerobic cultures are not identical. Acetylene is a less selective inhibitor than 388 389 ethylene since acetylene inhibits sulfate-reducing and nitrogen-fixing bacteria whereas ethylene does not.<sup>19, 45</sup> Ethylene also seems to be more stable in anaerobic systems than acetylene, since a 390 391 rare metabolic pathway has been found that degrades acetylene in the absence of strong electron acceptors (e.g. sulfate)<sup>46, 47</sup> while to the best of our knowledge no similar pathway is known for 392 ethylene degradation. 393

POSSIBILITIES FOR PROCESS OPTIMIZATION. Developing, controlling, and optimizing a mixotrophic acidogenic community is not trivial because homoacetogens typically prefer higher ATP-yielding substrates (e.g. carbohydrates, ethanol, lactate) before switching to autotrophic metabolism, as seen in the case of *C. ljungdahlii* in the presence of fructose.<sup>48</sup> Under lactate starvation, homoacetogens are forced to put their substrate flexibility into use.<sup>49</sup> Here, the reactor system was operated in such a way that H<sub>2</sub> and CO<sub>2</sub> were always available, basal medium (with 400 acetate) was fed ten times per day, and lactate was fed once a week. It is possible that this feeding 401 strategy, which had a longer intermittency for lactate, may have helped favor autotrophy over heterotrophy. Another possible consequence of longer intervals of substrate feeding is the 402 maintenance of high diversity in the community.<sup>50</sup> High community diversity is a factor that can 403 help couple non-methanogenic H<sub>2</sub> consumption with MCC formation.<sup>6</sup> Inhibition due to MCC 404 toxicity was unlikely a concern in the system. The low MCC concentrations in this study (up to 405 0.7 mM undissociated caproic acid at pH 6.0) were well below MCC toxicity limits reported 406 previously (7.5 mM undissociated caproic acid in ethanol-based chain elongation<sup>31</sup> and 10.7 - 17.2 407 mM undissociated caproic acid in lactate-based chain elongation).<sup>51, 52</sup> 408

There are reasons to argue for a two-step fermentative process that separates homoacetogenesis 409 and chain elongation.<sup>5</sup> For instance, homoacetogens can compete with chain elongating bacteria 410 411 for lactate and other organic electron donors. In this study, this competition did not seem to be a 412 concern. Besides achieving net carbon fixation, homoacetogens also helped to replenish acetate as 413 it was consumed by butyrate and caproate fermenters (55.7% less net acetate consumption when 414 H<sub>2</sub> was kept abundant). Therefore, higher non-methanogenic gas consumption rates are generally desirable. In this direction, operation with CO or syngas mixtures may help improve gas 415 consumption, chain elongation, solventogenesis, and volumetric rates.<sup>53</sup> Besides, CO helps inhibit 416 methanogens.54 417

#### 418 CONCLUSION

Acetate and lactate were used as a simplified model of an ensiled feedstock or the organic fraction of municipal solid waste. The exploration of the concept with complex biomass is the next step to start assessing the economic feasibility of the  $H_2$ ,  $CO_2$ , and ethylene gas recirculation approach. Future studies should also aim to increase caproate concentration, since in this study the maximum caproate concentration achieved (up to 1.2 g L<sup>-1</sup>, Figure S7) fell short in comparison to
state-of-the-art chain elongation processes. Depending on the desired products (SCC, MCC,
alcohols, *i*-butyrate, etc.), the operation of the system may be optimized by adjusting gas-liquid
feeding strategies, together with other operational parameters such as pH and temperature.
Nevertheless, a better knowledge of the relation between process parameters and production of
SCC, MCC, and alcohols is still needed.

429 ASSOCIATED CONTENT

#### 430 Supporting Information.

431 The following files are available free of charge.

432 Table S1 and S2, Figures S1 to S12, basal medium composition, calculations for component

433 balances, assumptions for economic analysis, further discussion of the results from the batch

434 experiments, possible metabolic intermediates, and ASV similarities to species level. (PDF)

#### 435 AUTHOR INFORMATION

#### 436 Author Contributions

FCFB, SK, and HS conceptualized the study and reviewed the manuscript. FCFB developed the methodology, performed the experiments, analyzed the data, and prepared the original draft. HS and SK supervised the project and supported the data analysis. All authors have given approval to the final version of the manuscript.

#### 441 Funding Sources

442 This study was funded by the Helmholtz Association, Research Program Renewable Energies.

443 Financial support was also received from the CAPES – Brazilian Federal Agency for Support

444 and Evaluation of Graduate Education within the Ministry of Education of Brazil (No.

445 88887.163504/2018-00) and from the BMBF - German Federal Ministry of Education and

Research (No. 01DQ17016). 446

#### 447 ACKNOWLEDGEMENT

- We thank Ute Lohse for technical assistance in library preparation for MiSeq amplicon 448
- sequencing as well as Carolin Köbe and Felix Kaufmann for helping with the batch experiments. 449
- The DBFZ Department Biorefineries is acknowledged for providing the reactors. 450
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- 610 SYNOPSIS. Anaerobic fermentation with continuous recirculation of H<sub>2</sub>, CO<sub>2</sub>, and ethylene
- 611 increases carboxylates yields while allowing net carbon fixation.



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