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1 **A new nonlinear method for downscaling land surface**
2 **temperature by integrating Guided and Gaussian filtering**

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17 Abstract

18 Land surface temperature (LST), retrieved from thermal infrared (TIR) bands of
19 remote sensing satellites, is an important parameter for various climate and
20 environmental models. TIR bands detect a range of low-energy wavelengths, resulting
21 in a coarser spatial resolution than other multispectral bands, and limiting applicability
22 in heterogeneous urban regions. In this study, a new nonlinear method for LST
23 downscaling, called Three Layers Composition (TLC), was proposed. The TLC
24 integrates large-scale temperature variations, re-constructed detailed characteristics of
25 LSTs, and strong boundary information. The performance of TLC is compared with
26 disaggregation of radiometric surface temperature (DisTrad), thermal imagery
27 sharpening (TsHARP), and random forest (RF) for a complex landscape in Beijing city,
28 which has agriculture, forest, and massive impervious surfaces. The scale effects on the
29 downscaled LSTs (DLST) were analyzed from the aspects of spatial resolution and
30 spatial contexts. The experimental results indicate that the nonlinear algorithms (TLC
31 and RF) perform better than linear methods (DisTrad and TsHARP). Indicated by
32 coefficient of determination (R^2), centered root-mean-square error ($CRMSE$), and
33 correlation coefficient (CC), TLC ($R^2 = 0.901$, $CRMSE = 0.319$, $CC = 0.951$) was the
34 most effective and workable technique for predicting LSTs, followed by RF (0.768,
35 0.502, 0.874), TsHARP (0.544, 0.652, 0.734), and DisTrad (0.518, 0.751, 0.719). Larger
36 experimental regions and larger ratios between initial and target resolution weaken the
37 accuracy of DLST. TLC indicated a stronger ability to resist the influence of such scale
38 effects. Traditional downscaling methods (DisTrad, TsHARP, and RF) are trained with
39 global LST-predictor relationships and predict the DLST point by point, which can
40 result in significantly biased estimates for very high or very low temperatures.
41 Addressing this issue, TLC advantageously preserves the texture similarity between

42 LST and its predictors, and yields more precise DLST, which showed higher
43 consistency with the reference LST. Considering high accuracy and low computation
44 time, TLC may be a safe technique for LST downscaling in other regions and different
45 remote sensing sensors.

46 Keywords: Downscaling land surface temperature; Landsat 8; Linear regression;
47 Random Forest; Scale effect; Three Layers Composition method

48 1. Introduction

49 Land surface temperature (LST) is the skin temperature of the Earth's surface; it is
50 required to properly study urban moisture and drought (Wan et al., 2004), to monitor
51 spatiotemporal dynamics of urban heat islands (Nichol, 2005; Huang and Wang, 2019),
52 and to describe the growth status of vegetation (Julien and Sobrino, 2009). Remote
53 sensing (RS) satellites can supply up to date, highly covered and spatially explicit LSTs,
54 but hardly get LSTs at both high spatial and high temporal resolution (Hutengs and
55 Vohland, 2016; Pu, 2021). The LST products retrieved from Moderate Resolution
56 Imaging Spectroradiometer (MODIS), for example, are available daily but the spatial
57 resolution is only 1km, while Landsat thermal infrared data have a finer resolution (100
58 m), but a 16-days revisit cycle. A high spatiotemporal resolution of LST would be
59 desirable for the assessment of thermal performance over multiple landscape
60 configurations at local and block scale (Xu et al., 2020; Zawadzka et al., 2020). One
61 solution would be an improvement of hardware and detector instruments, particularly
62 the capacity of data transfer in orbit, however, this has high production costs and is time
63 consuming (Wang et al., 2021). Another solution is to develop downscaling models
64 based on the correlations between LST and ancillary biophysical parameters (e.g.,
65 surface reflectance ratio, land use and land cover types, and vegetation indices), which
66 can be extracted from visible and near infrared bands of high-resolution RS data (Kustas
67 et al., 2003; Hutengs and Vohland, 2016).

68 LST downscaling has attracted more and more interest during the past two decades,
69 and techniques utilize image fusion, kernel-driven approaches and the combination of
70 both (Gao et al., 2006; Weng, 2009; Wang et al., 2021). Kernel-driven statistical models
71 are frequently used due to their simplicity and effectiveness in multiple natural
72 conditions. Disaggregation of radiometric surface temperature (DisTrad) and thermal

73 imagery sharpening (TsHARP) are two classical linear models (Kustas et al., 2003;
74 Agam et al., 2007; Jeganathan et al., 2011), which apply least square regression between
75 LST and normalized difference vegetation index (NDVI). These linear models are well
76 suited and workable for relatively uniform landscapes, while they may be less
77 appropriate for urban areas with high heterogeneity (Hutengs and Vohland, 2016; Xu et
78 al., 2020). LSTs, representing thermal performance over complex Earth surfaces, are
79 affected by multiple factors (e.g., wind, topography, and surface material), and applying
80 only NDVI as predictor for LST is insufficient. Random forest (RF), as a nonlinear
81 statistical ensemble algorithm, can solve these problems by building sequentially
82 randomised and de-correlated decision trees for multi-factorial regression (Hutengs and
83 Vohland, 2016; Xu et al., 2020). Compared with linear models, RF avoids over-fitting,
84 handles multi-collinearity, and can model complex relationships between LST and
85 multiple influencing factors. Applying the RF algorithm, Hutengs and Vohland (2016)
86 re-constructed the LSTs at high resolution in Jordan for varied geographical
87 environments with improved performance in comparison to TsHARP.

88 A commonality of RF and linear methods is that all these models are trained with
89 global LST-predictor relationships, and then predict the LST point by point (Wu and Li,
90 2019; Pu, 2021). It is unquestioned that the underlying surface attributes are highly
91 correlated with LSTs, but this association varies with the locations. Therefore, a global
92 relationship might be not suitable for local LST downscaling, particularly in urban
93 regions (Wang et al., 2021). Moreover, a point-by-point procedure is likely to result in
94 a disruption of the spatial texture characteristics of LST, and generate a significant bias
95 for very high or very low temperatures in the downscaled LST (DLST). The differences
96 of LST between initial (low) resolution and target (high) resolution mainly suggest that
97 lots of detailed information in sub-pixels at initial resolution is missing and the

boundaries at which temperatures greatly change are inaccurate (Fig. 1). Therefore, it is important to develop a new downscaling model that can simultaneously consider the temperature value and its spatial neighborhood relationships.

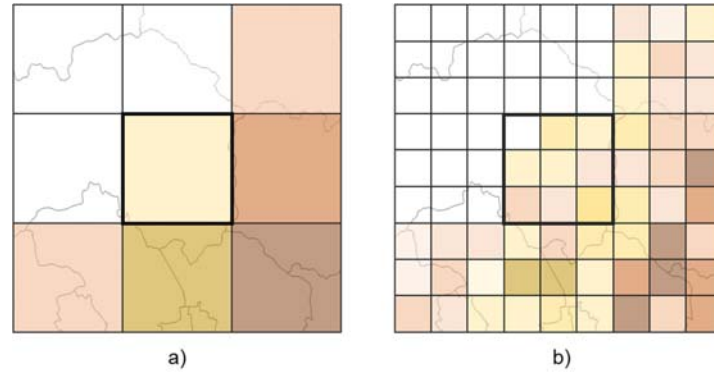


Fig. 1. Visual differences between LST at low (a) and high resolution (b).

Common methods for LST downscaling assume that the relationship between LSTs and predictors is scale-invariant, which has been questioned and needs more in-depth examination (Jeganathan et al., 2011; Chen et al., 2012; Pu, 2021). Previous researchers demonstrated the occurrence of scale effects when downscaling LST, which is usually caused by varied probability distributions of LST and different influencing factors between the initial and target resolution (Zhou et al., 2016; Pu, 2021). The spatial context, i.e. the region covered by the LST map, is another variable affecting the accuracy of DLST. Generally, the accuracy of DLST tends to decrease with an increase of the ratio from initial to target resolution, and an increase of the spatial context (Chen et al., 2012). At low resolution, the thermal performance of a pixel results from the within-pixel mixture of land cover and is constrained by the dominant land cover type. Their influence on the spatial variations of LST are relatively uniform and the scale remains relatively stable. At high resolution, each pixel is relatively pure, and the influence of varied land cover types on LST might be not scale-invariant, but multiscale (Pu, 2021): particularly when the target resolution is in a range of 20-30 m, downscaling

118 processes proved to be not safe and results were not reliable.

119 This study proposes a new downscaling method called Three Layers Composition
120 (TLC) based on image processing, and aims to demonstrate its suitability and
121 advantages by 1) evaluating the downscaled LST over different land use and land cover
122 types, estimated with a machine learning model (RF) and two linear methods (DisTrad
123 and TsHARP); and 2) discussing the performance of different methods at varied target
124 resolutions and contexts, and assessing the ability of TLC to reduce the influence of
125 scale effects on DLST maps. For applicability in operational LST downscaling, we
126 clarify which method works safely at which scale requirements (resolution and context).

127 2. Study area and Data

128 2.1. Study area

129 Beijing (39°54'N, 116°23'E), covering a total area of about 16000 km², is the
130 political and cultural center of China. The terrain of Beijing gradually decreases from
131 northwest to southeast, and the main urban region is located in the south plain (Fig. 2d).
132 Beijing has a humid continental monsoon climate with severe, dry winters, hot summers
133 and strong seasonality with an annual mean temperature ranging from 10 °C to 12 °C
134 and mean precipitation ranging from 450 mm to 550 mm. The study area is located in
135 the center of Beijing, with a spatial extension of 60 × 60 km, and the main land cover
136 types include impervious surface distributed in the south, agriculture land distributed
137 in the center and east, and forest distributed in the northeast mountain region (Fig. 2b).
138 Since the 1980s, Beijing has witnessed a rapid urbanization and the urbanization level
139 has reached 86% in 2010, having a significant influence on the urban thermal
140 environment (Xiao et al., 2008; Peng et al., 2016).

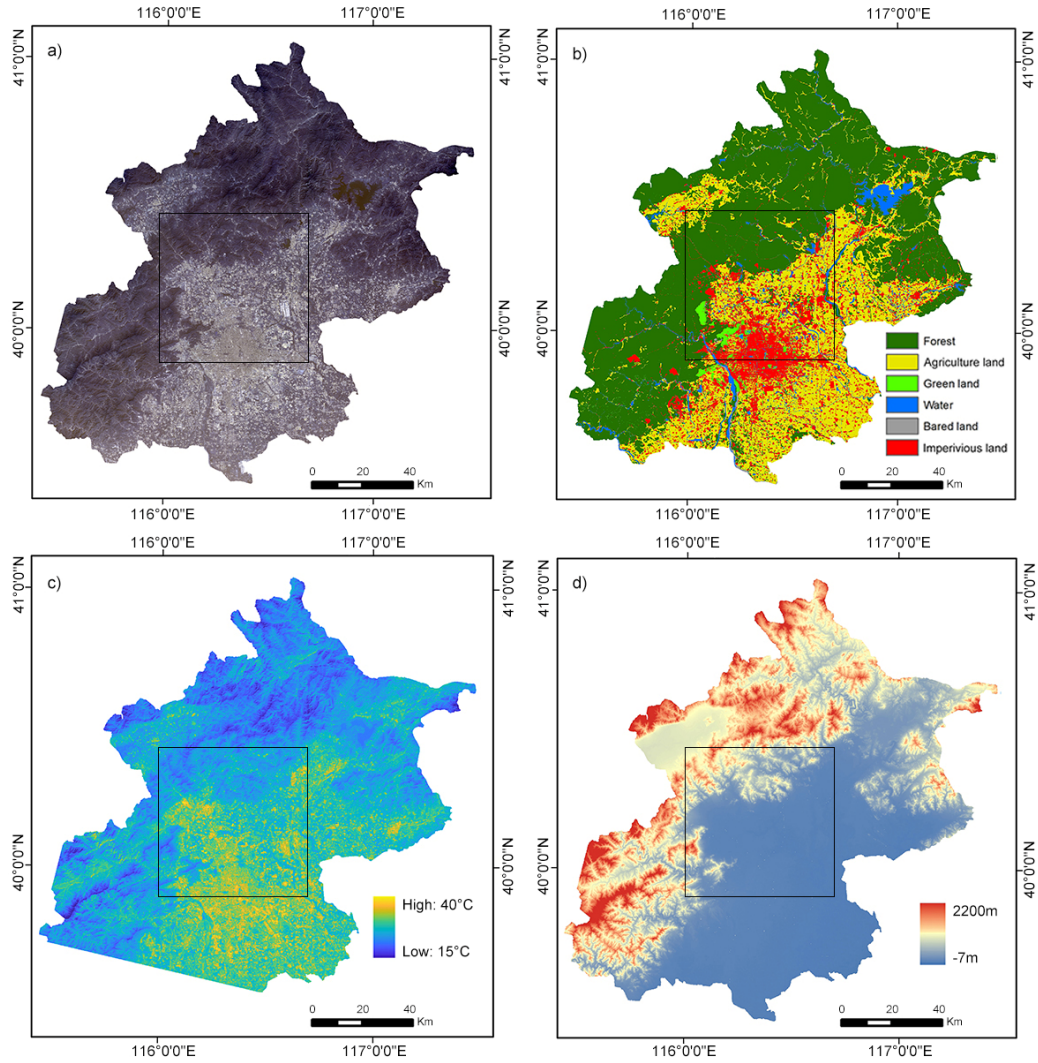


Fig. 2. Beijing region (black square marks the experimental area). (a) Landsat 8 band composite (RGB-band 213); (b) map of land use and land cover; (c) spatial distribution of LST; (d) elevation a.s.l.

2.2. Data

Landsat 8 OLI/TIRS data of September 12, 2017, obtained from the USGS website (<https://earthexplorer.usgs.gov/>), has been systematically processed with radiometric and geometric correction. Most of Landsat 8 bands have a resolution of 30 m except thermal infrared bands (100 m) and panchromatic band (15 m). Landsat 8 supplies LST products retrieved using the atmospheric correction method with 30 m spatial resolution (Yu et al., 2020), and provides auxiliary parameters for LST downscaling. NASA's

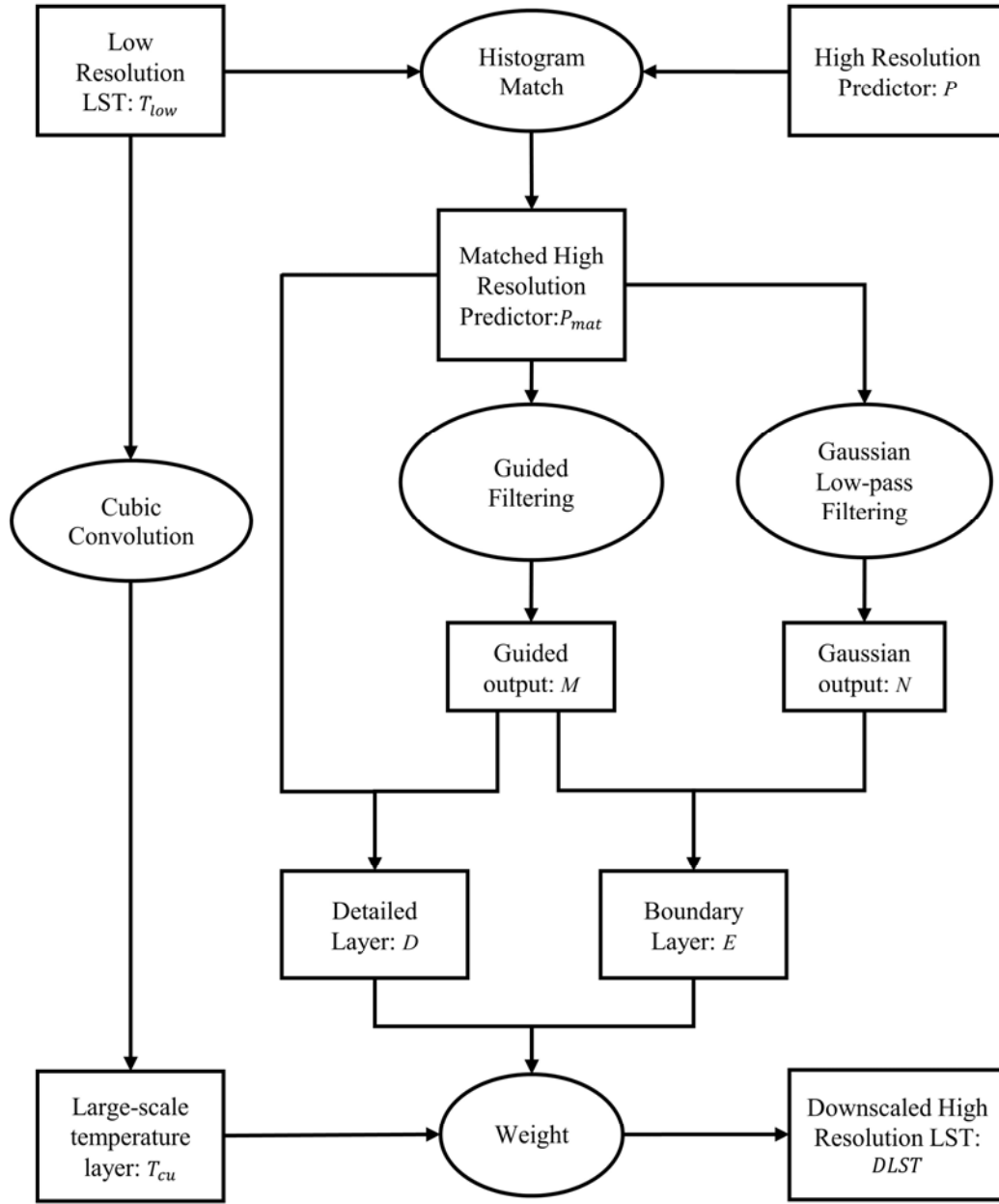
Shuttle Radar Topography Mission (SRTM) data were downloaded to extract the terrain factors (elevation, slope and aspect), which have a spatial resolution of 90 m.

The resampling method of nearest-neighbor interpolation was applied in this study to upscale Landsat 8 LST and auxiliary parameters to coarser resolutions of 60 m, 90 m, 120 m, 150 m and 300 m. SRTM data was resampled to 30 m and 60 m, and aggregated to 120 m, 150 m, and 300 m using spatial averaging. The LST map with 300 m resolution was taken as the initial resolution LST for downscaling, while others were taken as reference LST (RLST). The purpose of the RLST is to evaluate the accuracy of DLST at different target resolutions. All the data were geometrically corrected to WGS84/UTM Zone 50 N.

3. Methods

3.1. Three Layers Composition (TLC) method

Land use and land cover (LULC) types affect the spatial variations of LST significantly (Berger et al., 2017; Yu et al., 2020). Similar land covers at large scale form relatively smooth temperature variations with small gradients. Long stripes of land cover (e.g., rivers) and the junction of different land covers form a boundary texture in the temperature pattern, while tiny land patches shape detailed features. Both boundary and detailed features have large gradients. In an LST map with low resolution, texture boundaries and detailed features are severely missing. Therefore, for downscaling LST, we propose a new nonlinear approach TLC, which takes into account large-scale temperature variations, detailed LST characteristics, and boundary information. Using (1) a cubic convolution model, (2) a Gaussian low-pass filtering, and (3) a guided filtering, the TLC can properly extract the above mentioned three features from the low-resolution temperature image (T_{low}) and the high-resolution predictors (P) (see flow chart in Fig. 3).



177

178 **Fig. 3.** Flow chart of the TLC method downscaling a low-resolution LST map.

179 3.1.1. Extraction of a large-scale temperature layer

180 The large-scale temperature layer (T_{cu}) represents the regional variations of LSTs
 181 at high spatial resolution, and is extracted from the low-resolution LST product (T_{low}).

182 Remote sensing images describe LST maps as continuous surfaces with high spatial
 183 autocorrelation (Hutengs and Vohland, 2016). Although a low-resolution LST map

184 $T_{low}(x, y)$ misses temperature characteristics at sub-pixel scale, it can well describe the

185 large-scale temperature fluctuation in the study area. The two-dimensional cubic
 186 convolution interpolation function (1) was applied to obtain the large-scale temperature
 187 characteristics $T_{cu}(i_x, j_y)$ at pixel (i_x, j_y) of high resolution that is located inside pixel
 188 (x, y) of low resolution as follows (Keys, 1981):

$$T_{cu}(i_x, j_y) = \sum_{row=-1}^2 \sum_{col=-1}^2 T_{low}(x + row, y + col) S\left(\frac{i_x - i_{x+row}}{l}\right) S\left(\frac{j_y - j_{y+col}}{l}\right) \quad (1)$$

189 where (x, y) represents the interpolation node location, and l represents the sampling
 190 increment. The convolution kernel $S(x_1)$ is composed of piecewise cubic polynomials
 191 defined on the subintervals $(-2, -1)$, $(-1, 0)$, $(0, 1)$, and $(1, 2)$; this symmetric kernel
 192 vanishes outside the interval $(-2, 2)$ (Keys, 1981):

$$S(x_1) = \begin{cases} (a_1 + 2)|x_1|^3 - (a_1 + 3)|x_1|^2 + 1, & 0 \leq |x_1| \leq 1 \\ a_1|x_1|^3 - 5a_1|x_1|^2 + 8a_1|x_1| - 4a_1, & 1 < |x_1| \leq 2 \end{cases} \quad (2)$$

193 $S(x_1) = 0$ when $|x_1| > 2$. Parameter a_1 can be used to approximate different spline
 194 functions, specifically the interpolation error approaches 0 at a rate proportional to the
 195 third power of the sampling interval when $a_1 = -0.5$ (Keys, 1981; Reichenbach and
 196 Geng, 2003). Cubic convolution interpolation is theoretically an optimal approximation
 197 of the *sinc* function (Meijering et al., 1999), which is effective for edge enhancement
 198 and the preservation of subtle features in comparison to nearest-neighbor and bilinear
 199 interpolation.

200 3.1.2. Extraction of boundary layer and detailed layer

201 Boundary and detailed layers are the key to reconstruct high-resolution LST using
 202 TLC, because they supply detailed information at sub-pixel scale. In contrast to the
 203 large-scale temperature layer (T_{cu}) obtained from low-resolution LST (section 3.1.1),
 204 the boundary and detailed layers are extracted from high-resolution predictors in two
 205 steps: Firstly, predictors (P), such as NDVI, ranging from -1 to 1 , are linked to

temperatures (T_{low}) by means of histogram matching, which preserves mean value and standard deviation of low-resolution LST (Zhang et al., 2019). This procedure ensures that the spatial locations and patterns of matched predictors (P_{mat}) are highly correlated with LSTs. The second step is to separate the boundary layer from the detailed layer. While both involve large gradients, the features of the latter are isotropic, i.e. in all directions. In contrast, boundary features have large gradients only in the normal direction and smaller gradients in the tangential direction. Based on this difference, this study applied a guided filtering to obtain the detailed layer, and a combination of Gaussian low-pass filtering and guided filtering to obtain the boundary layer.

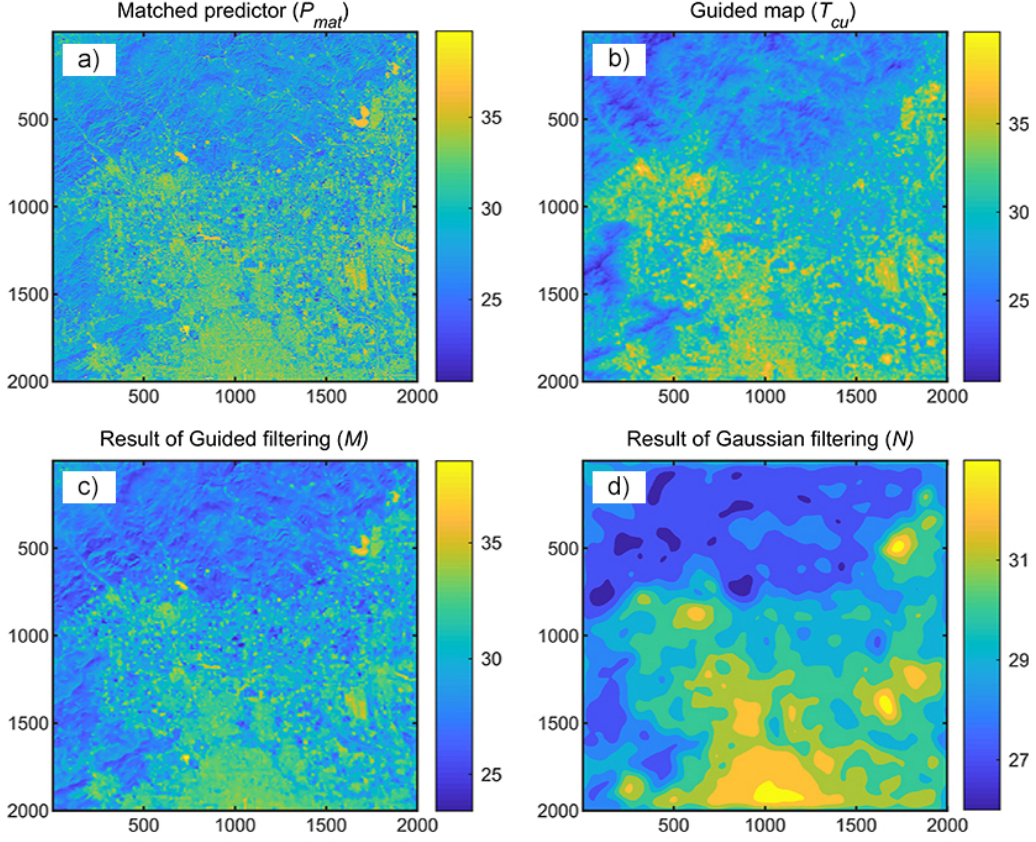
The guided filtering (eq. 3) acts on a local square window ω_k centered at pixel k , and is a linear function between the guided map (T_{cu}) and the output (M), retaining the information which parts are boundaries and which are regions (He et al., 2012):

$$M(i, j) = c_k T_{cu}(i, j) + d_k, \quad \forall (i, j) \in \omega_k \quad (3)$$

$$n(i, j) = P_{mat}(i, j) - M(i, j); \quad Ex[n] = mean(n) \xrightarrow{c_k, d_k} Min \quad (4)$$

where c_k and d_k represent linear coefficients assumed to be constant in the local window ω_k . To determine these coefficients, we include the constraint (eq. 4) that the output M results from the input image P_{mat} subtracting some unwanted components like noise/textures (n). Ex represents the mathematical expectation, and a linear ridge regression with regular terms was applied to minimize the difference between input P_{mat} and output M (He et al., 2012). In result of guided filtering, the linear relationship (eq. 3) ensures that the spatial texture of M is as similar as possible to that of T_{cu} (Fig. 4). Finally, the detailed layer D representing features of small land patches in the natural world, is calculated from subtracting the guided output from the predictor map, which provides important detailed information on the DLST (eq. 5).

$$D(i, j) = P_{mat}(i, j) - M(i, j) \quad (5)$$



228

229 **Fig. 4.** (a) map of the predictor after matching; (b) guided map (T_{cu}); (c) result of guided filtering
 230 with window size 11; (d) result of Gaussian low-pass filtering with cut-off frequency 3.

231 To extract the boundary layer, a Gaussian low-pass filter (g) was applied to the
 232 predictor (eq. 6). It utilizes a Gaussian distribution kernel, removes high-frequency
 233 noise and preserves the low-frequency components (Haddad and Akansu, 1991).

$$N = g * P_{mat} \quad (6)$$

234 Unlike guided filtering, the Gaussian low-pass acts isotropic and both the details and
 235 the boundaries in P_{mat} are weakened or even disappeared after filtering (Fig. 4d).
 236 Considering that the output M of guided filtering retains the boundary and regional
 237 features, the boundary layer can be described as (eq. 7):

$$E = M - N \quad (7)$$

238 To properly merge all layers, the boundary and detailed layer obtained from high-
 239 resolution predictors were then transferred into the T_{cu} space by weighing (***weight*** =
 240 T_{cu}/P_{mat}), and the downscaled LST is (eq. 8):

$$DLST = T_{cu} + \text{weight} \cdot (a \cdot D + b \cdot E) \quad (8)$$

241 a and b are constants adjusting the weight and integrating the detailed and boundary
 242 layers; the cut-off frequency of the Gaussian low-pass filtering and the window size of
 243 the guided filtering are another two parameters that need to be optimized. In this study,
 244 we set the cut-off frequency to 3, $\omega_k = 11$, $a = 0.3$, $b = 0.6$ (for detailed parameter
 245 specifications see supplementary material).

246 To predict urban LST, it is insufficient to use only one single predictor for different
 247 land cover types. In this study, NDVI was applied to predict the temperature in
 248 vegetated regions, NDBI was applied to predict the temperature in urban impervious
 249 regions, and NDWI was applied to predict the temperature in water bodies.

250 3.2. Additional methods for comparison with TLC

251 To assess the performance of the suggested TLC approach, three alternative models
 252 were applied (see Table 1 for input variables): DisTrad, TsHARP and RF. The first two
 253 are classical methods for LST downscaling based on its linear correlation to NDVI,
 254 while the nonlinear machine learning algorithm RF can model complex relationships
 255 between LST and predictors, accounts for both multicollinearity and nonlinearity, and
 256 avoids overfitting by averaging a large number of de-correlated individual trees
 257 (Hutengs and Vohland, 2016). The RF model parameters for the regression trees set-up
 258 include: 1) the number of regression trees (600); 2) minimum number of observations
 259 per tree leaf (5).

260 **Table 1.** Variables selected for downscaling methods in this study

Index	Name	Functions and characteristics	Application
NDVI	Normalized difference vegetation index	Well-documented negative relationship with LST, and positive relationship with soil moisture.	DisTrad; TsHARP; RF; TLC
NDBI	Normalized difference building index	High correlation with impervious surface area and less sensitive to seasonal change than NDVI.	RF; TLC
NDWI	Normalized difference water index	High linear correlation with LST, particularly over the water.	RF; TLC
SAVI	Soil-adjusted vegetation index	Interaction of soil properties and vegetation systems.	RF; TLC
BSI	Bare soil index	High correlation with bare soil.	RF
LULC	Land use and land cover types	Influence of underlying surface attributes on LST.	RF
Elevation	Terrain factors	High negative correlation with LST in mountain area.	RF
Slope			RF
Aspect			RF

261 DisTrad, TsHARP and RF for DLST calculation include following steps (Fig. 5):

262 (1) Assessment of the association between LST map and predictors at initial (lower)
263 resolution:

$$\widetilde{T}_{low} = f(predictors_{low}) \quad (9)$$

264 For DisTrad, the prediction variable is NDVI, and a linear regression (eq. 10) is
265 performed:

$$\widetilde{T}_{low} = a_0 + a_1 * NDVI_{low} \quad (10)$$

266 For TsHARP, the vegetation cover (*FVC*) was calculated and taken as predictor (eq.
267 11 and 12).

$$FVC = (1 - NDVI)^{0.625} \quad (11)$$

$$\widetilde{T}_{low} = b_0 + b_1 * FVC_{low} \quad (12)$$

268 For RF, sequential randomised and de-correlated decision trees represent the
269 complex relationship between multiple predictors and low-resolution LST:

$$\widetilde{T}_{low} = f_{RF}(predictors_{low}) \quad (13)$$

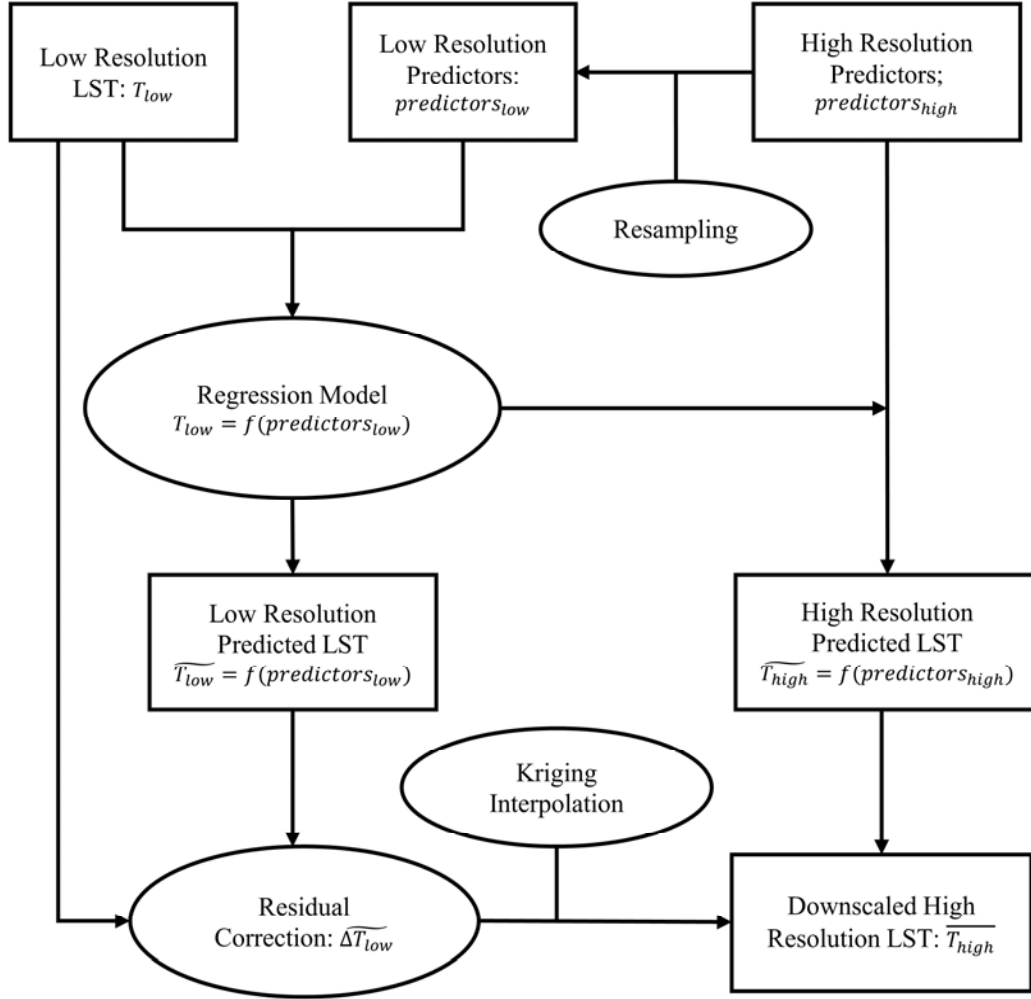
270 (2) Prediction of LSTs at high resolution (\widetilde{T}_{high}) utilizing the downscaling models
271 trained in Step (1):

$$\widetilde{T}_{high} = f(predictors_{high}) \quad (14)$$

272 (3) Improvement of the accuracy of high-resolution LST maps \widetilde{T}_{high} calculated in Step
273 (2) by help of error calibration. The error $\Delta\widetilde{T}_{low}$ was calculated as the difference
274 between the LST product and the estimated LST at low resolution (eq. 15), and then
275 downscaled into high resolution $\Delta\widetilde{T}_{high}$ by Kriging interpolation. Then the final
276 DLST map at high resolution \overline{T}_{high} was calculated (eq. 16):

$$\Delta\widetilde{T}_{low} = T_{low} - \widetilde{T}_{low} \quad (15)$$

$$\overline{T}_{high} = \widetilde{T}_{high} + \Delta\widetilde{T}_{high} \quad (16)$$



277

278 **Fig. 5.** Flow chart of traditional methods (DisTrad, TsHARP, and RF) for downscaling.

279 3.3. Descriptive statistics and error analyses

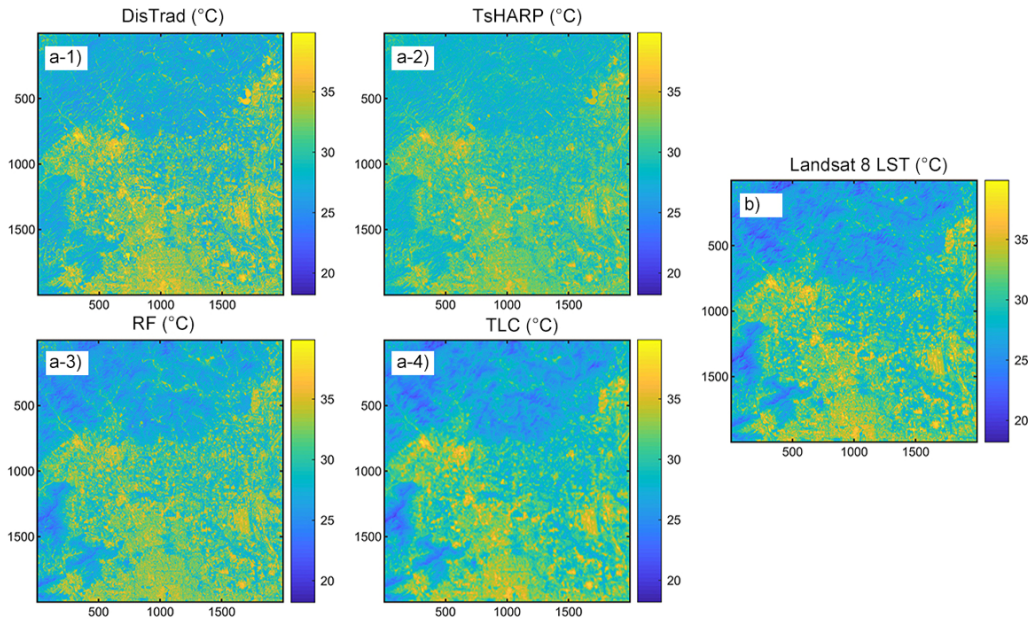
280 For the DLST and RLST maps, histograms and scatter density plots were created
 281 to evaluate the consistency of their spatial distributions, and the coefficient of
 282 determination (R^2) for their association. Taylor Diagrams were used to comparatively
 283 assess the different downscaling methods. Three statistics: standard deviation (STD),
 284 centered root-mean-square error ($CRMSE$), and correlation coefficient (CC) satisfy the
 285 cosine theorem utilized for the Taylor Diagram (Taylor, 2001):

$$CRMSE^2 = STD_{DLST}^2 + STD_{RLST}^2 - 2 * STD_{DLST} * STD_{RLST} * CC \quad (17)$$

286 4. Results

287 4.1. Comparison between TLC and other methods for LST downscaling

288 TLC, DisTrad, TsHARP and RF were applied to downscale LST from initial
289 resolution (300 m) to target resolution (30 m), respectively, and the LST map inverted
290 from Landsat 8 was taken as reference LST (RLST, see Fig. 6b). All four DLST maps
291 were similar to the RLST, and the best result was achieved by TLC, followed by RF,
292 DisTrad and TsHARP based on visual inspection of spatial distribution locations and
293 patterns.

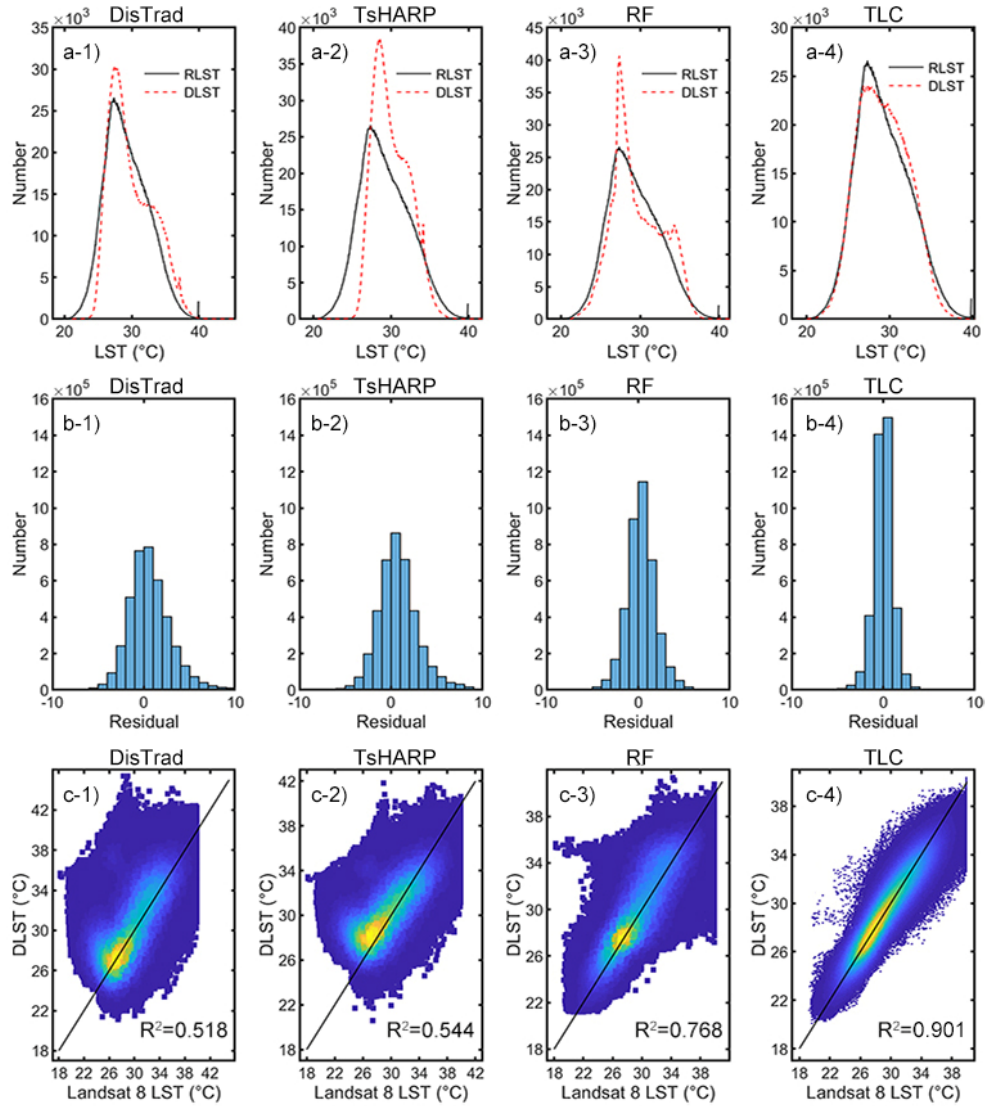


294

295 **Fig. 6.** (a) Downscaled LST maps and (b) Landsat 8 RLST.

296 Comparing paired histograms between DLST and RLST (Fig. 7a), the DLST
297 downscaled by TLC were in closer agreement with the reference than the other methods.
298 For DisTrad and TsHARP, there was significant bias, especially in the very low
299 temperature range. Overestimates arise from RF around moderate temperatures (near
300 28 °C). Most residual errors between DLST and RLST were around zero (Fig. 7b).
301 Residual errors of TLC were in the range from -1 °C to 1 °C, whereas the linear methods
302 were most prone to residual errors exceeding 5 °C. At points of large noise the DLST

303 calculated by linear methods was considerably deviating from the corresponding RLST
 304 (e.g. in the northern and western areas, where reverse temperature characteristics
 305 occurred). The best downscaling results were achieved for TLC ($R^2 = 0.901$), followed
 306 by RF ($R^2 = 0.768$), TsHARP ($R^2 = 0.544$) and DisTrad ($R^2 = 0.518$).



307
 308 **Fig. 7.** (a) Histograms of DLST compared to Landsat 8 RLST. (b) Error distribution between
 309 Landsat 8 RLST and downscaled LSTs. (c) Scatter density plots of DLST (y-axis) versus RLST (x-
 310 axis) downscaling from initial resolution 300 m to target resolution 30 m. From left to right: DisTrad,
 311 TsHARP, RF and TLC.

312 In the Taylor Diagram (Fig. 8), the downscaling models were represented by solid

dots of different colors, and the distance between each model and the reference point
labelled 'XEF' is a measure of the performance of the downscaling method. A closer
distance to the reference point means higher accuracy of the method. The Taylor
diagram directly indicated that DLST accuracy was best for TLC (shortest distance to
XEF, Fig. 8). TLC had lowest $CRMSE$ (0.319) and highest CC (0.951), followed by RF
($CRMSE = 0.502$, $CC = 0.874$), TsHARP ($CRMSE = 0.652$, $CC = 0.734$) and DisTrad
($CRMSE = 0.751$, $CC = 0.719$). The $STDs$ of DLST using DisTrad, RF and TLC were
generally consistent with RLST, while TsHARP had much less variability in
downscaled temperatures.

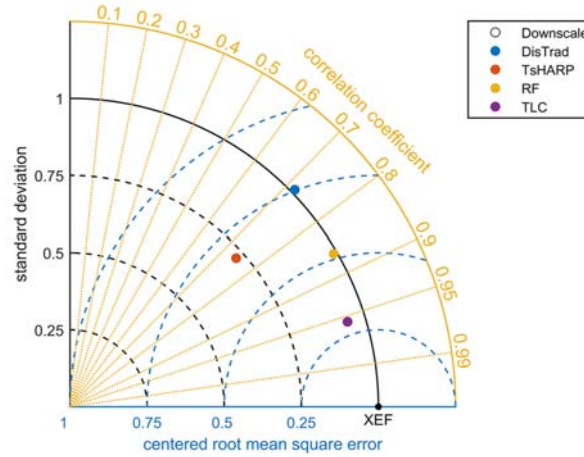


Fig. 8. Taylor diagram evaluating the accuracy of DLST using different downscaling methods. 'XEF' represents the reference data, the CC is related to the azimuthal angle (yellow lines), the $CRMSE$ of the downscaled results is proportional to the distance to the reference point XEF (blue lines), and the STD is proportional to the radial distance to the origin (black lines).

Fig. 9 illustrated the accuracy of the downscaling methods in terms of different land cover types. Compared with TLC, RF was less accurate, but still outperformed TsHARP and DisTrad. For linear methods, the accuracy of DLST maps for forest was much lower than that of imperious surface land and agriculture land, because the terrain of imperious surface and agriculture land is relatively flat, while forest is mainly

distributed in the mountain area. The linear methods do not consider any changes of the terrain and involve just the association with NDVI, which leads to a significant misrepresentation of forest temperature. RF and TLC predicted forest temperatures more accurately than the impervious surface and cultivated land temperatures. For the RF, terrain fluctuation is an important variable for LST prediction, and the influence of terrain on the temperature is well considered during the model training process. The higher spatial aggregation level and less heterogeneity of forest landscape cause a relatively higher prediction accuracy than the impervious surface with high spatial heterogeneity. Differently from the other methods, TLC takes the texture similarity between land cover and LSTs into consideration, which can well capture local temperature fluctuations and avoid massive noise in the DLST map.

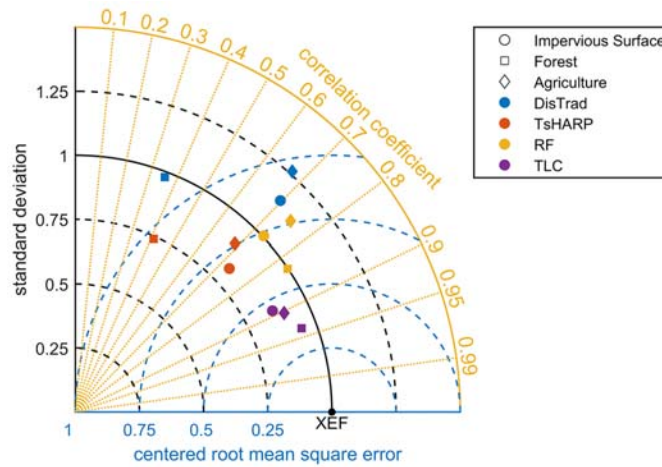


Fig. 9. Taylor diagram evaluating the accuracy of DLST maps for different land cover types (marked by symbol shapes: for example, the blue rectangular box marks the accuracy of forest LST obtained from DisTrad).

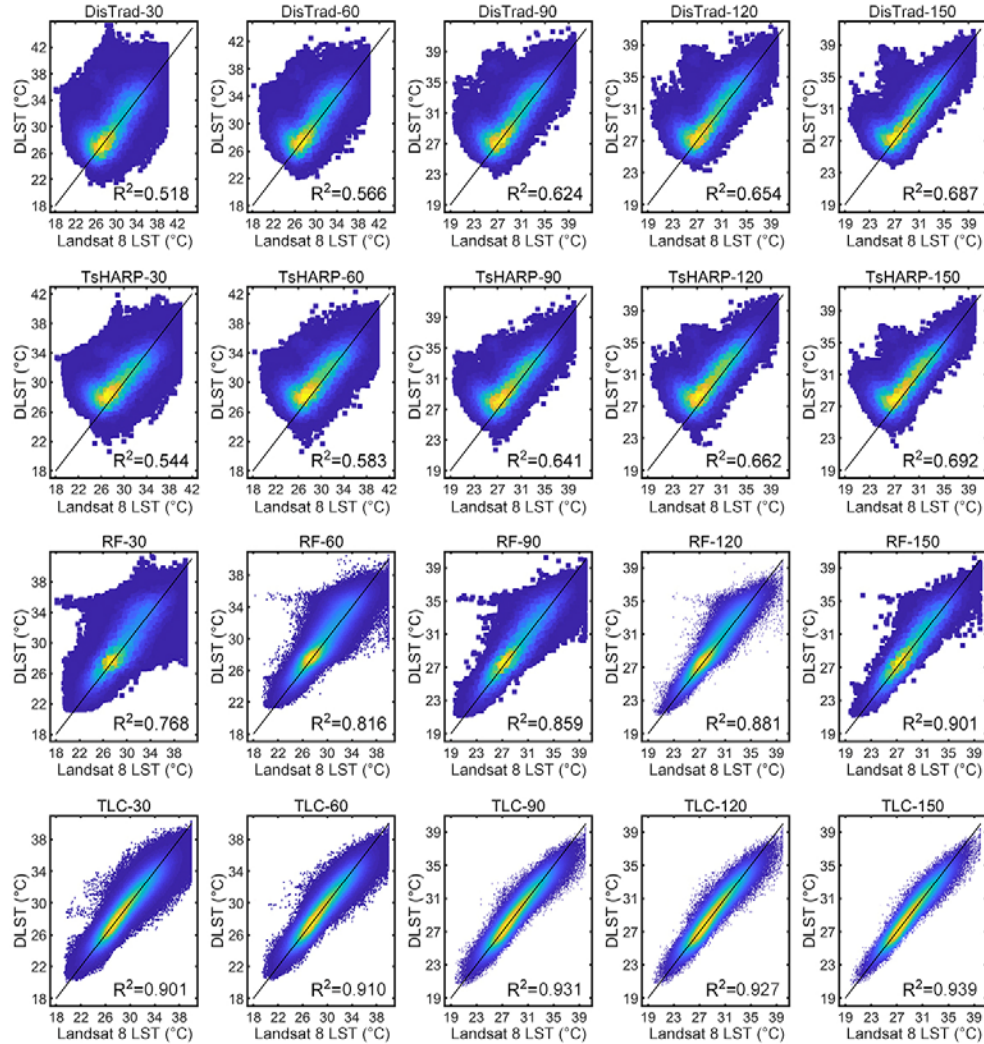
4.2. The influence of scale effects on DLST calculated by different methods

4.2.1. Accuracy depending on spatial resolution

To study the influence of spatial resolution on LST downscaling for all considered methods, we firstly downscaled LST from 300 m spatial resolution to 30 m, 60 m, 90

351 m, 120 m, and 150 m within a spatial context 60×60 km (Fig. s1 in supplementary
 352 material). Generally, the spatial distribution and patterns of DLST were consistent to
 353 RLST, but the DLST maps using nonlinear methods were visually much smoother and
 354 more similar to RLST than those using linear methods.

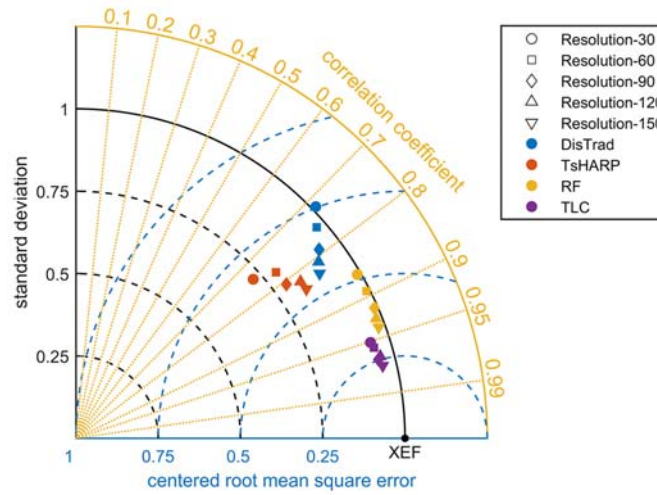
355 With increasing ratio from initial to target resolution, R^2 tended to decrease (Fig.
 356 10), because a higher ratio means that more detailed information needs to be added to
 357 DLST maps and more errors might be introduced. The drop of R^2 using TLC was only
 358 0.038, much lower than that using RF (0.272), TsHARP (0.249) and DisTrad (0.326),
 359 which indicated that the TLC method might be more reliable than other methods.



360

361 **Fig. 10.** Scatter density plots of DLST (y-axis) versus RLST (x-axis) extracted from Landsat 8 30
 362 m LST products (from left to right: target resolution from 30 m to 150 m; from top to bottom:
 363 DisTrad, TsHARP, RF, and TLC).

364 An increase of spatial resolution clearly weakened the quality of DLST maps, but
 365 in different amounts (Fig. 11): The *CRMSE* of TLC decreased by around 0.07, much
 366 lower than for RF (0.224), TsHARP (0.231), and DisTrad (0.178). The *CC* showed
 367 similar changes, which indicated that the TLC might better resist the influence of scale
 368 effects.



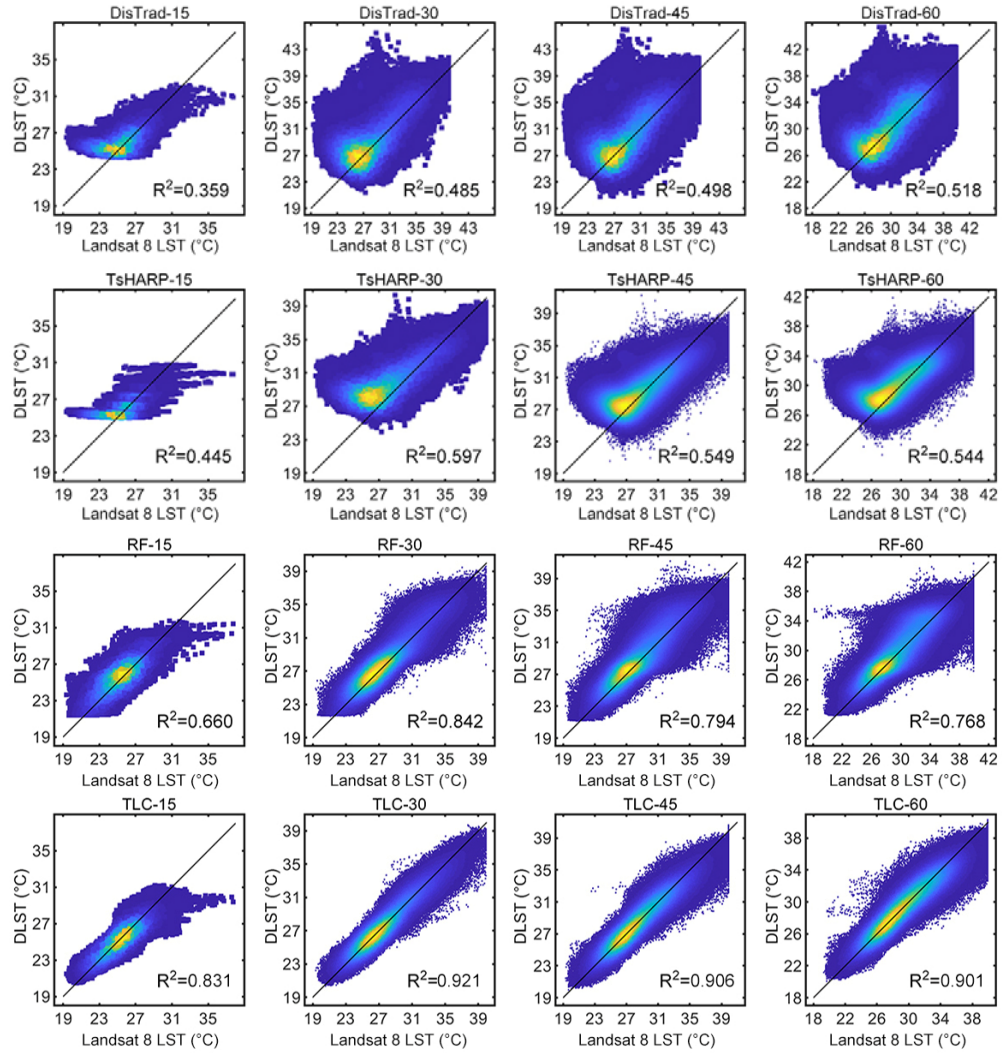
369 **Fig. 11.** Taylor diagram evaluating the accuracy of DLST maps for varied target resolutions.

371 4.2.2. Accuracy depending on spatial context

372 The LST at 300 m resolution was downscaled into 30 m resolution for different
 373 spatial contexts: 15×15 km, 30×30 km, 45×45 km, and 60×60 km. For varied
 374 regions, the smaller region was included in the larger region, for example, the region
 375 15×15 km was located in the center of the region 30×30 km. In results (Fig. s2,
 376 supplementary material), for extreme temperatures, the smoothness in DLST maps
 377 from DisTrad and TsHARP was significant, and there was an obvious overestimation
 378 of low temperatures compared with nonlinear methods.

379 Downscaling performance of TLC and RF was reasonable, while the results of

380 DisTrad and TsHARP were relatively poor (Fig. 12). With increasing spatial context,
381 the R^2 of TsHARP, RF and TLC showed similar variations that the values increased first
382 from 15×15 km to 30×30 km, and then decreased gradually. A smaller area has less
383 pixel samples, that is why the model accuracy is relatively lower, particularly for the
384 linear models, suggested by the R^2 of DisTrad (0.359) and TsHARP (0.445). For study
385 regions of very large size, the trained models can hardly capture each detailed
386 characteristics between LST and its predictors, which might lead to a decrease of DLST
387 accuracy. Compared with traditional methods, the R^2 of TLC stayed at a relatively high
388 level, and its deterioration was much lower with increasing spatial context (similar
389 conclusions result from the Taylor diagram (Fig. 13). We find that the main reason for
390 the high performance of TLC is that traditional methods downscale LST with global
391 LST-predictor relationships, which might be not suitable for local temperature
392 prediction. TLC relied on the texture similarity between LST and predictors, which can
393 well consider the autocorrelation of LST, and can preserve the local variations of actual
394 LST as much as possible.



395

396 **Fig. 12.** Scatter density plots of DLST (y-axis) versus RLST (x-axis) extracted from Landsat 8 30
 397 m LST products (from left to right: varied spatial context from 15×15 km to 60×60 km; from
 398 top to bottom: DisTrad, TsHARP, RF, and TLC).

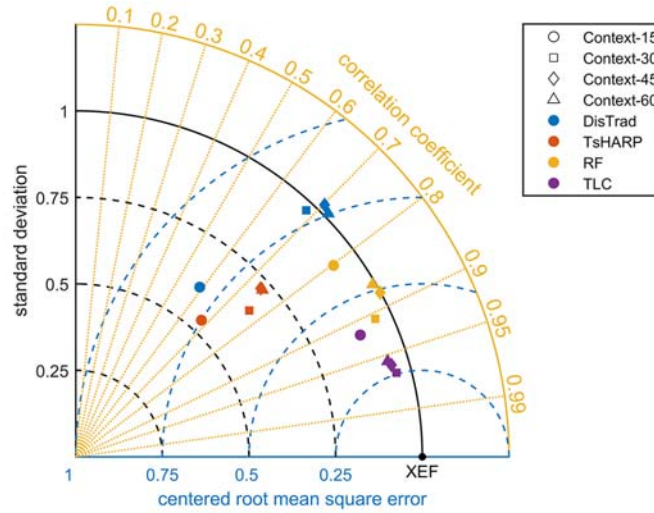


Fig. 13. Taylor diagram evaluating the accuracy of DLST maps over varied spatial context.

5. Discussions and Conclusions

5.1. Advantages of TLC in LST downscaling

One of the main goals in this study was to evaluate the suitability and effectiveness of the new TLC method for downscaling LST in highly heterogeneous regions, and the results, particularly the Taylor Diagram indicated that TLC outperforms traditional methods. The accuracy of DLST obtained from RF was much higher than that using linear methods, similar to the findings of Hutengs and Vohland (2016). In the urban region, the relationship between LST and its influencing factors is not linear (Peng et al., 2016; Wang et al., 2021), and the complexity of landscape composition and configuration is likely to result in significant variations of temperature (Berger et al., 2017; Yu et al., 2020). Among the nonlinear methods, TLC was superior for downscaling LST than RF, because the decision trees used in the RF regression were carried out based on the global LST-predictor relationships, which lack of the consideration of spatial autocorrelation and local correlation. This insufficiency might disrupt the spatial neighborhood relationships, and lead to massive noise compared to RLST. Unlike global LST-predictor relationships, the Gaussian low-pass filtering and

417 guided filtering applied in TLC both act in a local window, ensuring that the local DLST
418 variations are only affected by the local predictors and can effectively avoid
419 interferences from other pixels. TLC has the advantage of being able to reconstruct the
420 missing local information at target resolution based on texture similarity between LST
421 and multiple predictors, and the combination of detailed information and large-scale
422 temperature variations help maintain the continuity and consistency of DLST.

423 Among different land cover types, the predicted temperature at forest regions using
424 linear methods showed lower accuracy and weak consistency with RLST than that at
425 impervious surface land. However, this relationship was reverse for nonlinear methods,
426 supporting findings of Wu and Li (2019) who also applied TsHARP and RF models to
427 downscale LST in Beijing city. The differences over varied land cover types are mainly
428 caused by terrain factors. In Beijing, forests are mostly distributed in the northern and
429 western mountain region with a stronger variability of the terrain. For linear models,
430 the influence of terrain on the DLST was not included during the model training process.
431 The nonlinear methods (RF and TLC) avoid this insufficiency, particularly the latter
432 suggested better performance in forested regions than for sealed surfaces. In the urban
433 region highly covered with impervious surfaces, the spatial heterogeneity exceeds that
434 of other areas significantly, and temperature patterns change greatly, leading to
435 difficulties and errors in LST downscaling. However, the DLST using TLC showed
436 significant over-smoothed characteristics in comparison to other methods (Fig. 14). The
437 reason for this might be related to the selection of predictors. For each land cover type,
438 TLC applied a single predictor for downscaling, while RF allows multiple indices to
439 complement each other with detailed information. Despite different combinations of
440 predictors in TLC, the correlation between NDBI and NDVI in the study region exceeds
441 0.93, indicating that NDVI is unlikely to provide more detailed information compared

to NDBI. In addition, the quality of predictors, particularly the systematic error brought by the sensors in the remote sensing images also has a great influence on DLST. In future studies, the TLC algorithm should consider combinations of multiple predictors to deal with this insufficiency.

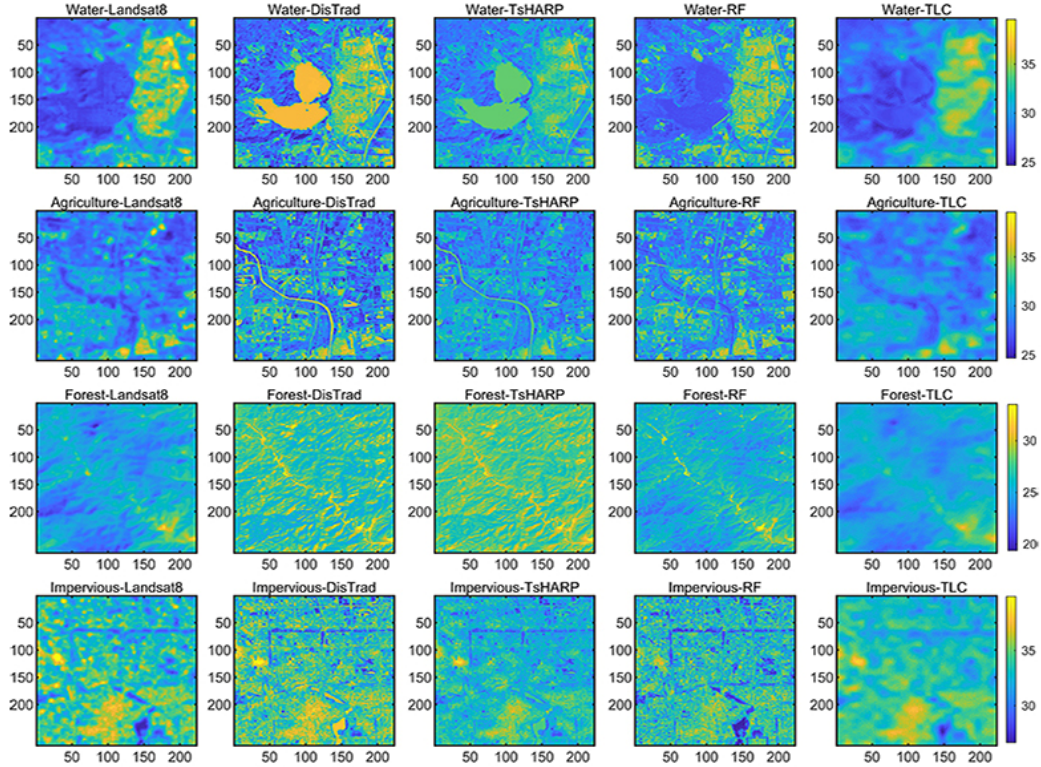


Fig. 14. Comparison of Landsat 8 RLST and downscaled LST maps for different land cover types (from left to right: Landsat 8, DisTrad, TsHARP, RF, and TLC; from top to bottom: water, agriculture land, forest, impervious surface land).

For the extreme temperature range, the DLST tended to be biased with an overestimation of low temperatures and an underestimation of high temperatures. A similar phenomenon was previously noted by Hutengs and Vohland (2016), and Xu et al. (2020). Compared to TLC, this bias was much more pronounced for DisTrad, TsHARP and RF. The inability of traditional downscaling methods to predict very high or very low temperatures might be caused by an insufficient number of training samples. Models trained with global variables must represent the entire LST map, which can

457 make conventional models insensitive to local temperature extremes. TLC can
458 overcome this shortcoming and achieved both a local as well as a global optimal
459 solution for DLST by adding detailed information and strong boundary information into
460 the large-scale temperature layer. The local optimal solution helps to control the
461 dispersion and bias for extreme LST values, while the global optimal solution
462 contributes to preserve the spatial texture and control the deviation of DLST relative to
463 RLST.

464 5.2. Advantages of TLC for reducing scale effects in LST downscaling

465 The experimental results of scale effects indicated that the accuracy of downscaling
466 LST was affected by the complexity of surface coverage significantly, and it is
467 recommended that a range of spatial resolutions and contexts should be pre-calculated
468 before conducting an LST downscaling project (Chen et al., 2012; Zhou et al., 2016).
469 In this study, the accuracy of DLST maps was directly related to the ratio from initial
470 to target resolution. With the increase of target resolution, the ‘pureness’ of pixels tends
471 to be stronger and the thermal conditions over a surface are usually controlled by a
472 specific land cover or land use type, different from ‘mixed’ pixels at coarser resolution
473 with similar scaling factor pixel values. This effect leads to scale dependence in LST
474 downscaling: the larger the ratio between initial and target resolution is, the more
475 detailed information needs to be determined and added into the downscaling models
476 (Jeganathan et al., 2011; Wu and Li, 2019), which potentially can increase the errors
477 and decrease the accuracy of DLST maps.

478 To analyze the impact of the spatial context, this study considered regions of
479 different size. As the size increases, the complexity of the surface coverage changes.
480 The R^2 and Taylor Diagrams indicated that a region of 30×30 km might be most useful
481 for RF and TLC downscaling. For linear methods, a larger experimental region means

482 more training samples, for which the accuracy tended to increase, but was still much
483 lower than for nonlinear methods. Considering both spatial resolution and spatial
484 context, nonlinear methods, particularly TLC, indicated a better performance to resist
485 scale effects on DLST. However, these implications arose only from applying our
486 approach to the selected urban hotspot. The conclusion may be different for other
487 regions depending on the location's peculiarities of terrain, climate and LULC types,
488 and an increase of the study area size does not always result in an increased surface
489 complexity of the considered area.

490 In addition to accuracy requirements, computation time is another key parameter
491 for downscaling in a larger region. The ideal method should be highly accurate and less
492 time consuming. RF is a widely used model for LST downscaling due to its high
493 accuracy. However, many prediction parameters have to be pre-calculated and much
494 time is consumed to train the regression model. In this study, RF took 100.4 seconds to
495 downscale LST from 300 m to 30 m resolution within a spatial context of 60×60 km,
496 nearly 10 times longer than DisTrad and TsHARP, while TLC took only 6.3 seconds.
497 As the spatial context increases, the time requirement of RF increases sharply due to
498 the larger number of training samples. Guided filtering assumes linearity between
499 guided output and guided image in a local window, thus the computational speed of
500 TLC benefits from this linearity. We conclude that the TLC is more accurate and less
501 time-consuming, compared with traditional downscaling methods, which provides a
502 good basis for obtaining regional or even global LST with high spatial resolution.

503 5.3. Limitations of this study and potential applications of TLC

504 TLC performed better than traditional methods for LST downscaling, however, it
505 has a stronger dependence on the quality of predictors. TLC is guided by techniques of
506 image processing, which requires that the predictors for LST downscaling should well

507 reflect the land surface at one single day. Accuracy might be affected if the predictors
508 are poor or multiday composites. RF is more flexible than TLC in selecting predictors
509 and even multiday composite products can be included. In the future, the TLC algorithm
510 should be tested with the inclusion of different types of predictors, as these can provide
511 detailed information and constraints for downscaling, and expand the applicability of
512 TLC.

513 The experimental design of this study had limitations. Firstly, downscaling
514 accuracy was not analyzed for target resolutions greater than 30 m, due to limited spatial
515 resolution of the thermal infrared sensors. Some literature, for example, Pu (2021)
516 found significant differences between DLST and RLST within a target resolution range
517 of 15-20 m. Secondly, the accuracy of DLST as well as Landsat 8 LST product was not
518 verified using ground measured temperature. The latter is only based on existing
519 literature (Berger et al., 2017). In the future, unmanned aerial vehicles with thermal
520 infrared cameras and ground measured data might be used to demonstrate the
521 superiority of the TCL method as well as the influence of scale effects on the DLST. In
522 addition, this study proposed a new nonlinear method for LST downscaling, but tested
523 only on LST products from Landsat 8. In future studies, TLC could be applied to other
524 remote sensing sensors with coarser spatial resolution, such as MODIS, Advanced Very
525 High Resolution Radiometer (AVHRR), and Infrared Spectrograph (IRS).

526 **Author Contributions**

527 Conceptualization, FG; Data processing, FG and DH; Formal analysis, FG and US;
528 Methodology, FG; Writing—original draft, FG; Manuscript modification, FG, DH and
529 US.

530 **Declaration of Competing Interest**

531 The authors declare that they have no known competing financial interests or personal

532 relationships that could have appeared to influence the work reported in this paper.

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637

638 **List of Figure Captions**

639 **Fig. 1.** Visual differences between LST at low (a) and high resolution (b).

640 **Fig. 2.** Beijing region (black square marks the experimental area). (a) Landsat 8 band
641 composite (RGB-band 213); (b) map of land use and land cover; (c) spatial distribution
642 of LST; (d) elevation a.s.l.

643 **Fig. 3.** Flow chart of the TLC method downscaling a low-resolution LST map.

644 **Fig. 4.** (a) map of the predictor after matching; (b) guided map (T_{cu}); (c) result of guided
645 filtering with window size 11; (d) result of Gaussian low-pass filtering with cut-off
646 frequency 3.

647 **Fig. 5.** Flow chart of traditional methods (DisTrad, TsHARP, and RF) for downscaling.

648 **Fig. 6.** (a) Downscaled LST maps and (b) Landsat 8 RLST.

649 **Fig. 7.** (a) Histograms of DLST compared to Landsat 8 RLST. (b) Error distribution
650 between Landsat 8 RLST and downscaled LSTs. (c) Scatter density plots of DLST (y-
651 axis) versus RLST (x-axis) downscaling from initial resolution 300 m to target
652 resolution 30 m. From left to right: DisTrad, TsHARP, RF and TLC.

653 **Fig. 8.** Taylor diagram evaluating the accuracy of DLST using different downscaling
654 methods. ‘XEF’ represents the reference data, the CC is related to the azimuthal angle
655 (yellow lines), the $CRMSE$ of the downscaled results is proportional to the distance to
656 the reference point XEF (blue lines), and the STD is proportional to the radial distance
657 to the origin (black lines).

658 **Fig. 9.** Taylor diagram evaluating the accuracy of DLST maps for different land cover
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660 accuracy of forest LST obtained from DisTrad).

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662 Landsat 8 30 m LST products (from left to right: target resolution from 30 m to 150 m;
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668 km to 60×60 km; from top to bottom: DisTrad, TsHARP, RF, and TLC).

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671 **Fig. 14.** Comparison of Landsat 8 RLST and downscaled LST maps for different land
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673 bottom: water, agriculture land, forest, impervious surface land).