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1 **The suitability of water scarcity indicators to the Indian context**

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9

10 **Abstract**

11 Quantifying the spatiotemporal variation of water scarcity is critical for identifying strategies
12 to support sustainable management of water resources and associated food-energy systems.

13 To this end, several assessments have attempted to provide a global mapping of water
14 scarcity with a number of underlying methodological choices. Scarcity metrics vary in their
15 definitions and thresholds for scarce conditions to prevail. We review these methodologies in
16 the context of the biophysical and socio-economic setting of India. We suggest four avenues
17 for improving metric assessments to increase policy relevance: incorporation of surface
18 water- groundwater interactions along with non-renewable groundwater resources,
19 accounting for minimum environmental flows, consideration of deep uncertainties, and
20 addressing underlying socio-economic disparities in metric assessment.

21

22 **Keywords**

23 Water scarcity, India, metrics, blue water, green water, population

24 **1. Introduction**

25 Water crisis continues to be a threat to India's sustainable development goals. Increasing
26 population and water intensive lifestyles coupled with deeply uncertain future climate change
27 are likely to create significant uncertainty regarding future water availability across India. As
28 of 2017, the total renewable water resources per capita in India is estimated at 1080 m³/year,
29 which is lower than the global average of 5732 m³/person/year (FAO , 2017). Several
30 assessments identify India as a water scarcity hot-spot (Vörösmarty et al., 2000; Alcamo et
31 al., 2000; Kummu et al., 2010; Schewe et al., 2014; Gosling and Arnell, 2016). A recent
32 study estimated nearly 1 billion people living under water scarcity in India (Mekonnen and
33 Hoekstra, 2016). Recent analyses considering the impact of changing climate and increasing
34 population on India's future water availability also suggest increases in water scarcity as we
35 transition from a 1.5°C warmer world to a 2.0°C warmer world (Singh and Kumar, 2019).

36

37 Despite a large number of studies that attempt to map the spatiotemporal extent of India's
38 water scarcity, relatively few examples can be found of their use in policy making. We could
39 identify a few issues that lead to this, beginning with the proliferation of available scarcity
40 metrics (Hoekstra et al., 2012; Liu et al., 2017; Hanasaki et al. 2018; Vanham et al., 2018).
41 Some focus on per capita water availability while others estimate the ratio of demand to
42 supply of water (Liu et al., 2017). The definition of available water also varies across studies,
43 along with the spatiotemporal resolution of underlying (observational or modeled) datasets
44 used to arrive at estimates (Liu et al., 2017). Overall, this leads to large variations in the
45 estimated population under risk of water scarcity. Second, scarcity assessments often assume
46 critical thresholds of water scarcity metrics to identify vulnerable populations. While there is
47 some evidence to show that commonly used thresholds (such as 1700 m³/year for per capita
48 water availability) are probably linked with the sufficiency of locally renewable water

49 resources, there can be regional disparities in critical thresholds that need further
50 investigation (Hanasaki et al. 2018). Third, most studies focus on certain future periods such
51 as mid-century or end-of-century. The specific trajectory of socioeconomic development, tied
52 closely to climate change signals, undertaken by a region is therefore not considered. This
53 issue is crucial for India, where median projections for river runoff are greater for end-of-
54 century than mid-century under different projected scenarios, indicating that regions are
55 likely to undergo greater water scarcity in near future than far future (Singh and Kumar,
56 2019). Fourth, uncertainties due to climate and population change are included by identifying
57 various scenarios of change (Haddeland et al., 2014; Schewe et al., 2014). However, further
58 studies are needed to discern how such uncertainties should eventually guide policy making
59 (see e.g., Poff et al., 2016). And finally, extremes such as droughts and floods are not
60 generally considered, except a few very recent studies that show that when considering
61 extremes, much more population can be at risk (Aadhar and Mishra, 2019; Kumar and
62 Mishra, 2020).

63

64 In a recent analysis, Singh and Kumar (2019) assessed the relative importance of population
65 and climate changes on determining future water availability across India. The analysis
66 identified regional differences in dominant factors driving water scarcity, indicating that
67 policy approaches may have to differ depending upon the main driver of scarcity. For
68 example, in regions where physical water scarcity is likely the main driver behind classifying
69 a region as water scarce, augmenting supplies and reducing demands would be required. On
70 the other hand, in regions with plentiful water resources such as north-eastern India, the rapid
71 urbanization is likely to drive water scarcity in the future. In such conditions, capacity
72 building and focusing on infrastructure development would be the key to cope with water
73 scarcity. While this analysis provided interesting insights, further studies that explore such

74 connections between climate and demographic changes are needed to realistically model the
75 time evolving nature of water scarcity in India.

76

77 The goal of this article is to understand the likely causes that stigmatize the quick adoption of
78 existing scarcity quantification in decision making related to water resources. We discuss two
79 main issues in this article: the issue of multiple metrics and the quantification of underlying
80 uncertainty. Thereafter, we showcase the likely difference in perceptions of water scarcity
81 that arise due to different (methodological) choices of water scarcity metrics. We conclude by
82 discussing possible improvements in data collection or methodologies considering the
83 requirement of planning agencies. Section 2 presents an overview of water scarcity metrics
84 for global water scarcity assessments used in recent literature. This is followed by Section 3
85 that provides a summary of uncertainty quantification methods. Section 4 presents a
86 comparative analysis of the metrics for India. Section 5 synthesizes these concepts in the
87 context of India.

88

89 **2. Water scarcity metrics: a review of global studies**

90 Mapping the spatiotemporal variation of global water scarcity, in line with the 2030 Agenda
91 for Sustainable Development target 6.4.2, has become fundamental to identify strategies for
92 sustainable management of global water resources and associated water-food-energy nexus
93 (D’Odorico et al., 2018). Several attempts have been made in the past three decades to assess
94 the spatio-temporal variations of global water scarcity (Table 1). These assessments have led
95 to an evolving picture of water scarcity and its relation to human water use. While our
96 understanding of water scarcity grew, so did the methodological choices in quantifying this
97 metric, resulting in a proliferation of indicators with different approaches to estimate water
98 scarcity (Liu et al., 2017).

100 <Table 1 approximately here>

101

102 **2.1 Methodological choices in quantification of water scarcity metrics**

103 Methodological choices related to quantifying water available in a region range from using
104 river discharge (blue water; including surface water and near-surface shallow groundwater
105 storages), mined deeper groundwater (blue water), green water (soil moisture fed by direct
106 precipitation), or a combination of these. Estimates of river discharge can be naturalized or
107 impacted depending on whether human impacts are accounted for or not. Human impacts are
108 diverse ranging from direct interventions like building of dams and reservoirs to groundwater
109 abstractions for meeting water demands like humans drinking facilities or growing foods
110 (irrigations) (Wiedmann and Lenzen, 2018; Felfelani et al., 2021). The latter has led to
111 unsustainable depletion of groundwater across several regions throughout the Globe (Rodell
112 et al., 2009; Wada et al., 2010; Gleeson et al., 2012; Famiglietti, 2014; Dalin et al., 2017;
113 Greve et al., 2018; Rodell et al., 2018; Bierkens and Wada, 2019; de Graaf et al., 2019).

114

115 Blue water is not the only available water to humans. A significant portion of incoming
116 precipitation may be used for evapotranspiration by food crops either directly from
117 precipitation as soil moisture or interception supplemented by irrigation with surface or
118 shallow ground water sources. This green water over agricultural lands is eventually
119 embedded in food (Kampman et al., 2008; Dalin et al., 2017). Thus, inter-regional trade of
120 water can also alter the water availability in a region. Food grain export out of a region
121 indicates loss of available water resources (as virtual water) and vice-versa. Green water
122 stress can emerge in agriculture areas where less water is available due to historically low
123 rainfalls, when high proportion of precipitation is lost as blue water, or irrigation facilities are

124 poorly developed. Thus, considerations of water quality (grey water), interactions between
125 blue-green water sources, and virtual water trade impacts water available in a region (Siebert
126 and Doll, 2010; Dalin et al., 2017; van Vliet et al., 2017; Vanham et al., 2018).

127

128 Scarcity metrics have similar methodological choices regarding their definitions and
129 thresholds for scarce conditions to prevail. Scarcity can be due to the tension between
130 available water and water requirements for various uses in a region including human and
131 environmental needs (Vanham et al., 2018). In this interpretation, it is quantified by
132 combining information on available water and consumption or withdrawal patterns.

133 Alternatively, scarcity can be also quantified using water shortage indicators that estimate
134 water available per person, sometimes after discounting for environmental needs. Typically,
135 minimum environmental flows (MEFs) requirements are not explicitly considered in the
136 quantification of water scarcity metrics. When the metric value falls below a selected
137 threshold, it indicates a possibility of conflicts between human and environmental needs.
138 Some analyses, however, assume MEF requirements and deducted the same from natural
139 runoff prior to calculation of water availability. For example, Mekonnen and Hoekstra (2016)
140 allocate 80% of natural runoff as MEFs, leaving only 20% of runoff for human use which is
141 considered as blue water available.

142

143 The choice of spatio-temporal dimensions also affects the perception of scarcity. Assessments
144 range from grid scale to basin scale, and from daily to decadal scales. The most common
145 approach is to conduct a grid level analysis for long-term water availability, from which
146 results can be aggregated to coarser spatial resolution. Mekonnen and Hoekstra (2016) has
147 shown that the temporal resolution of analysis can significantly alter the estimates of

148 population living under water stressed conditions. Similarly, coarser spatial resolution may
149 mask the underlying spatial disparities in water availability.

150

151 Finally, the social dimensions of water availability are rarely explored in model driven
152 studies. Often modelling studies provide an estimate of physical water scarcity but the
153 prevailing socio-political context truly determines the availability of water at the scale of
154 households (Wolters, 2014; Lund, 2015). For example, while the coastal city of Mumbai
155 (India) falls in a region of plentiful water resources, a high percentage of slum population
156 have limited access to clean drinking water (Satapathy, 2014). This indicates that gross level
157 analysis needs to be complemented by associated socio-economic analysis that quantifies
158 level of access. Similar challenges for basin wide planning of water resources have been
159 highlighted by Akhter (2017).

160

161 **2.2 Role of uncertainties in future climatic and socio-economic conditions**

162 The seminal study by Vorosmarty et al. (2000) provides one of the first global maps of water
163 scarcity, focusing on likely impacts of long-term climate and population changes. Since then,
164 incorporating changing socio-economic and climate conditions has been a part of water
165 scarcity assessments. Recent studies include many possible socio-economic change pathways
166 so that potential uncertainties in exposed population living under water scarcity can be
167 highlighted. However, climate change projections (especially for precipitation) remain highly
168 uncertain (Knutti and Sedláček, 2013). Similarly, evolution of the coupled human-natural
169 system places limitations on our ability to project prevailing socio-economic conditions
170 decades into the future. The challenge is that many natural and human systems can exhibit
171 threshold-based behaviour, transitioning to new stable regimes within a short period of time
172 (Singh et al., 2018).

173

174 Such uncertainties regarding the system when experts cannot agree on the system model or its
175 characterizing parameterizations are termed as deep uncertainties (Brown et al., 2012).

176 Planning under deep uncertainties requires a paradigm shift in modelling philosophy. Instead
177 of focusing on likely changes in a variable of interest, the safe limits of operation of a policy

178 need to be explored. There is a growing body of literature in this area addressing the issue of
179 deep uncertainties in management of ecological and hydrological systems (Singh et al., 2015;

180 Poff et al., 2016). However, these studies are generally carried out for specific study regions.

181 It may be prudent to explore the utility of these approaches in estimating the safe operating

182 climates and socio-economic conditions from the perspective of water security at varying

183 spatial scales. This approach is likely to shift the focus from projection specific insights to

184 system level insights.

185

186 **3. Comparative analysis for India**

187 We quantify a commonly used water scarcity metric, water available per person, using

188 various water availability definitions. Although we test a limited number of possibilities, our

189 goal here is to highlight the critical role of these (methodological) choices in estimates of the

190 number of people exposed to water scarce conditions across India. Water availability is

191 quantified using a probabilistic Budyko framework (insert Box 1). We consider different

192 combinations of blue water, green water, in addition to accounting for inter-regional trade of

193 water and minimum environmental flow requirements. The six definitions of water

194 availability that emerge from these are: (i) blue water only, (ii) blue water after accounting

195 for minimum environmental flows, (iii) green water, (iv) green water after accounting for

196 virtual water embedded in food products, (v) sum of blue--green water resources estimated

197 from (i) and (iii), and (vi) sum of blue-green water resources estimated from (ii) and (iv), thus
198 including considerations of minimum environmental flows and virtual water trade.

199

200 <Figure 1 approximately here>

201

202 The resulting estimates of per capita water availability across India for 1983-2000 using the
203 six definitions present interesting patterns (Fig. 1a-f). When only blue water is considered, as
204 in many previous analyses (Vorosmarty et al., 2000; Alcamo et al., 2000; Arnell et al., 2004,
205 2014; Schewe et al., 2014; Kummu et al., 2016), large parts of the Indo-Gangetic plains and
206 southern India emerge as extremely water scarce (per capita water availability < 500
207 m³/year). On further accounting for instream environmental requirements, regions under
208 extreme water scarcity increases from 16% to 31% and the number of people under extreme
209 water scarcity increase from 209 to 397 million (Fig. 1b). Instream environmental
210 requirements combined with more conservative stress thresholds increase the number of
211 people under water stress from 481 million to 615 million for a threshold of 1000
212 m³/year/person. Overall, if only blue water is considered, water scarcity hotspots emerge in
213 an alarmingly large part of northern and southern India. Note that the employed framework
214 estimates blue after accounting for the evapotranspiration losses from agricultural and non-
215 agricultural land, thus the blue water estimates include human abstractions and cannot be
216 considered as naturalized values.

217

218 On considering green water as a proxy for water availability, we find low per capita water
219 availability in the Indo-Gangetic plains, southern coastal districts, and parts of mid-western
220 India (Fig. 1b,e). Also note the change in patterns of water scarcity hotspots, particularly the
221 emergence of mid-western districts as water stressed (<\$ 1000 \$m³/person/year\$). Farmers

222 in these districts have historically witnessed significant crises (Mishra, 2006) but considering
223 blue water alone does not highlight them as highly water stressed regions. The population
224 under low green water availability ($< 500 \text{ m}^3/\text{year}$) in Northern India increases from 28 to 99
225 million after accounting for the virtual water trade across India (Fig. 1e). Thus, surprisingly,
226 the trade of virtual water trade may increase green water stress in some areas. This may
227 happen as regions become centers of agricultural production and export water embedded in
228 products at the cost of local green water stress.

229

230 We demonstrate that the choice of water scarcity thresholds and methodological definitions
231 can have large uncertainty in perception of water scarcity and the resulting exposed
232 population across India (Fig. 1g). Overall, anywhere between 46 million to 778 million
233 people across India may be classified as under water stress based on choice of definitions and
234 stress thresholds. Both sources of uncertainties, i.e., choice of definitions and thresholds,
235 impart similar uncertainties to the output estimates of people under stress. On an average,
236 across definitions of water availability, the number of people across India under water stress
237 are 191, 434, and 636 million for thresholds of 500, 1000, and 1700 $\text{m}^3/\text{year}/\text{person}$,
238 respectively. On the other hand, across different stress thresholds, the mean number of people
239 under water stress are 447, 492, 194, 597, 504, 288 for definitions (i) to (vi), respectively. To
240 put these numbers into perspective of projected climate scenarios, we also estimated the
241 uncertainty in per capita water availability using projections of future climate and population
242 and found that the uncertainties due to future changes in climate-alone are smaller than those
243 arising out of varying definitions of water availability (Box insert 2).

Box insert 1

A Probabilistic Budyko framework to estimate water scarcity metrics

We estimate long-term water availability using only observed long-term climate (precipitation and temperature) data and satellite based actual evapotranspiration using the Budyko function (Singh and Kumar, 2015). The function relates a climate indicator, the aridity index (ratio of long-term potential evapotranspiration to long-term precipitation) to a water availability indicator, the evaporation ratio (ratio of long-term actual evapotranspiration to long-term precipitation). We employ a form of the Budyko curve with a firm physical basis (Fu, 1981):

$$\frac{AET}{P} = 1 + \frac{PET}{P} - \left(1 + \left(\frac{PET}{P}\right)^\omega\right)^{1/\omega},$$

where, AET is the long-term actual evapotranspiration, P is the long-term precipitation, PET is the long-term potential evapotranspiration estimated from temperature data (Hargreaves and Samani, 1985), and ω is a parameter inferred using historical data. A probabilistic approach groups ω inferred from smaller regions to obtain uncertainty ranges for larger regions (Greve et al., 2015). Regions are defined based on earlier virtual water analysis (Kampman, 2008). Blue water is estimated as the difference between long-term precipitation and actual evapotranspiration, assuming changes in storage over multi-decadal time scales are zero. For green water (AET_AG) estimation, we overlay satellite-based AET data with the land use map of India extracted from the Global Land Cover dataset for the year 2000 (Bartholome and Belward, 2005). AET estimates from cropland areas are considered as green water embedded in crops. The analysis was carried out at district level, which are fine scale political divisions in India. An earlier analysis quantified inter-regional trade of virtual water embedded in crops by dividing India into four main zones and estimating the water transferred between these zones by employing data on water budget and crop imports/exports (See Supplementary Material for more details).

To estimate per capita water availability, 2001 census of India's district wise population data is used. Remote sensing based monthly AET product with a spatial resolution of 0.073° (~8 Km) available from 1983--2006 is used (Zhang et al., 2009). Monthly precipitation data from 1901-2000 for each district is obtained from the Indian Meteorological Department, Pune (India). Daily maximum and minimum temperature data at a spatial resolution of (1x1)° for the period 1951-2000 is available from the same source. An overlapping period of 1983-2000 was used to derive the long-term estimates of climatic variables. Districts with less than ten years of overlapping data or in violation of the physical constraints of atmospheric water supply (AET≤P) and demand (AET≤PET) were removed from the analysis. We use a least-squares fit to obtain ω .

Box Insert 2

Uncertainties shape the perception of water scarcity

<Figure 2 approximately here>

246

247

248 **4. Enhancing scarcity metrics for policy relevance**

249 Due to its complex socio-economic setting and large variability in physio-climatic
250 characteristics, the driving forces behind water scarcity are complex and evolving in India.
251 Considerable resources are being allocated to prepare water budgets at a fine spatial
252 resolution. Examples of advances include the launch of a national level information portal for
253 water resources information (WRIS, <https://indiawris.gov.in/wris/>), and the continuation of
254 National Hydrology Project ([http://mowr.gov.in/schemes-projects-](http://mowr.gov.in/schemes-projects-programmes/schemes/national-hydrology-project)
255 [programmes/schemes/national-hydrology-project](http://mowr.gov.in/schemes-projects-programmes/schemes/national-hydrology-project)). Concurrently, efforts to understand and
256 mitigate the impact of climate change are also underway (<https://cckpindia.nic.in/>). Similarly,
257 groundwater departments exist at central and state level to monitor and manage groundwater
258 resources (<http://cgwb.gov.in/gwresource.html>). An integrated assessment of water scarcity
259 would require synthesis of information across these resources. While the commonly available
260 water scarcity metrics provide useful information, their adoption by policy makers may be
261 strengthened by further improving in the following aspects:

262

- 263 1. Integrating surface and groundwater estimates: According to recent reports and
264 published research, nearly 23% of administrative units in India have already
265 exhausted their groundwater resources as pumping exceeds natural recharge rates
266 (CGWB, 2019; Rodell et al., 2009, 2018; Wada et al., 2010; Graaf et al., 2019). In
267 these regions, non-renewable groundwater resources are being pumped to supply

268 irrigation water (Dalin et al., 2017). However, a majority of regions are still classified
269 as 'safe' in terms of groundwater resource development (CGWB, 2019). Thus,
270 avenues for conjunctive use of surface water and groundwater resources exist and
271 may ease the pressure on surface sources. However, this is challenging given the
272 heterogeneity of the aquifer systems in India that result in varied stream-aquifer
273 interactions, as well as responses to pumping. Few studies have proposed ways
274 manage the regional aquifer systems underlying the Gangetic plains (Foster and van
275 Steenbergen, 2011; Khan et al., 2014). Estimating water scarcity by considering these
276 aspects would provide a better picture for policy makers, but would require inclusion
277 of recent advancements in global scale surface-groundwater modeling to regional
278 assessments (Wada et al. 2014).

279 Several scarcity metrics consider shallow groundwater which interacts with river
280 discharge. New perspectives are needed to ascertain whether a combined metric that
281 considers both renewable and non-renewable water resources are needed to gain a
282 clearer picture of water scarcity in such regions. The main issue is that inclusion of
283 non-renewable groundwater in water availability estimates would present a false
284 picture of water sufficiency. In principle, such resources should be omitted from
285 availability calculations and included only in water use estimates with a greater
286 penalty when compared to renewable sources. However, no clear guideline has
287 emerged from literature on this critical issue. In addition, such resources are also hard
288 to quantify. For example, the unique setting of hard rock aquifers in Southern India
289 necessitates development of local models and integration with existing surface water
290 estimates.

291

292 2. Explicit consideration of MEFs: Satisfying human needs often comes into direct
293 conflict with maintenance of aquatic ecosystems. This is particularly relevant for
294 India where freshwater resources are already over committed (Soni et al. 2014).
295 Future water scarcity assessments can become more policy relevant if they explicitly
296 account for impact on MEFs. It would be useful to explore different approaches for
297 MEF estimation (Paster et al. 2014) and evaluate the impact of choices around MEFs
298 on resultant scarcity estimates. A few studies provide global assessments of MEFs or
299 their impacts on water and food availability (Paster et al., 2014, 2019; Gerten et al.
300 2013). Gerten et al. (2013), for example, showed that considering aquatic ecosystem
301 needs resulted in lower boundaries for planetary freshwater consumption for humans.
302 More recently, Paster et al. (2019) in their study on extensively agriculture-dominated
303 areas have shown that maintenance of MEFs would require substantial reduction in
304 irrigated areas with a concurrent increase in rain-fed regions to meet global food
305 requirements. These studies provide a way forward to include MEFs in water scarcity
306 assessments, though the uncertainty in these estimates warrant further investigations.
307

308 3. Expanding observational networks and improving uncertainty estimation methods:
309 Almost all the global studies reviewed used a grid with spatial resolution of $0.5^{\circ} \times 0.5^{\circ}$
310 or used large river basins for computation of water scarcity indicators (Table 1). At
311 this resolution, river routing processes may not be adequately represented and could
312 introduce errors in runoff computations. A more critical issue is that gridded runoff
313 estimates are generally based on water balance models and their parametrization is
314 often based on observed runoff data. The density of runoff gages varies considerably;
315 in poorly gauged and ungauged parts of the globe the simplification of extrapolating
316 model parametrizations from gauged to ungauged locations results in high degree of

317 uncertainties in runoff simulations. Ruhi et al. (2018) show that gage density across
318 the globe has in fact declined in the last decade. Finally, many future estimates of
319 water scarcity ultimately rest on our ability to project the impact of climate change on
320 water availability. This procedure is itself fraught with uncertainties arising from
321 different sources including climate model parametrizations and specification of
322 emission scenarios as well as the choice of downscaling and bias correction
323 approaches. Singh and Biswal (2019) provide a comprehensive review of available
324 approaches and challenges. Overall, these issues point towards the need for expansion
325 of existing runoff gage networks in India and elsewhere as well as the need for better
326 characterization of uncertainty in contemporary and future runoff simulations.
327 Focused approaches like prioritizing regions with sensitive ecosystems may help
328 balance economic considerations with prediction accuracy (Ruhi et al., 2018).

329

330 4. Consideration of deep uncertainties: often, estimates of water scarcity are employed
331 for long-term water resources planning. For example, large scale public investments
332 such as inter-basin water transfers require an understanding of time evolution of water
333 scarcity in participating basins. Deep uncertainties regarding the nature of coupled
334 human-natural systems implies that policy makers would need a paradigm shift away
335 from scenario specific information. Instead, the robustness of various policy options
336 should be tested against a large number of possible future scenarios (Lempert et al.,
337 2008; Singh et al., 2015; Poff et al., 2016). Although robustness has gained traction in
338 long-term policy analysis in regional water resources management, national to global
339 scale analyses still heavily rely on scenario specific projections. Greve et al. (2018)
340 provide an assessment of uncertainty in global water scarcity estimate and indicate the
341 need for robust decision making in regions that are likely to witness large

342 uncertainties in future estimates of the metric. This promising analysis illustrates the
343 essential need to quantify uncertainties in metric values. Our quantitative analysis
344 indicates a similarly high uncertainty in assessment of population under water stress
345 across India. In regions where water scarcity is hard to quantify either due to
346 disparities emerging from methodological choices or due to deeply uncertain futures,
347 decision makers should resort to robust decision-making frameworks to design
348 management strategies in lieu of cost-benefit analyses (Lempert et al., 2010; Poff et
349 al., 2016).

350

351 5. Translating water scarcity metrics for a diverse socio-economic group: the water
352 scarcity metrics aggregated at any spatial resolution (city or state or national) would
353 always fail to capture the reality that some individuals or communities are inherently
354 more exposed to water risks due to their socio-economic disposition. Only a
355 philosophical transformation in the understanding of water scarcity would address this
356 issue. Scarcity metrics need to undergo a bottom-up transformation: water scarcity for
357 whom? The possibility to provide a distribution of water scarcity for a spatial unit,
358 instead of a single deterministic estimate needs to be explored. Some regions are
359 likely to show a great variance in water scarcity exposure due to underlying socio-
360 economic gaps. Such regions are likely to need much different policy structures than
361 those where a fairly uniform sharing of scarcity risk is envisioned.

362

363 **5. Concluding remarks**

364

365 Our analysis highlights the value of uncertainty communication and presents various
366 interpretations of water scarcity indicators that are crucial for the 2030 Sustainable

367 Development target 6.4.2 of water scarcity. Despite not considering other dimensions of
368 water scarcity such as water quality, temporal variability of water availability, climate
369 uncertainties, demographic changes, etc.; we still find a very large uncertainty in estimated
370 number of people under water stress in India, varying somewhere between 5% to 74% of the
371 country's population. The large uncertainties in estimates of the number of people exposed to
372 water scarcity indicates that analyses of water stress should aim to present each of these
373 multiple dimensions of water scarcity to provide policy relevant information. In addition, we
374 suggest three important ways in which scarcity metrics can be tailored to provide decision
375 relevant information:

- 376 1. Consideration of surface water -groundwater interactions and MEFs: Water scarcity
377 metrics should explicitly consider the contribution of surface water and groundwater
378 resources to blue water assessment. They also need to account for ecosystem water
379 needs by adjusting blue water estimates based on MEFs.
- 380 2. Accounting for deep uncertainties in metric assessment: Long-term planning is
381 fraught with deep uncertainties regarding the future trajectories of the coupled human-
382 natural systems. Thus, scarcity metrics and associated quantifications of people under
383 stress need to be re-designed to suggest whether the estimates are robust against the
384 various underlying choices in metric assessment.
- 385 3. Physical water scarcity vs. socio-economic water scarcity: Metrics need to consider
386 the underlying heterogeneities in access to water resources, especially in countries
387 with large economic disparities. These assessments would require active collaboration
388 of social scientists with water resource managers to provide novel and reliable metric
389 definitions.

390

391 **Author contributions**

392 Riddhi Singh: Conceptualization, Methodology, Formal Analysis, Visualization, Writing –
393 Original Draft.

394 Rohini Kumar: Conceptualization, Methodology, Writing – Reviewing and Editing.

395

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399

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579 Table 1. Selected studies on global water scarcity highlighting key methodological choices,
 580 arranged in chronological order. Q: mean annual surface and subsurface (shallow aquifer)
 581 runoff accumulated as river discharge, AET: actual evapotranspiration from agricultural
 582 areas, MEF: minimum environmental flows

SNo.	Reference	Water availability	Scarcity metric	Spatio-temporal variation	Threshold
1	Vorosmarty et al., 2000	Q (natural)	Demand/Q	30'x30' grid/ Annual	20% and 40%
2	Alcamo et al., 2000	Q (natural)	Withdrawal/Q	Large river basins/ annual	40%
3	Arnell, 2000	Q (natural)	Q per capita	0.5°x0.5° grid/ Daily	1000
4	Rockstrom et al. 2009	Q +AET	Q + AET per capita	0.5°x0.5° grid/ Daily	-
5	Gerten et al., 2011	Q (natural) + AET	Q + AET per capita	0.5°x0.5° grid/Daily100 0	-
6	Arnell and Lloyd-Hughes, 2014	Q (natural)	Q per capita	0.5°x0.5° grid/30-year average	1000
7	Haddeland et al., 2014	Q (impacted)	Consumption/Q	0.5°x0.5° grid/Annual	-
8	Schewe et al., 2014	Q (natural)	Q per capita	0.5°x0.5° grid/31-year	500, 1000

				average	
9	Kummu et al. 2016	Q (natural)	Multiple metrics	Sub-national/ decadal	Multiple thresholds
10	Mekonnen and Hoekstra, 2016	Q (impacted)	Withdrawal/Q	30'x30' grid/monthly	MEF

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585 **Figure Captions**

586 Figure 1. (a-f) Long term (1983-2000 average) per capita water availability across India at a
587 spatial resolution of fine political units using various definitions of water availability. Q
588 refers to mean annual runoff including shallow groundwater; AET_AG is the
589 evapotranspiration from agricultural areas; MEF refers to minimum environmental flows.
590 Case (e) accounts for impact of virtual water trade on net AET_AG. (g) Percentage of
591 districts under water scarcity as a function of choice of water availability definition and
592 threshold of scarcity.

593

594 Figure 2. Uncertainty in future water availability due to (a) multiple definitions of water
595 availability, and (b) due to future projections of climate from different global climate models
596 (GCMs) and representative concentration pathways (RCPs). Panel (a) shows the range of
597 uncertainty in projected per capita water availability across six different definitions of water
598 availability for each GCM-RCP combination. Panel (b) shows the range of per capita water
599 availability across GCM-RCPs for each definition of water availability. All values are
600 aggregated across India. The ranges are shown as boxplots, which show median values by
601 solid black horizontal lines. The box boundaries show the 25th and 75th percentile of the data.
602 The whiskers extend to data values that are up to 1.5 times the interquartile range from either
603 ends of the box. Any data points outside these ranges are shown by empty circles and
604 classified as outliers. See Supplementary Text S2 for details on GCMs and RCPs.



