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Application of *in vitro* bioassays for water quality monitoring in three drinking water treatment plants using different treatment processes including biological treatment, nanofiltration and ozonation coupled with disinfection

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1 Application of *in vitro* bioassays for water quality monitoring in three  
2 drinking water treatment plants using different treatment processes  
3 including biological treatment, nanofiltration and ozonation coupled with  
4 disinfection

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26 **Abstract**

27 Surface waters feeding water treatment plants (WTPs) can contain organic micropollutants, which  
28 are typically removed during treatment, while disinfection by-products (DBPs) can form after  
29 disinfection. The complex mixtures of chemicals in drinking water implies that targeted chemical  
30 analysis cannot capture all chemicals present, though *in vitro* bioassays can be applied alongside  
31 chemical analysis to monitor the total chemical burden. The current study applied bioassays indicative  
32 of hormone receptor-mediated effects to evaluate micropollutant removal during treatment, while  
33 bioassays indicative of adaptive stress responses and mutagenicity were applied to assess DBP  
34 formation. Water was extracted with solid-phase extraction from three WTPs using different  
35 treatment processes including biological treatment, nanofiltration and ozonation. Of the studied  
36 hormone receptors, only estrogenic activity was detected in the source waters feeding the WTPs, with  
37 all treatment processes able to remove estrogenic activity in the produced water completely or just  
38 above the detection limit. The oxidative stress response and NF- $\kappa$ B response for inflammation were  
39 detected in both source and treated water samples, with formed DBPs contributing to the increase in  
40 oxidative stress response. None of the samples induced the p53 response for genotoxicity or had a  
41 response in the Ames mutagenicity assay. The effects in the produced water were compared to effect-  
42 based trigger values (EBT) for activation of estrogenic activity and oxidative stress response, with  
43 the observed effect over 10 times lower than the available EBTs. This emphasises the high quality of  
44 the produced drinking water and the value of applying *in vitro* bioassays for water quality monitoring.

45

46 **Keywords:** bioanalytical tools, disinfection by-products, drinking water, micropollutants, treatment  
47 efficiency

48

## 49 **1. Introduction**

50 Around 40% of Europe's drinking water is sourced from surface waters,<sup>1</sup> but surface water quality  
51 can be negatively impacted by human activities related to urbanisation, wastewater effluent discharge  
52 and agricultural run-off.<sup>2</sup> As a result, micropollutants, such as pesticides, pharmaceuticals and  
53 industrial compounds, have been detected in both source water and treated drinking water.<sup>3,4</sup> Due to  
54 the varying quality of source water, effective treatment processes are required to ensure safe drinking  
55 water. Chemical analysis is typically applied to monitor drinking water quality, but there is increasing  
56 interest in using *in vitro* bioassays complementary to chemical analysis.<sup>5</sup> *In vitro* bioassays detect the  
57 effect of all active known and unknown chemicals in a sample. This is relevant for drinking water  
58 where chemicals are often present at low concentrations, potentially below analytical detection limits,  
59 but the mixture effects of the many chemicals present at trace levels may still be significant.<sup>6</sup>

60

61 Several studies have applied bioassays indicative of induction of xenobiotic metabolism,<sup>7</sup> receptor-  
62 mediated effects,<sup>8,9</sup> adaptive stress responses<sup>10,11</sup> and reactive modes of action<sup>12</sup> to assess drinking  
63 water quality, though estrogenic activity is the most commonly studied endpoint. Most studies  
64 reported decreased estrogenic activity after drinking water treatment, with either no or low estrogenic  
65 activity in treated water,<sup>8,13</sup> though Rosenmai *et al.*<sup>14</sup> found no change in estrogenic activity in one  
66 water treatment plant (WTP). In contrast, mutagenicity and adaptive stress responses, such as the  
67 oxidative stress response, often increase after drinking water treatment.<sup>10,12,15,16</sup> This is attributed to  
68 the formation of disinfection by-products (DBPs) from the reaction of disinfectants, such as chlorine,  
69 with natural organic matter and inorganic ions, such as bromide and iodide.<sup>17</sup> Unlike chemical  
70 analysis, which provides information about the individual chemicals present in a sample, bioassays  
71 respond to all active chemicals and cannot distinguish between micropollutants and DBPs. However,  
72 Hebert *et al.*<sup>10</sup> compared the effect before and after chlorination to determine what fraction of the  
73 oxidative stress response was due to DBP formation, with DBPs explaining up to 58% of the oxidative  
74 stress response.

75

76 To date, most of the studies focusing on drinking water have considered a single endpoint or several  
77 endpoints from the same stage of the cellular toxicity pathway (e.g. hormone receptor-mediated  
78 effects). However, bioassay test batteries indicative of different stages of cellular toxicity pathways  
79 are recommended for monitoring water quality and assessing treatment efficiency.<sup>18</sup> In the current  
80 study, we applied eight bioassays indicative of seventeen endpoints to evaluate the chemical burden  
81 and treatment efficiency in three WTP in the Paris area, France, over four seasons. The bioassay test  
82 battery included assays indicative of hormone receptor-mediated effects, namely activation and  
83 inhibition of the estrogen receptor (ER), androgen receptor (AR), glucocorticoid receptor (GR) and  
84 progesterone receptor (PR). Three assays indicative of adaptive stress responses were included,  
85 specifically the AREc32 assay for Nrf2-mediated oxidative stress response, the NF- $\kappa$ B GeneBLAzer  
86 assay for NF- $\kappa$ B response for inflammation and the p53RE GeneBLAzer assay for p53 response for  
87 genotoxicity. These assays all use human cell lines, which have greater relevance for human health,  
88 though the commonly used bacterial Ames fluctuation test for mutagenicity was also applied to detect  
89 reactive modes of action. Water samples were collected throughout the treatment trains of the studied  
90 WTPs, as well as from the source waters feeding the WTPs. The results were compared with a  
91 previous study that exclusively used mammalian adaptive stress response assays to assess effects in  
92 the distribution system of the same three studied WTPs.<sup>10</sup> The detected effects were compared with  
93 available effect-based trigger values (EBTs) from the literature. The EBTs were derived by reading  
94 across from existing chemical drinking water guideline values and can be used to determine whether  
95 a response in a bioassay is acceptable or unacceptable.<sup>10</sup>

96

## 97 **2. Materials and Methods**

### 98 *2.1. Sample collection*

99 Water samples were collected from three WTPs, Méry-sur-Oise, Choisy-le-Roi and Neuilly-sur-  
100 Marne, in the greater Paris area in May, July, October and December 2018 (Figure 1). At the Méry-

101 sur-Oise WTP, water from the Oise River was treated using nanofiltration (70%) and conventional  
102 biological treatment (30%), with the water from the two treatment trains mixed together before  
103 chlorination. Water samples were collected from the source water, after nanofiltration, after biological  
104 treatment and after chlorination. The Choisy-le-Roi and Neuilly-sur-Marne WTPs apply conventional  
105 treatment with pre-ozonation (Choisy-le-Roi WTP only), clarification, sand filtration, ozonation,  
106 granular activated carbon, UV and chlorination to treat water from the Seine River and Marne River,  
107 respectively. Water samples were collected from the source water, after UV treatment and after  
108 chlorination in the Choisy-le-Roi and Neuilly-sur-Marne WTPs. Further information about the  
109 treatment processes is available in Hebert *et al.*<sup>10</sup> Water quality parameters for the source water and  
110 produced water, including temperature, total organic carbon (TOC), conductivity and residual free  
111 chlorine, are provided in Tables S1 and S2 of the Electronic Supplementary Information (ESI). Two  
112 litres of water were collected per sampling site in May and July, while duplicate 2 L samples were  
113 collected in October and December. Twenty milligrams per litre of sodium thiosulfate was added to  
114 each sample after collection to neutralise the free chlorine.

115

## 116 2.2. Sample extraction for bioanalysis

117 The water samples were extracted using solid-phase extraction (SPE), with 2 L of water enriched  
118 using 500 mg Oasis HLB SPE cartridges. The cartridges were eluted using 20 mL of methanol and  
119 10 mL of methyl tertbutyl ether (MTBE). The solvent extracts were blown to dryness and then  
120 resolubilised in 1 mL of methanol, giving an enrichment factor (EF) of 2000. Glass bottled Evian  
121 water with and without sodium thiosulfate was also enriched by SPE and served as controls in the  
122 bioassays. It is important to note that SPE will only enrich non-volatile chemicals, so the effect of  
123 any volatile chemicals will not be captured in the bioassays. More information about sample  
124 extraction can be found in Hebert *et al.*<sup>10</sup>

125

126

127 2.3. Bioassays

128 Details about the applied bioassays are provided in Table 1. All cell-based bioassays have been used  
129 previously for water quality monitoring, with the methods fully described in König *et al.*<sup>19</sup> and Neale  
130 *et al.*<sup>18</sup> All samples were run in ER $\alpha$  GeneBLAzer and the adaptive stress response assays, but due  
131 to the limited sample volume, the non-responsive endpoints were split and the May and June samples  
132 were run in AR GeneBLAzer, GR GeneBLAzer and PR GeneBLAzer, while the Ames assay was  
133 performed with the samples from October and December. This approach allowed higher sample  
134 volumes to be dosed to assure that the negative responses in these assays were not false negatives due  
135 to insufficient enrichment. To prevent any solvent effects, all methanolic water extracts were blown  
136 to dryness and redissolved in assay media before bioanalysis. Cell viability was measured in parallel  
137 using the IncuCyte S3 live cell imaging system (Essen BioScience, Ann Arbor, Michigan, USA) as  
138 described in Nivala *et al.*<sup>20</sup> Cell viability was measured based on confluency, so this approach was  
139 not suitable for the NF- $\kappa$ B GeneBLAzer assay, which uses a suspension cell line. Cytotoxicity  
140 generally differs very little between cell lines<sup>21</sup> and therefore it is justified to use the cytotoxicity from  
141 an adherent cell line as a proxy for a suspension cell line. Therefore, cell viability data from the  
142 AREc32 assay was used to exclude likely cytotoxic concentrations in the NF- $\kappa$ B GeneBLAzer assay.  
143 The bacterial Ames fluctuation test using *Salmonella typhimurium* test strains TA98, TA100 and  
144 YG7108 was run based on the method outlined in Reifferscheid *et al.*<sup>22</sup> with some modifications.  
145 Firstly, cytotoxicity of the water extracts was assessed independently for TA98 by measuring the  
146 growth rate via optical density at 600 nm after 0, 30, 60, 90 and 120 min in a 96 well plate. The  
147 growth rate  $\mu$  for exponential growth was determined by plotting the  $OD_{600nm, t} / OD_{600nm, t=0}$  as a  
148 function of time and deriving  $\mu$  from the slope of the linear regression using Equation 1, with  
149 cytotoxicity calculated using Equation 2.

150

151 
$$\ln \frac{OD_{600, t}}{OD_{600, t=0}} = \mu t$$

152

(1)

$$\text{Cytotoxicity} = 1 - \frac{\mu_{\text{sample}}}{\mu_{\text{control}}}$$

(2)

153

154

155

156 Only non-cytotoxic concentrations were evaluated in the Ames fluctuation test for mutagenic  
157 potential. Briefly, samples were serially diluted and each concentration was exposed in four replicates  
158 with or without S9 at 0.15 mg<sub>protein</sub>/mL to *S. typhimurium* TA98, TA100 and YG7108 for 100 min at  
159 37°C in a 384 well plate. The incubated samples were then transferred with a 384-tip pipette head  
160 (Hamilton Star, Bonaduz, Switzerland) to twelve 384-well plates containing reversion indicator  
161 medium (leading to 48 replicates per tested concentration) and incubated for a further 48 h at 37°C  
162 for TA98 and TA100 and 72 h for YG7108. The number of revertants per concentration for each  
163 sample was determined by measuring optical density at 414 nm, with a maximum of 48 revertants  
164 per concentration, and converted to % revertants. The source and produced water extracts from  
165 October were run in the TA98 and TA100 strains, while all samples from October and December  
166 were run in YG7108.

167

#### 168 2.4. Data evaluation

169 Cytotoxicity was calculated from cell viability in the mammalian cell lines based on the approach  
170 outlined in Escher *et al.*<sup>21</sup> The concentration causing 10% inhibition (IC<sub>10</sub>) was calculated using linear  
171 concentration-effect curves and any concentrations causing greater than 10% cytotoxicity were  
172 excluded from further data evaluation. A stricter cytotoxicity cut-off of 1% (IC<sub>01</sub>) was set for assays  
173 indicative of inhibition of hormone receptors as antagonism cannot be differentiated from  
174 cytotoxicity.<sup>20</sup>

175

176 Linear concentration-effect curves up to 30% effect were used to determine the effect concentration  
177 causing 10% effect (EC<sub>10</sub>) for assays indicative of activation of hormone receptors, while the effect  
178 concentration causing a suppression ratio of 0.2 (EC<sub>SR0.2</sub>) was calculated for assays indicative of



179 inhibition of hormone receptors. The adaptive stress response assays do not reach a maximum effect,  
180 so the response was expressed as an induction ratio (IR) relative to the control. Linear concentration-  
181 effect curves up to an IR of 4 were used to determine the effect concentration causing an induction  
182 ratio of 1.5 ( $EC_{IR1.5}$ ). For the Ames assay, the validity of the test was assessed according to ISO  
183 11350<sup>23</sup> with 10 out of 48 wells (20%) with revertant growth considered negative. In the present test  
184 set-up, the resolution was much higher, so that typically as low as 5 to 10% effect could be  
185 differentiated from the controls. Therefore, log-sigmoidal concentration-effect curves were used to  
186 determine the concentration causing 50% effect ( $EC_{50}$ ) for the Ames assay. Further information about  
187 the applied data evaluation approach can be found in Neale *et al.*<sup>18</sup> and Escher *et al.*<sup>24</sup> The duplicate  
188 samples from October and December were evaluated together, giving a single EC value for each  
189 sample because the differences were minimal.

190

191 The EC values were expressed in units of relative enrichment factor (REF), which considers the  
192 sample EF and the dilution factor in the bioassays. For example, an EC value of REF 10 indicates  
193 that a sample needs to be enriched 10 times before an effect is observed. The EC value was translated  
194 into a bioanalytical equivalent concentration ( $BEQ_{bio}$ ), which converts the response in a sample to the  
195 concentration of a reference compound (ref) that would have the same effect (Equation 3).

196

197

$$BEQ_{bio} = \frac{EC \text{ (ref)}}{EC \text{ (sample)}}$$

198

(3)

199

200 For the estrogenicity assay the reference compound is 17 $\beta$ -estradiol and hence the  $BEQ_{bio}$  is termed  
201 estradiol equivalent concentration,  $EEQ_{bio}$ . The  $BEQ_{bio}$  for the oxidative stress response assay was  
202 expressed as a tert-butyl hydroquinone (tBHQ) equivalent concentration ( $tBHQ-EQ_{bio}$ ), while tumor  
203 necrosis factor alpha ( $TNF\alpha$ ) equivalent concentration ( $TNF\alpha-EQ_{bio}$ ) was used for the NF- $\kappa$ B  
204 response assay.

205

### 206 **3. Results and Discussion**

#### 207 *3.1. Hormone receptor-mediated effects*

208 Estrogenic activity was detected in all source water samples using the ER $\alpha$  GeneBLAzer assay, with  
209 effects detected after 1.1 to 26 times enrichment (Table S3, Figure 2). Example concentration-effect  
210 curves from Choisy-le-Roi in May 2018 are shown in Figure S1. Source water from the Marne River  
211 had the greatest effect in all four sampling campaigns, followed by the Seine River, while the water  
212 feeding the Méry-sur-Oise WTP had the lowest effect. The source water for the Méry-sur-Oise WTP  
213 is a natural reservoir fed by the Oise River, with no recreational activities, such as boating or  
214 swimming, permitted. Consequently, the detected effects were rather low. For Neuilly-sur-Marne and  
215 Choisy-le-Roi, the effect in the source water was highest in May. The flow rates of the Marne and  
216 Seine rivers were over twice as high in May than the other months (Table S1). The May sampling  
217 campaign followed a long flooding period, explaining the higher flow rates.

218

219 When expressed in units of EEQ<sub>bio</sub>, the effect in the source water ranged from 0.17 to 3.98 ng<sub>E2</sub>/L  
220 (Table 2). This is within a similar range as previously measured in source water feeding Paris WTPs  
221 (0.7 to 1.8 ng<sub>E2</sub>/L).<sup>8</sup> The estrogenic activity in the source water in the current study is higher than  
222 previously measured in the US (0.044 to 0.47 ng<sub>E2</sub>/L),<sup>13</sup> though much lower than detected in source  
223 water in China (8.00 to 129 ng<sub>E2</sub>/L).<sup>7</sup> The detected effect was also similar to effects measured in  
224 Australian surface waters from urban and agricultural areas (0.1 to 1.18 ng/L) using the ER $\alpha$   
225 GeneBLAzer assay.<sup>25</sup>

226

227 Despite the detected estrogenic effects in the source waters, the treatment processes in all three WTPs  
228 reduced the estrogenic activity to below the limit of detection in all samples, except for the final water  
229 from Neuilly-sur-Marne in December, which had an EC<sub>10</sub> of 110 REF. This indicates that the sample  
230 needed to be enriched 110 times to cause 10% activation of ER, which is a higher enrichment than is

231 typically applied in most studies. The treatment efficiency of Neuilly-sur-Marne in December was  
232 95.7% and the EEQ<sub>bio</sub> value of the final water was 0.04 ngE<sub>2</sub>/L. The excellent treatment efficiency in  
233 the current study fits well with previous studies, with complete removal of estrogenic activity during  
234 drinking water treatment processes often observed.<sup>8, 12, 13</sup>

235

236 It should be noted that one control sample, bottled water with sodium thiosulfate from May, had a  
237 strong response in ER $\alpha$  GeneBLAzer, with an EC<sub>10</sub> value of REF 2.34 (Table S3). The bottled water  
238 control in July did not have an effect up to REF 100, while the same samples from October and  
239 December did not have an effect up to REF 150. Consequently, the high effect in May is a singular  
240 outlier expected to be due to sample contamination during sample enrichment or elution steps.

241

242 No other hormonal activity in AR, PR and GR was observed in any of the samples from May and  
243 July neither in agonist nor in antagonist mode (Tables S4 to S10, Figures S2 to S8). However, some  
244 of the samples caused cytotoxicity, particularly in antagonist mode. The lack of activity fits with the  
245 findings of previous studies on drinking water from countries including Australia, Sweden and Spain,  
246 with activation or inhibition of AR, PR and GR not commonly detected.<sup>9, 14, 26, 27</sup> Consequently, assays  
247 indicative of AR, PR and GR were not applied in the October and December sampling  
248 campaigns.

249

### 250 *3.2. Adaptive stress responses*

251 Three assays indicative of adaptive stress responses, oxidative stress response, NF- $\kappa$ B response for  
252 inflammation and p53 response for genotoxicity, were applied in the current study. Example  
253 concentration-effect curves are shown in Figures S9 to S11. Adaptive stress responses are viewed as  
254 sensitive indicators of chemical stressors as these pathways are activated in cells after damage and  
255 can either help return the cell to homeostasis or initiate apoptosis.<sup>28</sup>

256

257 The oxidative stress response in most source water samples was mostly masked by cytotoxicity, with  
258 only two of the source water samples from May active after 43 to 61 times enrichment (Figure 2,  
259 Table S11). The treated and produced water samples induced a response in the oxidative stress  
260 response assay after 78 to 136 times enrichment, though several samples had no effect up to the  
261 highest tested concentrations. The produced water from Neuilly-sur-Marne and Choisy-le-Roi tended  
262 to have a greater effect in the AREc32 assay than the final water from Méry-sur-Oise. The TOC  
263 concentrations in the source waters for all three WTPs were within a similar range (Table S1), but the  
264 treatment processes at Méry-sur-Oise removed 79 to 90% of the TOC, compared to 55 to 64% at  
265 Neuilly-sur-Marne and Choisy-le-Roi. This resulted in lower TOC concentrations in the produced  
266 water from Méry-sur-Oise (Table S2). Organic matter is a DBP precursor and DBPs can induce the  
267 oxidative stress response,<sup>29</sup> explaining why the effect was lower in the produced water from Méry-  
268 sur-Oise. Lundqvist *et al.*<sup>11</sup> also found that treatment processes that reduced the organic carbon  
269 concentration in a pilot water treatment plant resulted in decreased oxidative stress response.

270

271 To assess the contribution of DBPs and micropollutants to the oxidative stress response,  $BEQ_{bio,DBP}$   
272 was calculated by comparing  $BEQ_{bio}$  before chlorination (after UV treatment) and after chlorination  
273 (Equation 4) based on the approach outlined in Hebert *et al.*<sup>10</sup> All  $BEQ_{bio}$  values are provided in Table  
274 2.

275

$$276 \quad BEQ_{bio,DBP} = BEQ_{bio,after\ chlorination} - BEQ_{bio,before\ chlorination}$$

277 (4)

278

279 The formed DBPs explained  $32 \pm 8.2\%$  and  $25 \pm 9.4\%$  of the oxidative stress response in produced  
280 water from Neuilly-sur-Marne and Choisy-le-Roi, respectively, in May, while  $32 \pm 6.1\%$  of the  
281 oxidative stress response in Choisy-le-Roi in December was due to DBP formation. This is within a  
282 similar range as previously observed by Hebert *et al.*<sup>10</sup> in the distribution networks of the WTPs of

283 the current study. In contrast, less than 1% ( $0.84 \pm 7.4\%$ ) of the oxidative stress response was  
284 attributed to DBP formation in the produced water from Neuilly-sur-Marne in October. The reason  
285 why DBP formation did not contribute to the oxidative stress response in October is not clear, with  
286 similar TOC concentrations and chlorine residuals in May, where 32% of the response was due  
287 formed DBPs. However, seasonal differences can alter the organic carbon composition,<sup>30</sup> potentially  
288 explaining the difference in DBP formation between May and October. Overall, effect levels are very  
289 low, hence changes are small and subject to uncertainty. Determining the contribution of DBPs to the  
290 oxidative stress response in the produced water from Méry-sur-Oise was not as straightforward as the  
291 other WTPs as the water from the nanofiltration and biological treatment trains were combined before  
292 chlorination. Assuming the mixed water contained 70% nanofiltration treated water and 30%  
293 conventional treated water, the formed DBPs contributed to  $10 \pm 11\%$  of the oxidative stress response  
294 in the final water from Méry-sur-Oise in October.

295

296 The oxidative stress response in the current study was 1.5 to 2.3 times lower than the effect in samples  
297 from the same WTPs immediately after chlorination (0 h) in 2015/2016, which had an effect after 24  
298 to 73 times enrichment.<sup>10</sup> Despite the same treatment processes being applied, the average TOC  
299 concentration was 12-29% lower in the produced water in the current study, with the reduced TOC  
300 concentration explaining the decreased effect. Source water samples were not analysed in the  
301 previous study, but the prolonged flood period prior to the current study may have contributed to the  
302 lower TOC concentrations. While most of the source water samples were cytotoxic, thereby masking  
303 any oxidative stress response, the effect in the source water in May was similar to the oxidative stress  
304 response in surface waters from Germany<sup>31</sup> and Switzerland.<sup>32</sup>

305

306 The NF- $\kappa$ B GeneBLAzer assay was more responsive than the AREc32 assay, with effects detected  
307 after 5.1 to 118 times enrichment (Figure 2, Table S12). This is within a similar range as previously  
308 measured in treated drinking water in France<sup>10</sup> and surface water from the Danube River.<sup>33</sup> In most

309 cases, the NF- $\kappa$ B response was highest in the source water and decreased with treatment, though  
310 effects were still detected in most produced water extracts. The causative compounds were well  
311 removed by nanofiltration in Méry-sur-Oise but were not removed by biological treatment in May  
312 and October, resulting in the mixed water after chlorination still having a response in the NF- $\kappa$ B  
313 GeneBLAzer assay. Few micropollutants activate NF- $\kappa$ B,<sup>34</sup> with many inhibiting the NF- $\kappa$ B  
314 response.<sup>35, 36</sup> Further, commonly detected DBPs are inactive in the NF- $\kappa$ B GeneBLAzer assay.<sup>29</sup>  
315 Endotoxins, which are natural complex bacterial lipopolysaccharides, are active in the NF- $\kappa$ B  
316 GeneBLAzer assay and can be co-extracted by SPE, with co-extracted endotoxins explaining most  
317 of the effect in surface water extracts from Australia.<sup>37</sup> While treatment processes such as sand  
318 filtration and ozonation are expected to reduce the endotoxin concentration, biological treatment can  
319 increase the endotoxin levels in water.<sup>38</sup> Therefore, the observed NF- $\kappa$ B response may be due to co-  
320 extracted endotoxins, though further testing is needed to confirm this hypothesis.

321

322 While some of the source water samples were cytotoxic in the p53RE GeneBLAzer assay for  
323 genotoxicity (Table S13), none of the treated samples induced a response up to a REF of 100 (May,  
324 July) and 150 (October, December). This emphasizes the high quality of the treated water and fits  
325 with previous observations for drinking water from France.<sup>10</sup>

326

### 327 3.3. Mutagenicity

328 None of the source or produce water samples from October inhibited growth in TA98 (Figure S12)  
329 and therefore the Ames assay was performed at REF up to 200. All positive controls gave valid  
330 responses (Figure S13). Source and produced water from October were run in TA98 and TA100,  
331 while all samples from October and December were run in YG7108. However, none of the samples  
332 showed any mutagenic response in any of the three investigated Ames strains, *S. typhimurium* TA98,  
333 TA100 and YG7108, up to REF 200 with and without metabolic activation (Figure S14). The lack of  
334 mutagenicity observed in the current study fits with a study by Guzzella *et al.*<sup>39</sup>, who did not detect

335 any response using the *S. typhimurium* TA98 and TA100 strains before and after disinfection of  
336 surface water from Italy. In contrast, Heringa *et al.*<sup>40</sup> observed an increase in mutagenicity in drinking  
337 water collected from the Netherlands and the US after UV/hydrogen peroxide treatment using the  
338 TA98 strain, but the effect was removed after granular activated carbon post-treatment. Further,  
339 drinking water from Australia had an EC<sub>IR1.5</sub> value ranging from REF 3.2 to 5 in *S. typhimurium*  
340 TA98 and TA100 strains in Escher *et al.*<sup>27</sup>, though many of the other water samples, including surface  
341 water, also had a response. The lack of response in the Ames assay in the current study further  
342 highlights the high quality of the produced water. However, it should be noted that the applied SPE  
343 method enriches ionized DBPs, such as haloacetic acids, with a low yield only,<sup>41</sup> potentially  
344 contributing to the lack of mutagenicity observed.

345

#### 346 3.4. Comparison with available effect-based trigger values

347 The EEQ<sub>bio</sub> values for source water in the ER $\alpha$  GeneBLAzer assay were compared with a proposed  
348 assay-specific EBT for surface water derived using environmental quality standards (EQS) from the  
349 European Water Framework Directive (WFD).<sup>10</sup> All source water samples from the Neuilly-sur-  
350 Marne and Choisy-le-Roi WTPs exceeded the proposed EBT for estrogenicity of 0.34 ng<sub>E2</sub>/L, with  
351 the exception of the Choisy-le-Roi source water sample in October. The water feeding the Méry-sur-  
352 Oise WTP was already below the EBT in all sampling campaigns. All treatment processes effectively  
353 reduced EEQ<sub>bio</sub>, with only the produced water from Neuilly-sur-Marne in December active. The  
354 EEQ<sub>bio</sub> value, 0.04 ng<sub>E2</sub>/L, was 45 times lower than the proposed drinking water EBT for ER $\alpha$   
355 GeneBLAzer of 1.8 ng<sub>E2</sub>/L.<sup>42</sup> This EBT was derived from the Australian Drinking Water Guidelines  
356 (ADWG) and the Australian Guidelines for Water Recycling (AGWR) for augmentation of drinking  
357 water supplies, so is not specific to Europe.

358

359 The oxidative stress response in the produced water from the three plants was compared with the  
360 proposed AREc32 EBT for drinking water, EC<sub>IR1.5</sub> of REF 6,<sup>43</sup> which was also derived from

361 Australian drinking water guidelines. A low EC value indicates a greater effect than a high EC value,  
362 which can cause confusion, so the EBT was converted to tBHQ-EQ<sub>bio</sub> using the EC<sub>IR1.5</sub> value of tBHQ  
363 from the current study. The EBT of EC<sub>IR1.5</sub> of REF 6 gave a tBHQ-EQ<sub>bio</sub> of 85.5 µg/L (85526 ng/L),  
364 which was between 13 to 17 times higher than the tBHQ-EQ<sub>bio</sub> values of the produced water samples  
365 (Table 2). While the proposed EBTs are still considered preliminary at this stage, the large difference  
366 between the effect detected in the produced water and the EBTs emphasises the high quality of the  
367 final water.

368

#### 369 **4. Conclusions**

370 The presence of a complex cocktail of micropollutants in source water as well as the formation of  
371 DBPs during disinfection means that targeted chemical analysis alone is unable to effectively monitor  
372 the chemical burden in drinking water. In the current study, a bioassay test battery indicative of  
373 different modes of action was applied to evaluate treatment efficiency and DBP formation in three  
374 WTPs over four seasons. Despite the poor quality of the source water, drinking water treatment  
375 processes were able to remove estrogenic activity, with the effect in all but one of the produced waters  
376 below the detection limit. The effect in the one active produced water sample was close to the  
377 detection limit. While the formation of DBPs contributed to the oxidative stress response in May and  
378 October, the oxidative stress response in the produced waters was low due to the low TOC  
379 concentrations. The high quality of the produced water was emphasised by lack of mutagenic effects  
380 quantified with the Ames assay and by comparison with available EBTs, with the effects in the  
381 produced waters over an order of magnitude lower than the proposed drinking water EBTs.  
382 Consequently, the current study highlights the value of applying *in vitro* bioassays for monitoring  
383 drinking water quality.

384

#### 385 **Conflicts of Interest**



386 Cedric Feliers and Caroline Lecarpentier declare that they are employees of Veolia Eau d'Ile de  
387 France.

388

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394

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555

556 **Table 1:** Overview of bioassays applied in the current study.

Endpoint	Assay	Method reference	Positive reference compound	EC value	Positive reference compound EC value (M)	Positive reference compound EC value (ng/L)
<i>Hormone receptor-mediated effects</i>						
Activation of ER	ER $\alpha$ GeneBLAzer	König <i>et al.</i> <sup>19</sup>	17 $\beta$ -Estradiol	EC <sub>10</sub> $\pm$ SE	(1.60 $\pm$ 0.06) $\times$ 10 <sup>-11</sup>	(4.36 $\pm$ 0.15) $\times$ 10 <sup>0</sup>
Inhibition of ER	ER $\alpha$ GeneBLAzer	König <i>et al.</i> <sup>19</sup>	Tamoxifen	EC <sub>SR0.2</sub> $\pm$ SE	(5.86 $\pm$ 3.67) $\times$ 10 <sup>-6</sup>	(2.18 $\pm$ 1.36) $\times$ 10 <sup>6</sup>
Activation of AR	AR GeneBLAzer	König <i>et al.</i> <sup>19</sup>	R1881 (metribolone)	EC <sub>10</sub> $\pm$ SE	(4.10 $\pm$ 0.43) $\times$ 10 <sup>-11*</sup>	(1.17 $\pm$ 0.12) $\times$ 10 <sup>1</sup>
Inhibition of AR	AR GeneBLAzer	König <i>et al.</i> <sup>19</sup>	Cyproterone acetate	EC <sub>SR0.2</sub> $\pm$ SE	(1.40 $\pm$ 0.15) $\times$ 10 <sup>-8</sup>	(5.85 $\pm$ 0.61) $\times$ 10 <sup>3</sup>
Activation of GR	GR GeneBLAzer	König <i>et al.</i> <sup>19</sup>	Dexamethasone	EC <sub>10</sub> $\pm$ SE	(3.48 $\pm$ 0.44) $\times$ 10 <sup>-10</sup>	(1.37 $\pm$ 0.17) $\times$ 10 <sup>2</sup>
Inhibition of GR	GR GeneBLAzer	König <i>et al.</i> <sup>19</sup>	RU486 (mifepristone)	EC <sub>SR0.2</sub> $\pm$ SE	(1.15 $\pm$ 0.12) $\times$ 10 <sup>-10</sup>	(4.93 $\pm$ 0.49) $\times$ 10 <sup>1</sup>
Activation of PR	PR GeneBLAzer	König <i>et al.</i> <sup>19</sup>	Promegestone	EC <sub>10</sub> $\pm$ SE	(7.61 $\pm$ 0.28) $\times$ 10 <sup>-11</sup>	(2.48 $\pm$ 0.09) $\times$ 10 <sup>1</sup>
Inhibition of PR	PR GeneBLAzer	König <i>et al.</i> <sup>19</sup>	RU486	EC <sub>SR0.2</sub> $\pm$ SE	(9.41 $\pm$ 1.50) $\times$ 10 <sup>-12</sup>	(4.04 $\pm$ 0.64) $\times$ 10 <sup>0</sup>
<i>Adaptive stress responses</i>						
Oxidative stress response	AREc32	Wang <i>et al.</i> <sup>44</sup> Escher <i>et al.</i> <sup>45</sup>	tert-Butyl hydroquinone (tBHQ)	EC <sub>IR1.5</sub> $\pm$ SE	(3.09 $\pm$ 0.06) $\times$ 10 <sup>-6</sup>	(5.13 $\pm$ 0.10) $\times$ 10 <sup>5</sup>
p53 response	p53RE GeneBLAzer	König <i>et al.</i> <sup>19</sup>	Mitomycin	EC <sub>IR1.5</sub> $\pm$ SE	(1.54 $\pm$ 0.10) $\times$ 10 <sup>-7</sup>	(5.15 $\pm$ 0.33) $\times$ 10 <sup>4</sup>
NF- $\kappa$ B response	NF- $\kappa$ B GeneBLAzer	König <i>et al.</i> <sup>19</sup>	Tumor necrosis factor alpha (TNF $\alpha$ )	EC <sub>IR1.5</sub> $\pm$ SE	-	(1.29 $\pm$ 0.05) $\times$ 10 <sup>1</sup>
<i>Reactive mode of action</i>						
Mutagenicity (TA98 -S9)			4-Nitro-O-phenylenediamine	EC <sub>50</sub> (95% CI)	6.02(5.21-7.00) $\times$ 10 <sup>-5</sup>	0.92(0.80-1.07) $\times$ 10 <sup>1</sup>
Mutagenicity (TA98 +S9)			2-Aminoanthracene	EC <sub>50</sub> (95% CI)	1.03(0.90-1.16) $\times$ 10 <sup>-6</sup>	1.99(1.75-2.25) $\times$ 10 <sup>-1</sup>
Mutagenicity (TA100 -S9)			Nitrofurantoin	EC <sub>50</sub> (95% CI)	5.49(3.99-8.22) $\times$ 10 <sup>-7</sup>	1.31(0.95-1.96) $\times$ 10 <sup>-1</sup>
Mutagenicity (TA100 +S9)	Ames fluctuation test	Reifferscheid <i>et al.</i> <sup>22</sup>	2-Aminoanthracene	EC <sub>50</sub> (95% CI)	2.51(2.22-2.83) $\times$ 10 <sup>-6</sup>	4.84(4.29-5.46) $\times$ 10 <sup>-1</sup>
Mutagenicity (YG7108 -S9)			N-Nitrosodimethylamine (NDMA)	EC <sub>50</sub> (95% CI)	1.07(0.93-1.26) $\times$ 10 <sup>-2</sup>	7.95(6.88-9.31) $\times$ 10 <sup>2</sup>
Mutagenicity (YG7108 +S9)			NDMA	EC <sub>50</sub> (95% CI)	1.57(1.38-1.79) $\times$ 10 <sup>-4</sup>	1.16(1.02-1.33) $\times$ 10 <sup>1</sup>

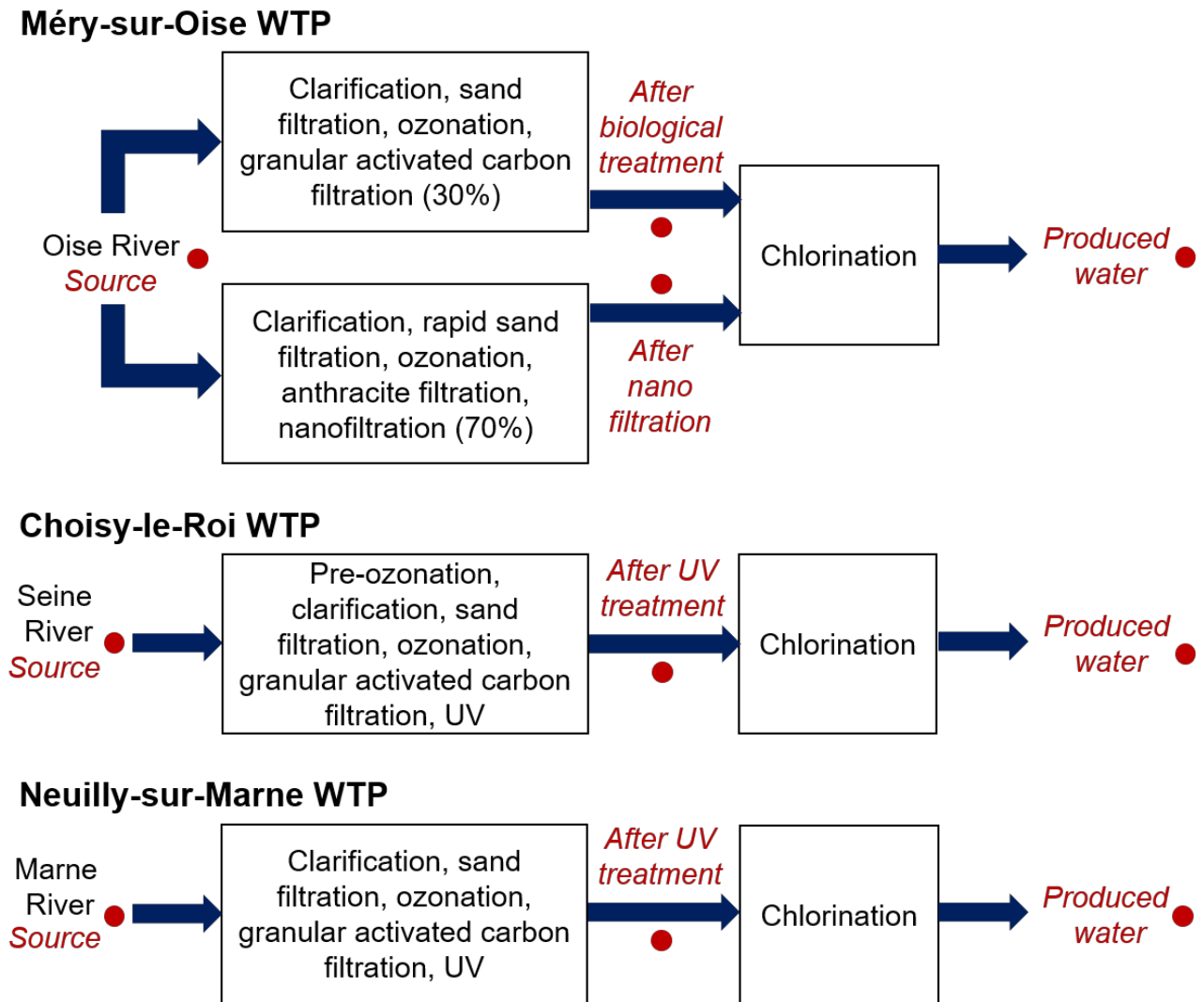
557 \*Nivala *et al.*<sup>20</sup>; SE: standard error; CI: confidence interval.



558 **Table 2:** BEQ<sub>bio</sub> values for the studied bioassays.

WTP	Méry-sur-Oise				Choisy-le-Roi			Neuilly-sur-Marne		
	Source	After nano filtration	After biological treatment	Produced water	Source	After UV treatment	Produced water	Source	After UV treatment	Produced water
<b>Activation of ER (EEQ<sub>bio</sub> ngE<sub>2</sub>/L)</b>										
May	N/A	<4.00×10 <sup>-2</sup>	Cytotoxic	<4.00×10 <sup>-2</sup>	(1.70±0.15) ×10 <sup>0</sup>	<4.00×10 <sup>-2</sup>	<4.00×10 <sup>-2</sup>	(3.98±0.61) ×10 <sup>0</sup>	<4.00×10 <sup>-2</sup>	<4.00×10 <sup>-2</sup>
July	(1.68±0.57) ×10 <sup>-1</sup>	<4.00×10 <sup>-2</sup>	Cytotoxic	<4.00×10 <sup>-2</sup>	(3.64±1.49) ×10 <sup>-1</sup>	<4.00×10 <sup>-2</sup>	<4.00×10 <sup>-2</sup>	(1.40±0.25) ×10 <sup>0</sup>	<4.00×10 <sup>-2</sup>	<4.00×10 <sup>-2</sup>
October	(1.88±0.14) ×10 <sup>-1</sup>	<3.00×10 <sup>-2</sup>	<3.00×10 <sup>-2</sup>	<3.00×10 <sup>-2</sup>	(2.47±0.14) ×10 <sup>-1</sup>	<3.00×10 <sup>-2</sup>	<3.00×10 <sup>-2</sup>	(3.41±0.25) ×10 <sup>-1</sup>	<3.00×10 <sup>-2</sup>	<3.00×10 <sup>-2</sup>
December	(3.04±0.18) ×10 <sup>-1</sup>	<3.00×10 <sup>-2</sup>	N/A	<3.00×10 <sup>-2</sup>	(9.80±0.57) ×10 <sup>-1</sup>	(3.31±0.35) ×10 <sup>-2</sup>	<3.00×10 <sup>-2</sup>	(9.12±0.51) ×10 <sup>-1</sup>	<3.00×10 <sup>-2</sup>	(3.96±0.35) ×10 <sup>-2</sup>
<b>Oxidative stress response (tBHQ-EQ<sub>bio</sub> ng<sub>tBHQ</sub>/L)</b>										
May	N/A	(4.50±0.31) ×10 <sup>3</sup>	<5.14×10 <sup>3</sup>	<5.14×10 <sup>3</sup>	(1.19±0.14) ×10 <sup>4</sup>	(4.48±0.48) ×10 <sup>3</sup>	(5.98±0.40) ×10 <sup>3</sup>	(8.35±0.69) ×10 <sup>3</sup>	(4.04±0.38) ×10 <sup>3</sup>	(5.96±0.45) ×10 <sup>3</sup>
July	Cytotoxic	<5.14×10 <sup>3</sup>	<5.14×10 <sup>3</sup>	<5.14×10 <sup>3</sup>	Cytotoxic	<5.14×10 <sup>3</sup>	<5.14×10 <sup>3</sup>	<5.14×10 <sup>3</sup>	<5.14×10 <sup>3</sup>	(5.00±0.36) ×10 <sup>3</sup>
October	Cytotoxic	(3.77±0.22) ×10 <sup>3</sup>	(6.24±0.26) ×10 <sup>3</sup>	(5.01±0.31) ×10 <sup>3</sup>	Cytotoxic	<3.42×10 <sup>3</sup>	<3.42×10 <sup>3</sup>	Cytotoxic	(5.83±0.31) ×10 <sup>3</sup>	(5.88±0.31) ×10 <sup>3</sup>
December	Cytotoxic	<3.42×10 <sup>3</sup>	N/A	<3.42×10 <sup>3</sup>	Cytotoxic	(4.49±0.34) ×10 <sup>3</sup>	(6.62±0.33) ×10 <sup>3</sup>	Cytotoxic	<3.42×10 <sup>3</sup>	<3.42×10 <sup>3</sup>
<b>NF-κB response (TNFα-EQ<sub>bio</sub> ng<sub>TNFα</sub>/L)</b>										
May	N/A	<1.29 ×10 <sup>-1</sup>	(3.97±1.03) ×10 <sup>-1</sup>	(1.60±0.35) ×10 <sup>-1</sup>	(1.04±0.11) ×10 <sup>0</sup>	(5.40±0.65) ×10 <sup>-1</sup>	<1.29 ×10 <sup>-1</sup>	(1.92±0.15) ×10 <sup>0</sup>	(3.06±0.39) ×10 <sup>-1</sup>	(2.45±0.45) ×10 <sup>-1</sup>
July	<1.29 ×10 <sup>-1</sup>	<1.29 ×10 <sup>-1</sup>	<1.29 ×10 <sup>-1</sup>	<1.29 ×10 <sup>-1</sup>	(3.29±0.32) ×10 <sup>-1</sup>	(3.15±0.43) ×10 <sup>-1</sup>	<1.29 ×10 <sup>-1</sup>	(3.38±0.27) ×10 <sup>-1</sup>	<1.29 ×10 <sup>-1</sup>	<1.29 ×10 <sup>-1</sup>
October	(7.58±1.70) ×10 <sup>-1</sup>	<8.57 ×10 <sup>-2</sup>	(2.26±0.23) ×10 <sup>-1</sup>	(1.97±0.28) ×10 <sup>-1</sup>	(7.59±0.56) ×10 <sup>-1</sup>	(1.06±0.18) ×10 <sup>-1</sup>	(4.98±0.63) ×10 <sup>-1</sup>	(2.02±0.20) ×10 <sup>0</sup>	(3.43±0.29) ×10 <sup>-1</sup>	(8.24±0.79) ×10 <sup>-1</sup>
December	(2.06±0.20) ×10 <sup>0</sup>	(1.10±0.30) ×10 <sup>-1</sup>	N/A	(2.60±0.29) ×10 <sup>-1</sup>	(2.51±0.30) ×10 <sup>0</sup>	(2.59±0.29) ×10 <sup>-1</sup>	(1.09±0.21) ×10 <sup>-1</sup>	(1.67±0.17) ×10 <sup>0</sup>	(2.52±0.23) ×10 <sup>-1</sup>	(1.88±0.26) ×10 <sup>-1</sup>

560 **Figure 1:** Treatment processes at the three studied water treatment plants (WTP), with the sampling  
561 locations indicated in red.



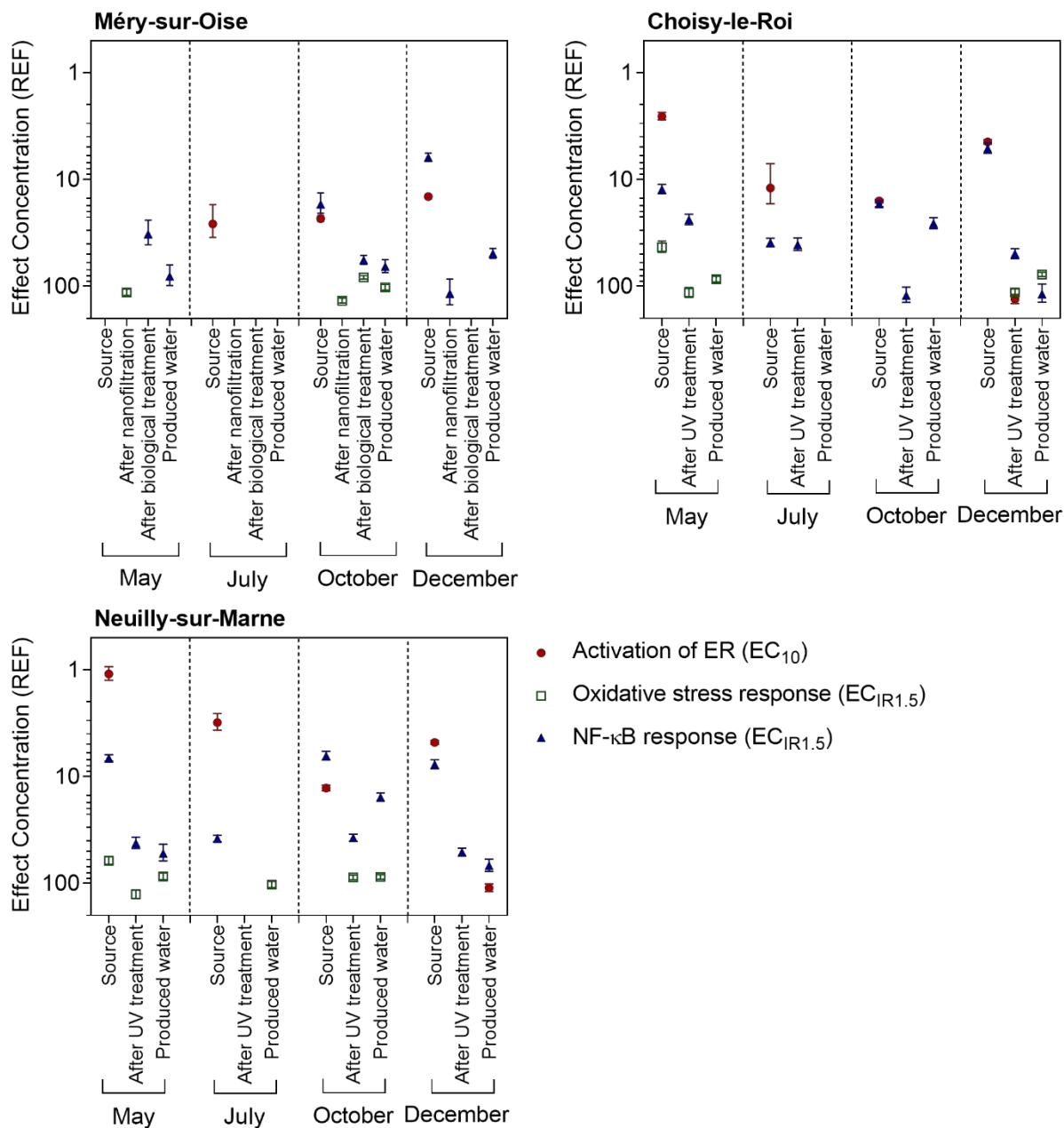
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566 **Figure 2:** Comparison of effect concentrations EC for activation of ER ( $EC_{10}$ , closed red circles),  
 567 oxidative stress response ( $EC_{IR1.5}$ , open green squares) and NF- $\kappa$ B response ( $EC_{IR1.5}$ , open blue  
 568 triangles) in units of relative enrichment factor (REF) in Méry-sur-Oise, Choisy-sur-Roi and Neuilly-  
 569 sur-Marne. Note the scale is logarithmic and inverse, because a low EC indicates a high effect.



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