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1 **Multifunctionality assessments – More than assessing multiple ecosystem functions and**
2 **services? A quantitative literature review**

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13 **Highlights**

- 14 – 101 studies were identified that assess multifunctionality using quantitative methods
15 – On average, studies investigated eight ecosystem functions and services
16 – Studies covered biophysical and integrated socio-ecological assessments
17 – 84% of the studies aggregated multifunctionality into a single metric
18 – The results elucidate different conceptualizations of multifunctionality

19
20 **Abstract:**

21 The capacity of a landscape or ecosystem to provide multiple socio-economic and ecological benefits
22 to society is referred to as multifunctionality. While this topic is receiving growing attention in
23 politics and research, the concept continues to lack implementation partly due to varying
24 conceptualizations and assessments of multifunctionality. To analyze how multifunctionality is
25 conceptualized, characterized and quantified in scientific publications, we reviewed 101 studies that
26 used quantitative methods to assess landscape or ecosystem multifunctionality. On average, 7.9 ± 4.7
27 ecosystem functions and services were considered, covering Provisioning (19%), Regulating (30%),
28 Cultural (16%) and Supporting (35%) service categories. The studies ranged from micro-scale
29 experiments to global analyses. Different methods were used to aggregate multifunctionality into a
30 single metric (e.g. the number of ecosystem functions and services above a certain threshold, the
31 average value of ecosystem functions and services, the sum of ecosystem functions and services). The
32 interpretation of multifunctionality and the way it is operationalized varied largely among the studies:
33 42 studies assessed ecological and socio-economic variables in equal shares and often integrated
34 stakeholders (33%). 59 studies focused on ecological variables only and did not include stakeholders
35 except for one study (1.7%). Based on these findings, we discuss the implications of the conceptual
36 and methodological ambiguity within multifunctionality assessments. We present the strengths and
37 limitations of current approaches and provide recommendations for future multifunctionality
38 assessments.

39
40
41 **Keywords:**

42 Multifunctional landscapes; Environmental indicators; Socio-ecological assessments; Landscape
43 management; Trade-offs; Stakeholders

Box 1. Definitions of the key concepts used in this article

Ecosystem functions: Properties and processes of an ecosystem, such as ecosystem matter and energy cycles, that have a specific function within the ecosystem and are essential for the capacity to provide goods and services (Costanza et al., 1997; de Groot, 1992).

Ecosystem services: Benefits people obtain from ecosystems. These include the following four service categories (MEA, 2005):

- **Provisioning Services:** Products obtained from ecosystems
- **Regulating Services:** Benefits obtained from regulation of ecosystem processes
- **Cultural Services:** Nonmaterial benefits obtained from ecosystems
- **Supporting Services:** Services necessary for the production of all other ecosystem services

Environmental indicator: A measure of environmentally relevant phenomena used to depict environmental conditions (Heink and Kowarik, 2010).

Landscape multifunctionality: The capacity of a landscape to provide socio-economic and ecological benefits to society, including potential trade-offs and synergies between individual ecosystem functions and services (based on this work, Mastrangelo et al., 2014, Stürck and Verburg, 2017).

48 **1. Introduction**

49

50 1.1. Multifunctionality as a policy aim

51

52 The intensification of agricultural production systems and the consumption of land for urban
53 expansion have led to major changes in human-dominated landscapes and triggered a discussion on
54 multifunctional land use (Brandt and Vejre (Eds), 2004; Haines-Young and Potschin, 2010; Holmes,
55 2006; Wiggering et al., 2003). In contrast to land-use systems that are maximized towards the supply
56 of one or few ecosystem functions and services, multifunctional landscapes are characterized by a
57 high diversity and abundance of different functions and services within the same spatial unit (Stürck
58 and Verburg, 2017; Box 1). By avoiding a spatial segregation of ecosystem functions, multifunctional
59 landscapes are expected to positively impact the conservation of biodiversity (Pasari et al., 2013) and
60 the overall maintenance of ecosystem functions, such as soil fertility, pollination capacities or biomass
61 production (Brandt and Vejre (Eds), 2004; Hector and Bagchi, 2007; Raudsepp-Hearne et al., 2010),
62 thereby increasing ecological resilience (O'Farrell and Anderson, 2010).

63

64 Moreover, by accounting for a broad range of ecosystem services, multifunctional land use systems
65 are capable of addressing multiple human needs (e.g. social, cultural, economic and ecological)
66 (Brandt et al., 2014; Lovell and Johnston, 2009; Mander et al., 2007) and are thought to increase the
67 overall benefits that societies can obtain from an ecosystem (Otte et al., 2007). Land use conflicts that
68 arise from competing interests in a landscape are expected to be – at least partly – resolved in
69 multifunctional land use systems (Brandt and Vejre (Eds), 2004).

70

71 Imbued with these ideas, multifunctionality (MF) has become a key concept within international
72 legislation, such as the Common Agricultural Policy (CAP) of the European Union, and
73 intergovernmental organizations, such as the Food and Agriculture Organization (FAO) and the
74 Organization for Economic Cooperation and Development (OECD) (FAO, 2000; OECD, 2001; Otte
75 et al., 2007; Wiggering et al., 2003). Policy support is being provided through agri-environmental
76 measures and the production of non-commodity functions is regarded as a development option to
77 sustain rural areas (Holmes, 2006; Wiggering et al., 2003).

78

79 1.2. Different understandings, conceptualizations and operationalizations of multifunctionality

80

81 While environmental planning towards increasing MF has become a policy aim, a lack of
82 implementation has been pointed out (Hansen and Pauleit, 2014; O'Farrell and Anderson, 2010; Otte
83 et al., 2007). This seems to be at least partly the result of different understandings and
84 conceptualizations of MF (Brandt and Vejre (Eds), 2004; Mastrangelo et al., 2014; Stürck and
85 Verburg, 2017). MF of landscapes is not a novel concept. It has originally been used as a land
86 management concept with a strong focus on agricultural land use systems (Brandt and Vejre (Eds),
87 2004; Huang et al., 2015; Vos and Meeke, 1999). In Germany, for example, the work of Wolfgang
88 Haber on differentiated soil and land use ('differenzierte Boden- und Landnutzung') in the early
89 1970s paved the way for the current understanding of interrelations between biodiversity, soil
90 functions, conservation of rural landscapes and agricultural productivity (Haber, 2014). More
91 recently, the works of Brandt and Vejre (2004), Holmes (2006) and Wiggering (2003) strongly
92 promoted research on MF as a land management concept in the international arena. The aim of the
93 original concept was to develop sustainable land use strategies that deliver multiple land-use
94 objectives. The idea that people, who are well connected to the land and its resources, obtain more
95 benefits from multifunctional land management has always been stressed in MF research (Huang et

96 al., 2015). Consequently, when ecosystem services research emerged during the early 2000s, it was
97 often implicitly linked with the MF concept (Huang et al., 2015).

98
99 However, ‘multifunctionality’ is a very generic term that can be and has been used in many different
100 contexts. In its literal meaning, MF simply describes the provision of multiple functions without
101 referring to any specific spatial scale or land use type, nor to any human perspective (Byrnes et al.,
102 2014; Huang et al., 2015). As a result, the concept has been applied to a wide array of research
103 questions. In urban areas, for example, the MF of green roofs and green infrastructures has been
104 assessed to portray the benefits obtained from such infrastructures by people (e.g. Lovell and Taylor,
105 2013; Meerow and Newell, 2017). Soil MF, defined as the capacity of a soil type to provide different
106 ecosystem functions, has been assessed on small-scale to microscale plots (e.g. Delgado-Baquerizo et
107 al., 2016; Liu et al., 2017; Wagg et al., 2014). And finally, MF has been assessed for a variety of
108 different ecosystems, such as coastal areas (Allgeier et al., 2016), forests (van der Plas et al., 2016a)
109 and water bodies (Peter et al., 2011).

110
111 In the last decade, an increasing number of studies on Biodiversity-Ecosystem-Functioning used MF
112 assessments to elucidate the relationship between biodiversity and multiple ecosystem functions on
113 various scales in different ecosystems (e.g. Byrnes et al., 2014; Hector and Bagchi, 2007; Lefcheck et
114 al., 2015; Soliveres et al., 2016). In this sense, MF being equated with the supply of multiple
115 *ecosystem functions* does not imply valuation from a human perspective and can therefore be assessed
116 from a purely ecological perspective (O’Farrell and Anderson, 2010). Contrary to this, and largely in
117 line with the ecosystem service concept (see Box 1), it is often assumed that MF studies present
118 integrated socio-ecological analyses including some kind of normative dimension (Brandt and Vejre
119 (Eds), 2004).

120
121 To disentangle this conceptual ambiguity, different approaches have been made to more clearly
122 distinguish between MF concepts. Focusing on ‘landscape multifunctionality’, Brandt and Vejre
123 (2004) suggested five different perspectives: 1) a purely ecological approach (biophysical
124 assessments), 2) an anthropocentric approach (linking biophysical and social assessments), 3) a policy
125 approach (focused on land use conflicts), 4) a cultural perspective (focused on aesthetics and cultural
126 values), and 5) a holistic approach (including all perspectives from above). Another study, recently
127 published by Manning et al. (2018) suggested a fundamental differentiation between ecosystem
128 function multifunctionality (EF-MF) and ecosystem service multifunctionality (ES-MF). The
129 separation between EF-MF and ES-MF here depends on what is being assessed (ecosystem functions
130 or services), how it is being assessed (biophysical or integrated socio-ecological) and how the
131 assessment is being used (e.g. Biodiversity-Ecosystem-Functioning research or integrated land
132 management) (Manning et al., 2018).

133 134 1.3. Quantitative multifunctionality assessments

135
136 Today, a general consensus exists that the concept of MF should be “more than a policy-based
137 initiative” (Lovell and Johnston, 2009). Different researchers have therefore developed methods to
138 quantify MF. Such quantitative assessments should help to better understand processes within
139 multifunctional landscapes. They should support decision-making processes (Holmes, 2006; Lovell
140 and Johnston, 2009) and eventually lead to improved ways of managing our environment in a
141 sustainable way (Andersen et al., 2013; Wiggering et al., 2003). While there is no unified approach
142 for assessing and quantifying MF (Andersen et al., 2013; Hansen and Pauleit, 2014; Lovell and
143 Johnston, 2009), most commonly, a set of ecosystem functions and services is aggregated into a single

144 metric that estimates the level of MF (hereafter called ‘MF indicator’) (Byrnes et al., 2014; Gamfeldt
145 et al., 2008; Maestre et al., 2012b; Rodríguez-Loínaz et al., 2015; see Stürck and Verburg (2017) for a
146 recent comparison of MF indicators).

147
148 A large variety of MF indicators exists that give different weight to the importance of individual
149 functions and services: Some MF indicators sum up or average all functions and services in the
150 considered landscape (Byrnes et al., 2014; Mouillot et al., 2011). Other MF indicators only account
151 for functions and services that are above a certain threshold, based on the assumption that only high
152 supply levels contribute a value to the multifunctional environment (Byrnes et al., 2014; Gamfeldt et
153 al., 2008). And finally, in contrast to the two previous examples which focus on the *number* of
154 ecosystem functions and services, other researchers applied diversity indicators (e.g. Shannon index),
155 which account for the *relative proportions* of ecosystem functions and services (Stürck and Verburg,
156 2017).

157
158 Environmental indicators, such as MF indicators, generally play an important role for the evaluation
159 and communication of environmental conditions and changes as well as for setting environmental
160 goals (Heink and Kowarik, 2010). Nevertheless, assessing MF via indicators in a quantitative way can
161 be challenging, especially when focusing on ES-MF. Such indicators need to capture very complex
162 socio-ecological systems, while being at the same time easily interpretable and technically feasible
163 (Heink and Kowarik, 2010; Quero et al., 2013). The aggregation of ecosystem functions and services
164 into single indicators has therefore led to some contradictions within MF research and researchers
165 have called for more integrative assessment methods (Byrnes et al., 2014; Mastrangelo et al., 2014).

166 167 1.4. Scope of this review

168
169 Despite the increasing number of case studies on MF (Fig.1), there is a considerable knowledge gap
170 about how MF has been conceptualized and typically assessed so far – from plot to global scale.
171 Previous overview articles have focused on MF assessments in the context of Biodiversity-
172 Ecosystem-Functioning only (Byrnes et al., 2014) or on studies that evaluate the joint supply of
173 ecosystem services at specific spatial scales (Mastrangelo et al., 2014). We here focus on all
174 quantitative assessments of landscape or ecosystem MF. By this, we aim to answer (1) how different
175 conceptualizations of MF are operationalized in the literature and finally, (2) which are the major
176 criteria that make MF assessments strong tools with high relevance for management and decision-
177 making.

178
179 We used a systematic search strategy to identify the relevant studies and evaluated all identified
180 publications regarding their research context, type of study as well as the selection and number of
181 ecosystem functions and services considered. More specifically, focusing on quantitative MF
182 assessments only, we analyzed the choice of MF indicator or other assessment methods, the spatial
183 scale of the study region, the way of considering (or not) interactions among ecosystem functions or
184 services (trade-offs, synergies, compatibilities), as well as the approaches used to involve
185 stakeholders. We critically discuss the use of quantitative MF indicators in general and present the
186 strengths and limitations of current approaches. To provide guidance for research on this topic, we
187 highlight the implications of the conceptual and methodological ambiguity within MF assessments
188 and conclude with recommendations for future studies.

189 190 **2. Methods**

191

192 2.1. Literature search

193

194 This review is based on a Scopus database search using the search string “(multifunctionality OR
195 multi-functionality) AND (ecosystem* OR landscape*)”. The search targeted article title, abstract and
196 keywords of studies published until the 27th of April 2017, and resulted in 587 publications. We used
197 the terms ‘multifunctionality’ and ‘multi-functionality’ without asterisk search modifiers (*), thereby
198 excluding articles that used general terms, such as ‘multifunctional’. In doing so, we expected to find
199 a higher share of studies actually conducting MF assessments or discussing the definition of the
200 concept. From the 587 articles found, 80 were excluded from this review due to the use of languages
201 other than English (59) or formats other than research articles (21, e.g. Corrigenda, Editorials). The
202 review process was carried out in three steps.

203

204 2.2. Step 1: All publications (507 studies)

205

206 At first, all 507 remaining articles were reviewed using a list of predefined assessment criteria (Table
207 A1) in order to analyze the general understanding and application of MF research in the literature. We
208 assessed the year of publication and the location where the study had been carried out. Each article
209 was categorized after reviewing the title, abstract and keywords into six groups of research
210 disciplines: ‘Agricultural management’, ‘Landscape planning’, ‘Ecology and soil science’, ‘Urban and
211 rural development’, ‘Forestry’ and ‘Other’. Based on the methods applied, studies were further
212 grouped into seven categories: ‘Reviews’, ‘Geospatial analyses’ (spatial analysis, secondary data
213 analysis), ‘Experimental studies’ (field or laboratory studies), ‘Surveys’, ‘Models’ (scenarios and
214 simulations), ‘Economic and policy analyses’ (economic evaluations, Life Cycle Assessments
215 (LCAs), policy evaluations, frameworks and concepts) and ‘Other’.

216

217 2.3. Step 2: Only quantitative MF assessments (subset of 101 studies)

218

219 In the second step, we focused only on the studies that assessed MF in a quantitative way, i.e. that
220 provide a metric indicating a specific level of MF for the studied ecosystem or landscape. 101
221 publications (hereafter, called ‘MF assessments’) fulfilled these criteria and serve as the basis for all
222 subsequent analyses. These publications were reviewed using the following additional criteria (Table
223 A1): First, we analyzed the choice of ecosystem functions, services or other variables (e.g.: landscape
224 functions, ecosystem processes, etc.), which were used to quantify MF (hereafter summarized as
225 ‘ecosystem functions and services’). We noted the number of ecosystem functions and services
226 considered and the terms used for these variables (Table A2). In addition, we classified them into
227 ‘ecological’ and ‘socio-economic’ variables, indicating whether they mostly value ecological (e.g.
228 ‘litter decomposition’ or ‘habitat provision’) or socio-economic aspects (e.g. ‘outdoor recreation’ or
229 ‘timber production’) of MF. The ecosystem functions and services were further assigned to one of the
230 four ecosystem service categories (‘Provisioning’, ‘Regulating’, ‘Cultural’ and ‘Supporting’) of the
231 Millennium Ecosystem Assessment (MEA, 2005), which can be done for both ecosystem functions
232 and services, as in Soliveres et al. (2016).

233

234 The data sources used to derive the ecosystem functions and services were classified as either
235 ‘primary data’ (field experiments, lab experiments, questionnaires, remote sensing, and surveyed farm
236 data) or ‘secondary data’ (administrative data, secondary spatial data, databases, expert knowledge,
237 meta-analysis, and literature) (Seppelt et al., 2011; Table A3). We further identified six categories of
238 assessment methods and classified all papers accordingly: ‘Microscale experiments/samplings’,

239 'Plot/field observations', 'Municipality/farm scale observations', 'Regional observations
240 (administrative units)', 'High resolution maps', 'Grid cells/land cover maps' (Table A4).

241

242 We specifically analyzed the methodology used to quantify MF (e.g., averaging methods, threshold
243 approaches), as well as the spatial scale at which the study had been carried out. For the categorization
244 of the scale, we used upper political scales (national, EU, global) and lower political scales (local,
245 regional), as in von Haaren and Albert (2011). We further added a landscape scale, since MF is
246 increasingly viewed as a "property of the landscape level" that enables the integration of the
247 biophysical and socio-economic context (Mastrangelo et al., 2014). The landscape scale is located
248 between the local and regional scale (Haaren and Albert, 2011; Mastrangelo et al., 2014) and is
249 defined to be below 100km² (Ayanu et al., 2012). In our analysis, local scale studies focus on forest
250 stands, fields or city districts; landscape scale studies on sub-catchments or municipalities; and
251 regional scale studies on hydrological catchments, mountain ranges or counties.

252

253 Furthermore, we examined whether interactions between the ecosystem functions or services
254 considered had been analyzed via correlation analysis, descriptive methods or other methods (see Lee
255 and Lautenbach, 2016 for a review of different methods). Following the classification proposed by
256 Seppelt et al. (2011), we also assessed the type of stakeholder involvement as follows: none, selection
257 of ecosystem functions and services, valuation of ecosystem functions and services, scenario
258 planning. All data were analyzed using Microsoft Office Excel (2007) and R Studio (Version 3.3.1.).

259

260 2.4. Step 3: Conceptual categorization of quantitative MF assessments (subset of 101 studies)

261

262 As a third step of the analysis, we applied a hermeneutic analysis to classify the studies into two major
263 groups (EF-MF and ES-MF studies) by scanning the title, abstract and the list of ecosystem functions
264 and services assessed. This classification was not based on the terminology used in the specific papers
265 (e.g. 'ecosystem functions' or 'ecosystem services'), but on the definition of EF-MF and ES-MF in
266 Manning et al. (2018). Taking also into account the categorization proposed by Brandt and Vejre
267 (2004), the publications were sub-grouped as follows: EF-MF assessments a) of purely biophysical
268 nature or b) including human perspectives; and ES-MF assessments focusing on a) land use issues, b)
269 policy perspectives, c) cultural values, or d) other.

270

271 **3. Results**

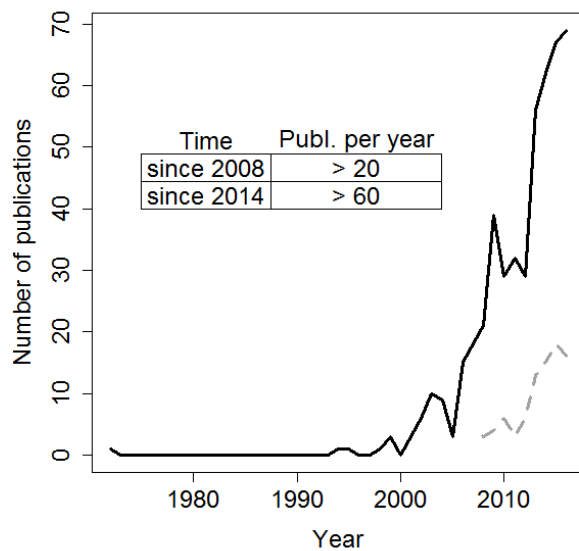
272

273 3.1. Research context and study types

274

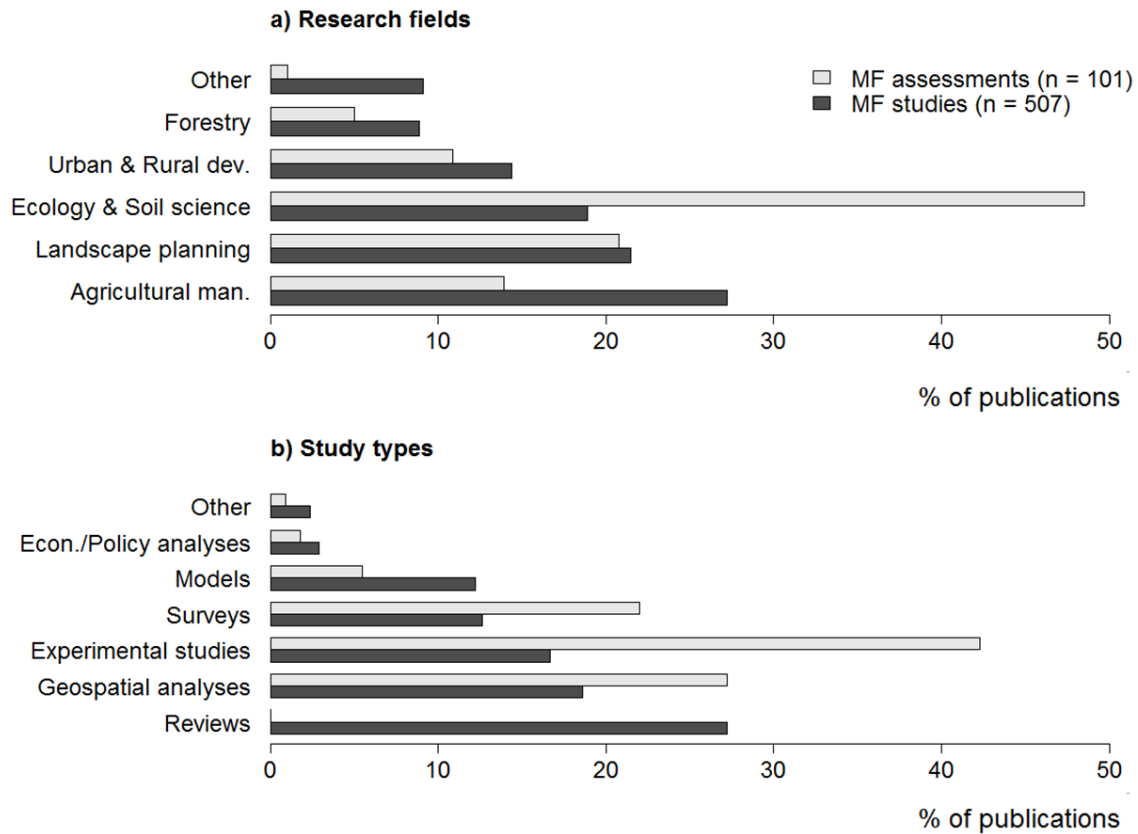
275 The number of papers using the term MF is increasing steadily (in particular since the year 2000,
276 Fig.1), with the first article being published in 1972 and a total of 69 studies being published in 2016.
277 More than two thirds of this research was conducted in Europe, with Italy, Germany, Spain and the
278 UK together accounting for more than 25% of all studies (Table A5). The first quantitative MF
279 assessments were conducted in 2007 and about 15 of such studies are currently published per year
280 (Fig. 1).

281



282
 283 **Fig. 1.** Number of publications on multifunctionality per year. The table in the graph and the solid line represent
 284 all MF studies found (n = 507). The dashed line presents the subset of quantitative MF assessments (n = 101).
 285

286 When analyzing all 507 MF studies, we found that most research on MF was conducted in the fields
 287 of ‘Agricultural management’ (27%) and ‘Landscape planning’ (22%), followed by ‘Ecology and soil
 288 sciences’ (19%), ‘Urban and rural development’ (14%), ‘Forestry (9%) and ‘Other’ (9%) (Fig. 2a,
 289 Table A6). Conversely, almost half of the 101 quantitative MF assessments were conducted in the
 290 field of ‘Ecology and soil sciences’ (49%), while other research domains were represented far less
 291 often (Fig. 2a). The largest share of the 507 MF studies that we found were scientific reviews (27%),
 292 while the majority of the 101 quantitative MF assessments were experimental studies (42%), followed
 293 by geospatial analysis (28%) and model-based studies (22%) (Fig. 2b). The category ‘Geospatial
 294 analyses’ includes studies for which the data was not assessed via experimental field studies, surveys
 295 or models, but for which data originated from (re-analysis of) existing databases.
 296



297
 298 **Fig. 2.** Research fields and study types. a) Distribution of publications among six broad categories of research
 299 fields (for more information on the sub-categories of the six research fields see Table A6); b) distribution of
 300 publications among seven categories of study types. Black bars represent all MF studies found (n = 507). Grey
 301 bars represent only the quantitative MF assessments (n = 101).

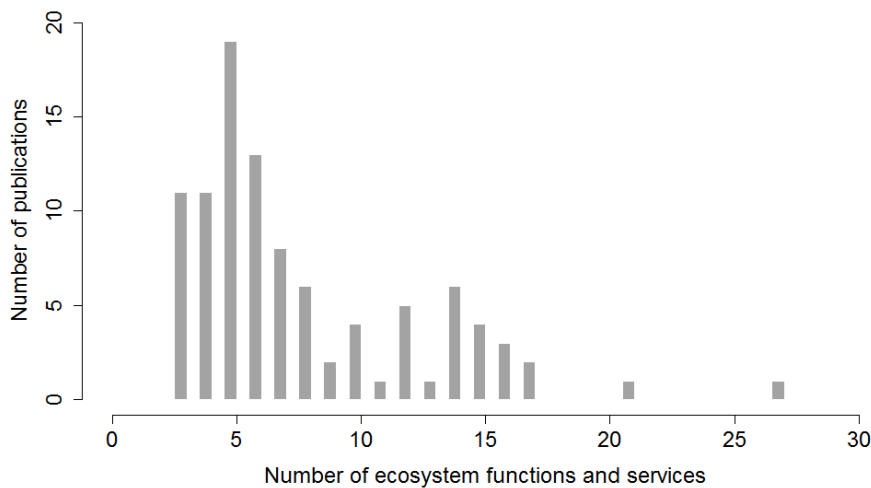
302

303 3.2. The set of ecosystem functions and services: number, type and terms used

304

305 In total, we identified 20 different terms (Table A2) that were used to describe the underlying
 306 processes, functions or services considered in the MF assessments. The preferred terms were
 307 ‘ecosystem function’, which occurred in 35% of the MF assessments, followed by ‘ecosystem service’
 308 that occurred in 25% of the MF assessments. Other phrases with the term ‘function’ (agricultural
 309 functions, landscape functions, etc.) were used in 20% of the MF assessments. For simplification, we
 310 here summarize all terms used under the term ‘ecosystem functions and services’. There was no
 311 obvious pattern of the use of different terms over time (Figure A1).

312

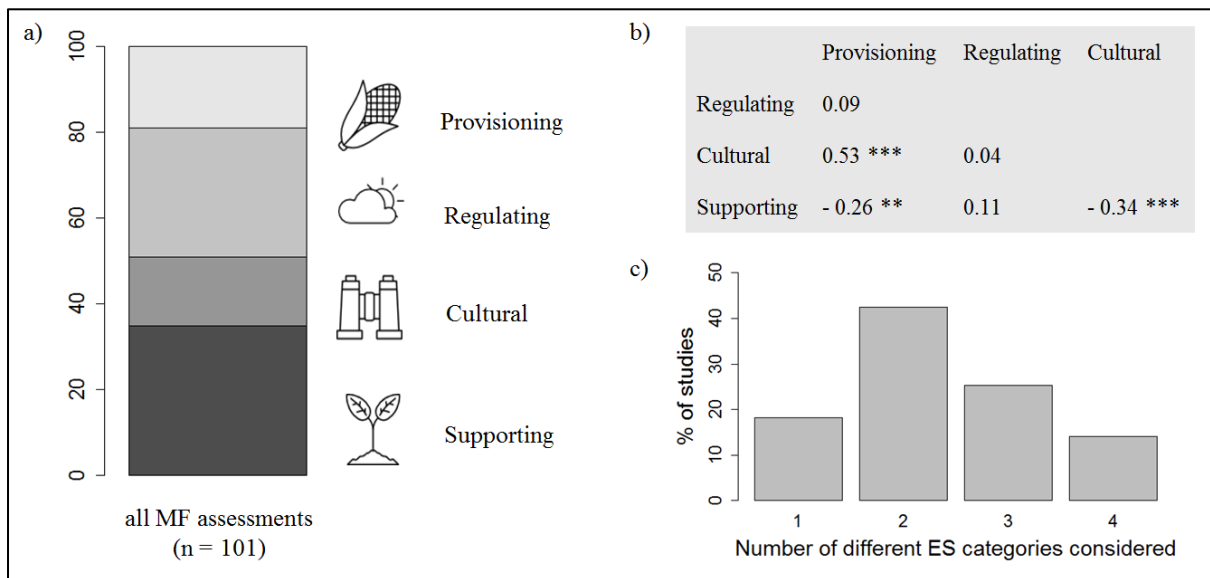


313
 314 **Fig. 3.** Number of ecosystem functions and services assessed in each MF assessment (n = 101).
 315

316 The number of ecosystem functions and services considered varied widely among the MF
 317 assessments, ranging from three to 27 with an average of eight (Fig. 3). Most MF assessments took
 318 into account five ecosystem functions or services. Looking into the type of ecosystem function or
 319 service considered we found that 55.4% of the MF assessments were focusing exclusively on
 320 ecological variables, 43.6% evaluated both ecological and socio-economic variables and the
 321 remaining 1.0% assessed only socio-economic variables.

322
 323 Most MF assessments took into account ecosystem functions and services from the categories
 324 ‘Supporting’ and ‘Regulating’ (79% and 69%, respectively). Fewer studies included the categories
 325 ‘Provisioning’ and ‘Cultural’ (43% and 37%, respectively) (Fig. 4a). If the category ‘Provisioning’
 326 was included, in most cases ‘Cultural’ functions and services were considered as well, the opposite
 327 was the case for the categories ‘Supporting’ and ‘Cultural’ (defined significance level of
 328 positive/negative correlations: $p < 0.05$) (Fig. 4b, Table A8). Moreover, 80.2% of the studies assessed
 329 variables from more than one category (Fig. 4c).

330



331

332 **Fig. 4.** a) Proportion of ecosystem service categories considered within MF assessments (n =101) (multiple
 333 categories are possible per study). ecosystem service categories are presented by a color gradient from light grey
 334 to dark grey in the following order: Provisioning, Regulating, Cultural and Supporting; b) Correlations between
 335 the different ecosystem service categories (***: $p < 0.005$, **: $p < 0.05$, see Table A8); c) Proportion of MF
 336 assessments that assessed ecosystem functions and services from 1, 2, 3 or 4 different ecosystem service
 337 categories.

338

339 3.3. Quantification of MF

340

341 3.3.1. MF indicators and other assessment methods

342

343 While many different approaches were used to quantify MF (Table 1), 84.0% of the studies used
 344 methods that aggregate MF into a single metric. Most commonly, the ‘threshold’ approach (32.8%)
 345 was employed, which calculates the number of ecosystem functions and services that simultaneously
 346 exceed one or multiple threshold value(s) (see Gamfeldt et al., 2008). Only ten of these threshold-
 347 focused studies applied a single threshold value, while most studies analyzed at least two or three
 348 discrete variables (Table A9). The remaining studies employed continuous thresholds, thereby
 349 covering a full range of thresholds between, for example, 1 and 99% of the values of ecosystem
 350 functions and services considered.

351

352 The second most widely used method was the ‘averaging approach’ (30.4%), which computes the
 353 average value of multiple standardized ecosystem functions and services (see Mouillot et al., 2011;
 354 Zavaleta et al., 2010) as a single MF metric. 14.4% of the studies estimated the level of MF by
 355 calculating the ‘sum’ of all standardized ecosystem function or services, and 6.4% studied MF by
 356 building other ‘Indices’ (Simpson's Index, Shannon Index etc.). The remaining 16% of studies used
 357 various other approaches for the assessment of MF without necessarily aiming at aggregating MF into
 358 a single metric. The category ‘Other approaches’ includes radar charts (3 studies), Principal
 359 Component Analysis (3), multi-objective optimization (2), the turnover approach (2), cluster analysis
 360 (2), the evaluation of stated preferences (2), etc. Notably, 16 of the 101 studies used more than one
 361 assessment method: Ten studies used two different approaches; four studies used three different
 362 approaches and two studies used four different approaches.

363

364 **Table 1**

365 Type of assessment method used to quantify multifunctionality.

Method	Number of studies*	% of studies	Method description	References
Threshold approach	41	32.8%	Number of ecosystem functions and services that exceed...	Gamfeldt et al., 2008 Zavaleta et al., 2010 Byrnes et al., 2014
<i>Single threshold</i>	<i>10</i>	<i>8.0%</i>	<i>...a single threshold</i>	
<i>Discrete thresholds</i>	<i>19</i>	<i>15.2%</i>	<i>...few multiple thresholds</i>	
<i>Continuous thresholds</i>	<i>12</i>	<i>9.6%</i>	<i>... a continuous range of thresholds</i>	
Averaging approach	38	30.4%	Average value of all ecosystem functions and services	Maestre et al., 2012b
Sum	18	14.4%	Sum of all ecosystem functions and services	Andersen et al., 2013
Indices	8	6.4%	Richness and/or diversity of ecosystem functions and services	Brandt et al., 2014
Other approaches	20	16.0%	-	Queiroz et al., 2015

366 *The total number of MF assessments equals 125, as some studies used more than one method.

367

368 3.3.2. Accounting for trade-offs and synergies

369

370 In total, 59% of the 101 quantitative MF assessments accounted for interactions between ecosystem
371 functions and services. There was no correlation between the number of ecosystem functions and
372 services assessed and the testing for interactions. Most of the interactions were examined by
373 correlation analysis (42%) and descriptive methods (14%) (Table A10). Descriptive methods for
374 characterizing interactions between ecosystem functions and services, such as qualitative descriptions
375 of ES relationships based on GIS analysis (e.g. Schulz and Schröder, 2017) or ecosystem service
376 bundles (e.g. Mouchet et al., 2017), were mostly used in combination with the MF indicator ‘sum’ or
377 ‘other approaches’ (Table A10). Among the different assessment methods the percentage of studies
378 that tested interactions varied between 50% for studies that applied ‘continuous thresholds’, ‘indices’
379 or ‘averaging’, and 65% for studies that applied ‘other approaches’, ‘sum’, and ‘single or discrete
380 threshold(s)’ (Table A10).

381

382 3.3.3. Spatial extent of the study region

383

384 MF was assessed on different spatial scales ranging from microscale to global scales (Table 2). In
385 total, 71 studies were conducted on ‘lower political scales’ (local, landscape, regional) and 17 studies
386 on ‘upper political scales’ (national, multinational, global). Additionally, 12 studies conducted MF
387 assessments on a microscale (e.g. assessing bacterial or enzyme MF; e.g. Peter et al., 2011).

388

389 **Table 2**

390 Spatial extent of the MF assessments.

		Lower political scales			Upper political scales		
	Microscale	Local	Landscape	Regional	National	Multi-national	Global
MF assessments (n = 100)*	12	32	7	32	5	8	4

391 *Total = 100 MF assessments, as one study was conducted on two spatial scales and two studies were modeling
392 studies without applicable extent.

393

394 3.3.4. Stakeholder involvement

395

396 We found that only 15 of the 101 quantitative MF assessments involved stakeholders. Stakeholders
397 participated either through the selection of ecosystem functions and services (2 studies), the valuation
398 of ecosystem functions and services (11) or both, selection and valuation (2). Notably, all of these 15
399 participatory studies assessed not only ecological, but also socio-economic variables. Furthermore, all
400 but one of these studies took cultural ecosystem functions and services into account.

401

402 3.4. Conceptual differences

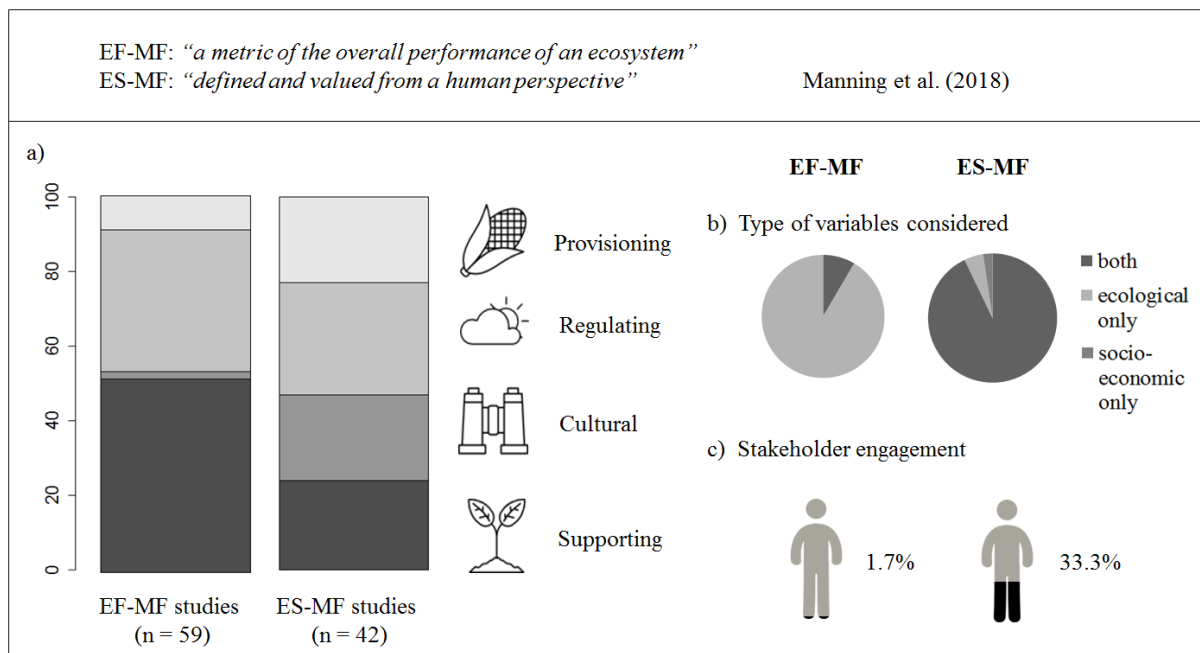
403

404 42 assessments fell into the group of ES-MF studies, having an anthropocentric perspective of MF
405 (Fig. 5). The following terms were used in these studies: ecosystem services (20 studies), functions
406 (8), landscape functions (6), farm/forest/agricultural functions (3), landscape services (2), etc. By sub-
407 grouping the ES-MF studies according to the classification by Brandt and Vejre (2004), we found that
408 five assessments looked at cultural aspects only; eight assessments had a strong policy focus; and

409 more than half of the studies (22) focused on multifunctional land use issues in general (e.g. land use
 410 conflicts, optimized land management practices, etc.) (Table A11). Overall, ES-MF studies were
 411 characterized by an equal proportion of ecological and socio-economic variables, as well as by a
 412 balanced representation of different ecosystem service categories (Figure 5; Table A7).

413
 414 On the other hand, 59 assessments could be described as EF-MF studies. In these studies, the
 415 following terms were used to define multifunctionality: ecosystem functions (36 studies), ecosystem
 416 services (5), ecosystem/ecological processes (7), and soil variables/functions (3). While most studies
 417 (48) followed a purely ecological approach to assess MF, at least 11 EF-MF assessments included
 418 human perspectives and had a strong policy or management relevance (Table A11). A common
 419 feature of the EF-MF studies however was their strong focus on ecological variables; only 8% of the
 420 studies included socio-economic variables (Fig. 5, Table A7). Moreover, while the ES-MF studies did
 421 account for ecosystem functions and services of three ecosystem service categories on average and
 422 captured the different ecosystem service categories in a balanced way, the 59 EF-MF studies
 423 accounted for only two ecosystem service categories on average, with a strong focus on the categories
 424 ‘Regulating’ and ‘Supporting’ (Fig. 5). At the same time, stakeholder involvement was almost non-
 425 apparent in EF-MF studies (Fig. 5).

426



427
 428 **Fig. 5:** a) Proportion of ecosystem service categories considered within EF-MF (n = 59) and ES-MF (n = 42)
 429 assessments. Ecosystem service categories are presented by a color gradient from light grey to dark grey in the
 430 following order: Provisioning, Regulating, Cultural and Supporting; b) Relative proportion of the type of
 431 variables considered in EF-MF and ES-MF assessments; c) Stakeholder involvement (proportion of studies) in
 432 EF-MF and ES-MF assessments.

433

434 EF-MF and ES-MF studies did not only show conceptual dissimilarities and different priorities, but
 435 also differed largely in the employed MF quantification methods. The dominating methods in EF-MF
 436 studies were the ‘averaging’ and ‘threshold’ approaches, together accounting for 86% of methods
 437 used. In contrast, taking the ‘sum’ was the method prevailing in ES-MF studies, followed by ‘discrete
 438 thresholds’ (38% and 20%, respectively, Table A7). ES-MF studies further used ‘indices’ much more
 439 than EF-MF studies (16% vs. 1.25%, Table A7). Interactions between ecosystem functions and
 440 services were more or less assessed in the same way. However, ES-MF studies made use of

441 descriptive methods slightly more often than EF-MF studies, which mostly utilized correlation
442 analysis (Table A7). Regarding the spatial extent of the analyses there were no striking differences,
443 except for the regional scale which was addressed by 45% of the ES-MF studies, but only by 22% of
444 the EF-MF studies. ES-MF studies were not conducted on microscales or global scales (Table A7).

445

446 **4. Discussion**

447

448 The results of this review have shown a variety of conceptualizations and assessments of MF. While it
449 has been argued that this variety might limit the comparability among MF assessments (Queiroz et al.,
450 2015), it also reflects the high interest in the topic and the broad field of potential applications (Brandt
451 and Vejre (Eds), 2004; Manning et al., 2018). The two major questions that we here focused on are
452 (1) how different conceptualizations of MF are operationalized (Section 4.1), and (2) which are the
453 major criteria that make MF assessments strong tools with high relevance for management and
454 decision-making (Section 4.2).

455

456 4.1. Different conceptualizations of MF

457

458 The choice of ecosystem functions and services considered in the reviewed studies reflects different
459 conceptualizations of MF and represents the researchers' understanding of MF. As there is no
460 common and unifying understanding of MF in the scientific community, each study needs to be
461 interpreted individually in its study-specific context. With respect to future MF assessments, however,
462 we found that a characterization of MF studies as recently suggested by Manning et al. (2018) is
463 helpful. It is evident that this classification cannot be based on terminology only ('ecosystem
464 functions' vs. 'ecosystem services'), as different ways of interpreting 'ecosystem services' and
465 'ecosystem functions' exist (Bennett et al., 2015; Huang et al., 2015). Assessments of multiple
466 ecosystem functions, for example, often include aspects that go beyond a purely ecological dimension
467 (e.g. information functions; de Groot et al., 2002). Assessments of multiple ecosystem services, on the
468 other hand, are often based on biophysical indicators only (e.g. Lundholm, n.d.; Mitchell et al., 2014),
469 being more easy to quantify (Seppelt et al., 2011). Such studies often lack a valuation by stakeholder
470 and can therefore not directly be translated into the actual benefits that people derive from nature
471 (Bennett et al., 2015).

472

473 While MF needs to be interpreted in the context of each individual study, a simple classification of
474 MF assessments as conducted in this review allowed us to understand the implications of the different
475 conceptualizations of MF for quantitative MF research. Depending on the individual
476 conceptualization, we found different types of assessment approaches. First, MF assessments framed
477 within a more anthropocentric understanding of MF (ES-MF studies) captured ecological and socio-
478 economic values in a balanced way. They were capable of addressing multiple human needs, which is
479 seen as a prerequisite for the management of sustainable and resilient land use systems (Lovell and
480 Taylor, 2013; Mander et al., 2007; O'Farrell and Anderson, 2010). In line with other researchers
481 (Hansen and Pauleit, 2014; Raudsepp-Hearne et al., 2010), we argue that such integrated and
482 'holistic' studies, considering human-environmental interactions, are much needed to support policies
483 and decision-making towards increased MF. On the other hand, studies framed within a more
484 ecological understanding of MF (EF-MF studies) largely focused on functions that regulate or support
485 ecosystem processes. They ranged from fundamental research on ecosystem processes to more
486 applied management-relevant issues. This again highlights that a separation of MF assessments into
487 two concepts only is certainly a simplification. A large number of studies in this review were in fact
488 capable of bridging ecological and social assessments (e.g. Allan et al., 2015; van der Plas et al.,

489 2016b). Such inter- and transdisciplinary research is much needed to further develop concepts and
490 methods in landscape ecology (Fischer et al., 2007; O'Farrell and Anderson, 2010).

491

492 4.2. Beyond the assessment of multiple ecosystem functions and services

493

494 Based on this review, we see three major criteria that make MF assessments strong tools with high
495 relevance for management and decision-making: (1) the assessment of trade-offs and synergies, (2)
496 the careful consideration of the underlying assumptions, strengths and weaknesses of the MF
497 indicator(s) used, and (3) a sensible involvement of stakeholders for the study-specific definition and
498 valuation of MF.

499

500 **Trade-offs and synergies.** Only an integrative analysis of trade-offs and synergies enables well-
501 informed decisions towards or against certain land use and management practices (Cord et al., 2017;
502 Willemen et al., 2010). Therefore, quantitative MF assessments should not only consider multiple
503 ecosystem functions and services simultaneously, but also specifically assess interactions among
504 them. Since many ecosystem functions and services are either directly interlinked or influenced by the
505 same drivers (Bennett et al., 2009), the inability to account for trade-offs and synergies may be indeed
506 one of the largest weaknesses of the common MF indicators (Dooley et al., 2015; Dusza et al., 2017).
507 More than half of the MF assessments in this review were already complemented with an analysis of
508 trade-offs and synergies (Table A10). We strongly recommend further expanding this field beyond the
509 sole identification of interactions. As suggested in Cord et al. (2017), studies need to explore the
510 drivers that shape ecosystem functions and services relationships, as well as the limits to MF, in order
511 to support decisions towards increasing MF.

512

513 **Underlying assumptions of MF indicators.** Methods used to quantify MF should be carefully
514 selected by taking into account the underlying assumptions, strengths and weaknesses of different MF
515 indicators. For example, the 'averaging' approach, originally introduced by Moulliot (2011), is a
516 straightforward and simple technique (Byrnes et al., 2014) that produces a single metric by averaging
517 the values of all standardized ecosystem functions and services. Individual functions or services are
518 assumed to be substitutable by other functions or services in this approach. The same assumption
519 applies to the 'sum' approach. These two methods estimate the supply of multiple ecosystem
520 functions and services, without giving any insights on their identities or on underlying interactions
521 (Byrnes et al., 2014; Gamfeldt et al., 2008; Maestre et al., 2012a). Such a representation of MF may
522 be most suitable for the identification of hot- and coldspots of MF (e.g. Meerow and Newell, 2017;
523 Willemen et al., 2010).

524

525 In contrast, the 'threshold' approach accounts for only those ecosystem functions and services that
526 exceed a critical threshold. Low level ecosystem functions and services that may arise from strong
527 trade-offs are not considered (Allan et al., 2015; Byrnes et al., 2014; Stürck and Verburg, 2017). This
528 approach is particularly suitable if a specific threshold value exists (e.g. water purification: water
529 quality has to meet certain standards for drinking water). However, the choice of the threshold value
530 is critical and has a strong impact on the study outcome (Stürck and Verburg, 2017). The 'continuous
531 thresholds' approach partly overcomes this drawback by exploring a continuous range of possible
532 thresholds. Here, different MF metrics are being produced that allow a more nuanced interpretation of
533 MF (see Byrnes et al., 2014). This, for example, allows exploring how the relationship between
534 species richness and ecosystem functioning changes with the number of ecosystem functions
535 considered (Gamfeldt and Roger, 2017). While the 'continuous thresholds' approach has often been

536 used in Biodiversity-Ecosystem-Functioning studies (e.g. Lefcheck et al., 2015; van der Plas et al.,
537 2016a), it has as of yet not been applied in ES-MF studies (Table A11).

538

539 Instead of focusing on the overall amount of ecosystem functions and services provided it has also
540 been suggested to use diversity indices of ecosystem functions and services to provide an estimate of
541 MF (e.g. Shannon's H, Plieninger et al., 2013; Simpson's reciprocal index, Raudsepp-Hearne et al.,
542 2010). Using these indices, the supply of individual ecosystem functions and services is related to
543 their total supply in an area (Stürck and Verburg, 2017). While richness-focused indicators of MF
544 might be misleading, diversity-focused approaches allow evaluating whether functions and services
545 are equally supplied or whether a few dominant ones exist (Plieninger et al., 2013; Stürck and
546 Verburg, 2017). Some balancing among focal ecosystem functions and services can further be
547 applied, as different diversity indices give more weight to either abundant (Simpson's reciprocal
548 index) or rare (Shannon's H) functions and services. Such approaches to assess MF are particularly
549 suitable in cases where in-depth analyses of MF composition are needed. Similarly, ecosystem service
550 bundles, radar charts or flower diagrams have widely been used to elucidate ecosystem service
551 diversity and to illustrate the composition or spatial clustering of multiple ecosystem services (Dittrich
552 et al., 2017; Huang et al., 2015; Manning et al., 2018).

553

554 While there is no single best MF indicator, the choice of the quantification method needs to be based
555 on the research question. The variety of available methods can also be used to highlight different
556 aspects of MF (e.g. Früh-Müller et al., 2018), and to enable a sensitivity analysis of the results (Stürck
557 and Verburg, 2017; Valencia et al., 2015).

558

559 **Participatory approaches.** The involvement of stakeholders within MF assessments and ecosystem
560 service studies in general is small (Seppelt et al., 2011), which limits our understanding of the
561 relationships between ecosystems and human well-being (Bennett et al., 2015). In order to better
562 understand such relationships and to spur discussions on land use, the demand for individual
563 ecosystem services and for overall MF needs to be integrated in socially-relevant studies (Cowling et
564 al., 2008; O'Farrell and Anderson, 2010). In particular ES-MF assessments should therefore aim at a
565 sensible involvement of stakeholders at different stages of the assessments: (i) conceptualization of
566 MF; (ii) selection and valuation of ecosystem functions and services; and (iii) development of
567 scenarios and planning for land use changes (Mastrangelo et al., 2014). A stronger focus on
568 appropriate stakeholder involvement would significantly strengthen ES-MF assessments and enhance
569 their policy relevance. We argue that MF indicators would then change from largely descriptive
570 indicators to more normative ones.

571

572 **5. Conclusions**

573

574 While landscape MF has become a general policy aim and the number of papers published on MF
575 increased rapidly since the 2000s, a lack of implementation of the concept in environmental
576 management has been pointed out (Otte et al., 2007; Wiggering et al., 2003). This review of 101
577 publications using quantitative methods to assess ecosystem or landscape MF shows that these studies
578 are associated with many different research fields. It also reflects on the variety of conceptualizations
579 of MF and it summarizes the state-of-the-art of assessment methods. To provide guidance for priority
580 setting and to spur the use of quantitative MF assessments in different research fields, we here
581 conclude with recommendations towards improved MF assessments and their interpretations:

582

583 1) MF needs to be assessed differently, depending on the research context. The choice of ecosystem
584 functions and services considered is therefore a critical first step in the study design and should not be
585 driven by data availability only. Depending on the research question, MF can, for example, be
586 assessed by focusing solely on ecological aspects or more on integrative socio-ecological
587 perspectives.

588

589 2) The choice of the MF indicator used needs to take into account the underlying assumptions,
590 strengths and weaknesses of each approach. A combination of multiple methods can be used to
591 estimate the sensitivity of the results. MF studies should further include an integrative analysis of
592 trade-offs and synergies among ecosystem functions and services.

593

594 3) MF assessments having a socio-ecological focus can be significantly strengthened by more
595 (targeted) stakeholder involvement. This would enable their use as normative planning tools and
596 would make assessments more relevant for decision-making processes.

597

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599

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607

608 **Appendix A. Supplementary data**

609

610 Supplementary data associated with this article can be found in the online version. The Supplementary
611 data includes the list of 101 MF assessments that were reviewed in this study (Table A11).

612

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