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1 Going with the flow: planktonic processing of
2 dissolved organic carbon in streams
3

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13

14 Abstract

15 A large part of the organic carbon in streams is transported by pulses of terrestrial dissolved
16 organic carbon (tDOC) during hydrological events, which is more pronounced in agricultural
17 catchments due to their hydrological flashiness. The majority of the literature considers stationary
18 benthic biofilms and hyporheic biofilms to dominate uptake and processing of tDOC. Here, we
19 argue for expanding this viewpoint to planktonic bacteria, which are transported downstream
20 together with tDOC pulses, and thus perceive them as a less variable resource relative to stationary
21 benthic bacteria. We show that pulse DOC can contribute significantly to the annual DOC export of
22 streams and that planktonic bacteria take up considerable labile tDOC from such pulses in a short
23 time frame, with the DOC uptake being as high as that of benthic biofilm bacteria. Furthermore, we
24 show that planktonic bacteria efficiently take up labile tDOC which strongly increases planktonic
25 bacterial production and abundance. We found that the response of planktonic bacteria to tDOC
26 pulses was stronger in smaller streams than in larger streams, which may be related to bacterial
27 metacommunity dynamics. Furthermore, the response of planktonic bacterial abundance was
28 influenced by soluble reactive phosphorus concentration, pointing to phosphorus limitation. Our
29 data suggest that planktonic bacteria can efficiently utilize tDOC pulses and likely determine tDOC
30 fate during downstream transport, influencing aquatic food webs and related biochemical cycles.

31

32 Keywords: terrestrial DOC; agricultural catchment; flood pulse; hydrology; bacteria

33

34 Highlights

- 35 • Dissolved organic carbon (DOC) largely transported in pulses
- 36 • Limited access of benthic and hyporheic bacteria to DOC pulses
- 37 • Long access time and strong reaction of planktonic bacteria to DOC pulses
- 38 • Strong response of planktonic bacteria
- 39 • Planktonic DOC uptake in lowland streams likely underestimated
- 40 •

41 1. Introduction

42 Pulses of terrestrial dissolved organic carbon (tDOC) are responsible for a significant
43 contribution to the DOC budget of fluvial networks, and these pulses often contain DOC of higher
44 concentration and different molecular composition relative to DOC at base flow (Raymond et al.,
45 2016 and references therein). Terrestrial DOC is processed during the transport in freshwaters and
46 exported as greenhouse gases to the atmosphere or deposited as particulate organic carbon in
47 aquatic sediments (Battin et al., 2008, 2009; Raymond and Spencer, 2015; Ward et al., 2013, 2017).
48 Agriculture substantially increases the fraction of tDOC transported in pulses to receiving waters
49 (Dalzell et al., 2007; Graeber et al., 2012b, 2015; Heinz et al., 2015).

50 Microorganisms are likely responsible for a large fraction of tDOC removal from hydrologic
51 pulses (Raymond et al., 2016). Such pulses are perceived differently by stationary bacteria of the
52 benthic and hyporheic zone than by free-living, planktonic bacteria subjected to concomitant
53 downstream transport (Fig. 1). Bacteria in the benthic and hyporheic zone will perceive a tDOC
54 pulse as such, as they can access the pulse only during the limited time it passes by. Conversely,
55 planktonic bacteria in the stream water column will be transported downstream with a DOC pulse
56 and should perceive the pulse as a rather constant DOC source, slowly diminishing downstream in
57 concentration and quality. The longer availability of the pulsed DOC will give the planktonic
58 bacteria more time to metabolize it. Furthermore, biogeochemical processes in the hyporheic zone
59 of small streams can substantially influence the DOC load, but these processes become
60 hydrologically constrained at high discharges (Boano et al., 2014; Wondzell, 2011).

61 The recently developed pulse-shunt concept predicts that processing and biological retention
62 of tDOC will be shifted from small streams to higher-order streams and rivers, as large pulses of
63 DOC may bypass retention in small streams and should be mainly processed in larger rivers and
64 coastal systems (Raymond et al., 2016). In this concept, DOC retention is conceptualized according

65 to the nutrient spiraling concept, which assumes that DOC is mainly retained by stationary benthic
66 or hyporheic biofilms (Newbold et al., 1981). The authors follow the current paradigm that biofilms
67 within the stream benthic and hyporheic zone are considered to be the main contributors for DOC
68 processing (Battin et al., 2016; Wiegner et al., 2005) as these zones “extend the residence time of
69 organic carbon during downstream transport” (Battin et al., 2008).

70 In contrast to the assumed dominance of stationary biofilms in the processing of DOC, free-
71 living bacteria were recognized as well adapted to changing “feast and famine” conditions with a
72 fast response to “unannounced and irregular windfalls of food” (Koch, 1971). This case was made
73 for *Escherichia coli* within human intestines (Koch, 1971). Similar “feast and famine” conditions
74 exist for free-living planktonic bacteria in running waters due to the unstable, pulsed nature of
75 tDOC inputs from the terrestrial environment (Dalzell et al., 2007; Graeber et al., 2012b, 2015;
76 Heinz et al., 2015; Raymond et al., 2016).

77 Due to their adaptation to the irregular availability of tDOC, planktonic bacteria should be
78 able to respond quickly to pulsed inputs of tDOC. Considerable planktonic DOC uptake is
79 commonly observed in bioavailability experiments with bacteria in solution (Fellman et al., 2009;
80 Fischer et al., 2002; Qualls and Haines, 1992; Wickland et al., 2012; Wiegner and Seitzinger, 2004)
81 and high planktonic DOC uptake was observed in a flume experiment (Kamjunke et al., 2017).
82 However, it has not been experimentally investigated to date, how the response of planktonic
83 compares to that of benthic biofilm bacteria during short pulses of labile tDOC, and if this depends
84 on stream or catchment characteristics.

85 We hypothesize that temporally limited pulses of tDOC often dominate DOC export in
86 streams and that planktonic bacteria and benthic bacterial biofilms can be equally important for
87 processing of such tDOC pulses. We furthermore hypothesize that planktonic bacteria can compete
88 with benthic bacteria in the uptake of tDOC from pulses because they adapt their bacterial
89 abundance, bacterial production, and DOC uptake more rapidly to the new source than benthic

90 biofilm bacteria and have a longer contact time with the tDOC in a pulse (Fig. 1). To test the
91 importance of DOC pulses for DOC export, we reanalyzed literature data. To assess the
92 contribution of benthic and planktonic bacteria to short-term tDOC processing typical for DOC
93 pulses, we combined a short-term laboratory experiment with an extrapolation to stream-stretch
94 level. Within this laboratory experiment, we tested how fast the DOC uptake and bacterial
95 production of planktonic bacteria and benthic biofilm bacteria adapt to a short-term tDOC pulse.

96 **2. Methods**

97 2.1 Sites and experiment procedures

98 We selected eight lowland streams with a gradient in catchment size (0.1 – 46.4 km²) and
99 agricultural land use (0 – 92% arable land) in central Jutland in Denmark (latitude 55.9° – 56.3° N,
100 longitude 9.3° – 9.9° E). Please see Table A1 in Appendix A for further details on the sites.

101 We sampled stream water from the middle of the water column in the main current and
102 gravel from the benthic zone midstream of the eight streams on three dates in October 2015.

103 Senescent alder (*Alnus glutinosa* L.) leaves were collected from trees in the autumn before
104 the experiment to produce leaf leachate. Then the leaves were dried in a greenhouse with frequent
105 intermixing to improve evaporation. We produced the leaf leachate by incubation of 10 g DW alder
106 leaves in 1600 mL deionized (DI) water for 24 h in the dark at 15°C directly before each of the
107 three start dates of the experiment. Before combining the leaf leachate with stream water, we
108 filtered the leaf leachate through pre-rinsed 0.2 µm membranes (Advantec, mixed-cellulose ester) to
109 remove bacteria. The filters were rinsed with 1 L of DI water before filtration to remove residual
110 DOC.

111 From each of the eight streams, we sampled 2 L of water and filtered 600 mL of this stream
112 water through pre-rinsed 0.2 µm membranes to remove bacteria. We mixed 300 mL of unfiltered
113 stream water and 300 mL of 0.2 µm filtered stream water with 200 mL of leaf leachate solution.

114 Subsequently, four different treatments were prepared and then incubated for three days: 10 mL
115 gravel in 60 mL of bacteria-free water, i) either with the leaf-leachate solution as described before
116 (n=5 per stream site) or ii) without leachate (control, n=3 per stream site) or unfiltered stream water
117 (70 mL) without gravel, iii) either mixed with the leaf-leachate solution (n=5 per stream site) or iv)
118 without leachate (control, n=3 per stream site). Treatments i) and ii) comprised the benthic zone
119 treatments and treatments iii) and iv) comprised the water-column treatments. The number of
120 technical replicates was lower in the control since we expected less variability than for the
121 treatments with leaf leachate.

122 The target DOC concentration of the treatments with leaf leachate was 50 mg DOC L⁻¹.
123 However, we got slightly deviating concentrations in the treatments due to different stream water
124 background DOC concentration and because we produced a new leaf leachate for each of the three
125 experiment starting days (50.5 ± 3.6 mg L⁻¹; mean ± 1SD). We considered this effect in the
126 calculation of the effect of leaf leachate on DOC uptake, as we measured and used the site-specific
127 target DOC concentrations in later calculations. We chose a concentration of 50 mg DOC L⁻¹ to
128 avoid the limitation of labile tDOC during the time frame of the experiment.

129 The treatments were incubated for three days at 15°C in the dark in 100 mL brown glass
130 vials with a cap lightly screwed on. During the incubation, we used a benchtop shaker to shake the
131 vials at 100 RPM. The movement of the shaker was sufficient to mix the water in the vials but did
132 not move the gravel, hence abrasion of biofilms was unlikely.

133 2.2 Measurements

134 We measured stream width and average stream depth at each of the sampling sites. To
135 measure stream width, we used depth measurements along lateral transects from one stream bank to
136 the other at intervals of 10 cm in narrower streams (with a width < 2 m) and 20 cm in wider
137 streams.

138 Before and after the incubation, we measured bacterial production (BP). The BP of
139 planktonic bacteria was measured (Simon and Azam, 1989) using the leucine incorporation
140 technique as described in detail in Kamjunke et al. (2015). With ^{14}C leucine ($12.2 \text{ MBq } \mu\text{mol}^{-1}$,
141 Sigma, 50 nM final concentration, we spiked triplicate 5 ml aliquots, and one formalin-treated
142 control (3.7 %, final concentration) on the sampling day. Samples were incubated in the laboratory
143 at *in situ* temperature for one hour in the dark on a shaker. We stopped the incorporation with
144 formalin and added 0.6 ml 50% trichloroacetic acid (TCA). Proteins were extracted for 15 min and
145 filtered onto 0.2 μm cellulose ester membrane filters (Advantec, Toyo Roshi Kaisha Ltd., Japan).
146 Filters were rinsed twice with 1 ml 5% TCA and once with 80% ethanol. After dissolving the filters
147 in 0.5 ml Soluene (Packard) and adding 2.5 ml Hionic Fluor (Packard) to each scintillation vial,
148 radioactivity was measured using a Liquid Scintillation Analyzer (2300 TR, Packard). For
149 quenching, we used the external standard ratio method. Carbon production was calculated using the
150 equations of Simon and Azam (1989).

151 For the bacteria within benthic biofilms, we also estimated BP by leucine incorporation.
152 Here, a pebble of about 1 cm length was transferred to scintillation vials and covered with 4 ml
153 sterile-filtered stream water. We spiked triplicate aliquots and one formalin-treated control (3.7 %,
154 final concentration) with ^{14}C leucine (5 mM final concentration). After incubation for one hour
155 under continuous shaking and extraction with TCA on ice, biofilms were removed from gravel by
156 ultrasonication for 1 min (20%; Ultrasonic Homogenizer, 4710 Series, Cole-Parmer Instrument Co.,
157 Chicago, Illinois). Gravel was removed and rinsed, and the supernatant was filtered and measured
158 as described above. We estimated the surface area of each pebble by wrapping it in tin foil,
159 weighing this foil and relating this to the weight of one cm^2 foil.

160 The abundance of suspended bacteria was estimated from formalin-fixed samples (3.7%
161 final concentration) after staining with acridine orange and counting using an epifluorescence
162 microscope (Axioskop2, Zeiss) as according to Kamjunke *et al.* (2015). We fixed the biofilm

163 bacteria with formalin in sterile-filtered stream water and counted the biofilm bacteria after their
164 detachment from the pebbles (about 1 cm in length) by ultrasonication. We conducted staining and
165 counting as described above.

166 We measured the composition of stream water DOC for each site with fluorescence
167 spectroscopy and size-exclusion chromatography (SEC, LC-OCD-OND, DOC Labor Dr. Huber,
168 Karlsruhe, Germany). Fluorescence was measured from 240 to 450 nm excitation and from 300 to
169 600 nm emission (Aqualog, Horiba, Oberursel, Germany). Based on fluorescence measurements,
170 we calculated the fluorescence index, humification index, and freshness index. Further details on
171 the fluorescence measurements, the calculation and interpretation of the indexes, and the SEC
172 methodology have been provided previously (Heinz et al., 2015; Huber et al., 2011). See Appendix
173 A for the results of DOM composition.

174 We measured nitrate concentration of stream water by ion chromatography (Dionex,
175 Thermo Fisher Scientific, Hvidovre, Denmark) and ammonium concentration by the indophenol
176 blue method. We used high-temperature catalytic oxidation to measure DOC and total dissolved
177 nitrogen concentration (TOC-L, Shimadzu Europe, Duisburg, Germany). Concentrations of soluble
178 reactive phosphorus (SRP) for the streams were obtained from the Danish National Environmental
179 Monitoring program (Kronvang et al., 2005) or other studies (Goyenola et al., 2015; Hille et al.,
180 2014).

181 2.3 Reanalysis of literature data on DOC loads

182 Based on discharge and DOC load data ($\text{DOC load} = \text{DOC concentration} \times \text{discharge}$) from
183 an earlier study (Graeber et al., 2015), we calculated pulse statistics for four streams with different
184 intensity of agriculture (refer to Table 1 for the results). Here, we defined a pulse as a discharge that
185 exceeded the 25th percentile of a flow duration curve (FDC). The FDCs were calculated separately
186 for each of the four streams, and the 25th percentile was a reliable threshold to distinguish between
187 hydrologic pulses and base flow (see the plot of hydrographs and 25th percentile threshold in

188 Appendix B). The DOC load was summed up for the periods defined as pulses, i.e., days on which
189 the discharge exceeded the 25th percentile of the FDC to obtain the DOC in the pulses. Analogously,
190 the DOC load not contained in pulses was the sum of the DOC load of all days on which the
191 discharge did not exceed or was equal to the 25th percentile of the FDC.

192 2.4 Calculations

193 We calculated DOC uptake of added leaf leachate during the incubation for each
194 experimental vial as:

195 (equation 1)

196 where DOC_{uptake} is the change of the DOC concentration in $\text{mg L}^{-1} \text{h}^{-1}$ per experimental vial
197 for each stream and treatment (benthic zone or water-column treatments). $startDOC_{LL}$ and
198 $endDOC_{LL}$ are the start and end concentration of each stream and treatment with leaf leachate;
199 $startDOC_{\text{control}}$ and $endDOC_{\text{control}}$ are the start and end concentration of each stream and treatment in
200 the control without leaf leachate, and $time_{\text{incubation}}$ is the length of incubation in hours.

201 To investigate the potential impact of the water column and the active benthic zone on DOC
202 uptake at the stream scale, we estimated DOC uptake for each stream reach. To achieve this, we
203 considered active sediment layers of 0 to 1, 0 to 3 and 0 to 7 cm in which microbial DOC uptake
204 may happen (Fischer et al., 2002). We scaled the DOC uptake to the stream-stretch scale as follows:

205 (equation 2)

206 $DOC_{\text{extrapolated uptake}}$ is the extrapolated change in DOC concentration per hour for the stretch.
207 For the sediment, $V_{\text{active stretch}}$ was the estimated active sediment volume per meter stream length
208 (active sediment depth of 0.01, 0.03, or 0.07 m x stream width in m x 1 m stream length). For the
209 water column, we used data from the cross-sectional measurements, and calculated $V_{\text{active stretch}}$ as
210 average stream depth in m x stream width in m x 1 m stream length. Furthermore, we calculated
211 $DOC_{\text{specific uptake}}$ in $\text{mg C L}_{\text{active volume}}^{-1} \text{h}^{-1}$ as DOC_{uptake} in the experimental vials ($\text{mg C L}^{-1} \text{h}^{-1}$) multiplied

212 by the water volume (L) of the experimental vials and divided by the active water or sediment
213 volume in the experimental vials ($L_{\text{active volume}}$). Here, the active volume in the vials is defined by the
214 volume of water within the incubation vials for the water-column treatment (0.07 L) and by the
215 volume of gravel within the incubation vials for the benthic-zone treatment (0.01 L).

216 We assessed differences between benthic and planktonic DOC uptake separately for each
217 stream, as a ratio of planktonic to benthic DOC uptake. For this ratio, we used the mean values of
218 the planktonic and benthic $\text{DOC}_{\text{extrapolated uptake}}$ of each stream.

219 We calculated the response ratios of planktonic and benthic BP by dividing the mean
220 planktonic BP of each stream by the mean benthic BP of the same stream. We did the same to
221 calculate the planktonic and benthic response ratios of bacterial abundance.

222 2.5 Statistics

223 We conducted all statistics in the R statistical package (version 3.4.1) (R Core Team, 2017).
224 For each stream site, we compared DOC uptake between the treatments with Kruskal-Wallis tests
225 (`kruskal.test` function, `stats` package), since normal distribution was not given for the untransformed
226 data. We tested with a t-test (`t.test` function), whether the ratio of DOC uptake in the water column
227 and benthic zone differed from 1, with 1 meaning that the DOC uptake in the water column and the
228 benthic zone is equal. The DOC uptake ratio was normal distributed.

229 We compared bacterial abundance and BP with and without added leaf leachate for each
230 stream site with Kruskal-Wallis tests, as we did for DOC uptake. With paired t-tests (paired by
231 stream site, `t.test` function, `stats` package), we compared the response ratio of planktonic and benthic
232 BP with paired t-tests. We did the same to compare the benthic and planktonic response ratios of
233 bacterial abundance. The differences of the response ratios of BP were normal distributed. The
234 differences of the response ratios of bacterial abundance were ln-transformed to achieve normal
235 distribution.

236 We used linear regressions (lm function) to relate the response ratio of BP and bacterial
237 abundance to changes in inorganic nutrient concentrations (total dissolved nitrogen, ammonium,
238 nitrate + nitrite, soluble reactive phosphorus), DOC concentration and/or composition (fluorescence
239 index, freshness index, humification index, specific-UV absorbance at 254 nm, size-exclusion
240 chromatography carbon and nitrogen fractions and their C:N ratios), land use (percentage of
241 agricultural land use), catchment size, stream width and stream length upstream of each sampling
242 site. If several variables significantly explained BP or bacterial abundance, we checked whether
243 linear models with multiple explanatory variables (combined models) would increase the
244 explanatory power over separate linear models with single explanatory variables (lm function in
245 combination with anova function in R). Here, we started with the explanatory variable with the
246 highest R^2 and subsequently added variables with less explanatory power. We \log_{10} -transformed the
247 response ratios and the explanatory variables to achieve normal distribution and homoscedasticity
248 of residuals prior to analysis.

249 **3. Results**

250 3.1 DOC pulses

251 In our reanalysis of the literature data from Graeber et al. (2015) on DOC loads, we found
252 that 41 – 87% of the total annual DOC load was transported with hydrologic pulses (Table 1). The
253 contribution of the pulses was higher in the subtropical region than in the temperate region and,
254 within the two regions, higher in the catchments with arable farming. The DOC was transported
255 within many (22 – 41 pulses) short pulses (median length 4-5 days, Table 1). See also the
256 hydrographs in Appendix B for a more detailed representation of the pulses.

257 3.2 DOC uptake

258 The planktonic DOC uptake differed from the benthic DOC uptake in four of the eight
259 streams ($p < 0.05$, Kruskal-Wallis test, Fig. 2A). The mean ratios between planktonic and benthic
260 DOC uptake were not different between treatments (t-test, $p > 0.05$, Fig. 2B).

261 In our extrapolation of DOC uptake for a stream stretch, the contribution of water column
262 DOC uptake to total stream DOC uptake exhibited a positive relationship with the cross-sectional
263 water volume of the sites (Fig. 2C). Relative uptake of DOC in the water column was negatively
264 related to active sediment depth with the highest contribution of the water column found for streams
265 with large water volume and 1 cm active sediment depth (81%, Fig. 2C) and the lowest for streams
266 with small water volume and 7 cm active sediment depth (7%, Fig. 2C).

267 3.3 Bacterial production and abundance

268 There was a clear planktonic response to the leaf leachate DOC addition in all streams; i.e.,
269 the planktonic BP to the leaf leachate DOC addition was higher than that in control treatments in all
270 streams (Fig. 3A). For the benthic BP, this was the case only for two of the eight streams (Fig. 3B).
271 The response ratio of the BP was higher (paired t-test, $p = 0.018$) for planktonic bacteria (response
272 ratios of 5 – 17, Fig. 3C) than for benthic bacteria (response ratios of 1 – 4, Fig. 3D).

273 The bacterial abundance reacted in a similar manner to the leaf leachate addition, as we
274 found for BP. However, we found a much higher variability among replicates (Fig. 4). The
275 planktonic bacterial abundances were higher with leaf leachate in four of the eight streams (Fig.
276 4A), and the benthic bacterial abundances were higher in only two of the eight streams (Fig. 4B).
277 The planktonic and benthic response ratios of bacterial abundance differed markedly (paired t-test,
278 $p = 0.045$), with planktonic response ratios of 1 – 126 (Fig. 4C) and benthic response ratios of 1 – 4
279 (Fig. 4D).

280 The response ratio of the planktonic BP was best correlated with stream length in the
281 catchment upstream of the sampling site (linear model, adj. $r^2 = 0.68$, $p = 0.007$, Fig. 5A) but also
282 positively correlated with stream width (adj. $r^2 = 0.60$, $p = 0.015$) and negatively correlated with
283 ammonium concentration (adj. $r^2 = 0.60$, $p = 0.015$). However, stream width positively correlated
284 (adj. $r^2 = 0.51$, $p = 0.029$) with stream length and, hence, its influence on the response ratio of
285 planktonic BP was neglected. Ammonium concentration was only found to vary between 8 and 40
286 $\mu\text{g N L}^{-1}$ (mean = $22 \mu\text{g N L}^{-1}$), which was much lower than the nitrate concentration, varying
287 between 23 and $4245 \mu\text{g N L}^{-1}$ (mean = $1944 \mu\text{g N L}^{-1}$). In contrast to ammonium concentration,
288 nitrate concentration was not correlated to the response ratio of the planktonic BP (adj. $r^2 < 0.01$, p
289 = 0.43). The much higher concentration of nitrate and the missing correlation of nitrate with the BP
290 response ratios makes N limitation as controlling factor unlikely.

291 To further test whether ammonium concentration explained variation not explained by
292 stream length in the catchment, we compared a linear model with the response ratio of the
293 planktonic BP as dependent variable and stream length as an independent variable to a model with
294 stream length and ammonium concentration as independent variables. We found that the combined
295 model was not significantly better at explaining the response ratio of planktonic BP than the model
296 with stream length only (ANOVA, $F = 0.77$, $p = 0.42$).

297 The response ratio of the planktonic bacterial abundance was also best correlated with
298 stream length (linear model, adj. $r^2 = 0.79$, $p = 0.002$, Fig. 5B). It was significantly negatively
299 correlated with SRP concentration (adj. $r^2 = 0.67$, $p = 0.008$, Fig. 5C), positively correlated with
300 catchment size (adj. $r^2 = 0.58$, $p = 0.017$) and negatively correlated with ammonium concentration
301 (adj. $r^2 = 0.50$, $p = 0.031$). Catchment size was highly positively correlated with stream length in the
302 catchment and, therefore, was neglected as an explanatory variable (adj. $R^2 = 0.88$, $p < 0.001$). We
303 discarded ammonium as an important variable for the same reasons as for the response ratio of
304 planktonic BP. As for the response ratio of planktonic BP, a combined model with stream length

305 and ammonium concentration did not improve the explanation of the response ratio of planktonic
306 bacterial abundance significantly, compared to a model with stream length only (ANOVA, $F =$
307 0.12 , $p = 0.746$).

308 Concentration of SRP was not correlated with stream length (adj. $r^2 = 0.25$, $p = 0.116$) and
309 including SRP concentration in the linear model with stream length improved the model
310 significantly (ANOVA, $F = 20.45$, $p = 0.006$). Here, the negative correlation of the response ratio of
311 the planktonic bacterial abundance with SRP concentration was largely a result of a positive
312 correlation between the bacterial abundance in the control and SRP concentration (linear model,
313 adj. $r^2 = 0.49$, $p = 0.03$) besides the missing correlation between the planktonic bacterial abundance
314 in the treatment of leaf leachate and SRP concentration (adj. $r^2 = 0$, $p = 0.94$).

315 **4. Discussion**

316 4.1 DOC pulses

317 For three of the four catchments in our literature reanalysis, we found that most of the
318 annual DOC load was transported in pulses. This supports similar findings in the literature
319 (Raymond et al., 2016 and references therein) and supports the notion that intensive agriculture
320 results in a higher unevenness of DOC export (Dalzell et al., 2007; Graeber et al., 2012a; Heinz et
321 al., 2015).

322 It has been reported in some studies that DOC concentration changes with discharge (Dalzell
323 et al., 2007; Stanley et al., 2012), while this was not supported in other studies (Graeber et al.,
324 2012a; Heinz et al., 2015). The change in DOC concentration with discharge in agricultural systems
325 has been reported to also change DOM composition towards high-molecular and colloidal DOC
326 indicating terrestrial plant sources in the catchment, which may be activated during a hydrologic
327 pulse (Dalzell et al., 2007, 2011). A shift towards humic-like, complex terrestrial DOC with
328 increasing DOC concentration has also been reported in another study of small streams (Graeber et

329 al., 2012a) but could not be supported for mid-western US agricultural ditches (Warrner et al.,
330 2009). Altogether, change of either DOC concentration or composition seems to depend mostly on
331 the catchment configuration and history, but we also deem it likely that it depends on previous
332 pulses and hence of the state of the catchment DOC pools and whether these were emptied or
333 reduced recently.

334 During any pulse event, hydrological and biogeochemical conditions change in addition to
335 DOC load. Our concept proposes that planktonic bacteria may have an important role in DOC
336 processing during such events due to their contact time with the pulse and the constrained
337 hydrological exchange with the hyporheic zone (Wondzell, 2011; Boano et al., 2014) (Fig. 1).
338 However, planktonic bacteria can only have the proposed important role if they are able to take up
339 considerable amounts of DOC.

340 4.2 DOC uptake

341 In our experiment, DOC uptake in the water column and benthic zone was comparable.
342 Based on our extrapolation, we estimated that bacteria in the water column may account for 5 –
343 80% of the total DOC uptake. Here, the contribution of the water column was negatively affected
344 by active sediment depth and positively by the water volume in the cross section. For our lowland
345 streams, we assumed that the active sediment depth was rather shallow (≤ 7 cm), which is supported
346 by both bacterial activity (Fischer et al., 2002) and chemical gradient (Hartwig and Borchardt,
347 2015) measurements in lowland streams and rivers. Based on the positive correlation of water
348 column DOC uptake to cross-sectional water volume and because our streams was rather small (< 6
349 m stream width), we assume an even larger contribution of the water column to DOC uptake for
350 larger streams and rivers. However, our extrapolation does not consider the high probability of
351 limited DOC transport from the water column to the sediment (Wondzell, 2011), which may further
352 reduce the contribution of benthic and hyporheic DOC uptake.

353 Our results suggest that DOC uptake in the water column of fluvial systems can represent a
354 considerable proportion of DOC uptake and, analogous to lakes (Tranvik, 1992), needs to be
355 considered as a place where tDOC uptake can potentially happen. However, we must treat the
356 outcome of this extrapolation carefully, as it relies on laboratory data, measured in vials on a shaker
357 in the dark, hence not taking unidirectional flow, primary production and photodegradation into
358 account (Mineau et al., 2016). The few studies in the literature that presented estimates of DOC
359 uptake scaled across different stream orders lack specific uptake rates for landscape functional units
360 within and across aquatic ecosystems (Raymond et al., 2016). This situation would be improved by
361 *in situ* DOM uptake experiments assessing the contribution of the main compartments of stream and
362 river ecosystems in the field (water column, benthic zone, hyporheic zone, but also floodplains and
363 backwaters) in streams and rivers, as has been shown for *in situ* nutrient uptake experiments based
364 on DOC concentration enrichments (e.g. Johnson et al., 2015). Alternatively, using DOC enriched
365 with the heavy stable isotope of carbon would be an option, however, this likely would be very
366 expensive to execute for hydrological pulses. A trade-off between vial and in-stream experiments
367 could be flume experiments (Kamjunke et al., 2017), which are easier to control and less expensive
368 than in-stream experiments, and more realistic than vials.

369 In our laboratory setup we assume that benthic and planktonic bacteria receive a similar kind
370 of DOC in terms of concentration and composition during a period of three days. This fits to the
371 median pulse length of 4-5 days we calculated in Table 1. However, this is a simplification of the *in*
372 *situ* situation and further investigations in flumes and/ or streams would be needed to validate our
373 results.

374 4.3 Bacterial production and abundance

375 Bacterial production and bacterial abundance of planktonic bacteria reacted much stronger
376 to the labile tDOC (alder leaf-litter leachate) than those of benthic bacteria. This is in accordance to
377 an *in situ* investigation, in which planktonic but not benthic bacteria were responsive to DOC

378 quantity and composition (Kamjunke et al., 2015) and may have several reasons. First, benthic
379 bacterial communities are probably not subjected to the same “feast and famine” conditions as
380 planktonic bacteria (Freeman and Lock, 1995) because benthic biofilms develop a polysaccharide
381 matrix to store and process different sources of organic carbon, and that acts as a buffer against
382 variable DOC supply, limiting the need for benthic bacteria to rapidly respond to pulses of
383 potentially labile DOC from terrestrial environments (Fischer et al., 2002; Freeman and Lock, 1995;
384 Kaplan and Newbold, 2000). Benthic bacterial communities are usually less connected to the water
385 column than planktonic bacteria due to lower convection in the boundary layer and diffusion
386 limitation, which is especially true for DOC, for which the diffusion coefficient is less than 50%
387 compared to inorganic nutrients (Stewart, 2003). Assuming that the biofilms on the gravel we
388 studied were mature, the likely already high density of benthic bacteria may have prevented further
389 fast biofilm growth (e.g. Besemer et al., 2007). It is likely that many of the same factors apply to
390 hyporheic biofilms, which also should build-up a polysaccharide matrix and should even be less
391 connected to the surface water.

392 The negative relationship of the response ratios of planktonic bacterial production and
393 abundance with upstream stream length may be explained by differences in the bacterial
394 communities among sites. Recent studies demonstrated that bacterial communities in the
395 headwaters of fluvial networks are more diverse than downstream communities, due to their close
396 connection to the terrestrial environments allowing a more complex mix of aquatic and terrestrial
397 bacteria to co-exist, which is followed by environmental sorting of the bacterial community along
398 the fluvial network (Besemer et al., 2013; Niño-García et al., 2016). Furthermore, bacterial
399 communities from different freshwater and estuarine environments are adapted to utilize different
400 fractions of the DOM pool (Amaral et al., 2016; Logue et al., 2016). The less diverse planktonic
401 bacterial communities of streams with longer upstream flow paths should be less reactive to
402 variable DOM composition than the diverse bacterial community of headwater streams with shorter
403 flow paths, that have a larger relative interface with their terrestrial surroundings. This different

404 reactivity is what we found for the response of BP and bacterial abundance to the labile tDOC
405 source in our study. However, without further information on the bacterial community diversity this
406 remains only empirical evidence and discussions on potential mechanisms remain speculation.

407 Interestingly, we found a strong negative correlation between SRP concentration and the
408 bacterial abundance response ratio of the planktonic bacteria. This resulted from the missing
409 correlation between SRP concentration and planktonic bacterial abundance in the treatments with
410 leaf leachate, combined with a positive correlation between the SRP concentration and the
411 planktonic bacterial abundance in the control without leaf leachate (data not shown). Since the
412 bacterial abundance response ratio was calculated by the division of bacterial abundance in the
413 treatments with leaf leachate treatment and the control, this resulted in a negative correlation of the
414 response ratio of the planktonic bacterial abundance to SRP concentration. The bacteria may have
415 experienced P-limited conditions in the control, and likely insufficient P was provided by the leaf
416 leachate (data not available). We speculate that a combination of DOC and SRP might stimulate
417 DOC uptake by the planktonic bacteria even more than observed in our experiments, as it will
418 reduce the stoichiometric imbalance between the DOC source and the bacteria (Cross et al., 2005).

419 5. Conclusions

420 According to the current paradigm of DOC uptake, the benthic zone is viewed as the
421 primary biologically reactive component of low-order streams, while the water column mainly
422 performs conservative transport (Battin et al., 2016; Newbold et al., 1981).

423 We conclude that the water column is essential for DOC processing in low-order streams
424 based on two lines of evidence: i. The high importance of pulsed DOC transport according to the
425 literature (Dalzell et al., 2007; Graeber et al., 2012b; Heinz et al., 2015; Raymond et al., 2016) and
426 our reanalysis of a literature dataset (Table 1) combined with the fact that planktonic bacteria are
427 transported with the pulse and should perceive it as a rather constant concentration (Fig. 1). ii. Our

428 experimental findings that small-stream planktonic bacteria are highly responsive to labile tDOC in
429 the water column (Fig. 3, 4), which results in high planktonic DOC uptake in the laboratory and
430 potentially high uptake in the field as shown by the extrapolation to the stream stretch (Fig. 2).

431 The role of planktonic bacteria in the processing of fluvial DOC is likely more important
432 than currently acknowledged and a considerable part of carbon taken up by planktonic bacteria from
433 DOC pulses may move through and affect stream and semi-aquatic food webs. Consequently, the
434 fate of DOC taken up by planktonic bacteria must be considered in models of biogeochemical
435 cycles related to streams and the contribution of those cycles to the global carbon cycle.

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586

587 **Tables**

588 Table 1: Characteristics and effects of hydrological pulses on dissolved organic carbon
 589 (DOC) load in catchments with arable farming and pasture within subtropical (Uruguay) and
 590 temperate climate (Denmark). The calculation is based on daily discharge measurements and DOC
 591 load data for more than two years, and pulses were defined as discharges larger than the discharge
 592 at the 25th percentile of a flow-duration curve. Reanalysis of data from Graeber et al. (2015).

Site	DOC load in pulses (% of total DOC load)	Total pulse duration (% of sampling period)	Number of pulses	Median (min - max) length per pulse (days)
Subtropical, arable farming	87	30	40	5 (2-15)
Subtropical, pasture	80	28	31	4 (2-34)
Temperate, arable farming	69	28	22	5 (2-30)
Temperate, pasture	41	30	41	4 (2-26)

593 Figure captions

594 Fig. 1: Perspectives on a dissolved organic carbon (DOC) pulse within a fluvial system. For
595 stationary bacteria of the benthic and hyporheic zone, the DOC pulse must appear as such, but they
596 will not receive the full pulse due to transport limitation into the sediment. For free-living
597 planktonic bacteria, the pulse will be perceived as a slowly decreasing load, because they are
598 transported downstream together with the pulse of which the bacteria process a part, reducing the
599 DOC concentration. The labile part of the DOC pulse will be removed within the fluvial system and
600 the recalcitrant part within the time frame of fluvial transport will be exported.

601 Fig. 2: Dissolved organic carbon (DOC) uptake by planktonic and benthic bacteria for each
602 of the eight streams (A, $n_{\text{control}}=3$, $n_{\text{leaf leachate}} = 5$), mean DOC uptake ratio (B, $n_{\text{stream}} = 8$) and
603 estimated contribution of the water column to total stream DOC uptake (C, $n_{\text{stream}} = 8$). The DOC
604 uptake in panel B shows the DOC uptake per day for the benthic-zone treatment (60 mL bacteria-
605 free filtered stream water + 10 mL gravel + leaf-leachate DOC) and the water-column treatment (70
606 mL unfiltered stream water + leaf-leachate DOC). The estimated contribution in panel B shows the
607 estimated contribution of the water column to total DOC uptake for each stream site and three
608 hypothetical biologically active sediment depths (1, 3 and 7 cm).

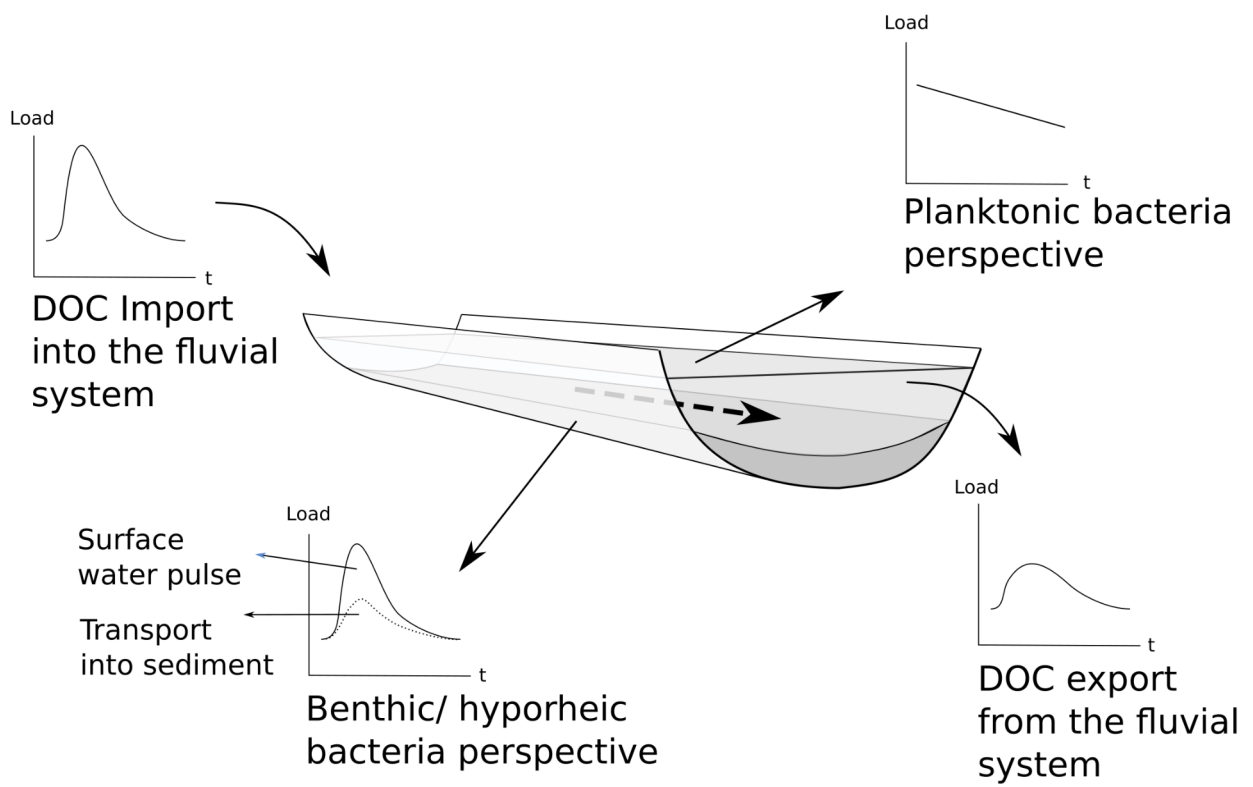
609 Fig. 3: Bacterial production (BP) by the planktonic (A) and benthic bacteria (B) with ($n = 5$)
610 and without leaf leachate (control, $n = 3$). Panels C and D show the planktonic and benthic response
611 ratio of BP, respectively ($n_{\text{stream}} = 8$).

612 Fig. 4: Bacterial abundance (BA) of planktonic (A) and benthic bacteria (B) with ($n = 5$) and
613 without leaf leachate (control, $n = 3$). Panels C and D show the planktonic and benthic response
614 ratio of the bacterial abundance, respectively ($n_{\text{stream}} = 8$).

615 Fig. 5 Relationships of the bacterial production (BP) and bacterial abundance (BA) response
616 ratios with total stream length in the catchment (panels A, B) and of the BA response ratios with

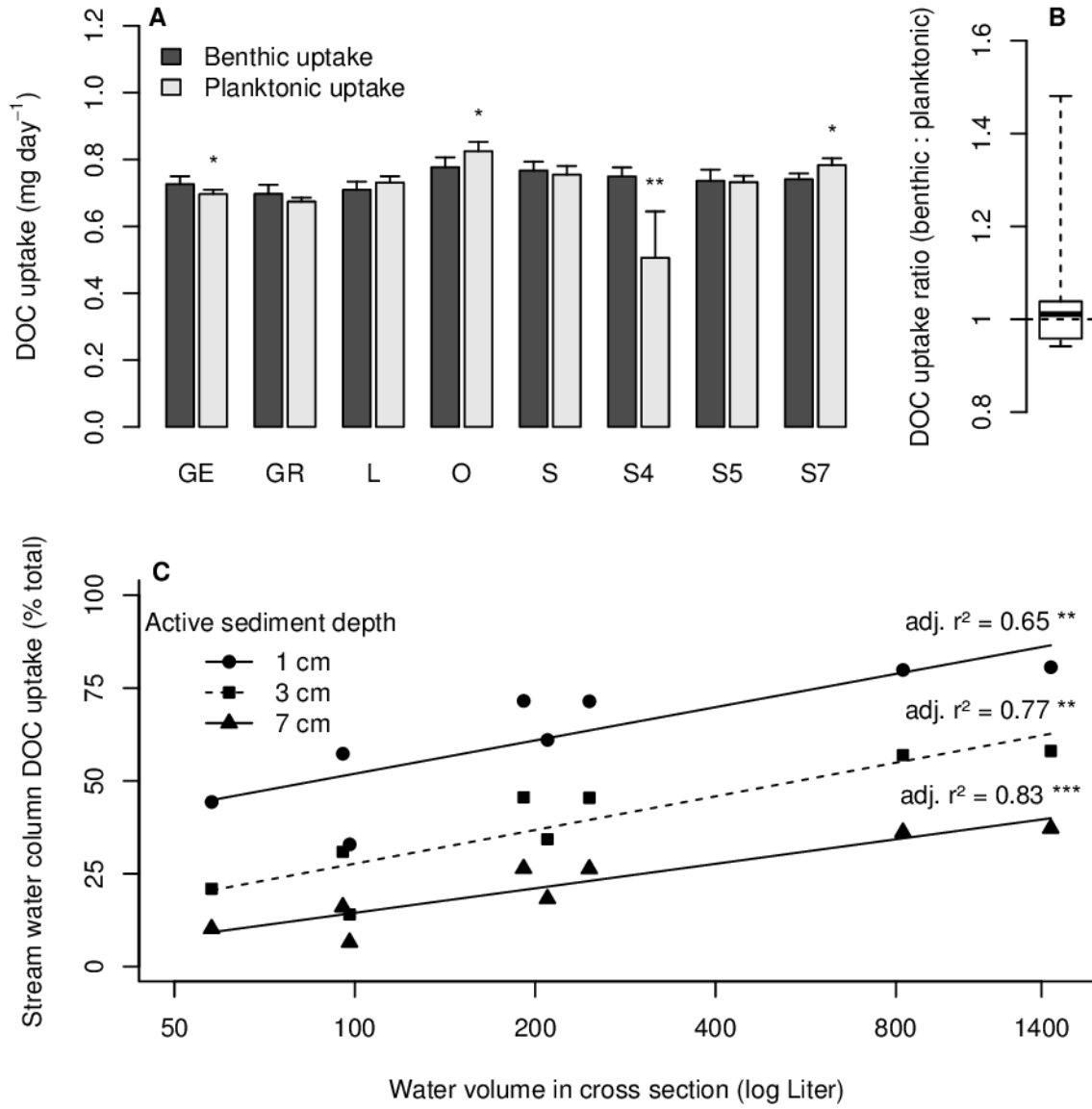
617 soluble reactive phosphorus concentration (SRP, panel C). BP and BA response ratios were
618 calculated as BP or BA in treatments with leaf leachate divided by BP or BA in treatments without
619 leaf leachate addition. **p = 0.01-0.001.

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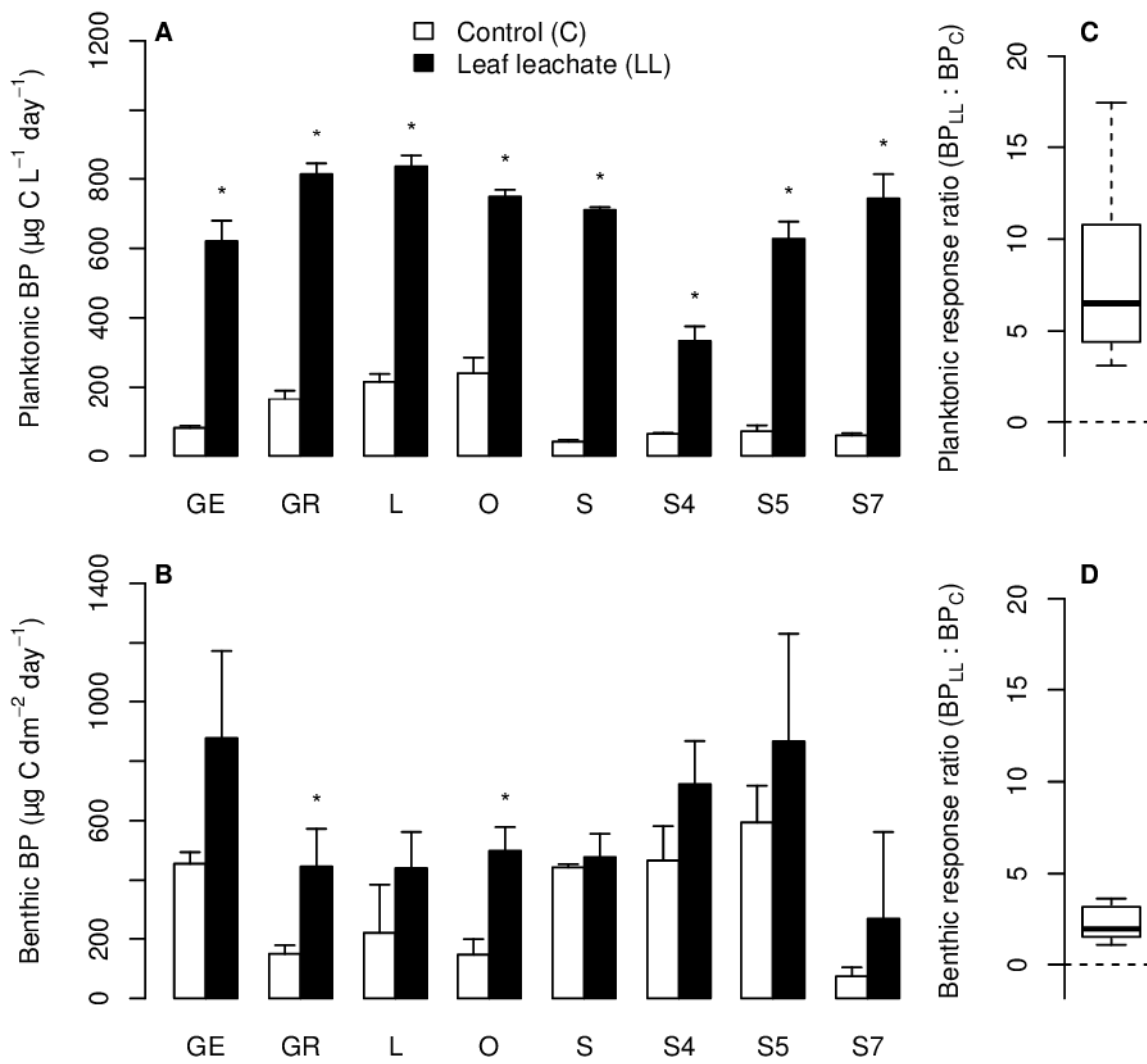
622 Figure 1



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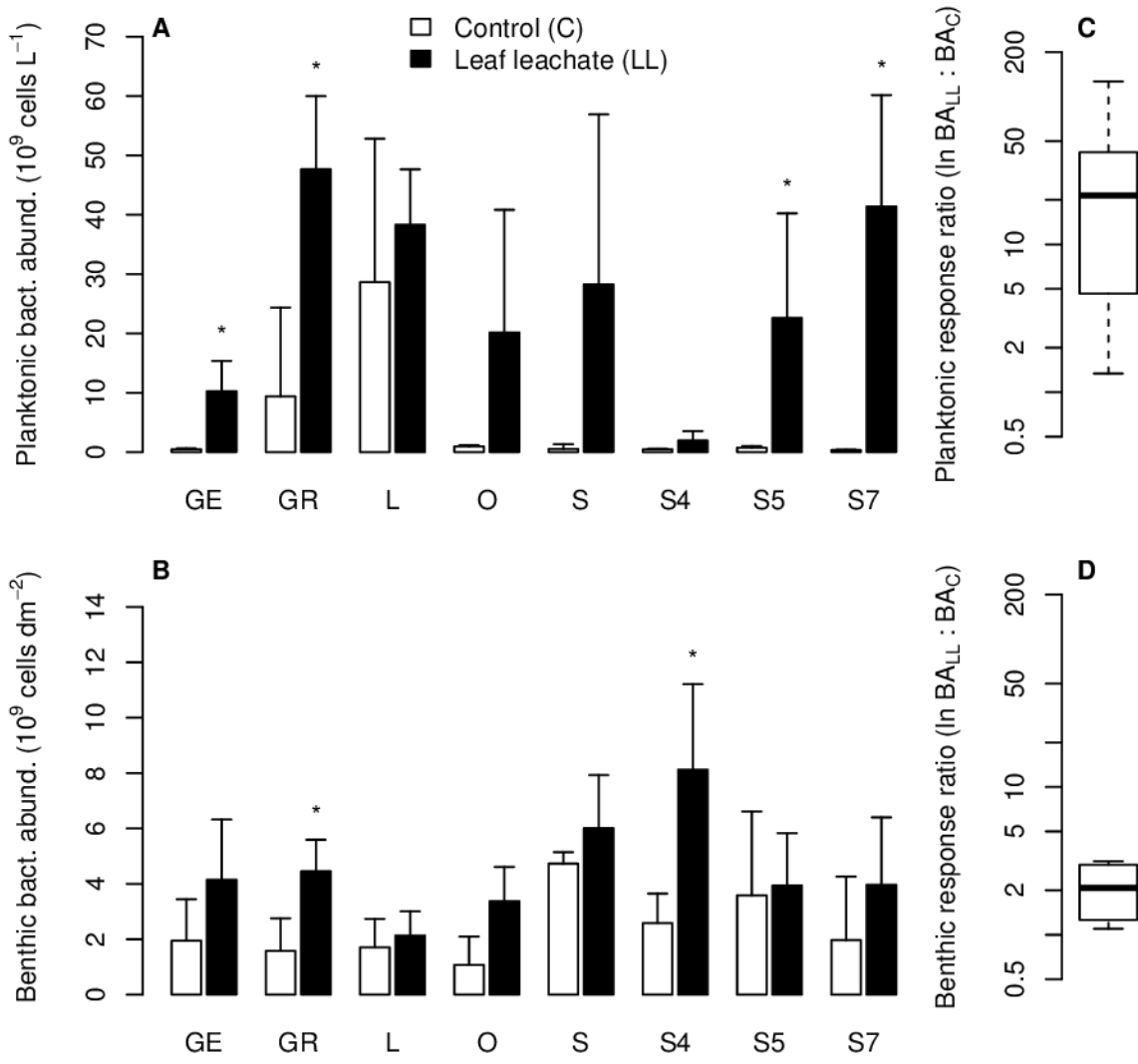
626 Figure 2

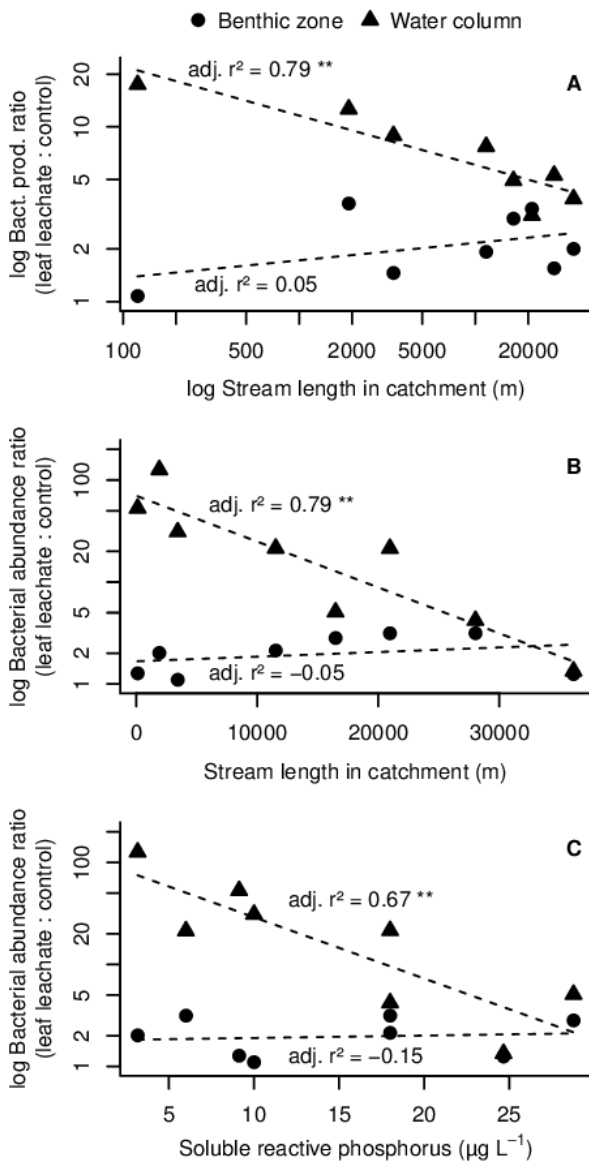


627

628 Figure 3

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633

634 Figure 5

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636

637 **Appendix A**

638

639 Table A1. Position and catchment characteristics of the investigated streams. Catchment size
 640 represents the surface catchment size, based on topographic maps. Land use contributions were
 641 calculated based on the Areal Informations Systemet – AIS (Nielsen et al. 2000).

Stream	Latitude (dec.°)	Longitude (dec.°)	Catchment size (km ²)	Total stream length (km)	Arable (%)	Forested (%)	Pasture/ Grassland (%)	Other land use (%)
GE - Gelbæk	56.2253	9.8116	11.8	11.5	92	2	0	6
GR - Granslev	56.2849	9.8980	7.4	16.5	29	59	7	5
L - Lemming Å	56.2448	9.5301	57.0	36.1	82	7	1	10
O - Oddebæk	55.9230	9.2895	27.6	20.9	68	31	0	1
S - Sandemands- bækken	56.1565	9.4948	0.1	0.1	0	100	0	0
S4 - Javngyde Bæk	56.1073	9.8232	46.4	28.0	87	4	0	9
S5 - Ellerup Bæk	56.2275	9.7624	3.9	3.4	93	3	0	4
S7 - Skærbæk	56.0826	9.4224	4.6	1.9	26	71	0	3

642

643

644 Table A2. Dissolved organic carbon (DOC) concentration and dissolved organic matter (DOM) characteristics of the investigated streams,
645 based on fluorescence, absorbance and size-exclusion chromatography measurements (SEC). HIX = humification index, FI = fluorescence index,
646 BA = beta:alpha/ freshness index, DOC = DOC concentration, measured by size-exclusion chromatography (SEC; mg L⁻¹), DOC_{HMWS} = DOC
647 concentration of the high-molecular weight SEC fraction (mg L⁻¹), DOC_{HS} = DOC concentration of the humic-substance like weight SEC fraction
648 (mg L⁻¹), DON_{HMWS} = dissolved organic nitrogen (DON) concentration of the high-molecular weight SEC fraction (mg L⁻¹), DON_{HS} = DON
649 concentration of the humic-substance like weight SEC fraction (mg L⁻¹), SUVA = Specific absorbance of bulk DOM at 254 nm (L mg⁻¹ m⁻¹),
650 SUVA_{HS} = Specific absorbance of the humic-substance like SEC DOM fraction at 254 nm (L mg⁻¹ m⁻¹).

Stream	HIX	FI	BA	DOC	DOC _{HM} _{WS}				DON _{HS}	SUVA	SUVA _{HS}
GE -											
Gelbæk	0.95	1.63	0.63	5.18	0.08	4.56	0.54	0.009	0.61	3.3	4.3
GR -											
Granslev	0.92	1.63	0.64	1.68	0.07	1.26	0.35	0.008	0.07	2.5	3.1
L -											
Lemming											
Å	0.94	1.57	0.58	3.29	0.07	2.78	0.43	0.005	0.15	3.3	4.9
O -											
Odderbæk											
k	0.95	1.52	0.56	5.46	0.05	4.84	0.56	0.005	0.28	3.9	4.8
S -											
Sandema											
nds-											
bækken	0.88	1.62	0.63	0.58	0.01	0.41	0.15	< 0.005	< 0.005	3.1	3.7
S4 -	0.94	1.62	0.63	4.86	0.11	4.09	0.67	0.005	0.24	3.2	4.6
Javngyde											

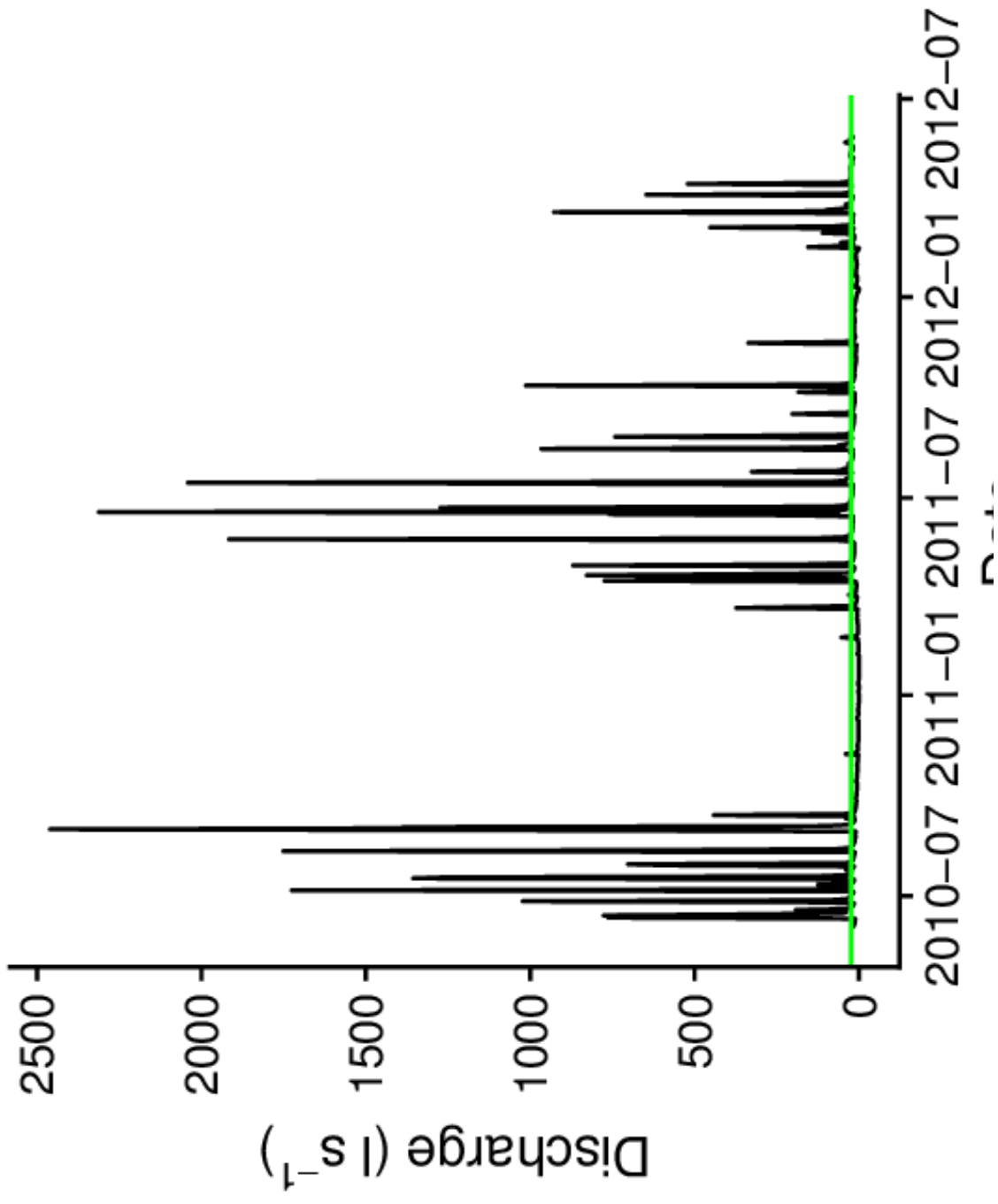
Appendix B

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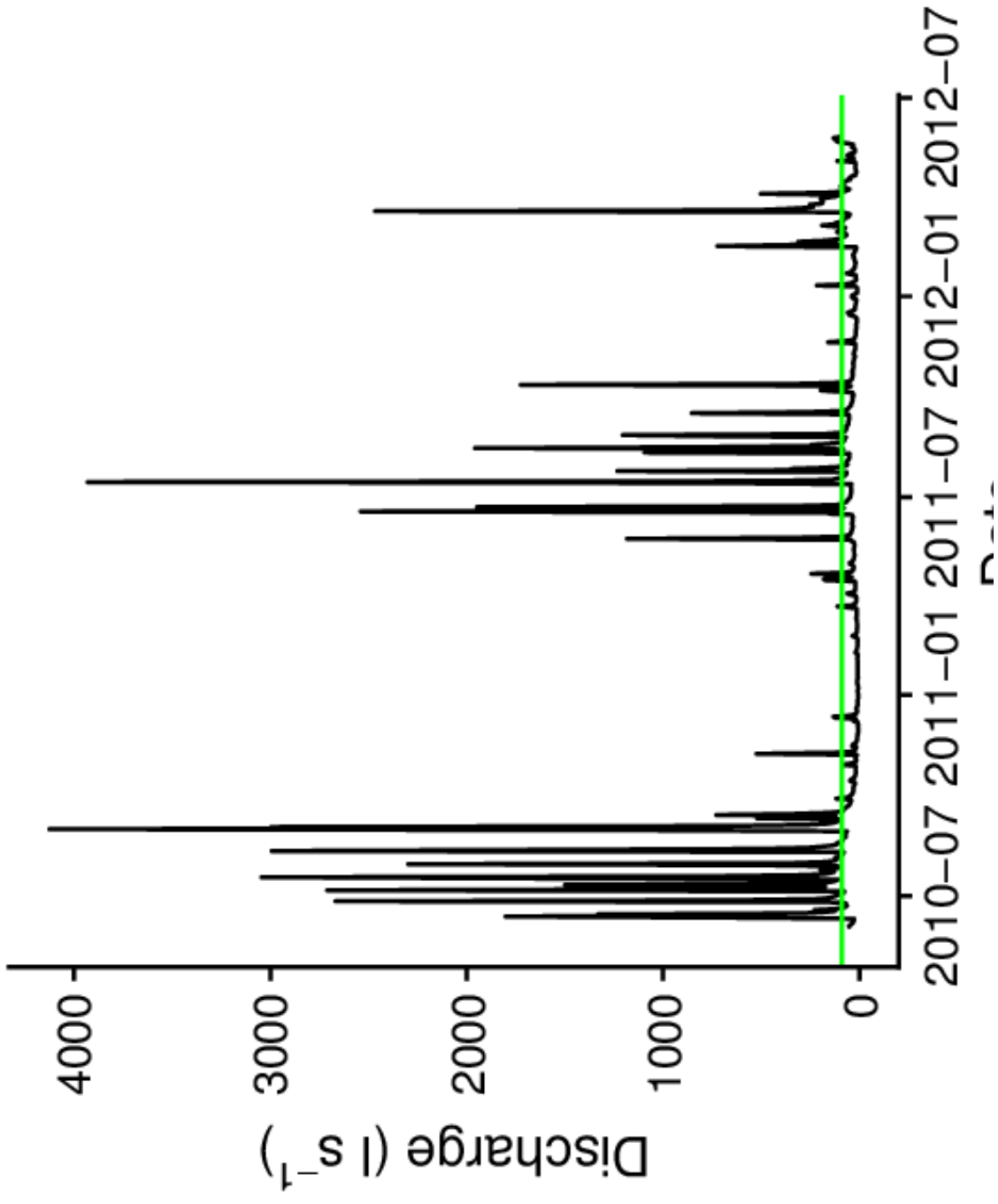
Subtropical, arable farming



662 Fig. B1: Hydrograph of the subtropical stream with an arable-farming dominated catchment. The green line indicates the pulse threshold (the 75th
663 percentile of a flow-duration curve), hence discharges above this threshold were classified as hydrologic pulses.

664

Subtropical, pasture



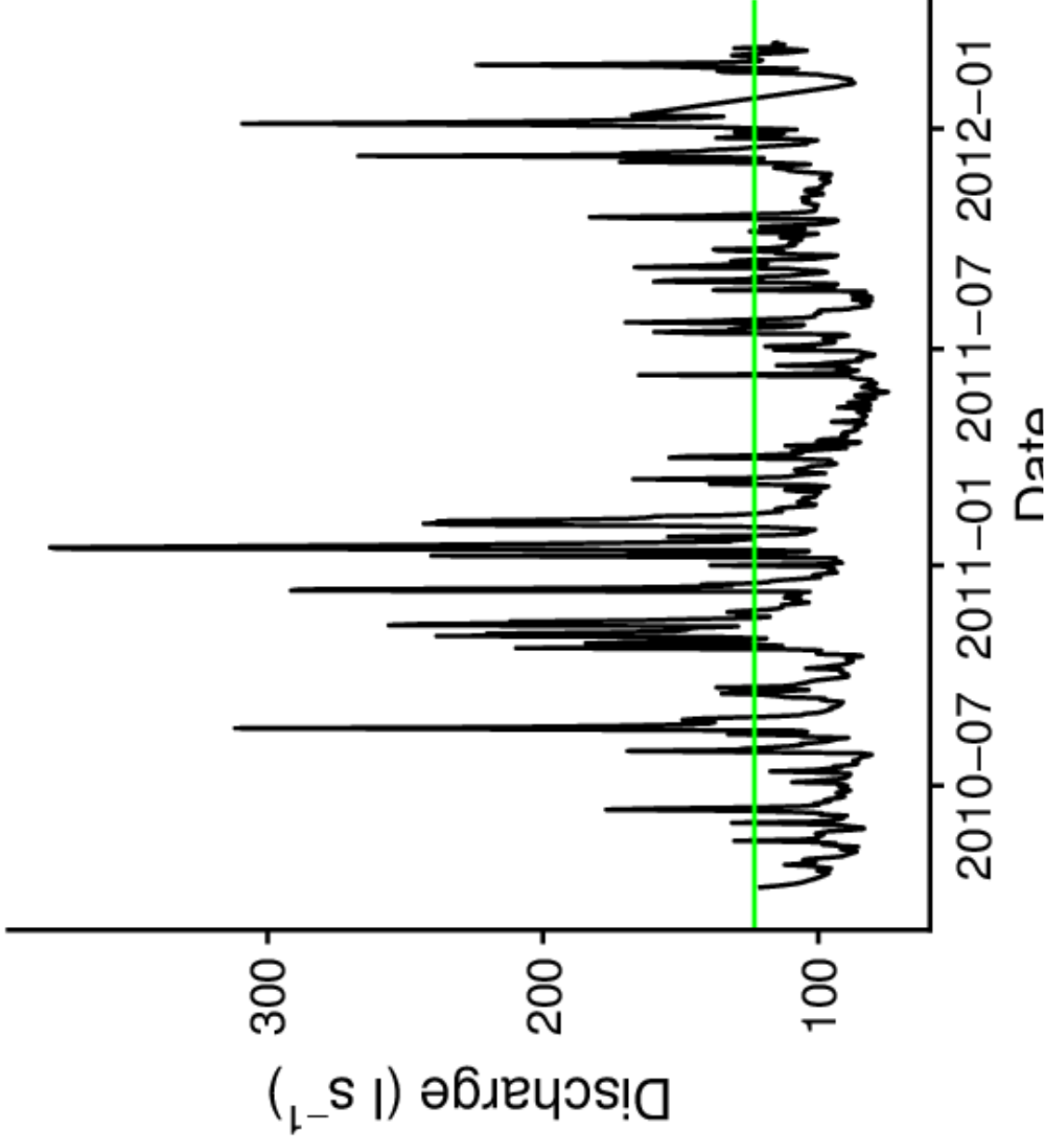
666 Fig. B2: Hydrograph of the subtropical stream with a pasture-dominated catchment. The green line indicates the pulse threshold (the 75th percentile
667 of a flow-duration curve) and discharges above this threshold were classified as hydrologic pulses.

668

669

670

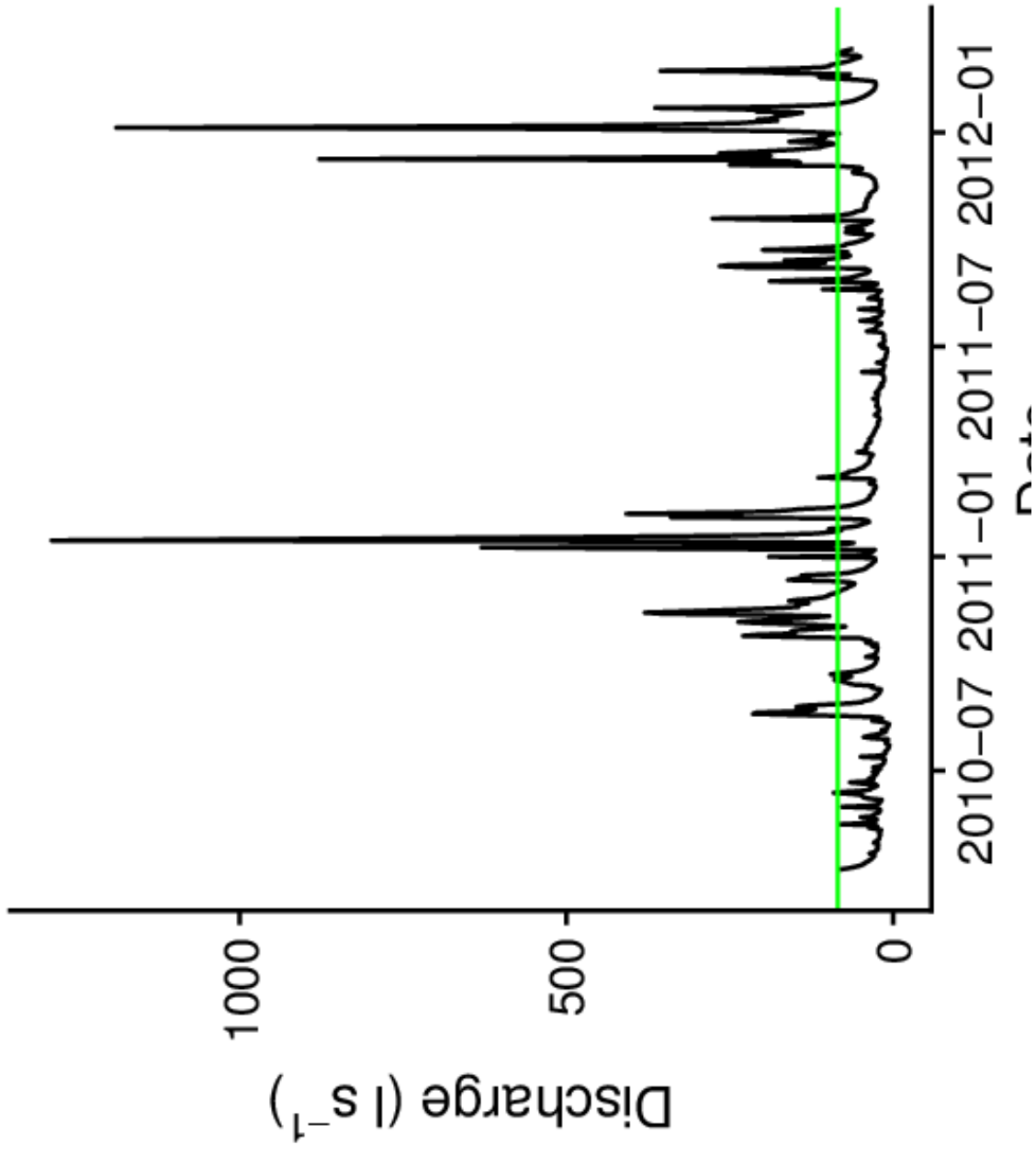
Temperature, pasture



672 Fig. B3: Hydrograph of the temperate stream with an arable-farming dominated catchment. The green line indicates the pulse threshold (the 75th
673 percentile of a flow-duration curve) and discharges above this threshold were classified as hydrologic pulses.

674

Temperate, arable farming



676 Fig. B4: Hydrograph of the temperate stream with a pasture-dominated catchment. The green line indicates the pulse threshold (the 75th percentile
677 of a flow-duration curve) and discharges above this threshold were treated as hydrologic pulses.

678