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Characteristic regional differences in trace
 element pattern of 2014 German north sea
 surface wadden sediments – A judge
 and assessment

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# 18 **Graphical Abstract:**



- 20 **Keywords:** trace elements, enrichment factors, chemometrics, ICP-MS
- 21 Highlights:
- 22 Comprehensive metal pollution fingerprint for the German Wadden Sea
- 23 Analysis of 42 elemental mass fractions in <20 μm grain size fraction
- 24 Chemometric assessment revealed distinct local pollution patters
- 25 Results indicate an improvement of the pollution status of the investigated region
- 26

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# 28 Abstract

29 The European Marine Strategy Framework Directive (MSFD) requires good ecological status 30 of the marine environment. This also includes the Wadden Sea located in the southeastern part of the North Sea and its chemical status of sediments. Based on results from campaigns 31 32 conducted in the 1980s, 32 surface sediment samples were taken in 2014 to check whether 33 the sampling strategy required for characterizing the trace element content in sediments is 34 representative and to determine the degree of pollution and potential changes over the last 35 decades. For this purpose the elemental mass fractions of 42 elements were assessed in the  $\leq$ 20 µm grain size fraction of the surface sediments. 36

37 Based on cluster analysis a clear correlation between the element distribution and the 38 geographical location of the sampling locations of the German Wadden Sea could be found. 39 As a result of the principal component analysis, three sub-catchments were significantly 40 separated from each other by the characteristic element distributions in the sediments 41 (Norderney and Weser, Elbe and offshore areas, and North Friesland). With the help of 42 discriminant analysis, the classification was confirmed unambiguously. Small anomalies, such 43 as potentially contaminated sites from WWII, could be identified. This proved that the sampling strategy for sediment characterization with reference to trace elements in the 44 45 Wadden Sea of the German Bight is representative.

The impact of regulation and changes on the overall sediment quality is most evident when looking at the environmentally critical elements such as As, Cd, Hg, and Cr. For these elements the mean mass fractions show a significant reduction over the last three decades. Current sediments feature only slightly elevated mass fractions of Ag, Cd, Ce, Cs, Nd, Pb, Se at some sampling locations.

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# 53 1. Introduction

54 The Wadden Sea of the North Sea extends from Den Helder peninsula in the Netherlands, 55 through the estuaries of the different German rivers (Ems, Weser, Elbe), along the Frisian 56 Islands, to its northeastern boundary at the island of Skallingen in Denmark. Within these 57 boundaries, its length is about 500 km and its maximum width is approximately 40 km, covering an area of up to 10000 km<sup>2</sup> (Van den Brink and Kater, 2006) (Wolff, 1988). Hence, 58 59 the coastal area of the Wadden Sea represents Europe's largest wetland biotope and the 60 largest mudflats area in the world (Lotze, 2007). Consequently, three national parks and three biosphere reserves have been recognized as World Heritage Sites by the Organization of 61 62 United Nations for Education, Science and Culture (UNESCO) since 2009, and are therefore 63 under its protection (Gittenberger et al., 2016).

64 The North Sea in its entirety is subjected to an enormous anthropogenic influence because it 65 is surrounded by one of the most industrialized areas in the world and is heavily used for 66 shipping and off-shore constructions (Enemark, 2005). Thus, construction measures such as dike building, draining of areas or pre-washing of sand for coastal protection cause a 67 continuous change of natural conditions, which, among other things, also affects the 68 69 ecosystem of the (German) Wadden Sea (Kabat et al., 2012; Lotze et al., 2005). Furthermore, 70 the North Sea is affected by inputs of waste from highly industrialized neighboring countries, 71 which enter the water body via the atmosphere, sewage and especially via the rivers flowing 72 into the German Bight (Freese et al., 2008).

73 A class of pollutants which are of high concern for the environment due to their toxicity are 74 metal(loid)s like As, Cd, Cr, Cu, Hg, Ni, Pb and Zn. Discharges of this class of pollutants have 75 decreased in recent decades, but inputs are still noticeable (Logemann et al., 2022). 76 Quantitatively, the highest input of metal(loid)s origins from major rivers discharging into the 77 North Sea. For example, the input of cadmium from the Elbe River into the Wadden Sea has 78 decreased from about 10 tons per year in 1986 to about 3 tons in 2000 (Essink et al., 2005b). 79 However, regular extreme events such as flooding result in the transport of large amounts of 80 dissolved and particulate bound pollutants originating from legacy pollutant deposits present 81 in the different river catchments, like the Elbe River (Pepelnik et al., 2005).

82 After the release of (heavy) metals and metalloids into a water body, only a small amount of 83 the metal(loid)s ions is present in the water phase due to hydrolysis, adsorption as well as co-84 precipitation. By far the biggest part of the metal(loid)s ions is retained in the sediment due 85 to particulate properties and chemical composition (Bastami et al., 2014). The accumulation 86 of metal(loid)s ions in the sediment, or the ability to re-dissolve and thus be dispersed by the 87 water stream, depends on a variety of factors, such as redox potential, pH, salinity or the 88 presence of organic ligands. Therefore, sediments can also serve as source, apart from sink, 89 for a wide range of pollutants including metal(loid)s (Bastami et al., 2014; He et al., 2012; 90 Wang et al., 2014). Due to the fact that they usually serve as sinks, sediments can be used to 91 monitor and assess the status of water bodies regarding metal(loid)s (Chabukdhara and Nema, 92 2012; Ioannides et al., 2015). Due to the toxic and non-biodegradable nature of many 93 metal(loid)s, the determination of their mass fraction in various environmental matrices like 94 sediment, suspended matter or biota is of great interest. In a large area like the Wadden Sea, 95 the elemental composition and quality of sediments is influenced by different parameters like 96 the adjacent North Sea or river discharges (Postma, 1981). Hence, sediments are defined by 97 natural characteristics, which are based on their geographical location, e.g., protection by a 98 barrier island, its geological origin or anthropogenic impacts. A wide variety of parameters are 99 required to fully characterize a sediment sample in terms of its nature and geographic 100 location, which makes both the analytical process, and the following data processing, much 101 more demanding.

102 Developments in the field of analytical chemistry enabled the rapid digestion of sediment 103 samples and the determination of their elemental composition e.g., by ICP-MS. The 104 automatization in terms of sample preparation, as well as sample introduction allows for the 105 generation of large datasets, which can be subjected to cross examination for multivariate 106 statistics. Methods, like cluster analysis or principal component analysis, allow for the 107 interpretation of large amounts of data. Consequently, they can be put into context in terms 108 of geographic location and anthropogenic influence. The benefits of this combined approach 109 have already been exhibited by Reese et al. (Reese et al., 2019). In this study statistical 110 approaches, based on elemental mass fractions and isotopic signatures of selected elements, 111 were used to unravel tracers for dynamic processes like sediment transport and mixing. A 112 similar approach was used by Deng *et al.* (Deng et al., 2021) for the Weser river.

In this study, we investigated the elemental composition of surface sediments (grainsize  $\leq 20 \ \mu$ m) taken from the Wadden Sea and incorporated different statistical approaches (cluster analysis, principal component analysis and discriminant analysis), to evaluate suspected geological and anthropogenic influences. Furthermore, the obtained data is compared with older Wadden Sea sampling campaign data, which took place in the years 1984 to 1991.

## 118 2. Experimental

#### 119 2.1. Materials, Reagents and Standards

120 For sample digestion hydrochloric acid (30 % w/w, Suprapur; Merck KGaA, Darmstadt, 121 Germany), nitric acid (65 % w/w, Suprapur; Merck KGaA, Darmstadt, Germany), hydrogen 122 peroxide (30 % w/w, Ultrapure; Merck KGaA, Darmstadt, Germany) and tetrafluoroboric acid 123 (48 % w/w; Sigma Aldrich Corp., Missouri, USA) were used. Hydrochloric acid and nitric acid 124 were double sub-boiled in quartz stills (AHF Analysentechnik, Tübingen, Germany) under clean 125 room conditions. After digestion, samples were transferred to precleaned DigiTUBEs (SCP 126 Science, Quebec, Canada). For dilution, type I reagent grade water obtained from a Milli-Q-127 System was used (>18.2 M $\Omega$ ·cm; Merck Millipore, Darmstadt, Germany). For quality control, 128 four different reference materials were used: SRM2702 and NBS 1646 (National Institute of 129 Standards and Technology, Gaithersburg, USA), GBW 07313 (National Research Center for 130 Certified Reference Materials, Beijing, China) and PACS-2 (National Research Council of 131 Canada, Ottawa, Canada).

Four custom-made, multi elemental standards (all traceable to NIST standards; Inorganic Ventures, Christiansburg, USA) and four single element standards (In, Rh, Sc (Certipur; each 1000 mg L<sup>-1</sup>), Hg (Certipur; 100 mg L<sup>-1</sup>) (Merck KGaA, Darmstadt, Germany)) were used as internal standards and for calibration purposes.

All preparatory laboratory work, as well as measurements, were performed in a class 137 10000/1000 clean room. Measurements were conducted on an Agilent 8800 ICP-MS/MS 138 system (Agilent Technologies, Inc., Tokyo, Japan) coupled to an ESI SC-4 DX FAST autosampler 139 (Elemental Scientific, Omaha, Nebraska, USA) equiped with a discrete sampling system.

The data were processed using the MassHunter Software version 4.2 (Agilent Technologies,
Tokyo, Japan) and the multivariate statistics were carried out with Statistica Version 12.7
(StatSoft, Inc., Oklahoma, USA).

#### 143 2.2. Sampling Sites and Sampling

144 In total 32 surface sediment (top 2-3 cm) samples were taken in the German part of the 145 Wadden Sea, during June and July of 2014. Depending on the field station, samples were 146 either collected from the Ludwig Prandtl research vessel, using a jaw or boxcorer or, if the 147 sampling site was accessible on foot, using a sampling cylinder. The surface sediment samples 148 were collected in pre-cleaned 2 L LDPE bottles and stored at -20 °C until further processing. A 149 map of all sampling locations can be found in SI Fig. 1.

#### 150 2.3. Sample Preparation and Measurement

151 The sediments were freeze-dried (Christ Gefriertrocknungsanlagen, Osterode, Germany) and 152 the  $\leq$  20 µm fraction was separated using a sieve tower, equipped with PTFE sieves and a 153 continuous flow centrifuge equipped with a titanium rotor (Contifuge Stratos, Thermo 154 Scientific, Waltham, USA). Subsequently, two optimized microwave digestions methods were 155 applied to cover the analysis of 54 elements. The samples were digested and measured as 156 triplicates. For sample digestions, two different CEM microwave systems (CEM Corp., Kamp 157 Lintfort, Germany) were used: i) MARS Xpress5 with 55mL TFM vessels and ii) Discover SP-D 158 with 35 mL Pyrex vessels. For the Elements As, Cd, Fe, Ga, Hg, Se, Tl, a method based on the 159 Mars Discover SP-D was used (50 mg Sample, 3 mL HNO<sub>3</sub>, 1 mL HCl, 1 mL H<sub>2</sub>O<sub>2</sub>: i) 120 °C, 3 min 160 ramp, 3 min hold, ii) 160 °C, 3 min ramp, 4 min hold, iii) 200 °C, 3 min ramp, 4 min hold)). For 161 the other 47 elements (Ag, Al, Ba, Be, Bi, Ce, Co, Cr, Cs, Cu, Dy, Er, Eu, Gd, Ho, La, Lu, Mg, Mn, 162 Mo, Nd, Ni, Pb, Pd, Pr, Pt, Rb, Re, Ru, Sb, Sc, Sm, Sn, Sr, Tb, Te, Th, Ti, Tm, U, V, W, Y, Yb, Zn, 163 Zr), a method based on the Mars Xpress 5 was used (5 mL HNO<sub>3</sub>, 2 mL HCl, 1 mL HBF<sub>4</sub>; i) 180 164 °C, 60 min ramp, 300 min hold). Further details regarding the digestion protocol can be found 165 elsewhere (Zimmermann et al., 2020a). Both methods were optimized with reference 166 materials NBS 1646, GBW 07313, PACS-2 and NIST 2702. The success and repeatability of the 167 digestions was checked based on the reference material NIST 2702 for each digestion batch. 168 For drift correction 10  $\mu$ L of a 10 mg L<sup>-1</sup> Rh solution were added before the digestion and 10  $\mu$ L 169 of a 10 mg L<sup>-1</sup> In solution was added after transferring the sample to 50 mL DigiTUBEs and 170 diluting to 50 mL with ultra-pure water. The samples were analyzed using an 8800 Agilent ICP-171 MS/MS System. For each element, the available cell conditions were tested (cell gas: He, NH<sub>3</sub>, 172 H<sub>2</sub>, No Gas; mass shift or not) and the optimal setup, in terms of recovery, was used. A list of 173 measured elements, their corresponding isotopes and measuring modes can be found in SI

Tab. 1. The MS-System was tuned on a daily basis using a 10 μg L<sup>-1</sup> tune solution containing Li,
Co, Y, Ce and TI

176 2.4. Data analysis

177 2.4.1 Calculation of *I*<sub>geo</sub>

To assess the current state of the investigated sediment, the *Geoaccumulation Index* (I<sub>Geo</sub>) as
 developed by Müller (Müller, 1979) was applied. The index is defined as follows:

180  $I_{Geo} = \log_2 (C_n / 1.5 B_n)$  Eq. 1

181 With  $C_n$  being the measured mass fraction of the element in the sediment,  $B_n$  the geochemical 182 reference value. The constant 1.5 allows taking natural variance into account and to neglect 183 minimal anthropogenic influences. Müller 1979 defined seven classes ranging from Class 0 184 (I<sub>Geo</sub>=0, unpolluted) to Class 6 (I<sub>Geo</sub>>5, extremely polluted).

#### 185 2.4.2 Cluster Analysis

The robustness of the groups and subgroups of the cluster analysis was tested by randomly choosing 5% of the dataset and vary these values by ± 15% or ± 25%, respectively. This procedure was repeated ten times for both percentages. Furthermore, to test the robustness of the groups against other clustering methods, three different clustering methods (*Single Linkage, Complete Linkage* and *Unweighted Pair-Group Linkage*), besides the Ward-Method, were used. A detailed description of the statistical analysis can be found elsewhere (Reese et al., 2019) (Deng et al., 2021).

193 3. Results and Discussion

194 The sampling sites were chosen based on their geomorphological and hydrodynamic 195 characteristics, representing the different characteristic mud flat areas within the German 196 Wadden Sea. Therefore, four different zones were defined: i) high and constant salinity, 197 sheltered back tidal flats in west/east direction (East Frisia), with offshore barrier islands, 198 narrow intertidal, and high turbidity (nine samples; Number 1-9 (Fig. 1a); ii) estuarine tidal 199 flats with variable salinity, high exposure and pronounced morphological dynamics with high 200 turbidity in the area of the Weser and the Elbe Rivers (16 samples; Number 10-25 Fig. 1a); iii) 201 high salinity, bay mudflats with predominant sedimentation of fine-grained material and low 202 turbidity (one sample; number 26 Fig. 1a) and iv) high salinity, protected and exposed tidal flats in south/north direction (North Friesland) without barrier islands, with broad intertidal
and low turbidity (eight Samples; number 27-34 Fig.1a).

**205** 3.1. Assessment of the presence and intensity of anthropogenic contaminants

To assess the current environmental state of the investigated sediments, the
 *Geoaccumulation Index* (I<sub>Geo</sub>) as developed by Müller (Müller, 1979) was applied.

208 Different reference values were used: i) world wide data by Turekian and Wedepohl (Turekian 209 and Wedepohl, 1961) for all analyzed elements; ii) reference values ( $\leq 20 \, \mu m$  grain size 210 fraction) obtained for unpolluted Elbe river sediment at Tangermünde by Prange et al. 211 (Prange, 1997) for all analyzed elements excluding Hg, Pd, Pt, Re, Ru, Se, Te (elements are 212 arranged alphabetically); iii) the smallest measured mass fraction of each element of the 213 sampling campaign 2014 under the assumption that this value is close to the geogenic value 214 in the study area, as described by Tümpling et al. (Tümpling et al., 2013) and iv) the reference 215 values of Lake Victoria sediment obtained by Ribbe et al. (Ribbe et al., 2021) for Al, As, Ba, Be, 216 Cd, Co, Cr, Cu, Fe, Mg, Mn, Mo, Ni, Pb, Rb, Se, Sn, Sr, Ti, V and Zn. Within this context reference 217 values published by Turekian and Wedepohl are used as classical reference values for the IGeo. 218 In addition, since the Elbe is one of the main sources of metal(loid)s pollution discharging into 219 the Wadden Sea, the mass fractions determined by Prange et al. for this river near the Wadden 220 Sea (Tangermünde) were used. Finally, the mass fractions of sediments from Lake Victoria 221 were used as additional reference values because these sediments are not as affected by 222 industrialization as the Wadden Sea sediments studied. Indeed, bedrock geology of the Lake 223 Victoria will be different compared to the Wadden Sea (Okungu et al., 2005).

Using the standard established by Turekian and Wedepohl, most elemental mass fractions for the stations are in the range of Class 0 to Class 1 and are therefore classified as unpolluted or only slightly affected by anthropogenic influences. For sampling site 13-24 (located at Elbe and Weser Rivers), the index for Pb reaches class 2, showing the influence that these rivers still have on the sediments of the Wadden Sea. The *I*<sub>geo</sub> class for Ag it is *Class 2* for most stations and *Class 3* for the stations 13, 14, 15 and 22. The data are shown in SI Fig. 2.

The mass fractions determined by Prange *et al.* refer to the ≤20 µm grain size fraction of the
sediment(Prange, 1997). Tangermünde is located close to the Wadden Sea, therefore these
data are well suited as reference value. Using the mass fractions as reference values, some

changes in the classification of some elements become apparent. For Ag the  $I_{Geo}$ -Class is reduced to *Class 0* and *Class 1* instead of being between *Class 2* and *Class 3*. Amendments also appear for Sr and Sn. For Sr, the classification increases for most sampling sites to Class 1 and for sampling site 6 to 2. For Sn the  $I_{Geo}$ -Class for stations 12-15, 19, 20, 22-26, 28-34 increased from Class 0 to Class 1. The greatest change is observable for Mo. Here the  $I_{geo}$ -class is increased up to class 5. The data are shown in SI Fig. 3.

Another possibility is to use the smallest measured value of a parameter of the measurement campaigns as a reference value under the assumption that this value is close to the geogenic background of the study area. The indices calculated under this assumption are mostly in Class 0, which indicates how close the stations are towards each other for most of the elements.

For Comparison, values determined by Ribbe *et al.* were also taken as reference values. An great increase is evident for the elements As (Class 3 to 4), Cd (Class 3 to 4), Mg (Class 2 to 3), Mn (Class 2 to 4), Mo (Class 1 to 5), Pb (Class 2 to 3), Se (Class 2 to 3), Sr (Class 2 to 3) and Ti (Class 2 to 3). The deterioration of the sediment classification using these mass fractions was expected, since, as mentioned above, Lake Victoria sediments were not subject to strong industrialization effects. The data are shown in SI Fig. 4.

These results indicate that reliable and local reference mass fractions are needed to evaluate the presence and intensity of anthropogenic pollutant depositions of sediments. Since the area under study is geographically close to the data collected in Tangermünde in 1993–1997, this is the best reference data available. Based on these mass fractions, the sediments sampled from the tidal flats are in good condition and, according to *I*<sub>Geo</sub>, are predominantly uncontaminated to moderately contaminated (Class 0-2).

255 3.2. Changes in the sediment quality during the last 30 years (1984-2014)

In the years 1984 to 1991 a similar region as presented in this study was investigated by the *Helmholtz-Zentrum Hereon*. This period falls in a time when the Rhine River, as well as the Elbe
River were strongly used, and high amounts of various pollutants were released into these
river systems (Ciszewski and Grygar, 2016; Müller and Förstner, 1975; Stigliani *et al.*, 1993;
Vink et al., 1999). In addition, different North Sea regions were used for the dumping of
contaminated harbor sediments or acidic chemicals from the TiO<sub>2</sub> production (Pickaver, 1982).
In the last 30 years, the use and release of metal(loid)s has been greatly reduced, especially

through stricter regulations, rules and laws. In addition, some flood events took place during this time period, increasing the runoff and the effects of altered metal(loid) loading in the major rivers that flow into the North Sea.(Engel, 1997; Pfister et al., 2004; Van der Ploeg and Schweigert, 2001) Therefore, a change should be observed over the years. The sampling locations for both sampling campaigns are shown in Fig. 1.



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Figure 1: A) Locations of the 34 sampling sites where sediment samples were collected during the 2014 sampling campaign B) Locations of the sampling sites where sediment samples were collected during campaigns from 1984 to 1991. The numbers and symbols indicate the groups identified in the respective cluster analysis. All data relate to ≤20 µm grain size fraction. This comparison is possible for 15 (As, Cd, Cr, Cu, Fe, Ga, Hg, Mn, Ni, Pb, Rb, Sr, V, Y, Zn) of the 17 elements studied in the sampling campaign from 1984-1991, since they are measured in both studies for the  $\leq 20 \,\mu$ m grain size fraction. The key values for these two studies are summarized in Tab. 1.

Table 1: Mass fractions (as min, max, mean, median) and average/median ratios obtained in the two different studies from 2014 and from 1984-

280	1991 (1980s)	. All elemental	mass	fractions	relate to	i ≤20 μm	n grain	size	fraction.
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	As		Cd		Cr		Cu		Fe		Ga		Hg		Mn	
	1980	2014	1980	2014	1980	2014	1980	2014	1980s	2014	1980	2014	1980	2014	1980	2014
	s		s		s		s				s		s		s	
Min[µg/kg]	7	15	0.06	0.31	40	63	4.0	17	17000	26000	5.0	22	0.06	0.14	230	480
Max[µg/kg]	130	45	2.6	1.2	190	130	48	41	63000	69000	21	57	1.0	0.75	390	1900
Avg.[µg/kg]	35	23	1.0	0.52	110	88	21	25	38000	40000	14	33	0.39	0.34	680	780
(2 <i>SD</i> )	(40)	(13)	(1.1)	(0.35)	(55)	(34)	(15)	(11)	(2000	(2200	(7)	(15)	(0.29	(0.30)	(920)	(610)
									0)	0)			)			
Median	30	21	0.92	0.48	110	83	22	24	38000	36000	15	32	0.37	0.30	560	700
[µg/kg]																
Avg./Median	0.98	1.1	1.1	1.1	0.99	1.1	0.97	1.1	1.1	1.10	0.95	1.04	1.0	1.1	1.2	1.1
	Ni		Pb		Rb		Sr		V		Y		Zn			
	1980	2014	1980	2014	1980	2014	1980	2014	1980s	2014	1980	2014	1980	2014		
	s		s		s		s				s		s			
Min[µg/kg]	21	29	11	41	44	71	87	180	49	75	13	17	47	120		
Max[µg/kg]	68	51	140	120	150	140	330	750	220	130	42	31	480	240		
Avg.[µg/kg]	45	40	65	60	110	110	210	280	130	110	25	21(6)	220	160		
(2 <i>SD</i> )	(18)	(11)	(51)	(30)	(52)	(28)	(120)	(210)	(66)	(33)	(12)		(150)	(60)		
Median	47	40	64	56	110	110	210	250	130	100	25	20	210	150		
[µg/kg]																
Avg./Median	0.96	1.0	1.0	1.1	0.97	1.0	0.96	1.12	1.0	1.0	1.0	1.1	1.1	1.1		

The impact of regulation and changes in use is most evident when looking at the average mass fractions of environmentally critical elements such As (23 µg/kg in 2014 vs. 35 µg/kg in 1980s), Cd (0.52 µg/kg in 2014 vs. 1.0 µg/kg in 2014), Cr (88 µg/kg in 2014 vs. 110 µg/kg in 1980s) or Zn (160 µg/kg in 2014 vs. 220 µg/kg in 1980s). For these elements, the mean value shows a significant decrease over the last three decades.

287 An anomaly which appeared in the data set are increased Ga mass fractions in the 288 investigated sediment samples (33  $\mu$ g/kg in 2014 vs. 14  $\mu$ g/kg in 2014). This could be due to 289 the increased use of Ga and the production of Ga components, which can lead to an increased 290 release of Ga into the environment. Since Ga production industry is present along the Elbe 291 River, this assumption is supported by observations made Prange et al. in 2001. Increased Ga 292 mass fractions were measured locally within the Elbe estuary (Prange, 2001). In addition, 293 increased construction of offshore structures protected by galvanic anodes may also lead to 294 increased Ga mass fractions in sediments during recent years. The aluminum alloys 295 predominantly used here contain a significant proportion of gallium (Reese et al., 2020).

The cluster analysis performed for the 1984–1991 data set did not result in a geographic separation of the stations. Resulting in a separation into five groups along their specific pollution fingerprint. There may be two reasons for this: i) the number of elements studied is not high enough to expose typical geologic structures or ii) the anthropogenic influence is too extensive and masks the original geogenic fingerprint.

301 3.3. Revealing possible metal(loid)s pollutant fingerprints using cluster analysis302 and principal component analysis

303 To determine possible characteristic fingerprints within the sediment samples for the 304 different sampling sites (2014), multivariate statistics were incorporated. As a first approach 305 and to categorize the sediments, a cluster analysis (Ward Method) was used (Fig. 2 A). Three 306 different clustering methods (Single Linkage, Complete Linkage und Unweighted Pair-Group 307 Linkage), besides the Wade-Method, were used. All tested procedures and dataset changes 308 led to the same grouping and subgrouping of the dataset. Hence, the grouping is appropriate, 309 and the clusters, and therefore the element mass fractions are characterizing stable sediment 310 regimes.



311

Figure 2: A: results of the cluster analysis for 32 sampling stations (2014), regarding their elemental mass fractions; B results of the cluster analysis for the 42 analyzed elements, regarding their mass fraction within the sampling sites; C: score plot of the PCA for the 32 sampling sites and the 42 elements; D: loading plot for the performed PCA for the 32 sampling sites and the analyzed 42 elements; E: discriminant analysis performed for the 4 groups obtained from the cluster analysis. All data relate to ≤20 µm grain size fraction.

318 The dendrogram splits the sampling sites into seven clusters (Fig. 2 A), based on their elemental composition. While most of the clusters contain at least three sampling sites, two 319 320 sampling sites form their own cluster. Sampling site 29, which is located at the western end 321 point of the island of Norderney, has the furthest distance from any adjacent group and forms 322 its own cluster, even though it is located close to the other sampling sites around the island 323 of Norderney. This sampling site is characterized by an increased elemental mass fraction of 324 technical critical elements (TCE) especially the rare earth elements (REE). The mass fractions 325 of La and Gd are up to 2.5 times higher than for all other sampling stations of this campaign. 326 Most of the sampling sites along the East Frisian Island of Norderney (sampling site 27-34) are 327 located on a tidal inlet (Norderney Seegat). Thus, are in a corridor that has high currents 328 during tides, resulting in erosion. However, sampling site 29 appears to have a different flow 329 path that results in a sink of anthropogenically released elements that accumulate in the 330 sediment of this sampling site. This sink can be a result of the coastal protection system, e.g., 331 groynes, which may affect the stream and lead to a more diffuse flow at this sampling point 332 (Kunz). This would favor the accumulation of sediments in the region of sampling site 29. A 333 similar situation can be found in the Jade Bay (sampling site 26). This area exhibits a turbulent 334 flow with a lower water velocity, which leads to the deposition of sediment from the North 335 Sea (Jin and Liebezeit, 2012). So this area is mainly influenced directly by the North Sea. This 336 explains why the elemental pattern of this region has a higher similarity towards the East 337 Frisian islands than towards the adjacent Weser estuary sediment, since its mostly influenced 338 by the North Sea. This observation can also be explained by the main current of the North Sea 339 which is going from west to north (van Beusekom et al., 1999).

340 The cluster closest to sampling site 29 is mostly dominated by spots in or close to the Elbe 341 River estuary – but with a large squared Euclidian distance between these two clusters. Since 342 most of the sampling sites in this cluster also show a high REEs mass fractions, these two 343 clusters are mostly dominated by anthropogenic contamination (like increased Hg 344 concentration for the Elbe River), but still feature a different elemental signature. This fact 345 explains their grouping, since there are similarities within an elemental group (REE) but they 346 are mostly different in terms of elemental composition. Since the Elbe River is one of the most 347 frequently used rivers, as well as one of the highest anthropogenically influenced rivers in the 348 European Union it has been thoroughly studied, and the present findings are in good

349 agreement with the literature (Kulaksız and Bau, 2007; Zimmermann et al., 2020b). Also, the 350 average flow of the river Elbe into the North Sea is about 700 m<sup>3</sup>s<sup>-1</sup> (van Beusekom et al., 351 1999), which is about 2 times higher than the inflow of other rivers such as the Weser River 352 (300 m<sup>3</sup>s<sup>-1</sup>) (van Beusekom et al., 1999), into the North Sea. That leads to the influence of its 353 elemental composition towards sediments, which are further away (Sampling Site 19). The 354 group of this cluster that consists of the sampling sites within the Elbe estuary and sampling 355 site 29, which is located around the island of Norderney, is mainly defined by an elevated REE 356 concentration and therefore shows a strong anthropogenic influence.

357 The second branch of the dendrogram is mostly defined by a sorting along the geogenic 358 fingerprint. The Weser River sampling sites and the Norderney sampling sites are closely 359 grouped together into two clusters. The sampling sites located between the Elbe River and 360 the North Sea and the sampling sites around the North Frisian Islands are grouped into two 361 clusters as well. Sampling site 6 is an exception to that – this station features a similar 362 signature as stations around the North Frisian Islands, but some elements show exceedingly 363 high mass fractions of As, Cu, Mn, Sr and Se which may be caused by the existence of a former 364 dumping site for ordonnance and other war related equipment since mass fractions of these 365 elements (As (chemical weapon), Cu (shell), Mn (shell), Sr (tracer ammunition) and Se 366 (ammunition)) greatly differ to the other stations (Ahvo et al., 2020; Beldowski et al., 2019; 367 Liebezeit et al., 2003; Pirrone et al., 2013). In contrast to other studies the Hg concentration, 368 which has been used as specific marker for military dumping sites, was not elevated (Gębka 369 et al., 2016). Since the geogenic fingerprint is similar, sampling site 6 is separated by the 370 algorithm from the other North Frisian Islands sampling sites, but it is still grouped close to 371 them. The small distance between these two groups may be also explained by the fact that, dumping sites for dredged material from the river Elbe are located around them (Essink et al., 372 373 2005a). This is evidenced by the presence of Sc, Ga, Tl, Rb, Cs, Sn, Be and Ba along the North 374 Frisian Islands (Sampling Site 1-5), with decreasing elemental mass fractions along the 375 transect into the open sea. This trend was proven significant by a trend analysis according to 376 Neumann (*p* = 0.95, for the sampling site series 1-5). The area around the North Frisian Islands 377 is mostly influenced by the North Sea, while the area further offshore the Elbe River estuary 378 is mostly influenced by the open sea, as well as by the elemental signature of the Elbe River 379 runoff. That can be seen by the fact that some of these stations (19 and 22) are also connected to the yellow branch and hence towards the Elbe River. Therefore, the clustering of these stations is explained by the influence of the different effects taking place, and the currents around the areas. It seems like there are significant similarities between the western part of the Weser estuary and the North Friesian Islands. So, the area seems to be also mostly characterized by the North Sea sediment, and only slightly by the Weser River itself. So, the Elbe River has a higher impact towards the sediment characteristics of the upstream regions – which can be explained by the river runoff volumes.

The same clustering procedure was also performed, in order to sort the elements into clusters, in order to find element groups describing the sampling sites, in order to obtain groups for the following discriminant analysis. For the 32 sampling sites, the 47 elements are divided into four groups based on their mass fractions. The result of the cluster analysis for the elements is shown in detail in Fig. 2 B. For each branch of the dendrogram, one element and the corresponding mass fraction are shown on the sampling campaign map (SI Fig. 5 to SI Fig. 10) to illustrate the difference between branches.

394 In order to interlink the element mass fractions and the sampling sites, and reveal 395 fingerprints, which are indicate by the cluster analysis, a principal component analysis was 396 performed with the given dataset as well. To reduce the data dimension and extract the main 397 characteristics of the data set regarding correlations between the sampling sites, a principal 398 component analysis was performed. The factors of the first and second principal components 399 represent 33 % and 14% of the variance. Therefore, nearly 50% of the total variance is 400 represented by these two components. The score plot of the PCA analysis is shown in Fig. 2 401 C. A table stating the factor loadings of all analyzed elements can be found in SI Tab. 2. The 402 elements Ag, U, W, Hg, Bi, Ho, Yb, Er, Tm, and Lu show a high positive correlation for factor 1, 403 while the elements Pr, Nd, Ti, Gd, V, Co, Ni, Cr, Fe, Mg and Al are highly negative correlated 404 to factor 1. For the elements Pr-Al (negative correlation to factor 1), elemental mass fractions 405 of the sampling sites 1-22 are nearly two times higher than for the sampling sites 23-34. This 406 difference was statistically proven by an *F*-test (*a*=0.95) as well as *t*-test (*a*=0.95). Therefore, 407 the elemental mass fractions are divided along the estuaries of the two main rivers Weser 408 and Elbe. This finding implies, that the Elbe River has a great influence on these areas, since 409 the median stream of the North Sea is counterclockwise and the sites located north of the

river Elbe are influenced, while the western sampling sites are mainly affected by Atlantic Seawater.

This splitting also reflects the subdividing done by van Beusekum et al., who divided the German Wadden Sea into an East Frisian Wadden Sea and a North Frisian Wadden Sea, setting the border between the estuaries of the Elbe and Weser Rivers (van Beusekom et al., 1999). While the splitting for most of the elements can be mainly explained by a geogenic influence, the elevated gadolinium mass fraction in comparison to the Elbe's influenced area is unexpected. Indeed, elevated mass fractions of REEs at similar geographic locations within the Elbe estuary have been described by Reese et al. (Reese et al., 2019).

The elements Ag – Lu (positive correlation to factor 1) are significantly elevated within the Elbe estuary, contrary to the element set Pr-Al. So, these two sets of elements, which are greatly separated by factor 1, are describing the separation of the North Frisian Wadden Sea as well as the East Frisian Wadden Sea. For elements which show a high positive correlation towards factor 2 seem to have a lower mass fraction around the North Frisian Islands and vice versa.

425 Regarding the loading plot, which is shown in Fig. 2 D, anthropogenically influenced sediment 426 samples featuring elevated REE mass fractions, are separated by positive factor 1 values. 427 Meanwhile, the lowest REE mass fractions around the Island of Norderney are grouped 428 around negative values along the factor 1. The geogenic fingerprint, *e.g.* Fe and Mg, is mainly 429 considered within this factor. The sampling sites around the North Frisian Islands are mostly 430 separated from the other sampling sites along factor 2, while factor 1 has a low effect on 431 these sampling sites. Also, while the other sampling sites are mostly scattered around positive 432 factor 2 values or just slightly negative, the sampling sites around the North Frisian Islands 433 (sampling site 1-8) are all located at high negative factor 2 values. So, the sampling sites are 434 mainly characterized by the mass fractions of elements like As, Cu, Mo, Sc, Re, Pt. Therefore, 435 the positive values along this factor describe more geogenic features while the negative 436 values represent anthropogenic effects. From a geomorphological point of view, the 437 sediments along the East Frisian Islands and the estuaries of the Elbe and Weser are similar 438 to each other, while the anthropogenic influence and footprint is quite different. Regarding 439 the North Frisian Islands, while there are some similarities to the Elbe estuary, especially

regarding the element set Pr-Al, their elemental fingerprint is significantly different to theother two sampling areas.

442 By reducing the dataset to two factors with the highest variance, and therefore to the values 443 with the highest information density, the sampling sites can be divided into three groups. 444 These groups are geographically close to each other and define a separate region. One group 445 is located around the Island of Norderney, the Jade Bay and parts of the Weser estuary. This 446 is in good agreement to the dendrogram (Fig. 2 A) – only station 29 was not grouped within 447 this region. Therefore, the PCA takes this effect into account (lower negative value along 448 factor 1) but the main characteristic within this sediment allows for the grouping of the 449 adjacent locations. The second group, sampling site 10-22, is mainly dominated by the 450 sampling sites around the Elbe estuary and upstream regions. This region is dominated by the 451 influence of the Elbe River and the specific offshore characteristics. The third group (sampling 452 site 23-34) is located around the North Frisian Islands. This region is mainly influenced by the 453 open sea.

454 3.5. Significance of sampling sites

In order to evaluate if sample sites are characterized by redundant elemental mass fraction
data a discriminant analysis was performed. To carry out the discriminant analysis, the groups
obtained from the cluster analysis were used. The results for the canonical variables are
shown in Fig 2 E.

Fig 2 E shows that two functions are sufficient to sort the data into the expected groups. This 459 460 separation is also apparent by the resulted Wilks' Lambda value of 5.10<sup>-5</sup>. The separation also 461 indicates a high representativeness of the obtained samples for the given locations. The high 462 representativeness leads to the fact that the sampling sites 4, 5, 12, 14, 18, 23, 31 are carrying 463 redundant information. As a result, 7 of the 32 investigated can be removed from the overall 464 data set without changing the outcome of the statistical data analysis. This indicates that the 465 pollution went so far down, that the geological fingerprint is significant and allows to separate 466 the groups by their location.

467 Furthermore, the geographical and geomorphological areas (i) Sheltered back tidal flats in 468 west/east direction; ii) Estuarine tidal flats with variable salinity and iv) protected and 469 exposed tidal flats in south/north) are represented within the multivariate analysis regarding 470 their elemental fingerprint. Only Bay mudflats with far predominant sedimentation of fine-471 grained material did not show a unique elemental fraction pattern and were grouped into the 472 adjacent group. This highlights the significance of the presence or absence of rivers and 473 barrier islands on the elemental distribution and therefore the individual pollutant 474 fingerprints of the investigated Wadden Sea areas.

#### 475 4. Conclusion

476 The study conducted was able to demonstrate the value of multivariate statistics when 477 applied to environmental datasets. The methods used were able to distinguish different 478 geographical locations based on their elemental fingerprint and proved that these 479 fingerprints are significant for the studied areas and the analyzed  $\leq 20 \ \mu m$  grain size fraction. 480 Considering a second data set obtained in 1984-1991, it was shown that the fingerprint 481 changed over time and that sediment quality significantly improved during the last decades. 482 Depending on reference values taken for the comparison it could be shown, that some trace 483 element mass fractions like Cd, Pb, Sn or Zn of today's surface Wadden Sea sediments are still 484 elevated. Future sediment core investigations could be helpful to further verify these 485 observations. In addition to the report on the quality of the Wadden Sea, this study has shown 486 that only a few samples need to be taken in selected areas to assess the environmental 487 condition of sediments. Therefore, for future investigations, only a few samples need to be 488 taken into account based on the identified, representative areas to evaluate their condition. 489 Thus, making environmental monitoring less time consuming and more efficient.

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