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Long-term performance evaluation for deep borehole heat exchanger array under different soil thermal properties and system layouts

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Abstract

To meet the prospect of carbon neutrality, Deep borehole heat exchanger (DBHE) shows good potentiality in extracting deep geothermal energy for building heating, especially in densely populated urban areas of northern China. To investigate the influence on different soil thermal properties and system layouts of the DBHE array, a comprehensive numerical model has been established by OpenGeoSys software coupled TESPy toolkit and a series of scenarios are simulated. Results show that thermal conductivity lay a more important influence on heat extraction performance for DBHE array, rather than volumetric heat capacity. The thermal plume of DBHE array will grow larger along with higher thermal diffusivity. For typical geological parameters in Xi'an, the inter-borehole spacing should not be set below 15 m or it will bring a risk of freeze in circulation. The heat extraction performance and long-term sustainability of single-line layout are obviously better than other layout patterns, also with a smaller ground area needed to deploy the boreholes. This study implies that soil thermal conductivity

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is the core factor in determining the heat extraction performance of DBHE array and also gives suggestions for the system design of DBHE array in the aspect of borehole spacing and system arrangement.

Keywords: Deep borehole heat exchanger array, Heating system, Soil thermal property, System layout, Long-term sustainability

1 Nomenclature 2 **Roman letters** 3 Ccircumference of the DBHE array (m) 4 specific heat capacity $(J kg^{-1} K^{-1})$ 5 cequivalent diameter of the pipe (m) D_e 6 D_s inter-borehole spacing in DBHE array (m) 7 heat sink/source term $(W m^{-3})$ H8 enthalpy of circulation fluid $(J kg^{-1})$ h9 Ι identity matrix (-) 10 roughness of pipe (mm) k_s 11 Llength of pipe (m) 12 mass flow of circulation fluid (kg) 13 \dot{m} integer (-) M14 integer (-) N15 Ppower (W) 16 hydraulic pressure loss in the pipe network (W) 17 pQheat (W) 18 heat flux $(W m^{-2})$ q_n 19 Re Reynolds number (-) 20

- $_{21}$ S area of the DBHE array (m²)
- $_{22}$ T temperature (°C)
- $_{23}$ t time (s)
- $_{24}$ v vector of flow velocity (m s⁻¹)
- $_{25}$ x mass fraction of circulation fluid (-)

26 Greek Letters

- $_{27} \alpha$ thermal diffusivity (m² h⁻¹)
- ²⁸ β_L longitudinal heat dispersivity (m)
- 29 Γ boundary
- $_{30}$ λ thermal conductivity (W m⁻¹ K⁻¹)
- $_{31}$ Λ thermal hydrodynamic dispersion tensor(W m⁻¹ K⁻¹)
- $_{32}$ Φ heat transfer coefficient (W m⁻² K⁻¹)
- $_{33} \rho$ density (kg m⁻³)
- $_{34} \Theta$ Darcy friction factor (-)

35 Operators

- $_{36}$ Δ difference operator
- $_{37}$ ∇ nabla vector operator
- $_{38}$ \sum integral operator

39 Subscripts

- $_{40}$ f circulation fluid in borehole
- $_{41}$ fl circulation fluid in pipe network
- 42 g grout
- $_{43}$ *i* inner pipe (outflow)
- 44 *in* inlet

- 45 max maximum
- $_{46}$ o outer pipe (inflow)
- 47 out outlet
- 48 *s* soil/rock
- 49 Abbreviations
- 50 DBHE Deep Borehole Heat Exchanger
- 51 FEM Finite Element Method
- 52 GSHP Ground Source Heat Pump
- 53 TC Thermal conductivity
- 54 TD Thermal diffusivity
- 55 VHC Volumetric heat capacity

56 1. Introduction

In recent years, countries across the world are consistently investing in 57 energy technology and developing highly efficient, de-carbonized and renew-58 able solution [1, 2]. At the beginning of 2021, China has also made the deci-59 sion to aggressively pursue the transition towards renewable energy, trying 60 to reach the peak carbon emission in 2030 and to achieve carbon neutrality 61 in 2060 [3]. Compared to other renewable energy sources, geothermal energy 62 draws more attention in recent years because of its versatile usage, stable 63 performance and wide availability [4, 5]. 64

Globally, the building energy consumption accounts for over 30% of the 65 total energy usage [6]. Within the buildings, heating and domestic hot water 66 take a large share of more than 40% [7] in China and 75% in Europe re-67 spectively [8]. In the last decade, the conventional shallow borehole heat ex-68 changer (BHE) coupled Ground Source Heat Pump (GSHP) has been widely 69 applied for building heating and cooling in large amounts of projects [9]. In 70 China, the application of shallow GSHP system is constrained due to its 71 requirement on large drilling area [10] and annually unbalanced soil ther-72 mal load [11]. In comparison, geothermal heating using Deep Borehole Heat 73 Exchanger (DBHE) [12] is being quickly accepted by the market. The pio-74 neering attempt of DBHE can be traced back to the end of the last century, 75

related projects have been reported in Hawaii [13] and Weissbad [14]. By prolonging coaxial pipe installed in the borehole to 2000 m~3000 m depth, Deep Borehole Heat Exchanger (DBHE) is proposed to serve for extracting geothermal energy for building heating [15, 16]. Although the DBHE heating system has good performance and obvious benefits, only limited pilot applications exist in Europe due to its high initial drilling cost and lack of economic feasibility [17].

As the Chinese government is strongly encouraging renewable energy 83 sources for newly constructed building heating projects, GSHP system cou-84 pled with DBHE is widely spreading in northern China. This technology is 85 very popular in densely populated urban areas, where building thermal load 86 is concentrated and land area is limited. There are a few DBHE coupled 87 GSHP pilot-projects and related field tests reported in recent years, most of 88 which are located in Xi'an city, Shaanxi province [18, 19, 20]. Based on a 89 series of experimental tests executed by Deng et al [21], the results indicated 90 that the average system Coefficient of Performance (COP) of DBHE coupled 91 GSHP system can reach 4.58, which has a clear advantage over air-source 92 or shallow ground-source heat pump systems. To further investigate the 93 heat extraction performance and optimization of the system, considerable 94 research on the DBHE topic has been carried out in the last few years. For 95 example, several calculation methods have been developed by researchers 96 for simulating the performance of DBHE, including analytical [22, 23] and 97 numerical approaches, such as Finite Volume [24] and Finite Difference [25] 98 methods. Modeling software based on Finite Element Method, including 99 FEFLOW[26], FLUENT[27] and COMSOL[28]) are also widely applied to 100 simulate the coupled thermal-hydraulic process within and surrounding the 101 DBHE. A series of detailed numerical investigations executed by Kong et al. 102 [29] on the heat extraction performance and system efficiency of the DBHE 103 heating system suggests that the sustainable specific heat extraction rate 104 should be set no more than $150 \,\mathrm{W/m}$. Beier [30] proposed a novelty an-105 alytical method to calculate the heat transfer performance of DBHE by 106 applying the Laplace transform and Stehfest numerical inversion. Their re-107 sults proved that the existing geothermal gradient makes a difference in the 108 heat extraction of the DBHE. Liu et al. [31] discussed the effects of geologi-109 cal parameters on the thermal performance of DBHE and pointed out that 110 thermal conductivity is the core factor for determining the heat extraction 111 capacity of DBHE. As for the long-term sustainability of DBHE, there are 112 also several related studies reported, focusing on the soil temperature distri-113 bution [32], intermittent heating mode [33] and heat pump performance [34] 114 of the DBHE heating system. 115

It should be noticed that most of the related research of DBHE mainly 116 concentrates on a single borehole, while the real-world projects are typically 117 equipped with multiple boreholes or even a borehole array [18, 19]. Also, 118 there has already been plenty of research reported in shallow GSHP sys-119 tems, where the long-term performance [35], and thermal interaction anal-120 vsis [36] are investigated in detail. Taking the thermal interaction and the 121 pipe network features into consideration, research shows that the thermal 122 performance of the BHE array will not be identical to the single BHE [37]. 123 Corresponding optimization method has also been proposed by Bayer et al. 124 [38]. Moreover, the state-of-art knowledge suggests that unbalanced thermal 125 load will lead to elevated or suppressed temperature zones in the subsurface. 126 Series of research have shown that knowing the soil temperature field and its 127 variation is essentially necessary for the performance forecast of geothermal 128 applications [39, 40]. In this context, the investigations on heat extraction 129 performance and sustainability of DBHE array based on 3D comprehen-130 sive simulations, considering the thermal interaction and soil temperature 131 variation among all the multiple boreholes, are significant for its design pro-132 cedure. 133

In our previous work published recently [41], a numerical model estab-134 lished by OpenGeoSys (OGS) software and Python toolkit TESPy was de-135 veloped for simulating the DBHE array. The model was validated against 136 experimental data measured from a pilot project in Xi'an, Shaanxi Province. 137 In that study, the discrepancy of heat extraction performance between single 138 and multiple DBHE is clearly illustrated, while detailed analysis on perfor-139 mance evaluation under different system parameters has not been carried 140 out. In this context, several scientific questions remain for the DBHE array 141 system: Will the heat extraction performance of the DBHE array show an 142 obvious difference under different soil thermal properties? After the thermal 143 interaction among DBHEs is taken into consideration, which soil thermal-144 physical parameter has the dominant influence on the system performance? 145 How does the system layout of the DBHE array affect its long-term sustain-146 ability? 147

In this paper, the above scientific questions were investigated by a set of 148 elaborated numerical simulations. A DBHE array model was constructed by 149 OpenGeoSys-TESPy software and deployed based on the actual geological 150 parameters in Xi'an, China. Through the numerical simulations, the varia-151 tion of heat extraction performance and soil temperature field were analyzed 152 in detail. Then, long-term simulations were carried out and the heat extrac-153 tion performance of the DBHE array under different soil thermal properties 154 was evaluated. At the next step, the sustainability of the DBHE array un-155

der several array layouts was also investigated, the effect of inter-borehole
spacing and the impact of array geometry were also discussed. In the end of
the manuscript, practical and instructive suggestions are made to improve
the design procedure of DBHE heating systems.

160 2. Methodology

¹⁶¹ In this section, the model framework and numerical approach applied ¹⁶² for the simulation of DBHE array are introduced.

163 2.1. Dual-continuum FEM approach

In the present model framework for DBHE simulation, many researchers 164 select different numerical approaches, since they are more capable of han-165 dling the flexible initial and boundary conditions that emerge from the field 166 study. Specifically, the two-dimensional (2D) axial-symmetric domain is 167 widely chosen because of its simplicity and potential in saving computational 168 resources, including the research proposed by Li et al. [27] and Bu et al. [42]. 169 However, when investigating the thermal interaction among multiple DB-170 HEs, 2D axial-symmetric domains are no longer sufficient. Especially when 171 the subsurface stratification and different thermal characteristics of the lay-172 ers are considered, a fully discretized 3D domain has to be constructed with 173 multiple DBHEs explicitly depicted in it. When the kilometer-long borehole 174 is discretized with millimeter-wise details, the resulting size of the mesh often 175 exceeds the capacity of the latest high-performance-computing platforms. 176

In the field of deep coaxial borehole heat exchangers simulation, the coaxial pipe with an annular inlet (CXA) is recommended for heat extraction [43]. The governing equations for the fluid inside the centered and annular borehole are given by

$$\rho_f c_f \frac{\partial T_i}{\partial t} + \rho_f c_f \mathbf{v}_i \cdot \nabla T_i = \nabla \cdot (\Lambda_i \cdot \nabla T_i) + H_i \tag{1}$$

with the Robin type of BC :

$$q_{nT_i} = -\Phi_{io} \left(T_o - T_i \right) \text{ on } \Gamma_i \tag{2}$$

181

$$\rho_f c_f \frac{\partial T_o}{\partial t} + \rho_f c_f \mathbf{v}_o \cdot \nabla T_o = \nabla \cdot (\Lambda_o \cdot \nabla T_o) + H_o \tag{3}$$

with the Robin type of BC :

$$q_{nT_o} = -\Phi_{io} \left(T_i - T_o \right) - \Phi_{og} \left(T_g - T_o \right) \text{ on } \Gamma_o$$
(4)

where ρ_f , c_f refer to the density and specific heat capacity of the circulation fluid. The **v** and Λ denote the flow velocity and thermal hydrodynamic dispersion tensor of fluid in the borehole, respectively. The H and Γ are the heat sink/source term and heat transfer boundary. Φ_{io} refer to the thermal resistance between inner and outer pipe while Φ_{og} denote the thermal resistance between outer pipe and grout [44].

¹⁸⁸ The term of hydrodynamic thermal dispersion tensor is defined as

$$\Lambda_f = (\lambda_f + \rho_f c_f \beta_L \| \mathbf{v}_f \|) \mathbf{I}$$
(5)

where β_L denote the longitudinal heat dispersivity and I refer to the identity matrix.

Also, the governing equations for the grout surrounding the outer pipe and the soil surrounding the borehole are given by

f

$$\rho_g c_g \frac{\partial T_g}{\partial t} = \nabla \cdot (\lambda_g \cdot \nabla T_g) + H_g \tag{6}$$

with the Robin type of BC :

$$q_{nT_g} = -\Phi_{og} \left(T_o - T_g \right) - \Phi_{gs} \left(T_s - T_g \right) \text{ on } \Gamma_g \tag{7}$$

193

$$\rho_s c_s \frac{\partial T_s}{\partial t} = \nabla \cdot \left(\Lambda_s \cdot \nabla T_s\right) + H_s \tag{8}$$

with the Robin type of BC :

$$q_{nT_s} = -\Phi_{gs} \left(T_g - T_s \right) \text{ on } \Gamma_s \tag{9}$$

¹⁹⁴ in which the detailed calculation of thermal resistance Φ between soil/grout ¹⁹⁵ and borehole can also be found in Diersch et al. [44].

The Dual Continuum Finite Element Method (DC-FEM) is adopted and 196 implemented [45] in the open-source software OpenGeoSys (OGS) [46], in 197 which the implemented numerical framework is slightly different than those 198 as in COMSOL or FLUENT software. Following the DC-FEM approach, 199 the simulation domain can be divided into two compartments, while govern-200 ing equations are respectively imposed on 1D line elements for the boreholes 201 and 3D prism elements for the surrounding subsurface. The heat flux be-202 tween each borehole and the surrounding subsurface, which is determined 203 by the temperature difference between these two compartments, is set as the 204 Neumann-type of boundary conditions in the simulation. Through the adop-205 tion of the Dual Continuum approach, the number of nodes in the model 206 domain will be significantly reduced while the flexibility of model configu-207 ration can still be maintained. The detailed discretized approach can be 208

found in the publication of Al-Khoury et al. [47] and Diersch et al[44, 48]. Therefore, the calculation cost for the long-term simulation of the DBHE array becomes acceptable. More detailed documentation on benchmarks and tutorials of DBHE modeling features can be found on the official website of OpenGeoSys [49].

214 2.2. OpenGeoSys-TESPy coupling

Since the DBHE array is connected through a pipe network in real-world 215 projects, the outflow from each DBHE borehole will mix in the manifolds 216 and then send to the heat pump (see Fig. 1). After exchanging heat there, a 217 circulation pump will re-distribute the ground-loop fluid back to each bore-218 hole located in different places. In order to reflect the real configuration of 219 fluid circulation in the DBHE array system, the conventional boundary con-220 ditions which set the constant inflow temperature or transient thermal load 221 of each borehole need to be changed to reflect the hydro-thermal processes in 222 the connecting pipe network. The Thermal Engineering Systems in Python 223 (TESPy) toolkit is an open-source Python library developed by Witte and 224 Tuschy [50]. It is adopted here to simulate the hydraulic-thermal characters 225 of the pipe network. With predefined characteristics of each component, the 226 pressure, temperature and enthalpy at each junction of the pipe network 227 can be calculated with TESPy. The mass and enthalpy balance is given by 228 the following equations [50]: 229

$$\sum_{M} \dot{m}_{in,M} \cdot x_{fl,in,M} = \sum_{N} \dot{m}_{out,N} \cdot x_{fl,out,N} \tag{10}$$

$$\sum_{M} \dot{m}_{in,M} \cdot h_{in,M} = \sum_{N} \dot{m}_{out,N} \cdot h_{out,N} + P + \dot{Q}$$
(11)

where the \dot{m} , x and h are mass flow, mass fraction and enthalpy of every connection. Then the values of P and \dot{Q} are deduced by the variation of power and heat for every individual components.

The Darcy-Weisbach equation is adopted to calculate the hydraulic pressure drop in the pipe network, which is given by

$$p_{in} = p_{out} + \frac{\rho_f \cdot v_f^2 \cdot \Theta \left(\operatorname{Re}, k_s, D_e \right) \cdot L}{2 \cdot D_e}$$
(12)

where the p_{in} and p_{out} are the pressure at the inlet and outlet of pipe respectively. The ρ_f and v_f are the density and flow velocity of the fluid. The Darcy friction factor Θ is calculated by several parameters, in which the Reynolds number Re is defined by flow rate, characteristic length and kinematic viscosity, k_s refers to the roughness of pipe, and the D_e is the equivalent diameter of the pipe. The L is the length of pipe.

For the comprehensive simulation of DBHE array and the connecting

²⁴² building loop, TESPy version 0.3.2 and OpenGeoSys 6.3.2 are coupled to-

243 gether by using Python library Pybind11. The technical detail of the OpenGeoSys-

TESPy can be found in Chen et al. [37].



Figure 1: Schematic diagram for calculating logic of OpenGeoSys-TESPy coupling solution

245 2.3. Model verification

The DBHE array model implemented in OpenGeoSys software has pre-246 viously been verified against analytical solution [37, 51]. The same feature 247 has already been applied in real-world projects and its results have been 248 validated by monitoring data from actual GSHP projects in Cologne [52] 249 and Leicester [53]. As for the deep geothermal application in China, thor-250 ough model validation was conducted based on a 5-DBHE pilot project in 251 Xi'an city [41]. The model was capable of reproducing the evolution of cir-252 culation temperature over an entire heating season. The model predicted 253

temperature profile, in comparison to the monitored data, is illustrated in Fig. 2. As shown in this comparison, the maximum relative difference between monitored and simulated values is no more than 1.1 °C (less than 5.0 %). The results ensure that the coupled OGS-TESPy model has enough accuracy and could be used in investigating the long-term sustainability of the DBHE array.



Figure 2: Verification of proposed OGS-TESPy model against in-situ monitoring data (adjusted from Cai et al. [41])

260 3. Model configuration

As discussed in the introduction, DBHE based geothermal heating projects have shown a rapidly growing trend in northern China. Until the end of 263 2020, the total floor area equipped with the DBHE heating system in Xi'an has reached 15 million m². Therefore, the model scenarios in this study are configured based on the subsurface characteristics for the systems located in Xi'an. All the detailed parameters of the DBHE array system are summarized in Table 1.

Itom	Parameter	Valuo	Unit	
100111		Value	OIIIt	
	Borehole depth	2500	m	
	Borehole diameter	0.2159	m	
	Borehole spacing	15	m	
	Outer diameter of inner tube	0.1100	m	
Borehole	Wall thickness of inner tube	0.0100	m	
	Thermal conductivity of inner tube wall	0.42	$\mathrm{W}~\mathrm{m}^{-1}~\mathrm{K}^{-1}$	
	Outer diameter of outer pipe	0.1778	m	
	Wall thickness of outer pipe	0.0092	m	
	Thermal conductivity of outer pipe wall	40	$\mathrm{W} \ \mathrm{m}^{-1} \ \mathrm{K}^{-1}$	
	Average heating extraction rate	130	${\rm W}~{\rm m}^{-1}$	
	Density	2.190×10^3	$\rm kg~m^{-3}$	
Grout	Specific heat capacity	1.735×10^3	$\rm J~Kg^{-1}~K^{-1}$	
	Thermal conductivity	1.2	$\mathrm{W}~\mathrm{m}^{-1}~\mathrm{K}^{-1}$	
	Thermal conductivity	0.6	$W m^{-1} K^{-1}$	
Circulating	Specific heat capacity	4.190×10^3	$\rm J~kg^{-1}~K^{-1}$	
fluid				
	Density	998	${\rm kg}~{\rm m}^{-3}$	
	Dynamic viscosity	9.310×10^{-4}	$\rm kg~m^{-1}~s^{-1}$	

At the top and bottom surface of the model domain, the average ambient 268 air temperature of 14.8 °C and typical geothermal heat flux $60 \,\mathrm{mW/m^2}$ [54] 269 of Xi'an are imposed as Dirichlet and Neumann boundary conditions respec-270 tively. The specific heat extraction rate on the DBHE is set to $130 \,\mathrm{W/m}$ (in 271 total 325 kW for a single 2500 m borehole), which is suggested by [29], also 272 examined and approved by many other researchers [19]. As for the initial 273 condition, the typical geothermal gradient in Xi'an is set as 35.0 °C/km. 274 According to the published geological data [55] and in-situ test results from 275 realistic projects [41], the soil thermal properties, including thermal conduc-276 tivity (TC) and volumetric heat capacity (VHC), were typically set in two 277 different levels (high or low). Based on the value of thermal diffusivity (TD), 278 scenarios A to D are designed to represent different soil thermal properties. 279 The model scenarios are then generated with a 2×2 combination of the soil 280 properties (see Table 2). To investigate the difference of heat extraction 281 performance effecting by soil thermal properties between single DBHE and 282 DBHE array, the scenarios A to D are all imposed for both a single DBHE 283 model and a 5-DBHE array model, while other parameters in the model 284

Table 1: Detailed parameters of the DBHE array system

285	follow	the	setting	in	Table	1.
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Table 2. Detailed geophysical parameters in the simulated scenarios									
Geological formation	$\operatorname{Geophysical}_{\operatorname{parameters}^{1}}$	Scenario.A (Higher TD)	Scenario.B (High TD)	Scenario.C (Low TD)	Scenario.D (Lower TD)				
	-	()	,	. ,	· /				
	λ	2.0	1.6	2.0	1.6				
Clay	$ ho C_p$	2.52×10^6	2.52×10^6	2.84×10^6	2.84×10^6				
$(0 \sim 500 \mathrm{m})$	lpha	2.85×10^{-3}	2.28×10^{-3}	2.53×10^{-3}	2.03×10^{-3}				
	λ	2.1	1.63	2.1	1.63				
Gravel	$ ho C_p$	1.91×10^6	1.91×10^6	2.70×10^6	2.70×10^6				
$(500 \sim 900 \mathrm{m})$	lpha	3.96×10^{-3}	3.08×10^{-3}	2.80×10^{-3}	2.18×10^{-3}				
	λ	2.3	1.7	2.3	1.7				
Mudstone	$ ho C_p$	1.88×10^6	1.88×10^6	2.82×10^6	2.82×10^6				
$(900 \sim 1700 \text{ m})$) α	4.41×10^{-3}	3.26×10^{-3}	2.84×10^{-3}	2.17×10^{-3}				
	λ	2.5	1.81	2.5	1.81				
Sandstone	$ ho C_p$	1.96×10^6	1.96×10^6	2.97×10^6	2.97×10^6				
$(> 1700 \mathrm{m})$	α	4.59×10^{-3}	3.32×10^{-3}	$3.03 imes 10^{-3}$	2.19×10^{-3}				

Table 2: Detailed geophysical parameters in the simulated scenarios

¹ λ denotes the thermal conductivity (Wm⁻¹K⁻¹); ρC_p is the volumetric heat capacity (Jm⁻³K⁻¹); α is the thermal diffusivity (m²/h).

286 In order to prevent the thermal plume from interfering with the domain boundary, the domain size is set to be $300 \times 300 \times 2700$ m. The total mesh 287 nodes and elements number then account for 90,446 and 174,028, respec-288 tively. Based on our previous work [41], the time step size of the simulation 289 is first chosen to be 1 h in the heating season and later increased to 6 h dur-290 ing the recovery period, so that the overall calculation time can be reduced. 291 This time step size has been confirmed also by other researchers' work [23] 292 to be small enough to maintain the accuracy of the simulation results. The 293 aforementioned simulation scenarios A to D are all run for 15 years, which 294 is the typical life-cycle span of an HVAC system in China [56]. 295

296 4. Numerical results

In this section, the impact of different soil thermal properties on the longterm heat extraction performance of both single and multi-DBHE systems is investigated. Moreover, the sustainability of the DBHE array system under different system layouts is also evaluated.

301 4.1. Soil thermal properties

Fig. 3 illustrates the development of ground-loop circulation tempera-302 ture in both the single and multiple DBHE systems, under the influence 303 of different soil thermal properties. According to Fig. 3(a), the simulation 304 results show that the circulation temperatures for single DBHE with low 305 thermal diffusivity (Scenario C) and higher thermal diffusivity (Scenario A) 306 are distinctly higher than the other two scenarios. With the volumetric heat 307 capacity kept the same, the inlet temperature of the single DBHE in the low 308 TD case (Scenario C) is 8.88 °C higher than the lower TD case (Scenario D) 309 at the end of the 15th year. Considering the thermal conductivity in the 310 higher (Scenario A) and low TD (Scenario C) are the same, the circulation 311 temperature difference is just 1.27 °C at the end of the 15th year. This illus-312 trates that the volumetric heat capacity has only a minor influence on the 313 heat extraction performance of the single DBHE system, while the thermal 314 conductivity has a greater impact on the long-term heat extraction. As for 315 the multi-DBHE array, similar results can be seen in Fig. 3(b) for the four 316 scenarios. It is clearly observed that, along with the increasing time, the 317 heat extraction performance of the DBHE array shows a decreasing trend 318 in comparison to the single DBHE cases. The reason behind this trend is 319 the presence of thermal interaction among the boreholes and the resulting 320 cold accumulation in the subsurface. For the different thermal conductiv-321 ity, the circulation temperature of the array in a high-level TC scenario 322 (e.g. Scenario C) is 9.12 °C higher than the low TC case (e.g. Scenario D). 323 With different volumetric heat capacities (Scenario A and C), the circula-324 tion temperature difference between the two scenarios extended to 2.25 °C. 325 suggesting that the impact of volumetric heat capacity on heat extraction 326 performance of DBHE array is more intense than for single DBHE. This 327 can be explained by more severe cold accumulation among the multiple ar-328 ray induced by lower volumetric heat capacity or higher thermal diffusivity. 329 Overall, it can be seen that the volumetric heat capacity has a minor impact 330 on heat extraction performance for both single and multi-DBHE systems. 331 In comparison, the thermal conductivity of the surrounding soil has a much 332 larger impact on the long-term performance. 333



Figure 3: Overall inlet and outlet temperature of single DBHE (a), and DBHE array (b) under different soil thermal properties

Fig. 4 compares the maximum outlet temperature difference (between the DBHE located in the center and edge of the field with four different scenarios). By adopting the pipe network concept to mimic the realistic

connection in DBHE array heating system, the inlet temperatures for each 337 DBHE are kept the same. Then the outlet temperature will differ from one 338 DBHE to another, influenced by the varying soil temperatures at different 339 locations of the subsurface. Therefore, with a larger outlet temperature 340 difference in a DBHE array, it illustrates that there exists a more severe 341 draw-down of soil temperature in the surrounding subsurface, or even a 342 distinct cold accumulation at the center of the DBHE array after long-term 343 operation. Results show that this temperature difference in multiple DBHE 344 array increases with the increasing thermal diffusivity, which means the 345 thermal interaction in the DBHE array is more intense with higher thermal 346 diffusivity. With an average value of thermal diffusivity of 4.08×10^{-3} , 347 3.05×10^{-3} , 2.83×10^{-3} and 2.15×10^{-3} m² h⁻¹ for Scenario A to D, the 348 maximum outlet temperature difference in DBHE array raises from 0.62 °C 349 to 0.74 °C at the end of 15th year. This also confirms that the load shifting 350 behaviors caused by pipe network features in the DBHE array are quite 351 limited, which is proposed in our previous work [41]. 352



Figure 4: Maximum Outlet temperature difference among individual DBHEs within the DBHE array under four different thermal diffusivities

Fig. 5 and Fig. 6 depict the soil temperature distributions at 2300 m 353 depth for single and multiple DBHE array under four different scenarios 354 of soil thermal properties. Fig. 5 shows that single DBHE suffers an ob-355 vious cold accumulation over the long-term operation. With high-level 356 TC (Fig. 5(b)), the soil temperature drop is less than the low-level TC 357 (Fig. 5(a)), which indicates better long-term sustainability. As for high-358 level VHC (Fig. 5(b)), the soil temperature drop is almost the same (only 359 $0.11 \,^{\circ}\text{C}$ difference) as the low-level VHC (Fig. 5(d)), while the radius of the 360 thermal plume in the low VHC scenario is much larger than the high VHC 361 case. It can be seen that, with higher thermal diffusivity (higher thermal 362 conductivity and lower volumetric heat capacity), the radius of the thermal 363 plume from a single DBHE tends to extend further. 364

For the DBHE array, Fig. 6 reveals the same trend as in Fig. 5, in which 365 a higher thermal conductivity brings a smaller soil temperature drop and 366 better sustainability for DBHE array in long-term heat extraction. It should 367 be noticed that, except for the larger draw-down in soil temperature caused 368 by cold accumulation in the DBHE array, the minimum soil temperature for 369 low-level VHC (Fig. 6(d)) is 1.64 °C less than the high-level VHC (Fig. 6(b)), 370 which is more obvious than single DBHE. This means for DBHE array a 371 lower volumetric heat capacity (or higher thermal diffusivity) will introduce 372 more severe thermal interaction among multiple DBHEs and trigger severe 373 cold accumulation. 374



Figure 5: Soil temperature distribution of single DBHE under four different scenarios for soil thermal properties



Figure 6: Soil temperature distribution of DBHE array under four different scenarios for soil thermal properties

Fig. 7 and Fig. 8 illustrate the radial thermal plume distribution at 375 2300 m depth for the single and multiple DBHE case respectively. A dif-376 ference of 0.5 °C in comparison to the initial temperature has been used 377 to determine the boundary of the thermal plume (marked with black dash 378 line in Fig. 7 and Fig. 8). With the thermal diffusivity value rising from 379 an average value of 2.15×10^{-3} to 4.08×10^{-3} m² h⁻¹, the radius of the 380 thermal plume will increase from 41.6 m to 52.5 m). In the DBHE array 381 case, Fig. 8 shows that the thermal plume radius will also grow from 74 m, 382 79.4 m, 80.1 m to 87.7 m, along with the thermal diffusivity increases from 383 2.15×10^{-3} , 2.83×10^{-3} , 3.05×10^{-3} to 4.08×10^{-3} m² h⁻¹. These results 384 manifest that the size of the thermal plume will expand with increasing 385 thermal diffusivity values, while the heat extraction performance can not be 386 foreseen only by the value of thermal diffusivity. 387



Figure 7: Thermal plumes for single DBHE under four scenarios with different soil thermal properties



Figure 8: Thermal plumes for DBHE array under four scenarios with different soil thermal properties

388 4.2. Inter-borehole spacing

For a typical DBHE project located in Xi'an, the inter-borehole spacing 389 is usually set to 15 m for the convenience of drilling. In this section, a series of 390 simulation was conducted with the inter-borehole spacing adjusted to 10 m 391 and 20 m. The impacts of different spacing for DBHE array on the heat 392 extraction performance are investigated. Also, the average heat extraction 393 rate used in evaluating the system layouts in this and the following section is 394 adjusted to the maximum suggested value of 150 W/m [43]. The soil thermal 395 properties of Scenario A are chosen to execute the simulation. 396

Fig. 9 depicts the evolution of inlet and outlet temperature of DBHE array under different inter-borehole spacing. Since water is used throughout this study as the circulation fluid, the lowest circulation temperature threshold is set to 0 °C prevent freezing in the pipe network. It could be found in Fig. 9 that, with 10 m of borehole spacing, the circulation temperature will be close to the lower limit after more than 10 years' operation, suggesting the long-term sustainability of the system may be impaired. In comparison, models with the 15 m and 20 m spacing between the boreholes show considerably better higher circulation temperature. Therefore, for typical soil thermal properties and maximum suggested thermal load, the inter-borehole spacing for the DBHE array should be set at least 15 m to ensure that the long-term stable operation can be obtained.



Figure 9: Overall inlet and outlet temperature of DBHE array with different borehole spacing

Diving into the details for different inter-borehole spacing scenarios, 409 Fig. 10 illustrates that the maximum outlet temperature difference in the 410 DBHE array has an obvious increase with a smaller inter-borehole spacing. 411 This illustrates that the thermal interaction among multiple DBHEs will 412 aggravate with smaller borehole spacing and more severe cold accumulation 413 will occur at the center of the surrounding subsurface. With the 10 m spacing 414 between the boreholes, the maximum outlet temperature difference at the 415 end of the 15th year reaches 1.09 °C, which is almost two times larger than 416 the 20 m borehole spacing scenario $(0.60 \,^{\circ}\text{C} \text{ of maximum outlet temperature})$ 417 difference). 418

Meanwhile, Fig. 11 also manifests that a larger inter-borehole spacing can bring more subsurface into the heat extraction process and will not suffer severe cold accumulation. For the inter-borehole spacing of 20 m, the maximum soil temperature drop after 15th years' operation is 75.11 °C. With the increase of inter-borehole spacing from 10 m to 20 m, the soil temperature drop has an obvious decrease of 10.61 °C, showing better sustainability after long-term heat extraction of DBHE array system.



Figure 10: Maximum Outlet temperature difference among individual DBHEs within the DBHE array under different borehole spacing



Figure 11: Soil temperature distribution of DBHE array with different borehole spacing

426 4.3. Arrangement geometry

For most of the DBHE projects in Xi'an, the borehole array is usually arranged in a single line layout (see Fig. 12) [41]. This is mainly because that such arrangement can fully utilize the free space between the buildings and the project land boundary. In this section, several types of arrangement geometries are proposed to investigate the potential to increase system performance or enhance its long-term sustainability.

Fig. 12 demonstrates several arrangement geometries of DBHE array, 433 including the traditional single-line layout, circle layout (the center DBHE 434 was removed to prevent cold accumulation), and polyline layout. The multi-435 row layout (e.g. 3×3 layout for 9 DBHEs) is not considered because the 436 centered DBHE in this type of layout will suffer severe cold accumulation 437 and will not obtain a better heat extraction performance of the whole DBHE 438 array. The number of boreholes in the DBHE array is set as 5 for convenience 439 and typicality of simulation. In all three arrangements, the boreholes are 440 connected in a parallel manner, and the inter-borehole distances are all set 441

442 to the same value D_s of 15 m in this study.



Figure 12: Diagram of three different arrangement geometry of DBHE array

The long-term simulation results could be seen in Fig. 13, after a long pe-443 riod of operation, the single line layout gains higher circulation temperatures 444 than the other two layouts. At the end of the 15th year, the circulation tem-445 perature of DBHE array with the single line layout maintains over 2.76 °C. 446 which is beyond the temperature threshold to prevent the freezing in the 447 system. The circulation temperature drop of DBHE array with polyline 448 layout is higher than the other two scenarios, which implies unsatisfactory 449 sustainability in long-term operation. 450

Also, the thermal plume at the depth of 2300 m is shown in Fig. 14, the 451 shape of three thermal plumes all tend to be ellipse after long-term opera-452 tion. The half-length of the major axis is selected to be representative of 453 the thermal plume radius. The results in Fig. 14 manifest that the ther-454 mal plume radius for single line layout (88.3 m) is larger than circle layout 455 (77.1 m) and polyline layout (78.0 m), also a severe cold accumulation in 456 the polyline layout can be observed. This manifests the single line layout 457 could extract more heat from larger surrounding subsurface and thus obtain 458 higher circulation temperature and also better long-term sustainability. 459

460 Except for the heat extraction performance, the ground area of DBHE

array with the different arrangement also needs to be assessed. For the 461 three arrangement geometries in Fig. 12, a group of auxiliary lines is added 462 (green line) on the circle and polyline arrangement. The ground area can 463 be then deduced by using geometric theorem and the Trigonometric func-464 tion formula. With an inter-borehole spacing of 15 m, the ground area of 465 DBHE array for single line, circle and polyline are respectively 1125.00 m^2 , 466 1400.60 m^2 and 1245.78 m^2 . It can be seen that the single line layout has the 467 smallest area, while the other two layouts all need a larger ground area to 468 deploy. The results illustrate that the single line layout should be selected 469 as the proper arrangement geometry for the DBHE array. Because it has 470 better sustainability in long-term heat extraction and does not need a larger 471 space to implement. 472



Figure 13: Overall inlet and outlet temperature of DBHE array with different system layout



Figure 14: Thermal plumes of DBHE array with three different arrangement geometry

473 5. Discussion

With a typical heat extraction rate (130 W/m). Fig. 15 illustrates the 474 soil temperature distribution and radial thermal plumes of a single DBHE 475 after 15 years' operation. Considering the temperature difference compared 476 to the initial soil temperature as $0.5 \,^{\circ}$ C, results depict that the radius of 477 thermal plume at the end of 15 years reaches 53.4 m. For a smaller temper-478 ature difference threshold, the value of the maximum thermal plume radius 479 may even increase. In the aforementioned studies, results show that even 480 an inter-borehole spacing of 20 m is enough for maintaining the long-term 481 sustainability in the entire life span of DBHE array system. This infor-482 mation can be conducive to the system design of DBHE array, that is the 483 inter-borehole spacing of DBHE array can be set as lower than the thermal 484 plume radius. Completely avoiding the thermal interaction among DBHEs 485 in the DBHE array may cost a huge construction area to deploy the bore-486 holes, a certain degree of soil temperature drop caused by thermal interac-487 tion can be accepted. If the heat extraction performance and the circulation 488 temperature variation are carefully maintained and monitored, a reasonable 489 inter-borehole spacing can be selected to serve for long-term sustainability 490 along with convenience in project construction. 491



Figure 15: (a) Soil temperature distribution of single DBHE at the depth of 2300 m; (b) Thermal plumes of single DBHE after 15 years' operation

492 6. Conclusion

In this study, a series of numerical models are constructed to simulate the 493 heat extraction performance and long-term sustainability of DBHE array. 494 The typical geological formation and soil thermal properties of Xi'an, China 495 are selected to be the parameters used in the simulation. Several scenarios 496 are simulated and evaluated to reveal the long-term behavior and system 497 sustainability of the DBHE array, including a set of thermal conductiv-498 ity, volumetric heat capacity and thermal diffusivity, different inter-borehole 499 spacing and arrangement geometry. In comparison, the single DBHE with 500 the same setting is also investigated in a long-term simulation. To be more 501 specific: 502

Higher thermal conductivity and higher volumetric heat capacity will
 be helpful to improve the heat extraction performance of a DBHE
 array. Thermal conductivity has a stronger impact on heat extraction
 performance in both single and multiple DBHE cases. A higher level of
 volumetric heat capacity value will lead to stronger cold accumulation
 in the long term, especially for multiple DBHE arrays.

• With higher average thermal diffusivity of the subsurface, a larger thermal plume will always be produced. For DBHE array cases, the thermal plume radius will grow from 74 m to 87.7 m, along with the thermal diffusivity increases from 2.15×10^{-3} to 4.08×10^{-3} m² h⁻¹. Nevertheless, thermal diffusivity should not be regarded as a crite rion in evaluating the heat extraction performance of the DBHE array
 system.

With the 10 m spacing between the boreholes, the circulation temperature will be close to 0 °C after more than 10 years' operation. Also, the DBHE array will suffer more severe cold accumulation with smaller borehole spacing. For typical geological parameters in Xi'an, the interborehole spacing should be at least 15 m, otherwise, it has a risk of freezing in pipelines.

• The single line layout is the preferred arrangement geometry of the DBHE array. Although its thermal plume radius is larger, the heat extraction performance and long-term sustainability in the single-line layout are better than other types of arrangement. Also, the single line layout needs a smaller ground area to deploy the boreholes, which is more accepted in project construction.

528 CRediT authorship contribution statement

Wanlong Cai: Conceptualization, Methodology, Software, Validation, 529 Writing - Original Draft, Visualization. Fenghao Wang: Conceptualiza-530 tion, Formal analysis, Project administration, Funding acquisition. Chao-531 fan Chen: Methodology, Software, Investigation, Writing - Review & Edit-532 ing. Shuang Chen: Methodology, Software, Writing - Review & Editing. 533 Jun Liu: Validation, Formal analysis, Investigation. Zhanli Ren: Vali-534 dation, Data Curation. Haibing Shao: Methodology, Software, Writing -535 Review & Editing, Resources, Supervision. 536

537 Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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