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1 **The Asymmetric Response Concept explains ecological consequences of multiple stressor**
2 **exposure and release**

3

4 **Statement of authorship**

5 MV and DH conceived the original concept. MV, DH, FL, JB, RT, RM and BS further
6 developed the framework. All authors contributed to discussing and refining the concept and to
7 compiling examples. MV and DH wrote the initial draft of the manuscript, with additions
8 notably by MOG, FL, RBS, RT and BS. All authors have approved the final version of the
9 manuscript.

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56

57

58 **Highlights**

- 59 • Multiple stressors can affect species indirectly through either abiotic variables or
60 impacts on non-target species
- 61 • Stress tolerance is the key determinant of responses to increasing stress intensity
- 62 • Dispersal and biotic interactions are the two key mechanisms governing responses to
63 the release from stressors

64

65 **Abstract**

66 Our capacity to predict trajectories of ecosystem degradation and recovery is limited, especially
67 when impairments are caused by multiple stressors. Recovery may be fast or slow and either
68 complete or partial, sometimes result in novel ecosystem states or even fail completely. Here,
69 we introduce the Asymmetric Response Concept (ARC) that provides a basis for exploring and
70 predicting the pace and magnitude of ecological responses to, and release from, multiple
71 stressors. The ARC holds that three key mechanisms govern population, community and
72 ecosystem trajectories. Stress tolerance is the main mechanism determining responses to
73 increasing stressor intensity, whereas dispersal and biotic interactions predominantly govern
74 responses to the release from stressors. The shifting importance of these mechanisms creates
75 asymmetries between the ecological trajectories that follow increasing and decreasing stressor
76 intensities. This recognition helps to understand multiple stressor impacts and to predict which
77 measures will restore communities that are resistant to restoration.

78

79

80 **Introduction**

81 As the UN Decade of Ecosystem Restoration unfolds, we witness efforts worldwide to restore
82 degraded ecosystems in an attempt to halt and reverse losses in biodiversity and ecosystem
83 functions (Suding 2011, Wohl et al. 2015, Fischer et al. 2021). Strategies to achieve this goal
84 include promoting the reestablishment of natural communities, reinitiating impaired processes
85 and, often first and foremost, alleviating anthropogenic stressors (Perring et al. 2015).
86 Anthropogenic stressors are defined here as any disturbance factor causing environmental
87 variables, individuals, populations, communities, or ecosystem functions to exceed the range
88 of normal variation relative to undisturbed reference conditions (modified after Piggott et al.
89 2015; compare also original descriptions of the stress concept in Barrett et al. 1976 and Odum
90 1985). In practice, however, restoration strategies have often failed in that the recovery of
91 populations, communities and ecosystem functions remained incomplete following the
92 implementation of measures (Bernhardt et al. 2005, Palmer et al. 2010, Jähnig et al. 2010,
93 2011). This has compromised ecological restoration for decades (Duarte et al. 2009, Suding
94 2011, Lorenz et al. 2018). In part, this failure is due to an insufficient understanding of the
95 intricate nature of ecological responses to both ecosystem degradation and the restoration
96 measures taken. More advanced mechanistic insight is required to predict when different types
97 of ecological trajectories will occur and to provide tailormade solutions in each of these cases.

98 A key concept for ecosystem restoration is return time, i.e. the rate at which recovery takes
99 place following disturbance by a stressor. This is one of the ways resilience is classically
100 defined (Pimm 1982, DeAngelis 1992). However, the concept only applies where systems
101 actually do recover or 'return'. Especially full recovery does not always occur; it is only one of
102 several possible outcomes when stressors are removed (Lake et al. 2007). Additionally,
103 resilience, as treated by Pimm (1982) and DeAngelis (1992), focused on food web responses to
104 single stressors, from which the system was fully released.

105 Ecosystems are typically exposed to multiple stressors (e.g. Birk et al. 2020, Spears et al. 2021,
106 Simmons et al. 2021), which may act simultaneously or sequentially and may be magnified or
107 mitigated at different times and to different degrees (Jackson et al. 2020, Orr et al. 2020). When
108 multiple stressors interact in non-additive ways, impacts on biodiversity and ecosystem
109 functions can be magnified through synergistic effects (Schäfer & Piggott 2018). Exposure to
110 both single stressors and their combinations may also prime ecosystems towards the effects of
111 subsequent stressor exposure (Jackson et al. 2020), which is in case of individual species often
112 referred to as "co-tolerance" (Vinebrooke et al. 2004). Importantly, the timing, magnitude and

113 frequency of a release from any of multiple co-occurring stressors may vary, implying that those
114 not specifically targeted by restoration measures persist, or their intensity increases even
115 further. Thus, variable responses to multiple stressors and their interactions affect ecological
116 trajectories and the resulting ecosystem states both during ecosystem degradation and after the
117 release from stressors by restoration measures.

118 This complexity calls for a concept that explicitly considers both single and multiple stressors
119 and that captures the mechanisms determining ecosystem responses and community trajectories
120 during periods when stressor intensities increase and decrease. Such a conceptual framework
121 would need to factor in that multiple stressors may occur as combinations of short-term pulses
122 and ramp or press disturbances that persist for extended periods (Lake 2003). It is these stressor
123 combinations that define the effective impact in situations both where ecosystems are expected
124 to follow a recovery trajectory and when degradation has led to crossing a threshold, or tipping
125 point, that caused a shift to an alternative state (Holling et al. 1973, Folke et al. 2004, Hodgson
126 et al 2016, O’Leary et al. 2017). Finally, an improved concept needs to consider that trajectories
127 are not only governed by the effective combined intensity of multiple stressors, but also by
128 dispersal limitation of species and a suite of direct and indirect interactions in ecological
129 communities (Menge & Sutherland 1987). These factors, in combination, determine the
130 propensity of ecosystems after stressor release to be recolonised by previously lost or new
131 species (Tielke et al. 2020).

132 Here we propose the Asymmetric Response Concept (ARC) to provide a testable basis for
133 predicting alternative ecological trajectories, for application in restoration. The ARC has two
134 components. It addresses (1) the (a)symmetry of degradation and recovery patterns under
135 conditions of increasing vs. decreasing intensity of single or multiple stressors and (2) the
136 mechanisms responsible for these trajectories, which differ in importance between phases of
137 degradation and recovery. Pivotal to the understanding of these trajectories and patterns are the
138 ways how multiple stressors affect populations and communities. Examples of these
139 components are given in Annexes 1 to 3.

140 The ARC emphasises that different ecological mechanisms are dominant during periods when
141 the intensities of multiple stressors increase and decrease, without requiring that the actions of
142 different stressors are fully in phase. As a consequence, trajectories of community structure and
143 ecosystem functions during stressor increase and release, as well as the start and end of exposure
144 to stressors can differ, potentially resulting in asymmetric responses to increases vs decreases
145 in stressor intensities. Key mechanisms to consider include (i) species-specific tolerance to

146 single and multiple stressors, (ii) dispersal capacity determined by species traits and
147 connectivity, and (iii) biotic interactions, such as competition, facilitation, predation and
148 parasitism, including the associated chains of indirect interactions in the community. The ARC
149 lays out how changes over time in the dominance of these mechanisms result in fast or slow
150 recovery of a degraded ecosystem to its previous state, to partial recovery, to persistence of the
151 degraded state despite release from the stressors, or to the emergence of novel ecosystems
152 comprising new communities.

153 According to the ARC, full recovery of community structure and ecosystem functions is one
154 out of several possible outcomes and by no means the default expectation. When recovery fails
155 following release from a stressor, as is often the case in reality (Bernhardt et al. 2005), the
156 question arises which obstacles obstruct the trajectory towards full recovery and which
157 processes need to be promoted to initiate, direct or accelerate the desired trajectory.

158 Effective restoration requires a detailed mechanistic understanding of how multiple stressors,
159 and the release from those stressors, act on species and ecosystems in both additive and non-
160 additive ways. Such a level of understanding has not yet been achieved (Spears et al. 2021,
161 Simmons et al. 2021). Therefore, we first clarify the ways in which impacts can arise, either as
162 direct effects on organisms, or as indirect effects mediated by environmental variables, or by
163 other members of the community. Then we describe the shifting importance of different
164 mechanisms during phases of increasing and decreasing intensities of multiple stressors. On
165 basis of this, we propose a practical approach for testing both the components of the ARC and
166 its overall performance and address the implications for ecosystem management. For the sake
167 of consistency, we illustrate the concept based on examples drawn from freshwater ecosystems,
168 particularly from rivers, which are well suited for that purpose because rivers provide numerous
169 ecosystem services, are heavily affected by multiple stressors (Reid et al. 2019, Lemm et al.
170 2021), and are among the ecosystem types frequently restored (Bernhardt et al. 2005).
171 Notwithstanding this focus on rivers, the principles underlying the ARC apply to a wide range
172 of systems, from forests and grasslands to salt marshes, lakes and oceans, examples of which
173 are provided in Annexes 1 to 3.

174

175 **Scenarios of multiple-stressor effects**

176 There are five main effect types when two stressors affect species, communities or ecosystems:
177 (i) Stressor dominance occurs when one of the stressors has an overriding effect on the

178 considered response variable; (ii) additive effects describe a situation where the combined
179 effects add up without strengthening or weakening each other; (iii) synergistic or (iv)
180 antagonistic effects relate to interactions of stressors that strengthen or weaken the individual
181 effects such that the joint effect is stronger or weaker, respectively, than the additive effect; and
182 (v) reversal occurs when the joint effect is in the opposite direction of the individual stressor
183 effects (Jackson et al. 2016, Birk et al. 2020). Thus, the net effect of two (or more) stressors on
184 species, communities and ecosystem functions strongly hinges on the effect type of multiple
185 stressors. These effect types are frequently diagnosed assuming a linear relationship (of
186 transformed or untransformed data) between stressor and response variables (Turschwell et al.
187 2022). This assumption is particularly problematic in the case of untransformed data, where in
188 case of a sigmoid stressor-response relationship, the adding up of single stressors would yield
189 departures from linearity, i.e. be diagnosed as synergism or antagonism. Given that our concept
190 applies irrespective of how additivity or non-additivity is diagnosed, we employed the
191 simplifying assumption of linearity here.

192 Responses to a full or partial release from one or several stressors will also differ among effect
193 types (Figure 1). If the effect of two stressors is additive, the removal of one of them will lead
194 to a partial, but not full, recovery (Figure 1A). If one stressor dominates effects, restoration will
195 be successful if the dominant stressor is removed (first scenario; Figure 1B), unless the effect
196 of the subordinate stressor increases once it is no longer masked by the dominant stressor
197 (second scenario; Figure 1C). If, however, restoration targets the subordinate stressor,
198 improvements will be small or undetectable (third scenario; Figure 1D). If two stressors are
199 similarly important and act synergistically, removal of either of the two would already lead to
200 a notable improvement (Figure 1E). Conversely, if stressors act antagonistically, removal of
201 only one of them could have a very limited effect or even worsen the situation (Figure 1F). In
202 cases of reversal, removal of one of the stressors could also increase the overall impact (Figure
203 1G). If only a single stressor is present, the prediction is straightforward in that its removal will
204 eliminate stress completely (Figure 1H). The different effect types pertain not only to effects
205 on individual species, but also to variables describing community structure and ecosystem
206 functions (e.g. Birk et al. 2020).

207 All of these effect types can affect species within communities in different ways. Clearly
208 distinguishing the different types is crucially required to conceptualise, model and predict how
209 multiple stressors exert effects. For simplicity, we present two-stressor scenarios only, although
210 the principles apply to any number of stressors acting simultaneously, examples of which are
211 given in Annex 1. Figure 2 shows three basic scenarios:

- 212 1.) Direct effects: both stressors directly affect the focal species.
- 213 2.) Indirect effects through an abiotic environmental variable: Both stressors jointly affect
214 an environmental variable that in turn affects a focal species.
- 215 3.) Indirect effects through other species: Both stressors affect one or several species that
216 interact with the focal species (e.g., through predation, competition, mutualism,
217 commensalism or parasitism). Given the multitude of biotic interactions in
218 communities, most species will experience such a net combined effect of other species
219 in the same community.

220 Combinations of these three basic cases are also possible. For example:

- 221 4.) Combination of direct and indirect effects through an abiotic environmental variable:
222 One or both stressors directly affect a focal species in addition to indirect effects of one
223 or both stressors mediated by an environmental variable.
- 224 5.) A combination of direct and indirect effects through one or more other species. Most
225 species in a community will experience such a net combined effect through other species
226 (compare case 3.).

227 Interactions among more than two stressors can easily yield complex outcomes, including
228 changes in the direction of effects (Suleiman et al 2022). This can occur, for example, when
229 several stressors affecting environmental variables or species simultaneously translate into
230 indirect effects on focal species (Gessner & Tlili 2016). The basic distinction, however, between
231 direct and indirect effects through environmental variables and different species also applies to
232 complex multiple-stressor situations.

233

234 **Overview of mechanisms governing responses to stressor exposure and removal**

235 Multiple stressor effects following cases 1, 2, 4 and 5 (Figure 2), all relate to species-specific
236 tolerances (i.e. resistance) to stressors. In addition, biotic interactions can be important, as
237 depicted in cases 3 and 5 (Figure 2). Dispersal is another critical factor influencing to what
238 extent other species in a community are available to interact with a focal species. Therefore, it
239 is expected that the combined effects of tolerance, biotic interactions and dispersal govern the
240 overall responses of species to multiple stressors and also determine community structure and
241 associated ecosystem functions (Lake et al. 2007).

242 Crucially, however, the relative importance of these ecological mechanisms differs
243 fundamentally between phases of increasing and decreasing stressor intensities, potentially

244 leading to asymmetric trajectories before and after the release of populations, communities and
245 ecosystems from stressors (Figure 3). Sarr (2002) coined terms for alternative types of recovery
246 trajectories, i.e. the “rubber band”, “broken leg” and “no recovery” models (Figure 3). In Annex
247 2, we provide multiple examples for these alternative trajectories to underline that such
248 trajectories are frequently occurring in a wide variety of systems in the real world. However,
249 Sarr (2002) did not explain when or why these alternatives occur, while Smith et al. (2009) list
250 some of the governing principles, but put them not in relation to recovery. We propose that
251 variation in how exactly the three governing forces, i.e. tolerances, dispersal and biotic
252 interactions, take dominance over time explains much of the actual variation in outcomes, i.e.
253 in the degree of asymmetry among trajectories before and after stressor release. Below we focus
254 on the factors driving variation among outcomes, before we further develop how the ARC can
255 be used to advance a more predictive restoration ecology.

256

257 **Factors driving alternative ecological trajectories**

258 Tolerance

259 For some environmental factors, among which temperature is the most prominent example,
260 tolerance can be described by a bell-shaped curve (Shelford’s tolerance law curve; Erofeeva
261 2021). In these cases, the factor acts as a stressor when its range of normal variation relative to
262 undisturbed reference conditions is exceeded. For most others (e.g. concentrations of oxygen
263 in water or persistent pollutants), tolerance is well described by a monotonically increasing or
264 decreasing curve. Tolerance varies among species and also depends on environmental context,
265 with the ranges of some species being broad, and narrow for others, or slopes of species
266 responses to stressors being steep or shallow. An important consideration in multiple-stressor
267 scenarios is that the stressor level for a given environmental factors may narrow the tolerance
268 ranges, or change slopes, for others (i.e. co-tolerance; Vinebrooke et al. 2004). A single factor
269 exceeding a critical threshold for a given species will lead to mortality, even when all other
270 factors are within a benign range (Odum 1971, Erofeeva 2021). Furthermore, organisms must
271 cope with multiple factors in fluctuating environments, some of which are suboptimal for the
272 species’ requirements, even in undisturbed environments or after restoration measures have
273 been completed. Therefore, to predict the success of restoration measures, information is critical
274 on how intensities of stressors, both individually and in combination, relate to the tolerance
275 ranges of the species characterising the target community after the release from stressors. The
276 ARC proposes that in systems where dispersal is not limited and biotic interactions do not lead

277 to alternative community states, community responses can be accurately predicted on basis of
278 sufficient knowledge about species tolerances.

279 When stressor intensities increase only slightly, tolerance is the principal mechanism to
280 maintain community structure by providing initial resistance. Tolerance levels preventing
281 mortality are sufficient in the short run to ensure persistence when stressor intensities increase,
282 although in the long run successful reproduction will be essential as well. Tolerance may be
283 conferred by genotypic traits for physiological and behavioural responses, and may include the
284 regulatory responses that shape phenotypic plasticity. Tolerances differ not only among species,
285 but also among genotypes within species (Visser et al. 2014). Small increases in stressor
286 intensity may thus accentuate differences in natural mortality rates. This in turn will change the
287 relative abundance of different genotypes within populations and communities (Sturmbauer et
288 al. 1999, Jacob et al. 2017), suggesting that eco-evolutionary dynamics need to be accounted
289 for when assessing responses to increasing or decreasing stressor intensities.

290 As long as all genotypes remain present at some sufficient density, an increase in stressor
291 intensity only alters relative densities, which may be readily reversed following release from
292 the stressors. Sarr (2002) referred to such a rapid recovery as the “elastic” or “rubber band
293 model,” which depicts a “symmetric response” during increasing and decreasing stressor
294 intensities (Figure 3, case 1).

295 Whenever increased stressor intensities lead to greater mortality, a local loss of the more
296 sensitive genotypes will likely occur. A stressor thus acts as a selective pressure and can result
297 in a erosion of local genetic (and hence phenotypic) variation (Inostroza et al. 2016), especially
298 when stressors or stressor combinations act in sequence (Vinebrooke et al. 2002, Nimmo et al.
299 2015). This reduces the adaptive potential of the population for future stress events. However,
300 as long as all species persist that were present in the community before stressor exposure,
301 recovery assessed in terms of the re-establishment of the original community structure can still
302 be rapid following stressor removal. Consequently, recovery may be symmetric at the
303 community level, even when populations may have experienced significant genetic loss.
304 Nevertheless, if the erosion of genetic variation involves the loss of important trait values
305 affecting fitness (e.g. competitiveness, see below), species may be locally lost. If increases in
306 stressor intensity cause direct mortality in one or several populations of a community, it will
307 result in species sorting, meaning that some species persist, whereas others become locally
308 extinct.

309

310 Biotic interactions and dispersal

311 When species are lost from communities and local recruitment is precluded or limited,
312 community recovery relies on dispersal. This tends to delay recovery, as captured by the
313 “broken-leg model” (Figure 3, case 2) according to Sarr (2002), because the re-establishment
314 of lost species requires prior recolonisation. A temporary absence of species may have several
315 important ecological consequences. Firstly, prey species availability for some consumers may
316 be reduced. Secondly, some species may no longer benefit from mechanisms supporting
317 coexistence, such as keystone predation (Paine 1966, Menge et al. 2021), if the benefit was
318 provided by the lost species (Tielke et al. 2020). Thirdly, some species may no longer profit
319 from ecosystem functions, such as nutrient cycling, formerly assured by the extinct species.
320 Changes in all of these species interactions, which relate to combined stressor effects 3 and 5
321 in Figure 2, may affect some species strongly enough to cause secondary local extinctions.

322 Priority effects (De Meester et al. 2016) and chains of indirect interactions (Lundberg et al.
323 2000) could take effect in these new remnant communities and cause reintroduction resistance
324 to the species originally present (Tielke et al. 2020). Resistance of established communities to
325 invasive species is often referred to as biotic resistance (Elton 1958, Frame et al. 2016), but this
326 term can be misleading in that it is used in relation to both exotic invaders and former
327 community members. The term reintroduction resistance more clearly refers to the latter (Tielke
328 et al. 2020). As the remaining resident species may have changed their relative densities and
329 tolerant immigrants may have invaded during the stressor exposure period, net pressures of
330 competition and predation may be too high for successful recolonisation by former community
331 members. This phenomenon is referred to as community closure (Lundberg et al. 2000).
332 Depending on the degree of such community changes, the new dynamics may lead to partial
333 recovery (Figure 3, case 3) or to no recovery (Figure 3, case 4). Which of the above scenarios
334 applies to a particular restoration effort, is often unclear in practice (e.g. Louhi et al. 2011,
335 Friberg et al. 2014, Leps et al. 2016, Lorenz et al. 2018). It can for instance be difficult to
336 distinguish between recovery that is slow and recovery that is simply not happening. However,
337 it is crucially important to know whether a community is slowly recovering or in a closed state,
338 as each of these cases requires profoundly different management measures.

339 An important consideration is that different components of communities, or ecosystem
340 functions, may recover at different times after stressor removal. Linked to this, recovery
341 completeness can be assessed as the difference between the achieved post-recovery state and
342 the original state before stressor exposure (Lake 2000, Nimmo et al. 2015).

343 Outcomes of the interplay between species' tolerances and biotic interactions can further be
344 affected by dispersal (Smith et al. 2009). Population declines of sensitive species can be delayed
345 or halted, if the loss of individuals is (partly) compensated by the movement of others from
346 nearby source populations. In rivers, this frequently occurs through drift from upstream reaches,
347 including tributaries (Dedecker et al. 2006, Downes et al. 2017). This recolonisation can be
348 sufficiently important, especially in severely degraded ecosystems, to determine the structure
349 of communities after stressor removal (Winking et al. 2016). Dispersal is governed by species
350 traits that facilitate movement, the presence of potential source populations, and dispersal
351 pathways, which requires consideration of any barriers impeding movement (Parkyn & Smith
352 2011). Mass effects, which refer to a constant or recurring influx of individuals from source
353 populations, increase the likelihood of species re-establishment (Stoll et al. 2016), either before
354 invaders have firmly established or by driving out species established in the meantime.
355 Conversely, absence or limited colonisation potential of source populations of the lost species
356 reduce the probability that the original communities re-establish (Tonkin et al. 2014).

357

358 **Shifting importance of mechanisms after stressor exposure and removal**

359 In case of a single stressor, it is straightforward from a theoretical perspective to predict the
360 effects of reducing stress acting on a community. However, predictions can be complex when
361 multiple stressors interact (see Figure 1) or when the specific ways matter in which stressors
362 affect species and communities (see Figure 2). Therefore, to allow mechanisms driving
363 recovery to take effect, it is critically important to reduce the overall stressor intensity affecting
364 species and communities.

365 After release from stressor exposure, degraded communities may resist the reintroduction of
366 former species, due to effective community closure, irrespective of how effectively stressor
367 intensity has been reduced. The subsequent ecological trajectory is, in this case, no longer
368 dominated by tolerances or dispersal, but by biotic interactions such as competition and
369 predation. Note, however, that tolerance in the form of resting stages can still play a role after
370 stressor removal. Importantly, the recolonising former community members will not encounter
371 the original conditions that define such interactions, since the previous extinctions and
372 population declines of species changed relative population densities in the remnant community
373 (Young et al. 2021). This double shift affects the outcomes of competitive, predator-prey and
374 other biotic interactions and may generate reintroduction resistance. This in turn affects the
375 order and success of species re-establishing during community re-assembly from the regional

376 species pool. If such reintroduction resistance constrains the sequence of species re-assembly,
377 the resulting community structure will hinge on the interplay between biotic interactions and
378 the order of species arrival, which is influenced by dispersal. Alternative re-assembly
379 trajectories and end-points may be the consequence. Therefore, the prime mechanism governing
380 ecological responses to multiple stressor exposure is tolerance with dispersal and later biotic
381 interactions assuming greater importance during recovery trajectories after stressors have been
382 removed (Figure 4). The exact trajectories may vary. Tolerance will clearly dominate the phase
383 before stressor release, but the importance of biotic interactions may already increase somewhat
384 during this phase, as secondary extinctions and population declines set in that follow the
385 primary loss of less-tolerant species (Figure 4).

386 Tolerance, dispersal and biotic interactions differ in the degree of stochasticity in their effects.
387 Specific tolerances of species to different stressors will produce rather deterministic outcomes.
388 For instance, in the case of two stressors affecting a species indirectly through a single
389 environmental variable (Figure 2B), the joint stressor effect may simply be derived from
390 physical laws. An example is the effect on species by reduced dissolved oxygen concentrations
391 in river water, which is determined by temperature and flow rate.

392 Dispersal effects, in contrast, are much more stochastic, as they are contingent on many factors,
393 including the species' dispersal traits, location and size of the source populations, barriers
394 obstructing movement, and also weather conditions. Short distances may be crossed by a large
395 number of specimens, whereas "long jumps" tend to be rare (Fer & Hroudova 2008, Knighton
396 et al. 2014). The number of specimens eventually arriving at a destination depends on the
397 interplay of all these factors in determining colonisation and reproductive success, i.e. whether
398 dispersal is effective.

399 In principle, the outcome of many biotic interactions is also deterministic, but which species
400 will interact with each other at any stage of community re-assembly is stochastic, depending on
401 the dispersal process and the sequence of arrival. Interestingly, the combination of highly
402 stochastic dispersal and much more predictable reintroduction resistance can lead to
403 counterintuitive "ecological surprises". These "emergent outcomes" include asymmetric
404 responses such as the persistence of depauperate communities or shifts during recovery towards
405 a new, alternative community structure.

406

407 **Reasons for incomplete recovery**

408 The ARC provides a conceptual foundation to identify major reasons for incomplete or stalled
409 recovery. In an idealistic scenario, community structure and ecosystem functions fully recover
410 once stressors have been removed. This, however, would require all indicators of recovery from
411 all stressors and their interactions to be reset to levels experienced before stressor exposure – a
412 condition that is rarely realistic. Instead, recovery is typically obstructed by three, non-mutually
413 exclusive factors:

- 414 1.) The intensity of one or more stressors has not been sufficiently reduced.
- 415 2.) Recolonisation is constrained by dispersal limitation because a lack of source
416 populations or migration barriers limits the arrival of individuals to establish
417 populations of the species lost from the community when the stressors were imposed.
- 418 3.) Biotic interactions lead to effective community closure or reintroduction resistance, that
419 prevent the re-establishment of former community members, possibly reinforced by
420 feedbacks involving environmental factors.

421

422 **Implications for ecosystem management**

423 The three mechanisms outlined above suggest that ecosystem restoration is successful when,
424 first, benign conditions are restored that allow all former community members to re-establish.
425 This includes sensitive species that show little tolerance to the (removed) stressors. When
426 limited resources prohibit the removal of all stressors simultaneously, priorities need to be set
427 according to the type of multiple stressor effects that have been identified (Figure 1). If the
428 effect type is dominance, prospects for recovery are evidently best when the stressor with the
429 largest effect size is removed first. Normally this strategy is also successful when stressors act
430 synergistically. However, if synergistic effects prevail over the effects caused by the stressors
431 individually, the initial removal of a subordinate stressor, if more cost-effective to remove, can
432 sometimes be a partial solution. Risks of stressor removal arise particularly when reversal is the
433 effect type, because the removal of one stressor can exacerbate rather than alleviate the overall
434 stressor effects in this case. Consider, for example, that meanders recreated in an organically
435 polluted and channelised river decrease the flow, thus reducing reaeration by atmospheric
436 oxygen and exacerbating the impacts of the pollution-induced oxygen deficit in the river.

437 Once the important stressors have been removed, measures are needed, secondly, to resolve any
438 impediments preventing effective dispersal of the original community members. This may
439 require removal or reductions of dispersal barriers, species re-introductions or both. Re-
440 introducing all locally lost species simultaneously, rather than sequentially, may help to

441 overcome potential community closure effects associated with the order of species appearance
442 during community re-assembly (Jourdan et al. 2018, Dumeier et al. 2020).

443 Effective dispersal does not guarantee full community recovery, however. Reintroduction
444 resistance remains as a possible cause of incomplete success, resulting in an asymmetric
445 community response even after extensive restoration measures. The extent of reintroduction
446 resistance depends on the species traits of both the remaining and former members of the
447 community. Consequently, predicting under which circumstances and at what target densities
448 species re-introductions are successful requires detailed information about the considered
449 community, including knowledge on a range of biotic interactions (Wolf et al 2019; Tielke et
450 al. 2020). Chances of successful re-introductions tend to be greatest early after stressor
451 exposure, before primary extinctions of sensitive species entail secondary extinctions that result
452 from altered species interactions (Tielke et al. 2020). This last point is important, because
453 secondary extinctions are one of the main causes of reintroduction resistance. It must be realised
454 that overcoming reintroduction resistance with management measures is generally difficult and
455 expensive. Therefore, preventing primary and secondary extinctions is likely to be much more
456 effective than curing impoverished communities by species re-introductions.

457 Three important implications follow from the above. First, it is necessary to identify all
458 environmental variables and stressors whose values exceed the tolerance levels of even the most
459 sensitive species expected to re-establish. In practice, well-known sensitive indicator species
460 may be used as representatives of sensitive community members. Second, all dispersal
461 constraints need to be recognised and overcome. This can be achieved either by creating
462 migratory corridors, or by removing migration obstacles, or by implementing reintroduction
463 measures (Godefroid et al. 2011). Thirdly, reintroduction resistance needs to be drastically
464 reduced or overcome, e.g. by promoting a regime of mild population fluctuations, which re-
465 opens a closed community to successful reintroduction of former community members (Tielke
466 et al. 2020). In addition, information is needed on whether any unwanted species established
467 during stressor exposure persist after release from the stressors, to preclude that the originally
468 occurring species are prevented from getting re-established. Possible countermeasures include
469 the reduction of stressor intensities well beyond the tolerance limits of the lost species and
470 targeted extinction measures of the persistent unwanted species.

471

472 **Approaches to testing the ARC**

473 The Asymmetric Response Concept provides a tool to plan and conduct successful restoration
474 projects. To increase its predictive power for specific systems, it is important to test and refine
475 it with laboratory and field experiments and observational studies, the results of which may be
476 used for ecological model scenarios based on species traits relating to stress tolerance, dispersal
477 and biotic interactions.

478 The default prediction inferred from the ARC is that tolerance best explains the degree of
479 community and ecosystem change following exposure to stressors, whereas dispersal and biotic
480 interactions successively assume prime importance following release from the stressors (Figure
481 4).

482 Using data from experiments and field observations, a step-wise multi-model approach can be
483 applied to test the consequences of these values in each particular system. In a first step,
484 magnitude and direction of change can be related to proxies for the change in stressor intensity
485 and to species-specific tolerances towards these stressors. The testable hypothesis is that these
486 variables better explain community changes in phases of stressor increase than in phases of
487 stressor release. In an additional modelling step, proxies for dispersal capabilities of key species
488 and the proximity to colonisation sources can be added to the set of explanatory variables, to
489 test the hypothesis that these variables add more strongly to explaining recovery trajectories as
490 compared to degradation trajectories. Finally, measured values or proxies defining predation,
491 competition and other biotic interactions need to be added, to test the hypothesis that these are
492 particularly relevant for explaining recovery cases, i.e. when partial or full recovery will occur,
493 or when assembly will lead to alternative community states. This stepwise approach also allows
494 for testing the prediction that biotic interactions are the sole determinant of whether 'broken
495 leg' or 'no recovery' trajectories will occur, in the absence of dispersal limitation. Alternative
496 scenarios to evaluate these predictions can be evaluated with a variety of modelling approaches
497 ranging from Structural Equation Models to Dynamic Food Web Models. The model scenarios
498 need to include variation in species-specific tolerances, involve periods of increase and decrease
499 of multiple stressors, allow species reintroductions through dispersal or active management and
500 establish the dominant species interactions, including chains of associated indirect effects, in
501 the ecological community. Implementation of such scenarios allows to quantitatively predict
502 the rates and endpoints that define alternative ecological trajectories (as depicted in Figure 3).
503 These predictions can be tested in (semi-) field experiments that implement for instance
504 alternative species reintroduction sequences as treatments.

505 In conclusion, the Asymmetric Response Concept provides a testable basis for exploring and
506 predicting ecological responses to restoration measures. The ARC holds that a temporal change
507 in the relative importance of key ecological factors is key to the outcome of ecological
508 trajectories before and after release of multiple stressors. We suggest that such changes over
509 time in the predominance of different governing factors may be the rule rather than the
510 exception in defining community level patterns.

511

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517

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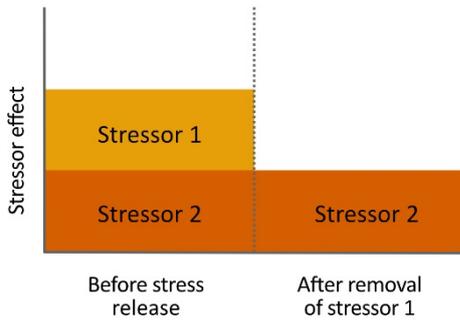
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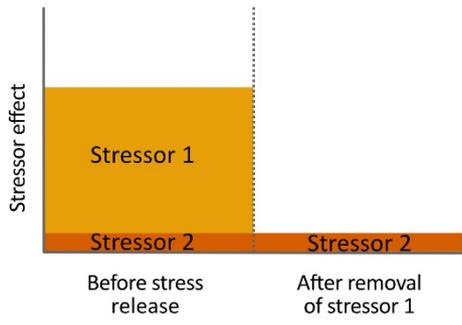
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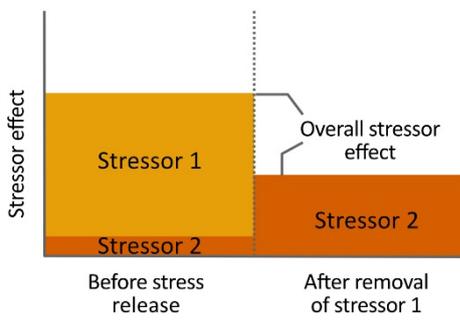
A) Additivity



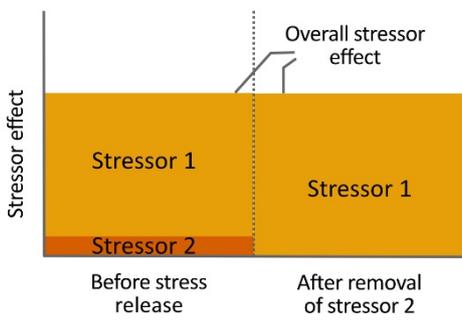
B) Dominance (first scenario)



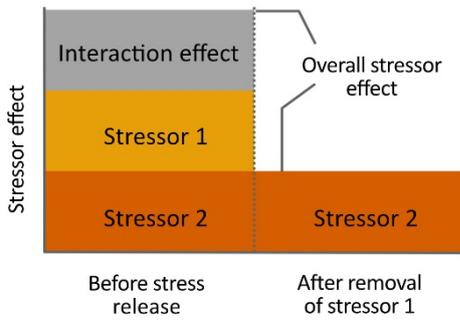
C) Dominance (second scenario)



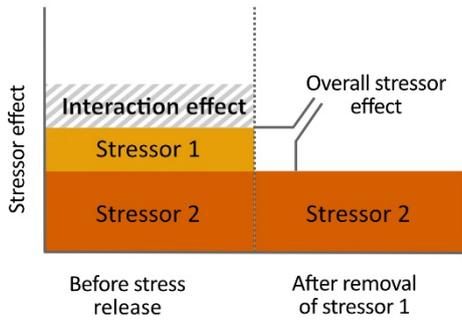
D) Dominance (third scenario)



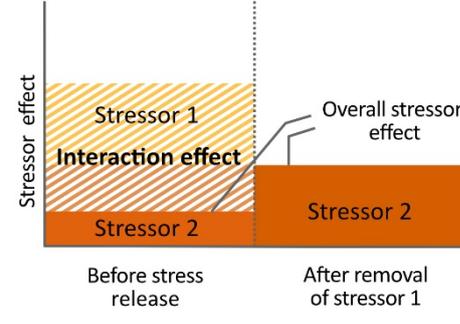
E) Synergism



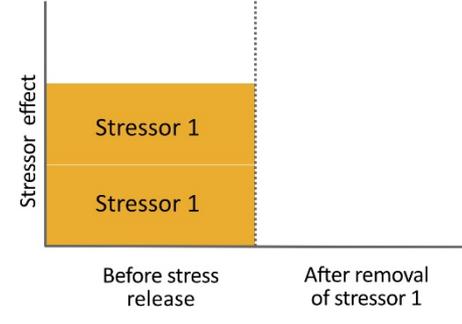
F) Antagonism



G) Reversal

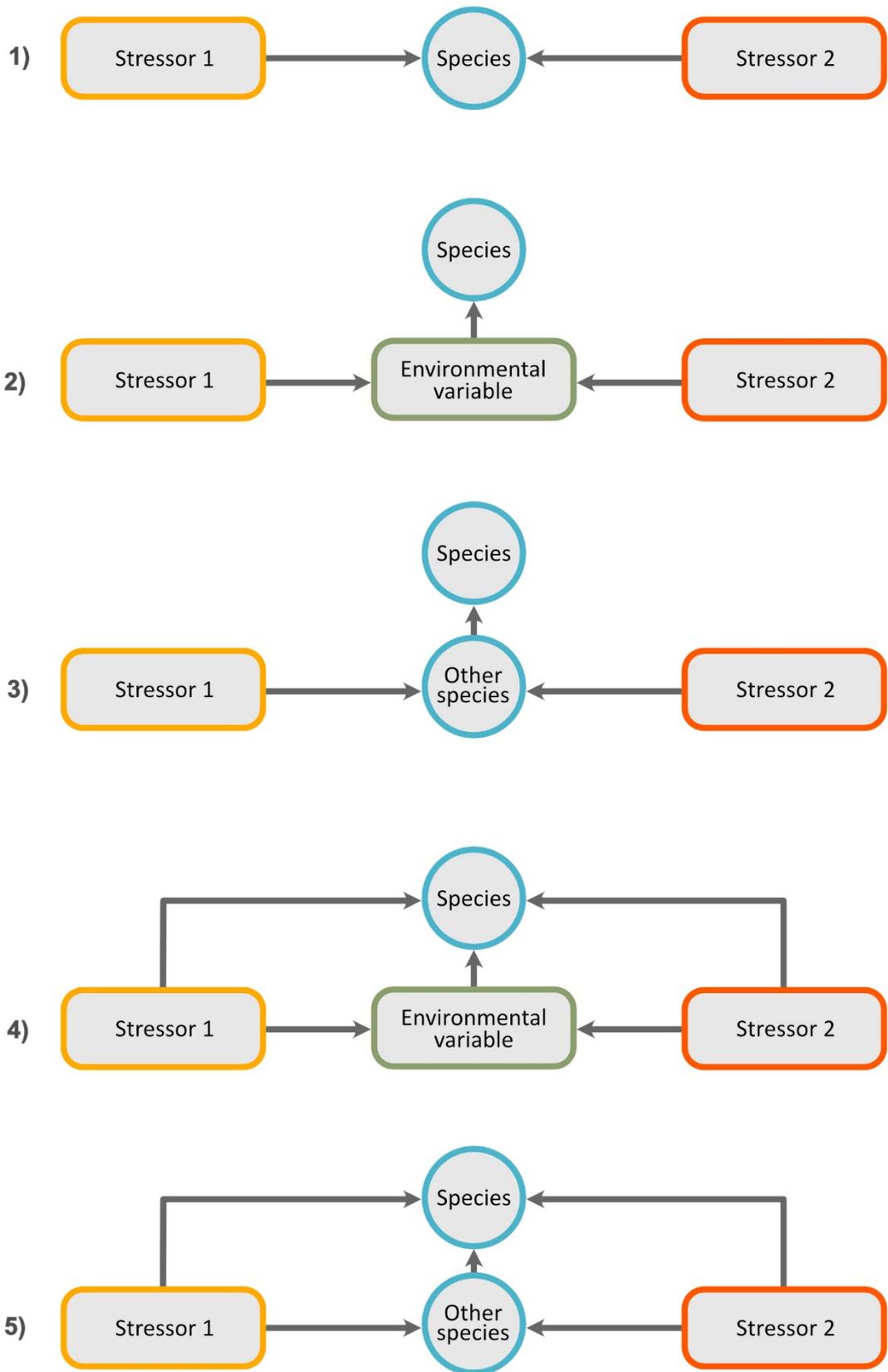


H) Single stressor



714 Figure 1: Expected net effects when releasing species, communities or ecosystems from single
715 stressors under different multiple-stressor effect types. Stressor 1 is being removed in all cases
716 except for the third scenario of stressor dominance (Figure 1D). Hatched areas denote a
717 reduction of stressor intensities. The net effects shown require a near-normal distribution of
718 both stressor and response variables, which can generally achieved by an appropriate data
719 transformation.

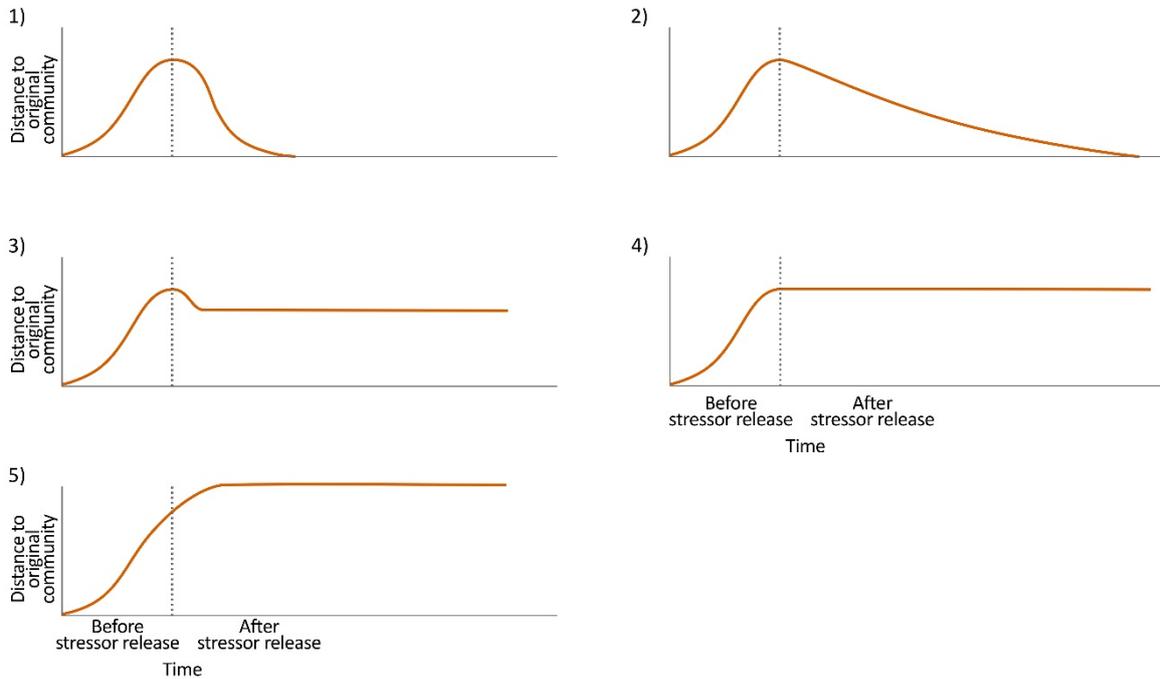
720



721

722 Figure 2: Five ways in which multiple stressors can affect a focal species in a community.

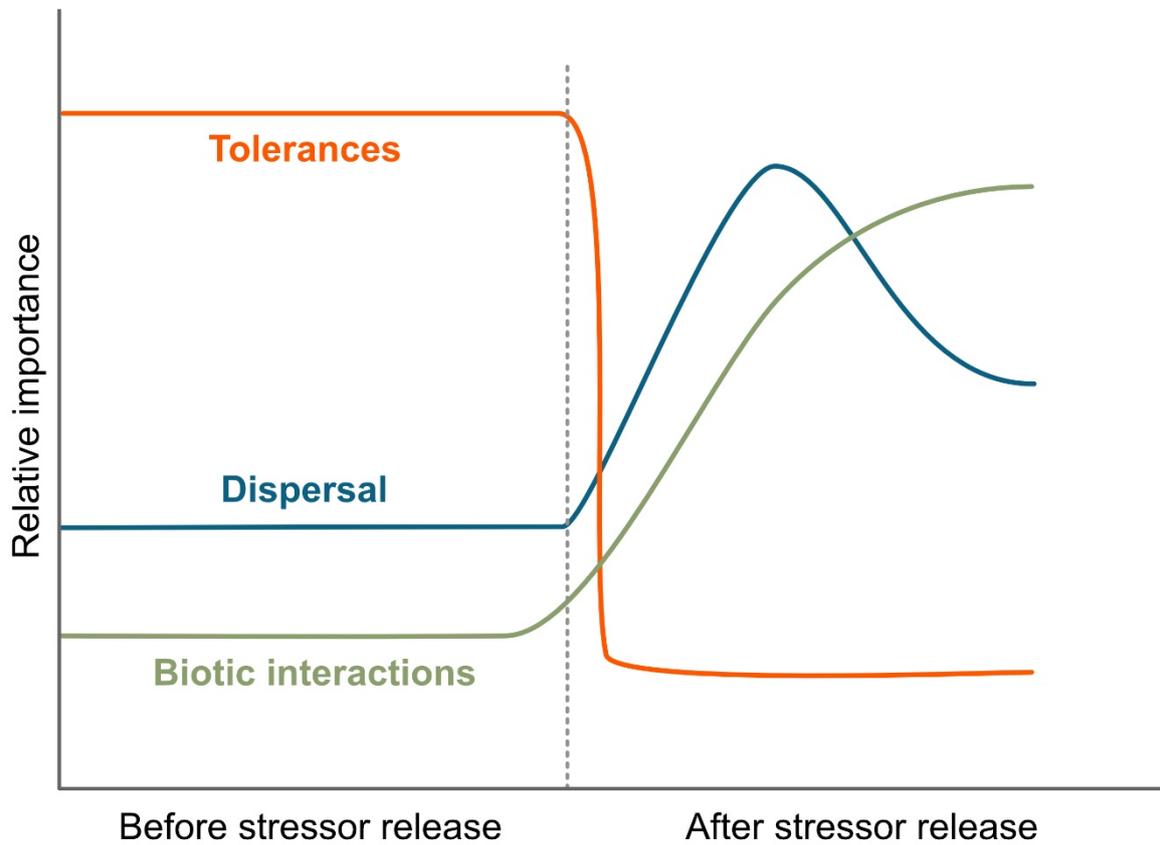
723



724

725 Figure 3: Ecological responses to increasing stressor levels and the subsequent release from
 726 stressors. The “rubber band” model (1) shows a practically symmetric response before and after
 727 release from a stressor or stressor combination, both in terms of the initial and final state after
 728 recovery and in terms of the trajectories. The “broken leg” model (2) is asymmetric in that the
 729 trajectories differ, although the initial and final states are the same (i.e. hysteresis effect). The
 730 “partial recovery” (3) and “no recovery” (4) models are asymmetric in terms of both the initial
 731 and final state, and the trajectories before and after release from the stressors. Similar
 732 asymmetries characterise the “new state” model (5), where release from stressors results in the
 733 community moving even further away from the original state. Note that different final states
 734 are possible for cases 3 and 5. For sake of simplicity, it is assumed that the stressor intensity is
 735 reduced at a certain point of time and not continuously over a longer time period.

736



737

738 Figure 4: Variation in the relative importance of tolerance, dispersal and biotic interactions
 739 during stressor exposure and recovery trajectories after the release from stressors. The effective
 740 importance of the three mechanisms after release from stressors depends on the type and
 741 intensity of remaining stressors in multi-stressor scenarios, proximity to colonisation sources
 742 and possible community closure. Annex 3 lists real world examples of how tolerance, dispersal
 743 and biotic interactions act in phases of stressor impact and stressor release. For sake of
 744 simplicity, it is assumed that the stressor intensity is reduced at a certain point of time and not
 745 continuously over a longer time period.

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