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4 Vascular plant diversity in Southeast Asian rice ecosystems is determined by climate and soil
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30 **Abstract**

31 Rice ecosystems vary greatly in climate, edaphic conditions, landscape heterogeneity,
32 agricultural management and biodiversity. However, ongoing land use intensification and
33 conversion to large-scale monoculture are threatening this diversity. We analyzed how rice-
34 growing regions in Southeast Asia differ in diversity and composition of vascular plants in
35 paddy rice ecosystems, and how the local and regional biodiversity of these plants is
36 determined by variations in abiotic conditions, habitat type (paddy vs. bunds) and the
37 proximity of non-paddy habitats. The vegetation of paddies and their bunds was surveyed in
38 seven important rice production regions located in highlands and lowlands of Vietnam and
39 the Philippines. Within the regions we sampled 67 pairs of study sites comprising a total of
40 122 paddies and 134 bunds. We identified major drivers of field-level weed diversity (alpha

41 diversity) separately for bunds and paddies. Species turnovers (beta diversity) across
42 sampling sites, between paddies and their bunds, and between regions were visualized using
43 the Bray-Curtis coefficient of dissimilarity and DCA ordinations. Species richness on bunds
44 was mainly influenced by the proximity of non-paddy habitats, mean annual temperature
45 and soil acidity. Soil moisture was the decisive factor for the variation in paddy weed
46 richness. In both habitat types, Shannon diversity and the number of insect-pollinated plants
47 showed patterns similar to species richness. Regional differences in plot species richness
48 were stronger on bunds than in paddies. Species turnover was high among habitat types and
49 between upland and lowland regions. Future ecological engineering approaches can build on
50 our findings to promote pollination services more efficiently in Southeast Asian rice
51 landscapes.

52 **Keywords**

53 Agro-ecosystems; alpha diversity; insect-pollinated plant species; irrigated rice production;
54 Philippines; rice weeds; species turnover; Vietnam

55 **Abbreviations**

56 AIC = Akaike Information Criterion, DCA = detrended correspondence analysis, GLMM =
57 generalized linear mixed-effects model, H = Shannon diversity, LME = linear mixed-effects,
58 PH = Philippines, SD = standard deviation, S_{ipo} = number of entomophilous (i.e. insect-
59 pollinated) plants, S = species richness, VIF = variance inflation factor, VN = Vietnam

60 **Main text**

61 **1. Introduction**

62 The world's population is predicted to reach 9.8 billion in 2050 (United Nations, 2017).
63 Population growth, changes in consumption habits and augmented bioenergy use are likely
64 to increase the global demand for agricultural products during the coming decades
65 (Alexandratos and Bruinsma, 2012; Foley et al., 2011; Martin and Sauerborn, 2013). In
66 Southeast Asia, about 32% of the terrestrial surface is already covered by agricultural land,
67 ca. 28% is used to produce crops (FAO, 2020). Rice is the most important food crop and main
68 source of income in rural areas (GRiSP, 2013). In 2018, 50 million ha were cultivated with rice
69 in Southeast Asia (FAO, 2020). Further agricultural expansion is at the expense of valuable
70 natural and semi-natural habitats and associated organisms, and conventional land-use
71 intensification dramatically threatens farmland biodiversity (Cunningham et al., 2013; Foley
72 et al., 2011; Kehoe et al., 2017; Newbold et al., 2015; Tilman et al., 2017). Agrobiodiversity
73 plays a crucial role in maintaining essential ecosystem functions and services in agro-
74 ecosystems like pest control, crop pollination, maintenance of soil fertility and water quality,
75 erosion control, aesthetic and cultural values, provision of food, fodder, biofuels and fibers
76 etc. (Altieri, 1999; Martin and Sauerborn, 2013). In general, higher plant species richness
77 augments the spectrum of resources like nectar, pollen and habitats, and probably leads to a
78 higher resilience against disturbances in agro-ecosystems. But if intensification continues
79 over the next few decades as predicted, the global biodiversity value of agricultural lands will
80 be substantially reduced (Egli et al., 2018).

81 Wild rice domestication began probably about 9000 years ago and rice is now grown in
82 irrigated paddies, or in rainfed lowland, rainfed upland and flood-prone ecosystems (Khush,
83 1997). Paddy rice production systems consist of three main habitat types (Bambaradeniya
84 and Amerasinghe, 2003): the paddy (or field proper), the bund (levee) and the ditch (water
85 supply canal). Together these habitat types form a mosaic of potentially high ecological,

86 economical and socio-cultural value (GRiSP, 2013). Paddies have mostly small local plant
87 species pools (Miyawaki, 1960), often reduced by weed control, whereas the vegetation on
88 bunds is usually more abundant and speciose (Fried et al., 2018). Both habitat types host a
89 variety of globally rare species (Fried et al., 2017, 2018; IUCN, 2015; Nowak et al., 2015). In
90 total, more than 1,800 plant species associated with rice cultivation were listed for South
91 and Southeast Asia alone (Moody 1989). How this enormous plant diversity varies along
92 environmental and spatial gradients and which drivers cause species loss is not well
93 understood. The drivers of the Southeast Asian rice weed diversity are scale-dependent due
94 to complex, multi-levelled agro-ecological patterns and processes. Despite recent research
95 on the species composition in South and Southeast Asian rice weed communities (Fried et
96 al., 2017, 2018; Kumalasari, 2014; Nowak et al., 2015, 2016), the factors underlying species
97 diversity across habitats and regions remain to be determined. Besides climate, altitude,
98 edaphic conditions and agricultural management, the surrounding landscape (e.g. the
99 proximity of non-paddy habitats) may turn out to be a decisive factor for alpha, beta and
100 gamma plant diversity in rice production systems. Lately, several studies examined the
101 effects of the surrounding landscape on the plant diversity of agricultural areas, mainly in
102 the Mediterranean (e.g. Armengot et al., 2011; Bassa et al., 2011; José-María et al., 2010) or
103 temperate regions (e.g. Gabriel et al., 2005; Poggio et al., 2013; Roschewitz et al., 2005).
104 Similar studies from tropical or subtropical rice fields are scarce and are inconclusive with
105 respect to the effects of the proximity of non-crop habitats on weed diversity (Kumalasari,
106 2014; Zhou et al., 2018). In this study, we analyze how local and regional-scale factors
107 determine vascular plant diversity in tropical paddy rice agro-ecosystems. We sampled plant
108 species in seven regions of Southeast Asia and covered three spatial levels: plots, landscapes
109 and regions (in order of increasing area). We addressed the following questions: (a) What

110 are the abiotic conditions and surrounding landscapes in the local paddy rice agro-
111 ecosystems and what is their relative importance for vascular plants? (b) How and to which
112 extent do plant diversity and composition differ within a region, between the paddies and
113 their bunds, and across regions?

114 **2. Methods**

115 **2.1 Study regions and experimental design**

116 Our study regions, scattered over extensive areas in Southeast Asia, encompass considerable
117 variation in climate, landscape heterogeneity, edaphic conditions, agricultural management
118 and biodiversity. We surveyed four study regions in Vietnam (VN) and three in the
119 Philippines (PH), each covering 15 × 15 km (Fig. 1, Table 1).

120 The Vietnamese regions were located in the Mekong Delta in the southern province Tien
121 Giang (VN4), and along the Red River in the northern provinces of Hai Duong (VN1), Vinh
122 Phuc (VN2) and Lao Cai (VN3), between sea level and 1,390 m. The tropical to subtropical
123 monsoon climate has a wet season from May to September dominated by southeasterly
124 winds and a dry season caused by northeasterly winds between October and April. The
125 southern Mekong Delta is characterized by warm-humid conditions all year round whereas
126 temperatures in the subtropical north are cooler during the dry season. Less warm summers
127 and cool winters are typical in the northwestern mountains, although the local climate varies
128 considerably. Mean annual temperatures range from 16 °C (Lao Cai) to 28 °C (Tien Giang),
129 where mean annual precipitation differs between 2,500 mm and 1,500 mm, respectively
130 (Rivas-Martinez and Rivas-Saenz, 1996-2009; Sterling et al., 2008; Vân, 2016).

131 The three Philippine study regions range from sea level to 1,095 m and are located on Luzon
132 Island in the provinces of Laguna (PH1), Nueva Ecija (PH2) and Ifugao (PH3). The tropical

133 climate is characterized by the southwest monsoon causing a wet season from May to
134 October, and the northeast monsoon bringing the dry season between November and April.
135 Local climates have relatively constant temperatures throughout the year, but vary in rainfall
136 seasonality. Mean annual temperatures range from 19 °C in the mountains of Ifugao to 28 °C
137 in the lowlands. Mean annual precipitation varies between 1,800 mm (Nueva Ecija) and
138 3,700 mm (Ifugao). Tropical cyclones occur frequently between July and November
139 (Coronas, 1920; CRU, 2008; GRiSP, 2013).

140 Rice weed management and cultivation practices differ most strongly between lowland and
141 upland regions rather than between countries (De Datta 1981; GRiSP 2013; Fried et al. 2017,
142 2018): rice is usually harvested twice a year in lowland regions, whereas the mountain
143 climate allows for only one crop cycle per year. Intensive manual labor, the use of traditional
144 upland rice cultivars and reduced or zero input of synthetic herbicides and fertilizers are
145 further characteristics of the traditional upland rice farming systems in Ifugao (PH3) and Lao
146 Cai (VN3). Besides, weeds and the uppermost soil layer are typically removed from the
147 upland bunds prior to the transplanting of rice seedlings, and the use of water buffalos for
148 puddling and harrowing of paddies is still common. In contrast, lowland farmers mostly use
149 machinery and apply synthetic products for pest control and fertilization. Particularly Tien
150 Gang (VN4) is characterized by high chemical input, vast monoculture areas and three crop
151 cycles per year. In Laguna (PH1), bund weeds are subject to frequent cutting, but herbicides
152 are used less than in other lowland regions. For further details on land-use, structural
153 heterogeneity and soil characteristics of the study regions, see Klotzbücher et al. (2015).

154 We employed a paired study design to detect scale-dependent effects of abiotic conditions
155 and surrounding landscape on the vegetation. In the following, the term “rice field” refers to
156 the entity of a paddy and its bunds, whereas each “site” comprised a rice field irrespective of

157 whether only the vegetation of its bunds or also of its paddy was surveyed during the study.
158 The study sites were selected preferentially according to their accessibility and
159 approachability of farmers during the sampling period. In total, we selected five to eighteen
160 pairs (landscape level) of study sites (plot level) in each region (regional level), resulting in
161 134 sites, 67 pairs and 7 regions (Table 1). Each pair of sites comprised one monoculture site
162 that was entirely surrounded by rice fields, and one structurally more diverse site that
163 shared at least one border with one or more non-paddy habitats (such as agroforests,
164 gardens or grasslands) resulting in “diverse” surroundings (and representing an enhanced
165 habitat diversity). Distance between sites ranged from 40 m to 590 m (mean \pm SD: 244 ± 120
166 m).

167 **2.2 Vegetation survey**

168 The vegetation survey was conducted within the framework of an interdisciplinary research
169 project on sustainable rice production (LEGATO; Settele et al., 2018). We established a total
170 of 256 vegetation plots (122 in paddies, 134 on bunds), comprising 16 to 70 plots in each of
171 the seven study regions (Table 1). Twelve paddies were abandoned or the crop had changed
172 during the sampling period although the corresponding bunds had already been sampled.
173 Every plot was sampled once between 2012 and 2015, either during wet or during dry
174 season. Vascular plant species were recorded with their cover/abundance values using the
175 nine-class Braun-Blanquet scale (Reichelt and Wilmanns, 1973). In each paddy, all vascular
176 plant species were recorded in a representative rectangular plot of 20 m². Five 2 m² subplots
177 were randomly selected on the bunds, stratified as to sample the vegetation at least once on
178 each side of the paddy, because of their variation in width, shape and stability. Cover-
179 abundance values were transformed into percentages ($r = 0.1$, $+ = 0.5$, $1 = 2.5$, $2m = 2.5$, $2a =$
180 10 , $2b = 20.5$, $3 = 37.5$, $4 = 62.5$, $5 = 87.5$) (Dierschke, 1994). The species inventory of the five

181 subplots was pooled and percentages were averaged to represent plots of 10 m² size per
182 bund. Altitude and geographical coordinates were determined by GPS device (Garmin eTrex
183 Vista® HCx) in the center of each study site.

184 The following works were used for plant identification: Cook, 1996; eFloras, 2008; Harada et
185 al., 1987, 1993; Ho, 1999, 2000, 2003; Le, 2007; Nguyen, 2002; Nguyen and Nguyen, 2007;
186 Pancho and Obien, 1995; Soerjani et al., 1987. Voucher specimens of each plant species
187 were collected and stored in GOET (Herbarium of the University of Göttingen). The
188 taxonomy follows The Plant List (2013).

189 **2.3 Environmental variables**

190 Mixed soil samples were collected (10-20 cm depth) from five randomly selected spots per
191 plot and analyzed in laboratories of Göttingen University and the Helmholtz Centre for
192 Environmental Research – UFZ. A conductivity gauge of the type pH538 (WTW GmbH) was
193 used to measure the electrical conductivity (*EC*) in the supernatant suspension of a 1:2.5
194 soil:H₂O mixture, before assessing the soil pH with a pH meter of the type pH358 (Krannich
195 GmbH & Co.KG). Contents of C_{org} and N_{total} were measured with the Dumas combustion
196 method using a VARIO MAX (elementar), afterwards humus content was estimated (C_{org} ×
197 1,725). Texture was determined on a moist sample of fine earth and through visible
198 characteristics (FAO, 2006). Soil moisture was categorized into four levels by visual
199 inspection: 1 - moist but solid soil; 2 - wet and soft soil; 3 - very wet and muddy soil with
200 interspersed puddles; 4 - flooded soil completely covered by water. Fanny Langerwisch from
201 the Potsdam Institute for Climate Impact Research supplied monthly mean temperatures
202 from the years 2001-2006 based on a time-series dataset (CRU TS 3.0) provided by CRU et al.
203 (2008). The local landscape surrounding each site (variable *LandSur*) was assessed

204 categorically according to the presence (at diverse sites) or absence (at monoculture sites) of
205 non-paddy habitats in their direct proximity. Regional land use intensity and structural
206 diversity classes were taken from Settele et al. (2013).

207 2.4 Data analyses

208 All statistical analyses were done in R (version 3.5.2; R Core Team, 2018). Cultivated species
209 were disregarded. Data of bunds and paddies were treated separately. We used linear
210 mixed-effects models (LME, 'lme4' package version 1.1-19; Bates et al., 2015) with a
211 Gaussian error distribution or generalized linear mixed-effects models (GLMM) with a
212 Poisson error distribution during an explorative model selection process to identify predictor
213 variables with highest explanatory value and significant effect ($p < 0.05$) on our response
214 variables species richness (S), Shannon diversity (H), and the number of entomophilous
215 plants (insect-pollinated species, i.e. all vascular plants except *Equisetaceae*, *Urticaceae*,
216 graminoids, ferns and submerged hydrophytes; S_{ipo}). Corresponding to the final model
217 residual diagnostics, we decided to use the Poisson GLMM for responses S and S_{ipo} in
218 paddies (count data), and Gaussian LME for S and S_{ipo} on bunds and H in both habitat types.
219 The used predictors were *LandSur*, *MeanTemp*, *pH*, *EC*, *C/N*, *Humus*, *Moisture* (only for
220 paddies) and *Texture* (only for bunds). Correlation among response variables was tested
221 using Kendall's correlation coefficient τ . We did not incorporate altitude in our models due
222 to a correlation with mean annual temperature ($\tau = -0.18$, $p < 0.001$). The variables *Region* and
223 *Landscape* were treated as nested random effects to account for the non-independence of
224 sites within landscapes (or pairs, respectively) and regions. Continuous predictor variables
225 were standardized (mean = 0, SD = 1). All variables are listed in detail in Table 2, correlation
226 matrices are given in Appendices B.7 and B.8 of the Supplementary material. Model
227 selection was based on Akaike's Information Criterion (AIC) and residual diagnostics

228 according to Zuur et al. (2010). We used forward selection as former global tests with
229 models including all predictors performed significantly better than their corresponding null
230 models (cf. Blanchet et al., 2008). Predictors that led to the strongest decrease in AIC were
231 included first into our models. We checked for interactions with already included fixed
232 effects before including remaining predictors or their interactions into existing models.
233 Heteroscedasticity and distribution of residuals were checked visually in diagnostic plots for
234 each model. Collinearity among predictors was detected using variance inflation factors
235 (VIFs, 'car' package version 3.0-6; Fox and Weisberg, 2019), with all VIFs < 2 accepted in the
236 final model (Zuur et al., 2010). Fitted Poisson-GLMMs were not overdispersed. We assessed
237 conditional R^2 ('performance' package version 0.4.4; Lüdecke et al. 2020) and marginal R^2
238 ('r2glmm' package version 0.1.2; Jaeger 2017) for each model before assessing partial R^2
239 ('r2glmm' package) of corresponding predictors. Explained variances of random terms were
240 calculated manually as the proportion of the total variance of the random effects models.
241 Means of the predictors depending on *LandSur*-levels, habitat types or regions were
242 compared using Two Sample t-Tests if the data was normally distributed, or Wilcoxon-Mann-
243 Whitney tests if non-normally distributed. Pairwise Wilcoxon Rank Sum tests were used for
244 pairwise comparisons of species richness between regions or moisture levels with
245 corrections for multiple testing (Holm).

246 Analyses of species turnover and composition were performed with the 'vegan' package
247 (version 2.5-4; Oksanen et al., 2019). Singletons and doubletons were eliminated from the
248 dataset before excluding plots without weeds. The terms alpha and beta diversity refer to a
249 wide variety of phenomena with various spatial scales (Tuomisto, 2010a). We use these
250 terms as follows: alpha diversity equals the species diversity (S , S_{ipo} or H) on the plot level,
251 beta diversity quantifies the variation in species composition of plots within a region,

252 between bunds and paddies (across all regions) or between the seven regions. To quantify
253 the compositional dissimilarity between different plots we used the Bray-Curtis coefficient
254 (Bray and Curtis, 1957), which ranges between 0 (species composition and abundances
255 between two plots are identical) and 1 (two plots do not share any species). Species
256 turnover within regions (β_{intra}) was calculated with Bray-Curtis dissimilarities between plots
257 based on original species cover-abundances. Regional means were calculated by averaging
258 all β_{intra} values of the corresponding region (mean of all site pairs). Species turnover between
259 regions (β_{inter}) was calculated with Bray-Curtis dissimilarities based on the mean cover-
260 abundance of each species in a region. We also performed detrended correspondence
261 analysis (DCA) on the square root-transformed species data to use the length of axis 1 as a
262 direct measure of species turnover (c.f. Tuomisto 2010b) and to visualize differences in
263 species composition between regions and habitat types.

264 Kendall's correlation coefficient τ was used to test for correlations of alpha diversity (S , S_{ipo}
265 and H) between bunds and paddies of the same study site, and between regional means of
266 plot-level species richness and beta diversity (Bray-Curtis dissimilarities between plots) in
267 both habitat types.

268 **3. Results**

269 **3.1 Floristic diversity**

270 A total of 315 plant taxa (117 in paddies, 305 on bunds) were recorded in the studied rice
271 landscapes, belonging to 98 genera and 63 families. We recorded 198 species (or 63% of the
272 total species) exclusively on bunds, ten species (3%) occurred only in paddies and 108
273 species (34%) in both habitats (Appendix A.1 Supplementary material). Ten species were
274 cultivated (mostly intercropped on bunds), 193 species are insect-pollinated and 97 species

275 are red-listed as of “Least Concern” (IUCN, 2015). About 40% of all taxa were recorded less
276 than three times. The mean number of species recorded per plot averaged 27.8 ± 11.7
277 species on bunds (range: 0-53) and significantly less ($p < 0.001$) in paddies ($S = 6.5 \pm 5.8$,
278 range: 0-29). Species richness was highly correlated with H ($\tau = 0.60$, $p < 0.001$) and S_{ipo} ($\tau =$
279 0.85 , $p < 0.001$) on bunds, and likewise with H ($\tau = 0.80$, $p < 0.001$) and S_{ipo} ($\tau = 0.86$, $p <$
280 0.001) in paddies. Species richness was also correlated between bunds and paddies of the
281 same study site ($\tau = 0.34$, $p < 0.001$), as were H ($\tau = 0.21$, $p = 0.002$) and S_{ipo} ($\tau = 0.39$, $p <$
282 0.001).

283 **3.2 Effects of local surroundings and abiotic conditions on the plant diversity of bunds**

284 Surrounding landscape (monoculture vs. diverse; *LandSur*), mean annual temperature and
285 soil pH showed the strongest effects on the plant species richness of bunds (Fig. 2, Table 3,
286 Appendices A.2, A.3 and B.1 Supplementary material). Bunds that were in proximity of non-
287 paddy habitats showed higher species richness (32 ± 10.5 , range 0-53) than those that were
288 not (24.9 ± 11.8 , range 0-46, $p = 0.001$). Mean annual temperature had the second most
289 prominent effect and an additional negative effect in interaction with rice monoculture
290 around sites. Below a threshold of about 21 °C, rising temperatures clearly reduced species
291 richness, almost independently of the surrounding landscape, whereas above this point, the
292 decrease in species richness was mitigated in diverse sites (Appendix B.2 Supplementary
293 material). Decreasing soil pH generally enhanced species richness on bunds, although this
294 predictor showed the weakest significant effect in our model (Appendix B.3 Supplementary
295 material).

296 Regional differences in species richness were strong, ranging from a mean of 38.7 ± 7.1
297 species on bunds in VN2 to 7.5 ± 10.5 species on bunds in VN4 (Fig. 3a, Table 4, Appendix A.3

298 Supplementary material). The patterns found for species richness depending on surrounding
299 landscape, mean annual temperature and soil pH were clearly bound to region-specific
300 effects. For example, regions with cooler mean annual temperatures (i.e. VN3 and PH3)
301 supported fields with higher and less variable species richness, almost irrespective of the
302 surrounding landscape (Appendix B.2 Supplementary material), whereas variation in species
303 richness in relation to soil pH was higher at monoculture sites of these regions, but remained
304 relatively stable between monoculture and diverse sites in all other regions (Appendix B.3
305 Supplementary material).

306 Models with H and S_{ipo} as response variables showed weaker but mostly similar patterns,
307 although the effects of variable soil pH were not significant (Fig. 2, Table 3, Appendices B.4
308 and B.5 Supplementary material). Bunds in proximity of non-paddy habitats had in average a
309 higher Shannon diversity (mean: 2.4 ± 0.5) compared to monoculture sites (mean: 2.1 ± 0.8),
310 and also higher richness of entomophilous plants (mean_{diverse}: 18.0 ± 6.8 and mean_{monoculture}:
311 13.4 ± 7.0 , respectively). Again, H and S_{ipo} were reduced with rising mean annual
312 temperatures, especially at monoculture sites, and varied among the study regions.

313 **3.3 Effects of local surroundings and abiotic conditions on plant diversity in paddies**

314 The only significant effects on the plant diversity in the paddies were related to soil moisture
315 content (Fig. 4, Table 5, Appendices A.2 and A.3 Supplementary material). We observed
316 differences in species richness among all four moisture levels, but not all levels differed
317 significantly from each other ($p < 0.05$). We recognized comparable patterns in the models
318 with H or S_{ipo} as response variables, though effects on H were weaker (Table 5, Appendix A.3
319 Supplementary material). Plant species richness ranged from 1.8 ± 1.3 in VN4 paddies to
320 11.4 ± 4.9 in PH1 paddies, and was more balanced between regions compared to the bunds,

321 though some significant regional differences were found (Table 4, Fig. 3b). In paddies,
322 regional differences of H and S_{ipo} are again comparable to those of species richness
323 (Appendices B.4 and B.5 Supplementary material).

324 3.4 Species turnover

325 The regional means of the Bray-Curtis dissimilarities among plots (mean β_{intra}) were on
326 average lower between bunds (mean: 0.70 ± 0.06) than between paddies (mean: $0.82 \pm$
327 0.07). The highest species turnover was observed between bunds of PH1, and the lowest in
328 VN3. In the paddies, VN2 showed the highest mean β_{intra} and VN4 the lowest (Table 4). Mean
329 species richness showed no significant correlation with mean β_{intra} , neither on bunds ($\tau = -$
330 0.61 , $p = 0.069$) nor in paddies ($\tau = 0.24$, $p = 0.56$).

331 Bray-Curtis dissimilarities among regions (β_{inter}) were also generally lower on bunds (mean:
332 0.74 ± 0.09) than in the paddies (mean: 0.85 ± 0.08). Highest dissimilarities were found
333 between upland and lowland regions: on bunds between PH1 and VN3, and in paddies
334 between VN3 and VN4 (Table 6). Regions VN1 and VN2 showed the most similar species
335 composition on bunds as well as in paddies.

336 The DCA showed a clear distinction in the species composition of the two habitat types
337 (Appendix B.6 Supplementary material): site scores of the bunds are located on the mid to
338 lower left side whereas the paddy scores are spread on the mid to upper right side.
339 Perpendicular to this separation line (from the upper left to the lower right corner of the
340 graph), site scores are aligned in more or less vertical stripes according to their regional
341 affiliation, and thus, highlight the regional differentiation in species composition. An axis
342 length of 5.07 (DCA1) also indicates a complete species turnover along the first axis, and
343 hence between the two habitat types and among the seven regions.

344 **4. Discussion**

345 We showed that the local plant diversity can be linked to different abiotic conditions and the
346 presence or absence of non-paddy habitats in proximity of the study sites. Further on,
347 regions and habitat types differ in diversity and composition of rice weeds.

348 **4.1 Drivers of rice weed diversity on bunds**

349 The species richness on bunds was mainly influenced by the surrounding landscape, mean
350 annual temperature and soil acidity. The close proximity of other habitat types was the key
351 driver of the plot-level plant diversity (alpha diversity) on rice field bunds probably due to
352 propagule influx from adjacent habitats (mass effect; Shmida and Wilson, 1985). Species
353 pools on bunds might profit from the immigration of plants through dispersal from adjacent
354 areas. Such neighborhood effects on plant diversity were described from temperate wheat
355 fields (Gabriel et al., 2005) and subtropical areas dominated by rice and other crops (Zhou et
356 al., 2018). In Indonesia, species richness on bunds with other crops or trees and in multiple-
357 crop landscapes was higher than on ordinary bunds and in monoculture landscapes
358 (Kumalasari and Bergmeier, 2014). In our study regions, species richness is reduced by on
359 average almost 20% in monoculture landscapes, whereas effects on Shannon diversity and
360 the number of entomophilous plants were slightly weaker. In homogenous landscapes, plant
361 diversity levels might also be more prone to indirect negative effects of other variables:
362 monoculture sites showed generally stronger decreases in species richness with rising mean
363 annual temperatures. In mountain sites, soil-acidity dependent species richness variation
364 was higher in monoculture compared to diverse sites. We conclude that plant diversity in
365 structurally complex rice landscapes is more resilient against the effects of agricultural
366 intensification. Neighboring effects might again be enhanced in landscapes of complex

367 composition because of an increased availability of suitable habitats that can serve as
368 refuges and corridors for rice weeds, such as wetlands, grasslands, home gardens, other crop
369 fields or fallows. Besides, plant diversity was influenced by the surrounding landscape in
370 agricultural areas in the tropics (Martínez et al., 2015), the subtropics (Zhou et al., 2018), the
371 Mediterranean (José-María et al., 2010) and temperate regions (Gaba et al., 2010; Gabriel et
372 al., 2005; Maskell et al., 2019; Poggio et al., 2013; Roschewitz et al., 2005).

373 Regarding climatic conditions, we showed that mean annual temperature had a significant
374 negative effect on plant diversity, especially at higher altitudes and at monoculture sites,
375 perhaps due to higher topographical variation and reduced land-use intensity in the uplands.
376 Higher species richness in upland areas was also observed in Indonesian paddies and bunds
377 (Kumalasari, 2014), and altitude was identified as an important discriminating factor of plant
378 communities in rice fields of Indonesia (Kumalasari, 2014), Nepal (Nowak et al., 2016) and
379 Tajikistan (Nowak et al., 2013). A favorable climate as well as topographic and soil
380 heterogeneity provide suitable conditions for plant life in mountainous regions of North
381 Vietnam and the Philippines. The local farmland flora may also be enriched due to
382 overlapping altitudinal distribution ranges of temperate/subtropical and tropical plant
383 species in mid-elevations, as reported in studies on the diversity of introduced plants from
384 other tropical mountain areas (Jakobs et al., 2010; Tassin and Rivière, 2003). Fried et al.
385 (2017, 2018) found several genera in the species pools of PH3 and VN3 that are common to
386 temperate regions. At some sites, the negative effects of high temperatures may be
387 weakened by advantageous macro- and microclimatic conditions. However, the question
388 why plant diversity independent of regional affiliation decreased with higher temperatures
389 remained yet unresolved. This effect may well result from higher land-use intensity in the
390 hot lowlands, but biogeographical reasons may also exist (higher species pools outside

391 lowlands). We tried to create meaningful land-use indices and incorporate them (as well as
392 information about local weed management strategies) into our models, but weed
393 management was too heterogeneous among study regions to allow for a proper statistical
394 analysis of our data. However, land-use intensity in a particular region (e.g. in the mountain
395 region Lao Cai, VN3) was not generally lower in fields at high altitudes (with cooler
396 temperatures) than in fields at low altitudes (with higher temperatures) in the same region,
397 whereas in regional comparison, highland regions generally use less input of synthetic
398 fertilizers and pesticides than lowland regions. Most probably, land-use intensity is the best
399 explanation for differences of plant diversity between regions (see Fig. 3), but not within
400 each region. In our analyses, we included “Region” (and with it also region-specific
401 differences, e.g. the strong differences of land-use intensity) in the random term of our
402 models to reveal region-independent variables with effects within regions. Mean annual
403 temperature, in contrast to any land-use index or weed control method, thus had a region-
404 independent effect on plant diversity. We also used partial datasets (e.g. by excluding VN4
405 or by restriction to only lowland/highland regions) during model selection to ensure that we
406 do not have statistical artefacts due to the distinctiveness of the study regions (e.g. because
407 of their distinct weed management or climate) but the variables with significant effects
408 remained the same among the models of the partial datasets. Future studies might focus on
409 a particular region (with more local data) or provide more intersecting data to enable a
410 sound statistical solution of the effect of land-use intensity (or weed management) on plant
411 diversity.

412 Plant growth and development depends on the availability of soil nutrients which leads to
413 competition between rice plants and weeds, and thus influences species distributions. The
414 availability of nutrients to plants is bound to the soil acidity making it an important chemical

415 property of rice soils (Fageria et al., 2011). Soil pH can influence the germination and
416 composition of rice weeds (Singh and Singh 2009). Our previous studies in the study area
417 have already identified soil acidity as a major determinant of the arable species composition
418 (Fried et al., 2017, 2018). Our new findings also indicate a significant, region-independent
419 increase of vascular plant diversity with decreasing soil acidity. Soil measurements are
420 desirable in further rice vegetation analyses to explain interactions between soil,
421 management, crop and weeds in more detail.

422 **4.2 Drivers of rice weed diversity in paddies**

423 Species diversity in paddies was much lower than on bunds, which corresponds to findings
424 from China (Nemoto and Otsuka, 2014), Indonesia (Kumalasari, 2014), Japan (Fukamachi et
425 al., 2005), and Sri Lanka (Bambaradeniya et al., 1998). Impacts of agricultural management,
426 i.e. flooding, weed control and seeding, are generally more severe in paddies and lead to a
427 comparatively depauperate and uniform flora. Particularly soil moisture was significantly
428 negatively correlated with plant diversity in paddies. These findings are consistent with other
429 studies on effects of water depth and availability on weed diversity and/or composition in
430 tropical and subtropical paddies (Bambaradeniya et al., 1998; Juraimi et al., 2011;
431 Kumalasari, 2014; Nowak et al., 2015, 2016; Tomita et al., 2003). Proper water management
432 has been the traditional method of weed control long before synthetic herbicides became
433 available. Standing water suppresses weed growth directly by reducing plant germination
434 and establishment, and indirectly through the inherent size advantage of the crop (Tuong et
435 al., 2005). Different strategies concerning level, duration and timing of flooding have been
436 proposed, though most agree that flooding in the early stages of crop establishment reduces
437 weed growth most effectively (e.g. Bhagat et al., 1996; Chauhan, 2013; De Datta, 1981; Hill
438 and Mortimer, 2001; Williams et al., 1990), but distribution, growth and reproduction vary

439 between species and soil moisture levels (e.g. Arai et al., 1955; Bhagat et al., 1996; De Datta,
440 1981; Juraimi et al., 2011; Kamoshita et al., 2010; Morita and Kabaki, 2002). C3 plants might
441 outcompete C4 plants under water-saturated conditions (Tanaka, 1976), and flooding speed
442 and intermittent draining might affect particularly algae and aquatic weeds (Hill et al., 1994).
443 Sound knowledge on local species composition and community variation is essential to
444 detect specific plant functional groups with beneficial effects for the local rice ecosystems
445 and may help in adapting management strategies to make rice production more sustainable.
446 Seasonal changes in rainfall and humidity should be less important in irrigated landscapes of
447 permanent water availability, especially with respect to paddy vegetation. Temperature
448 seasonality is pronounced particularly in the mountains (VN3 and PH3) where rice
449 cultivation, and accordingly the sampling of the vegetation, was restricted to the wet season.
450 Only some rice fields in the lowland regions were sampled in the dry season where
451 temperature seasonality effects should be negligible.

452 The proximity of non-paddy habitats showed no significant effect on species richness. This
453 corresponds to findings from Indonesia, where nearby semi-natural vegetation did not
454 enhance species richness in paddy fields, but on bunds (Kumalasari and Bergmeier, 2014). In
455 Mediterranean cereal fields, landscape heterogeneity influenced plant diversity on
456 boundaries and field edges, but not in field centers (José-María et al., 2010). Paddies are
457 highly disturbed and unsuitable habitats for other plants than rice and its most closely
458 associated weeds, so weed control effects might displace those related to the surrounding
459 landscape. Additionally, rice plant communities are often fragmentary and characterized by
460 comparatively low species richness (Miyawaki, 1960), making drivers of paddy plant diversity
461 even harder to detect. This could as well be a reason why regional differences in plot level
462 (alpha) diversity in paddies were weaker than on bunds. Nevertheless, effects of

463 environmental conditions on the composition of the local plant communities of paddies
464 were recently revealed (Fried et al., 2017).

465 **4.3 Region-specific effects on the weed diversity**

466 Apart from drivers of plant diversity acting in all regions, we found regional differences of
467 alpha diversity that can only be explained by region-specific factors. We documented
468 severely decreased plant diversity at the study sites in the Mekong Delta (VN4), and to a
469 lesser degree in Nueva Ecija (PH2), probably resulting from the highly intensive agricultural
470 production methods and the consequently rather monotonous local landscape. In contrast,
471 relatively high levels of plant diversity were observed in the heterogeneous rice terrace
472 landscapes of Ifugao (PH3) and Lao Cai (VN3), where the climate allows for only one rice crop
473 per year and the reduced usage of fertilizers, herbicides and machinery. Rice agro-
474 ecosystems in mountains are often characterized by traditional upland rice cultivars,
475 reduced application of synthetic fertilizers and herbicides, and by selective manual weed
476 control (De Datta, 1981; Doanh and Tuan, 2004; Galinato et al., 1999; GRiSP, 2013; Husson et
477 al., 2001; Paudel, 2011; Roder et al., 1997; Roder, 1997). Regional rice weed diversity surely
478 profits from specific traditional cultivation methods and high local landscape heterogeneity.
479 Recently, Fried et al. (2017, 2018) documented the distinct weed control and cultivation
480 methods of each study region. Land use practices and intensity vary so greatly among
481 regions that no region-independent variable with general effects on the plant diversity was
482 detected.

483 **4.4 Species turnover across sampling plots, between the paddies and their bunds, and** 484 **between regions (beta diversity)**

485 On bunds, Bray-Curtis dissimilarities between the plots revealed moderate species turnover
486 within regions. There was a tendency of beta diversity to decrease with increasing alpha
487 diversity, land use intensity and declining regional landscape heterogeneity, but we did not
488 detect any significant correlation. Interestingly, species turnover across bunds of the rice
489 terraces in the mountain regions Ifugao and Lao Cai was rather low despite high species
490 numbers (in relation to the number of recorded plots) and alpha diversity, low land use
491 intensity, and overall high regional landscape heterogeneity. In the hilly lowland of Vinh
492 Phuc the pattern was similar. A reason for this might be a higher chance of recording shared
493 species when the number of species per site increases. In contrast, species turnover was
494 high across bunds in PH2 and VN4, where alpha diversity is low due to very intensive
495 monoculture rice production, up to three crop cycles per year, and frequent application of
496 herbicides (also on bunds) (Fried et al., 2018). Low species richness as in regions VN4 and
497 PH2 with even the more common plant species occurring only sparsely cause high species
498 turnover rates on bunds. Such effects might be compensated by larger sampling effort. The
499 highest beta diversity on bunds was documented from Laguna on Luzon Island, where we
500 had moderate species richness but an ample list of species that occurred sparsely and
501 irregularly (cf. Fried et al., 2018). In paddies, lowest species turnover rates were found in the
502 two contrasting regions VN3 and VN4, but no general pattern of beta diversity and its drivers
503 could be revealed. Similar studies are not known to us, so we recommend future research in
504 this field.

505 We also found high levels of species turnover between regions. The Bray-Curtis
506 dissimilarities shown in Table 4 underlined the strong differences in the species composition
507 between regions that we presented in the DCA results. These findings are reflected by
508 previous overall floristic inventories of rice fields in Vietnam and the Philippines (Moody,

509 1989). Geographical variability in climate and soil (least in close regions like VN1 and VN2),
510 and differences in agricultural management (especially between uplands and lowlands) are
511 the main factors characterizing the local rice weed communities (Fried et al., 2017, 2018).
512 Regional differentiation might further be driven by historical events like isolation, migration
513 and speciation.

514 Our results of DCA and Bray-Curtis dissimilarities might be influenced by excluding rare
515 species (40% of the recorded taxa) although this is a common practice to avoid noise in
516 multivariate analyses (c.f. Poos and Jackson 2012). Nonetheless, we found many more
517 species on bunds than in paddies, while previous studies have already shown that almost
518 half of the recorded taxa in paddies occurred in less than 2% of the plots (Fried et al., 2017).
519 We assume that a high rate of propagule influx from bunds (and perhaps irrigation channels)
520 may enrich the local paddies' species inventory with casual plants, depending entirely on the
521 reproductive success in adjacent habitats. The correlations of plot-level plant diversity (S , S_{ipo}
522 and H) between bunds and paddies of the same study site support this assumption. Similar
523 neighborhood effects are known from subtropical rice production sites in South China (Zhou
524 et al., 2018) as well as from temperate wheat fields (Gabriel et al., 2005). The predominant
525 life form in paddies, annual amphibious plants (Bolòs and Masclans, 1955; Fried et al., 2017;
526 Miyawaki, 1960), is particularly capable of supporting sink populations in hostile
527 environments through high seed production, dispersal and regeneration rates (cf. Kunin,
528 1998; Santamaría, 2002). Bunds host the majority of rice weeds, in numbers and cover, and
529 serve as an important source, refuge and corridor of plants in rice agro-ecosystems (Fried et
530 al., 2018). They are an important and integral part of the rice agro-ecosystem with a distinct
531 flora and vegetation and play a key role in the Southeast Asian agrobiodiversity.

532 5. Conclusion

533 We found great regional variability in the plant species composition of local rice production
534 landscapes. Neighboring habitats play an important role as source habitats for propagules of
535 plant species in rice fields. Especially bunds represent important habitats for plant species
536 that are not necessarily harmful to rice cultivation. Bunds and direct neighborhoods of rice
537 fields could contribute to sustain and promote biodiversity of plants and associated animals,
538 such as pollinators and parasitoids of pests or other pest antagonists, in rice production
539 landscapes. In the study area, closer proximity to structurally more complex habitats led to
540 higher numbers of entomophilous plants on bunds, while pollinator diversity, their
541 functional diversity and plant-pollinator network complexity increased in rice fields and
542 home gardens (Hass et al., 2018; Schrader et al., 2017) compared to those in monoculture.
543 Diversification of rice agro-ecosystems through the protection and promotion of plant
544 biodiversity may also lead to higher resilience against disturbances, but species composition
545 and preservation of native species should have greater priority than conservation of species
546 richness per se (Tylianakis et al., 2010). High plant species diversity can be conserved or
547 promoted by reduced management intensity, conservation and restoration of extensively
548 managed habitats, such as agroforests, and irrigation schemes that help to reduce pesticide
549 inputs. Sustainable rice production systems should take care of biodiversity and the proper
550 management of the bunds. Targeted managements of plant species on the bunds could
551 prove as a potential measure for ecological engineering (Settele et al., 2018) and promote
552 multiple ecosystem services, including pest control and pollination (Westphal et al., 2015), in
553 rice landscapes that often comprise a mosaic of home gardens, orchards and rice paddies.

554 **Author contributions**

555 E.B., I.K. and O.F. planned the research, J.Se., N.V.S. and C.W. led parts of the project and
556 logistics planning, O.F. conducted the field sampling, analyzed the taxonomy, landscape

557 heterogeneity and soil conditions, and led the writing, O.F. and J.Sc. performed the statistical
558 analysis, V.G. mapped land cover types, while all authors critically revised the manuscript.

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571 **Appendix A. Supplementary material**

572 **Appendix A.1:** List of taxa found in Southeast Asian rice landscapes during the years 2012 to
573 2015 with their status in The IUCN Red List of Threatened Species (IUCN 2015). Species
574 counted in our index " S_{ipo} " (marked as entomophilous) have showy flowers or are known
575 from scientific literature (e.g. eFloras 2008) for being insect-pollinated.

576 **Appendix A.2:** Type III Analysis of Variance Table with Satterthwaite's method of variables
577 with significant effects on plant species richness (S), Shannon index (H) and the number of

578 entomophilous plants (S_{ipo}) on Southeast Asian rice field bunds ($n = 123$) and paddies ($n =$
579 110). P -values are based on F -statistics.

580 **Appendix A.3:** Effect sizes (% explained variances and R^2) of the models and corresponding
581 predictor variables. R^2 is given for the entire model and for the fixed terms, respectively.
582 Explained variance of random terms is corresponding to random variance of final model.

583 **Appendix B. Supplementary material**

584 **Appendix B.1:** Generalized model predictions of the effects of surrounding landscape, mean
585 annual temperature and soil acidity on the species richness of studied rice field bunds, based
586 on linear mixed-effects models.

587 **Appendix B.2:** Generalized model predictions (based on linear mixed-effects models with
588 dotted lines showing 95% confidence intervals) of the effects of mean annual temperature
589 and surrounding landscape on species richness of Southeast Asian rice field bunds. Species
590 richness decreases with mean annual temperature, in particular if bunds belong to
591 monoculture sites. Points represent original data points.

592 **Appendix B.3:** Generalized model predictions (based on linear mixed-effects models with
593 dotted lines showing 95% confidence intervals) of the effects of soil pH and surrounding
594 landscape on regional species richness on bunds. Overall, decreasing soil acidity enhanced
595 species richness, but regional differences and the effect of surrounding landscape were
596 more conspicuous. Points represent original data points.

597 **Appendix B.4:** The study regions (for full names see Fig. 1) were characterized by distinct
598 levels of plot-level plant diversity (a) on bunds and (b) in paddies. Significant differences ($p <$

599 0.05) of Shannon diversity (H) between regions are indicated with letters ($n_{\text{bunds}} = 123$ and
600 $n_{\text{paddies}} = 110$).

601 **Appendix B.5:** The study regions (for full names see Fig. 1) were characterized by distinct
602 levels of plot-level plant diversity (a) on bunds and (b) in paddies. Significant differences ($p <$
603 0.05) of the number of entomophilous plants (S_{ipo}) between regions are indicated with
604 letters ($n_{\text{bunds}} = 123$ and $n_{\text{paddies}} = 110$).

605 **Appendix B.6:** DCA ordination depicting plant community composition in paddies and their
606 bunds. Site scores of the DCA ordination are shown along axes 1 (eigenvalue 0.50) and 2
607 (eigenvalue 0.37). Symbol sizes indicate the species richness at sites. The total inertia was
608 9.72.

609 **Appendix B.7:** Correlation matrix of variables recorded on bunds. Numbers represent
610 Pearson's correlation coefficient.

611 **Appendix B.8:** Correlation matrix of variables recorded in paddies. Numbers represent
612 Pearson's correlation coefficient.

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