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1 **Ozonation products from trace organic chemicals in municipal**
2 **wastewater and from metformin: peering through the keyhole with**
3 **supercritical fluid chromatography-mass spectrometry**

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13 **Abstract**

14 Ozonation is an important process to further reduce the trace organic chemicals (TrOCs) in treated
15 municipal wastewater before discharge into surface waters, and is expected to form products that
16 are more oxidized and more polar than their parent compounds. Many of these ozonation products
17 (OPs) are biodegradable and thus removed by post-treatment (e.g., aldehydes). Most studies on
18 OPs of TrOCs in wastewater rely on the reversed-phase liquid chromatography- mass spectrometry
19 (RPLC-MS), which is not suited for highly polar analytes. In this study, supercritical fluid
20 chromatography combined with high resolution MS (SFC-HRMS) was applied in comparison to
21 the generic RPLC-HRMS to search for OPs in ozonated wastewater treatment plant effluent at
22 pilot-scale. While comparable results were obtained from these two techniques during suspect
23 screenings for known OPs, a total of 23 OPs were only observed by SFC-HRMS via non-targeted
24 screening. Several SFC-only OPs were proposed as the derivatives of methoxymethylmelamines,
25 phenolic sulfates/sulfonates, and metformin; the latter was confirmed by laboratory-scale
26 ozonation experiments. A complete ozonation pathway of metformin, a widespread and extremely
27 hydrophilic TrOC in aquatic environment, was elaborated based on SFC-HRMS analysis. Five of
28 the 10 metformin OPs are reported for the first time in this study. Three different dual-media filters
29 were compared as post-treatments, and a combination of sand/anthracite and fresh post-granular
30 activated carbon proved most effective in OPs removal due to the additional adsorption capacity.
31 However, six SFC-only OPs, two of which originating from metformin, appeared to be persistent
32 during all post-treatments, raising concerns on their occurrence in drinking water sources impacted
33 by wastewater.

34 **Keywords**

35 Organic micropollutants, transformation products, tertiary treatment, biological activated carbon,
36 wastewater treatment plant

37 **Abbreviations**

38	BV	Bed Volume
39	EBCT	Empty Bed Contact Time
40	GAC	Granular Activated Carbon
41	HRMS	High Resolution Mass Spectrometry
42	MSA	Methane Sulfonic Acid
43	OPs	Ozonation Products
44	QToF	Quadrupole Time-of-flight
45	RPLC	Reversed-Phase Liquid Chromatography
46	RT	Retention Time
47	S/A	Sand and Anthracite
48	S/BAC	Sand and Biological Activated Carbon
49	SFC	Supercritical Fluid Chromatography
50	TrOCs	Trace Organic Chemicals
51	UPLC	Ultra Performance Liquid Chromatography
52	WWTP	Wastewater Treatment Plant

53 **1. Introduction**

54 Trace organic chemicals (TrOCs), such as pharmaceuticals, personal care products, and industrial
55 compounds, in effluents of wastewater treatment plants (WWTP) pose threats to receiving aquatic
56 ecosystems and impact downstream water quality, which is of particular concern if the wastewater-
57 impacted water bodies are used as drinking water sources via indirect potable reuse (Reemtsma et
58 al., 2006; Loos et al., 2013; Luo et al., 2014). Ozonation and subsequent post-treatments are
59 increasingly implemented as additional wastewater treatment steps to improve the abatement of
60 TrOCs (Huber et al., 2005; Hollender et al., 2009; Zimmermann et al., 2011). Ozone is also a
61 common disinfectant and oxidant (e.g., removal of odour and taste) applied in drinking water
62 production (Hoigné, 1998; von Gunten, 2003).

63 TrOCs are generally not completely mineralized during ozonation, but transformed to smaller and
64 more polar ozonation products (OPs) via reactions with ozone and hydroxyl radicals ($\cdot\text{OH}$, formed
65 from ozone decomposition) (von Sonntag and von Gunten, 2012; Prasse et al., 2015). If released
66 into the environment, OPs might exhibit an elevated persistence and mobility in water cycle due
67 to their high polarity (Reemtsma et al., 2016). Moreover, several studies have reported the
68 increased toxicity of WWTP effluent after ozone treatment (Völker et al., 2019; Schneider et al.,
69 2020), indicating that ozonation can result in the generation of toxic compounds, such as the
70 carcinogenic *N*-nitrosodimethylamine, an OP of a nontoxic metabolite of the fungicide tolylfluanid
71 (Schmidt and Brauch, 2008; von Gunten et al., 2010). Also, ozone treatment leads to the formation
72 of bioavailable organic matter (e.g., assimilable organic carbon), which promotes bacterial
73 regrowth and is especially critical in drinking water applications (Wert et al., 2007). The ozone
74 treatment therefore should only be implemented combining with subsequent post-treatments to
75 eliminate OPs (Völker et al., 2019). Many OPs are oxygen-rich (e.g., aldehydes, ketones,

76 carboxylic acids) and readily biodegradable by the subsequent biofiltration steps (Hammes et al.,
77 2006; Hübner et al., 2014), leading to the reduction in overall toxicity of ozonated water (Mišík et
78 al., 2011; Stalter et al., 2011). Nevertheless, there is also evidence that some OPs can be persistent
79 during biological post-treatment, such as hydroxylamines and *N*-oxides (Hübner et al., 2015;
80 Knopp et al., 2016). As a result, the characterization and persistence assessment of OPs during
81 post-treatment of ozonated water have received considerable attention.

82 Target and suspect-screening by liquid chromatography-high resolution-mass spectrometry (LC-
83 HRMS) can be applied to monitor the removal of TrOCs and their known OPs during wastewater
84 ozonation (Deeb et al., 2017; Bourgin et al., 2018). However, the monitoring lists of chemicals in
85 these approaches are very limited due to the lack of corresponding reference standards or mass
86 spectral database (Schymanski et al., 2014). In particular, the targeted or suspect analysis of OPs
87 requires the pre-selection of OPs either by laboratory ozonation experiments or by *in silico*
88 prediction based on ozonation mechanisms, which are not available for many TrOCs (Parry and
89 Young, 2016; von Gunten, 2018). Non-target screening by means of HRMS can provide another
90 possibility to comprehensively characterize a wide range of substances in complex water matrix
91 and to prioritize the compounds of interest. The high accuracy and high mass resolution enable the
92 tentative identification of previously unknown compounds (Schymanski et al., 2014; Hollender et
93 al., 2017). Non-target screening with reversed-phase liquid chromatography coupled to mass
94 spectrometry (RPLC-MS) has been successfully applied to analyze TrOCs in different water
95 compartments (e.g., surface water, riverbank filtrate, wastewater) (Gago-Ferrero et al., 2015;
96 Albergamo et al., 2019; Carpenter et al., 2019) and examine their abatement during water treatment
97 (e.g., advanced oxidation, biodegradation) (Parry and Young, 2016; Nürenberg et al., 2019).
98 However, studies on non-targeted analysis of transformation products are still rare. Schollée et al.

99 (2018) recently performed the RPLC-HRMS based non-target screening to trace OPs during
100 wastewater ozonation and subsequent post-treatments. An important aspect to be considered is that
101 the scope of substances captured by non-target screening is limited by sample enrichment and
102 chromatographic separation steps (Hollender et al., 2017). Particularly, the analysis of highly polar
103 compounds by generic RPLC-MS remains challenging because of their low retention on classical
104 RPLC stationary phases (e.g., C₁₈) (Reemtsma et al., 2016). Many OPs thereby might have been
105 overlooked during the generic RPLC-HRMS based non-targeted analysis, requiring the application
106 of complementary/alternative chromatography, such as hydrophilic interaction chromatography
107 (HILIC) (Gago-Ferrero et al., 2015) or supercritical fluid chromatography (SFC).
108 SFC has a unique mobile phase comprising the supercritical CO₂ (non-polar) and polar modifiers
109 (e.g., methanol), and is compatible with both polar and non-polar stationary phases. These features
110 allow SFC to simultaneously separate a large number of analytes with varied polarity, making it a
111 potential alternative to RPLC and HILIC (West, 2018). SFC has been increasingly applied in
112 pharmaceutical industry (Desfontaine et al., 2015) and metabolomics analysis (Shulaev and Isaac,
113 2018). Promising results on the detection of highly polar TrOCs in environmental waters using
114 SFC-MS were recently documented (Bieber et al., 2017; Schulze et al., 2019). SFC was reported
115 to be clearly superior to RPLC in terms of peak shapes and retention, which considerably facilitates
116 signal detection and integration of polar substances (Schulze et al., 2020).
117 The aim of this study was to explore the potential of SFC-HRMS to characterize OPs, and to better
118 understand their formation during ozonation and removal by post-treatments. The ozonation and
119 post-treatment of wastewater were conducted using a pilot plant located at a full-scale WWTP.
120 Wastewater samples were analyzed using SFC-HRMS in comparison to RPLC-HRMS. Suspect
121 screening for previously known OPs was performed to examine the consistence of SFC-HRMS

122 results with RPLC-HRMS output. OPs only detectable by SFC-HRMS and persistent during post-
123 treatments were prioritized through non-target screening, and their structures and origins were
124 tentatively proposed. SFC-HRMS was also employed to study the transformation mechanism of
125 metformin during laboratory (lab)-scale ozonation, and to confirm the presence of metformin OPs
126 during ozonation and subsequent post-treatments of real WWTP effluent.

127 **2. Material and Methods**

128 **2.1 Chemical reagents**

129 All chemicals were of analytical grade and used as received without further purification. Carbon
130 dioxide Premium (4.5) was used for SFC. Methanol, acetonitrile, and formic acid were provided
131 by Biosolve (Valkenswaard, Netherlands). Disodium hydrogen phosphate ($\geq 99\%$) and potassium
132 dihydrogen phosphate ($\geq 99\%$) were supplied from Merck (Darmstadt, Germany). Potassium
133 indigotrisulfonate (55%) was purchased from abcr (Karlsruhe, Germany). The analytical standards
134 of metformin, methane sulfonic acid, and ammeline were purchased from Th. Geyer (Höxter,
135 Germany). Ultrapure water was obtained from a Merck Milli-Q Integral 5 system (Merck,
136 Darmstadt, Germany).

137 **2.2 Pilot-scale ozonation and post-treatments of wastewater effluent**

138 The municipal WWTP Schönerlinde (Berliner Wasserbetriebe, Berlin, Germany) is designed for
139 approx. 750,000 population equivalents and receives municipal as well as industrial wastewater.
140 A detailed description on the pilot plant was published elsewhere (Sauter et al., 2021). The pilot-
141 scale treatment of secondary effluent consists of an ozonation unit followed by three different
142 deep-bed filtration steps that are operated in parallel. The ozonation unit was operated with a
143 specific ozone dose of 0.8 mg O_3 /mg DOC during sampling events. Three different filtration
144 systems were applied as post-treatments: a dual-media filter with sand and biological activated

145 carbon (S/BAC), a dual-media filter with sand and anthracite (S/A), and the dual-media
146 sand/anthracite filter connected to a post granular activated carbon filter (S/A+ post-GAC). The
147 influent and effluent of ozonation unit, as well as the effluents of three post-treatments were
148 collected as 24 hour composite samples. The sampling campaign took place at two different times
149 (06/2019 and 07/2019, one month in between). The treated bed volumes (BVs) of the filters were
150 63373 (S/BAC), 59363 (S/A), and 13019 (post-GAC) in 06/2019, and 66310 (S/BAC), 62613
151 (S/A), and 13912 (post-GAC) in 07/2019. The filters were operated with the following target
152 empty bed contact time (EBCT) (for the dual-media filters EBCT relates to the upper filter layer
153 only): S/BAC: 15 min, S/A: 15 min, post-GAC: 30 min. S/BAC and S/A filters are operated as
154 coagulation/filtration steps by dosing FeCl_3 solution in filter influent.

155 **2.3 Lab-scale ozonation of metformin**

156 The stock solution of ozone was prepared by sparging the ice-cooled ultrapure water with ozone
157 containing oxygen, which was produced using an ozone generator (CMG, 10-5, INNOVATEC//
158 Gerätetechnik). The ozone stock solution was standardized by its UV absorption at 258 nm ($\epsilon =$
159 $3000 \text{ M}^{-1} \text{ cm}^{-1}$) (Elovitz and von Gunten, 1999). Experiments were performed in amber glass vials
160 at room temperature ($23 \pm 2 \text{ }^\circ\text{C}$). Ozonation was initiated by spiking the pre-determined volume
161 of ozone stock solution into 10 mM phosphate buffer solution (pH 7 and 8.5) containing $20 \mu\text{M}$ of
162 metformin. Two different initial ozone doses, 0.5 and 5 mg/L, were chosen to maximize the
163 formation of the primary and secondary OPs of metformin for better identification. The residual
164 ozone concentration in reaction solution was determined following the indigo method (Bader and
165 Hoigné, 1981). Samples were directly analyzed using SFC-HRMS and RPLC-HRMS after
166 complete depletion of ozone (approx. 2 hours).

167 **2.4 Sample preparation**

168 Two mL of wastewater samples were filtered using syringe filters (0.45 μm , RC membrane,
169 Minisart[®] RC4, Sartorius) and filled into 2 mL glass vials, and used for direct injection to RPLC-
170 HRMS. Azeotrope evaporation was used as enrichment procedure prior to SFC-HRMS analysis.
171 An aliquot of 4 mL of the filtered wastewater sample was mixed with 21 mL acetonitrile (a ratio
172 for the minimum azeotrope mixture) in a falcon tube. This mixture was then evaporated to dryness
173 at 40 °C under a stream of nitrogen. The residue was reconstituted in 200 μL acetonitrile/ultrapure
174 water (90:10), resulting in a sample-to-extract enrichment factor of 20. The solution was
175 centrifuged in an Eppendorf tube at 14000 min^{-1} for 30 min and only the supernatant was
176 transferred to a glass vial to ensure that any precipitate was removed before injection. Control
177 samples were prepared by enriching the ultrapure water under the same conditions.

178 **2.5 Mass-spectrometric analysis**

179 For SFC analysis, a method previously developed for highly polar compounds was used (Schulze
180 et al., 2020). For RPLC, a column normally employed for polar compounds was selected with
181 0.1% formic acid in mobile phase, a common organic modifier applied in many screening studies
182 (Schymanski et al., 2015). The analysis was performed on two different systems as follows:

183 *RPLC-HRMS*. For the reversed-phase analysis, an ACQUITY ultra performance liquid
184 chromatography (UPLC) connected to a Xevo G2-XS quadrupole time-of-flight (QToF) mass
185 spectrometry (Waters, Eschborn, Germany) was used. The injection volume was 100 μL . The
186 UPLC separation was achieved using an ACQUITY UPLC HSS T3 column (100 \times 2.1, 1.7 μm) at
187 a flow rate of 0.45 mL min^{-1} . The column temperature was set to 45 °C. The mobile phase consisted
188 of (A) water (0.1% formic acid) and (B) methanol (0.1% formic acid). The following gradient was
189 applied: 0–0.25 min, 2% B; 12.25–15 min, 99% B; 15.1–17 min, 2% B.

190 *SFC-HRMS*. The SFC separation was performed by coupling an ACQUITY UPC² system to

191 Synapt GS2 QToF (Waters, Eschborn, Germany). The chromatographic separation was performed
192 on a BEH column coupled at 55 °C with flow rate of 1.5 mL min⁻¹ and injection volume of 8 µL.
193 The (A) CO₂ and (B) methanol/water gradient containing 10 mM ammonium formate in
194 methanol/water co-solvent was applied as follows: 0–0.5 min, 1% B; 9–12.5 min, 50% B; 12.6–
195 15 min, 1% B. A methanol/water make-up flow containing 0.1% formic acid was used at 0.3 mL
196 min⁻¹ to transfer the column effluent into mass spectrometry.

197 Samples were analysed using above instruments in positive and negative electrospray ionization
198 modes (separate runs) following the same HRMS parameters. A lock-spray containing leucine
199 enkephalin was continuously infused during measurement. The source settings include capillary
200 voltage of 0.7 kV in positive and -2 kV in negative ionisation modes, source temperature at 140
201 °C, and desolvation temperature at 550 °C. The sampling cone voltage and source offset were set
202 as 20 V and 50 V, respectively. Nitrogen and argon were used as cone and collision gases,
203 respectively. The desolvation gas flow was 950 L h⁻¹. The data was recorded in sensitivity mode
204 (resolution approx. 20000) as centroid data with a 0.15 s scan time over the mass range *m/z* 50 to
205 *m/z* 1200. The MS^E acquisition was performed to simultaneously collect two data sets: a low-
206 collision-energy scan (4 eV) to obtain parent ion information and an elevated-collision-energy scan
207 (15–35 eV) to get all fragment ions. All samples were analysed in triplicate by a random sorting.

208 **2.6 Data processing and analysis**

209 Non-target screening was done by evaluating the SFC-HRMS and RPLC-HRMS data in retention
210 time 1 to 10 min and mass range *m/z* 50 to 1200. MarkerLynx was used to perform the peak picking
211 for the molecular ion trace (function 1) with 0.1 min deviation in retention time and 0.01 Da
212 deviation in exact mass. The marker table with relative intensities (to the total marker intensity)
213 was exported to excel and all further evaluations were performed there. All markers that had a

214 relative intensity >1 in control samples were excluded. Further reduction was done by removing
215 the markers with >50% standard deviation in three injection replicates. The remaining mass-
216 retention time (m/z -RT) pairs were further sorted to keep those with relative intensity >2 and with
217 intensity increase by three times in ozonation effluent compared to influent. These values were
218 tested beforehand to ensure that the known OPs were included in final data set and the reported
219 m/z -RT pairs fulfil the signal-to-noise (S/N) >10 criteria. The marker tables from the same
220 ionization modes of SFC-HRMS and RPLC-HRMS measurements were grouped together and
221 ordered according to ascending exact mass. Exact mass pairs were determined with a mass
222 accuracy of 0.1 Da, and sorted out to a new table as common OPs, while the remaining m/z -RT
223 pairs were treated as SFC-only and RPLC-only OPs. A further manual evaluation in MassLynx
224 was performed to ensure the finally extracted OPs strictly comply with the criteria stated above:
225 to be assigned as an SFC-only OPs each peak was checked for its absence in control samples and
226 RPLC measurements, for proper integration with S/N ratio >10, its intensity was enhanced by at
227 least 3 times in ozonation effluent compared to influent, and it was not a fragment ion. Sum
228 formulas were assigned by using a mass tolerance of 5 ppm and an elemental composition of C_0 .
229 H_{0-100} , N_{0-20} , O_{0-20} , P_{0-2} , S_{0-2} , I_{0-3} , Br_{0-3} , Cl_{0-3} , and Na_{0-2} , by checking the isotopic pattern for the
230 absence of Cl and Br, and by taking into account the fragment ions detected by MS^E. Suspect
231 screenings for SFC-HRMS and RPLC-HRMS data were done by using Unifi.

232 **3. Results and Discussions**

233 **3.1 Suspect screenings of literature known OPs**

234 A suspect screening for 70 known OPs that were previously found during RPLC-MS analysis of
235 ozonated WWTP effluent (Merel et al., 2017; Bourgin et al., 2018; Schollée et al., 2018) (Table
236 S1, supporting information) was firstly performed using SFC-HRMS and RPLC-HRMS to assess

237 the comparability of these two techniques. At least 13 of these 70 known OPs were detected by
 238 both SFC-HRMS and RPLC-HRMS in the ozonated WWTP effluent (Table 1). This low number
 239 may partially be due to the limitation in sensitivity of the employed SFC-HRMS (following
 240 azeotropic enrichment) and RPLC-HRMS (direct injection) approaches compared to the analytical
 241 methods applied in literature (i.e., solid-phase extraction followed by RPLC-MS). Nine of the
 242 known OPs detected in this study were *N*-oxides (Table 1). Interestingly, *N*-oxides behaved
 243 similarly during SFC and RPLC separations by eluting later than their parent compounds, with one
 244 exception of clarithromycin *N*-oxide. This supports the notion that the elution order in SFC is not
 245 simply governed by increasing polarity (Schulze et al., 2020). Notably, all known OPs detected by
 246 RPLC-HRMS in this study were also detectable by SFC-HRMS, indicating that SFC-HRMS could
 247 produce comparable results to the generic RPLC-HRMS while screening the known substances.

248 **Table 1.** Parent compounds (PC) and corresponding OPs (**in bold**) found by suspect screenings of
 249 ozonated WWTP effluent and their removal during post-treatments. ^a

PC/ OP	Ionization mode	RT in SFC (min)	RT in RPLC (min)	<i>m/z</i> [M+H] ⁺	S/BAC	S/A	S/A+ post-GAC
venlafaxine	pos	5.14	6.60	278.2120			
desvenlafaxine	pos	6.43	5.16	264.1964			
venlafaxine <i>N</i>-oxide	pos	5.71	7.06	294.2060			
tramadol	pos	5.10	5.41	264.1964			
tramadol <i>N</i>-oxide	pos	5.92	5.76	280.1903			
tiapride	pos	6.91	3.59	329.1535			
tiapride <i>N</i>-oxide	pos	8.13	3.91	345.1470			
sulpiride	pos	8.01	3.18	342.1488			
sulpiride <i>N</i>-oxide	pos	9.18	3.60	358.1419			
carbamazepine	pos	3.49	8.32	237.1028	n.d.	n.d.	n.d.
BQM	pos	3.37	7.47	251.0819			
BaQM	pos	5.55	7.29	267.0760			
BaQD	pos	5.43	7.17	283.0720			

hydrochlorothiazide	pos	5.56	3.32	297.9723	n.d.	n.d.	n.d.
chlorothiazide	pos	5.61	3.16	295.9569			
lidocaine	pos	2.51	4.60	235.1810			
lidocaine N-oxide	pos	4.62	5.30	251.1760			
citalopram	pos	5.22	6.97	325.1716			
citalopram N-oxide	pos	6.30	7.19	341.1665			
fexofenadine	pos	6.88	8.13	502.2948			
fexofenadine N-oxide	pos	7.02	8.22	518.2930			
clarithromycin	pos	6.47	9.01	748.4850	n.d.	n.d.	n.d.
clarithromycin N-oxide	pos	6.36	9.27	764.4796			
amisulpride	pos	8.30	4.46	370.1801			
amisulpride N-oxide	pos	9.05	4.95	386.1750			

^a The complete list of compounds for suspect screening is provided in the supporting information (Table S1). The categorization within post-treatment is marked as non/low-removal (<20%, dark grey), medium removal (20-70%, grey) or removal (>70%, white). The specific values for percent removal are provided in Table S4.

n.d. means that the compound was not detected in the effluent of ozonation unit and thus not evaluated after post-treatments.

250

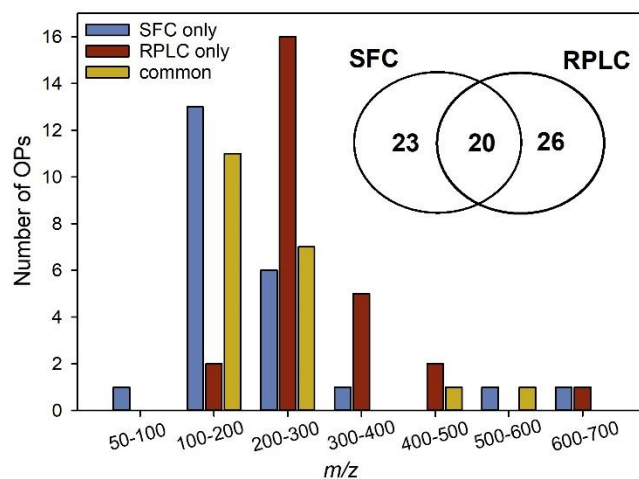
251 3.2 Non target-screenings by SFC-HRMS and RPLC-HRMS

252 Non-target screening was applied to WWTP effluent before and after ozonation using SFC-HRMS
 253 and RPLC-HRMS, to explore whether SFC-HRMS could provide additional information on OPs
 254 of TrOCs. One may expect that highly oxidized and consequently very polar OPs may be
 255 overlooked by RPLC-HRMS screening.

256 The comparison of *m/z*-RT pairs (hereafter named features) before and after ozonation was done
 257 without any attempt to identify for both setups of SFC and RPLC. The features present in
 258 instrumental blanks were firstly subtracted and only those picked in all three injection replicates
 259 (from one sampling) were used for following data processing. It should be noted that OPs are not
 260 necessarily new compounds that are absent before ozonation. Rather, some OPs are also formed
 261 as human metabolites or by microorganisms in wastewater treatment, such as 5-

262 hydroxydiclofenac, chlorothiazide, tramadol *N*-oxide, and clarithromycin *N*-oxide (Bourgin et al.,
263 2018). For this reason, a signal increase by a factor of 3 after ozonation was used to select OPs
264 from SFC-HRMS and RPLC-HRMS data separately, which were then subsequently compared.
265 Chromatographic RT could, obviously, not be used to decide on the similarity of features from
266 both data sets. Thus, the comparison of OPs detected by SFC-HRMS and RPLC-HRMS was based
267 on exact masses of molecular ions and verification by comparing the presence or absence of
268 fragment ions.

269 The SFC-only m/z -RT pairs were found to be 77 in positive and 42 in negative ionization modes.
270 Manual correction of features was done to comply with the intensity and blank criteria (i.e.,
271 $S/N > 10$), which resulted in many false positives and 23 SFC-only OPs in total. The same was done
272 for RPLC-only OPs as well as for the common OPs. Comparable numbers of RPLC-only (26),
273 SFC-only (23), and common (20) OPs were found (Figure 1, venn diagram). It is important to note
274 that a higher number of detected peaks does not necessarily reflect a better performance of an
275 instrument here. The applied criteria on peak picking and intensity comparison were quite strict to
276 exclude many uncertain peaks. The final OPs cover the whole mass range (m/z 100–700), but the
277 maximum numbers of SFC-only and common OPs are located in m/z range of 180–220, whereas
278 the RPLC-only OPs are mainly found in the range of m/z 280–320 (Figure 1). These results
279 suggested that SFC is advantageous for OPs of lower molecular weight, which are possibly highly
280 polar and thus not retained during RPLC separation. RPLC retention is not only due to
281 hydrophobic interaction (which one may describe by $\text{Log}D$), but also by van-der Waals interaction.
282 The van-der Waals interaction becomes more important when the stronger hydrophobic interaction
283 decreases, i.e. with decreasing (or negative) $\text{Log}D$. Thus, especially the small polar compounds
284 are not retained by RPLC.



285
 286 **Figure 1.** Allocation of the number of OPs in different mass ranges (m/z 100–700). OPs were
 287 detected by non-target screenings of ozonated WWTP effluent using SFC-HRMS and RPLC-
 288 HRMS. The “common” in legend refers to the common OPs detected by both SFC-HRMS and
 289 RPLC-HRMS. Venn diagram shows the share of total numbers.

290 Four of the 20 common OPs (Table S2), i.e., chlorothiazide (originating from the ozonation of
 291 hydrochlorothiazide), BQM (from carbamazepine), tramadol *N*-oxide (from tramadol), and
 292 lidocaine *N*-oxide (from lidocaine), were already known from the suspect screening as shown in
 293 Table 1. The 9 additional OPs found during suspect screening (Table 1) were not extracted by the
 294 non-targeted data processing here, likely due to their too low intensity to meet the peak-picking
 295 requirement (relative intensity >2). One of the common OPs ($[M-H]^-$, $C_7H_5O_3S$, m/z 184.9906, Table
 296 S2) was proposed to be the hydroxylated thiosalicylic acid, which may originate either from
 297 thiomersal or thioindigo, or related dyes. No literature data was available to confirm this
 298 assumption. The other 15 common OPs (Table S2) could not be identified either due to the missing
 299 fragment ions for proposing the basic structures or no assignment of elemental composition within

300 the selected criteria. They elute in the whole RT range in RPLC and SFC chromatograms, with
 301 m/z ranging from 146 to 567.

302 A total of 26 OPs were detected only by RPLC, with RT in the range of 2.4–9.5 min and m/z
 303 201–688, respectively (Table S3). The assigned sum formulas of these compounds allow for many
 304 different structures, thus preventing their tentative identification. Interestingly, one of the RPLC-
 305 only OPs (i.e., $[M+H]^+$, $C_{13}H_{13}N_3O_6I_3$, m/z 687.7953, Table S3) was probably derived from the
 306 iodinated contrast agents (e.g., iohexol). One possible explanation for the non-detection of these
 307 OPs by SFC could be a stronger matrix effect, which may have prevented the automatic extraction
 308 of these signals.

309 The SFC-only OPs (23 in total, Table 2) cover the whole SFC chromatogram (2.5–11 min), and
 310 no clear trend was observed by comparing the RT and molecular mass. Furthermore, the H/C vs.
 311 O/C shows no significant difference within the proposed formulas of SFC-only, RPLC-only, and
 312 common OPs (Figure S1). Thus the retention behaviour of compounds in SFC is a result of rather
 313 complex relationships between molecular mass, formula, and polarity.

314 **Table 2.** SFC-only OPs found by non-target screening of ozonated WWTP effluent using SFC-
 315 HRMS and their removal during post-treatments. ^a

OP	Enhanced/ Formed	Ionization mode	RT in SFC (min)	m/z [M+H] ⁺ or [M-H] ⁻	Formula	Fragments	S/BAC	S/A	S/A+ post- GAC
1	+	pos	2.55	212.130	$C_{11}H_{18}NO_3$	126.091 ($C_7H_{12}NO$)			
2	+	pos	2.88	183.100	$C_{10}H_{15}O_3$ *				
3	+	pos	3.19	196.130	$C_{11}H_{18}NO_2$ *				
4	+	pos	3.69	126.080	$C_4H_7N_5$	84.053 ($C_3H_6N_3$), 70.224 ($C_2H_4N_3$)			
5	+	pos	4.10	112.060	$C_3H_6N_5$	70.049 ($C_2H_4N_3$)			

6	+	pos	4.15	150.080	C ₅ H ₁₂ NO ₄		
7	+	pos	4.32	207.050	C ₇ H ₁₁ O ₇ or C ₁₁ H ₁₁ O ₂ S *		
8	+	pos	4.45	127.070 (155.068)	C ₄ H ₇ N ₆ O	127.072 (C ₃ H ₇ N ₆), 85.051 (C ₂ H ₅ N ₄)	
9	++	pos	4.86	199.094	C ₆ H ₁₁ N ₆ O ₂	183.098 (C ₆ H ₁₁ N ₆ O)	
10	++	pos	4.87	241.140	C ₉ H ₁₇ N ₆ O ₂	223.129 (C ₉ H ₁₅ N ₆ O)	
11	+	neg	4.89	574.450			
12	++	neg	4.93	604.460 (618.475)	C ₃₈ H ₆₀ N ₅ O ₂	604.459 (C ₃₇ H ₅₈ N ₅ O ₂), 590.442 (C ₃₆ H ₅₆ N ₅ O ₂)	
13	++	pos	5.05	143.080	C ₆ H ₁₁ N ₂ O ₂		
14	+	neg	5.57	94.980	CH ₃ O ₃ S		
15	++	pos	5.59	171.100	C ₅ H ₁₁ N ₆ O *		
16	++	neg	5.76	204.980	C ₆ H ₅ O ₆ S		
17	++	neg	6.27	240.960	C ₆ H ₆ O ₆ SCl		
18	+	pos	6.30	208.040	C ₁₀ H ₁₀ NO ₂ S	186.051 (C ₉ H ₉ NO ₂ Na), 165.038 (C ₉ H ₉ OS), 163.012 (C ₉ H ₇ OS)	
19	++	pos	6.82	182.050 (224.059)	C ₇ H ₁₄ NO ₅ S	182.048 (C ₅ H ₁₂ NO ₄ S)	
20	+	pos	7.83	399.140	C ₁₆ H ₂₄ N ₄ O ₆ P	199.017 (C ₈ H ₈ O ₄ P), 224.012 (C ₉ H ₇ NO ₄ P), 323.092 (C ₁₃ H ₁₆ N ₄ O ₄ P), 363.123 (C ₁₆ H ₂₀ N ₄ O ₄ P), 381.134 (C ₁₆ H ₂₂ N ₄ O ₅ P)	
21	+	pos	8.90	174.100	C ₁₀ H ₁₂ N ₃ *		
22	+	pos	8.99	162.110	C ₇ H ₁₆ NO ₃	134.117 (C ₆ H ₁₆ NO ₂)	

23	+	pos	10.55	158.120	C ₈ H ₁₆ NO ₂	58.064 (C ₃ H ₈ N)	
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^a Multiple formula assignments are possible for the compounds designated by (*). The origins of the OPs in **bold** were tentatively proposed (detailed in the main text, Section 3.2) and their extracted ion chromatograms/mass spectra are provided in Figures S2-S13. The behaviour during ozonation is stated as enhanced (+, already present in ozonation influent and intensity increased during ozonation) or as formed (++, newly generated during ozonation). The categorization within post-treatment is marked as non/low-removal (<20%, dark grey), medium removal (20-70%, grey) or removal (>70%, white). The specific values for the percent enhancement/formation during ozonation and the percent removal during post-treatments are provided in Table S5.

316

317 The possible parent compounds of the SFC-only OPs (Table 2) may be found by taking into

318 account the well-known ozonation reactions such as the addition of an oxygen atom, which leads

319 to hydroxylation or *N*-oxides (Schollée et al., 2018). For example, one possible parent compound

320 of OP_1 (i.e., [M+H]⁺, C₁₁H₁₈NO₂, *m/z* 196.134, formula difference to OP_1: -O) was present in

321 both data sets of RPLC (3.18 min) and SFC (3.16 min) (Figure S2) before ozonation, and absent

322 in ozonated wastewater. Its formula, C₁₁H₁₇NO₂, hits total 10,243 possible molecules in

323 Chemspider (<https://www.chemspider.com>, 07/2020). The substance with the highest reference

324 number was 2,2'-[(4-methylphenyl)imino]bisethanol (4-tolyldiethanolamine), a high production

325 volume (10-100 t/year, ECHA) and environmentally persistent chemical according to the OECD

326 criteria (<https://echa.europa.eu/de/registration-dossier/-/registered-dossier/27362/5/3/2>).

327 However, the reference standard did neither fit the RT, nor the fragment ions of the proposed

328 parent of OP_1 (Figure S3).

329 One possible parent formula of OP_22 (i.e., [M+H]⁺, C₇H₁₈NO₂, *m/z* 148.132, formula difference

330 to OP_22: -O+H₂) was present in WWTP effluent (RT= 5.14 min in SFC and 0.96 min in RPLC)

331 and completely removed after ozonation (Figure S4). The 3 top candidates in Chemspider were

332 tert-butylaminopropane-1,2-diol, N-propyldiethanolamine, and diethanolisopropylamine.

333 However, all 3 compounds are neither high production volume chemicals according to ECHA, nor

334 was the loss of -CO during fragmentation of OP_22 (i.e., fragment ion, $[M+H]^+$, $C_6H_{16}NO_2$, m/z
335 134.117, Figure S4) indicative enough to support any of these structures.

336 Several SFC-only OPs are nitrogen rich compounds, including OP_4 ($[M+H]^+$, $C_4H_7N_5$, m/z
337 126.080, Figure S5) and OP_5 ($[M+H]^+$, $C_3H_6N_5$, m/z 112.060, Figure S6) with 5 nitrogen atoms.
338 They were proposed to be derived from metformin ($C_4H_{11}N_5$). Lab-scale ozonation of metformin
339 was conducted in this study and confirmed that metformin is likely a precursor of OP_4 and OP_5
340 (see Section 3.4).

341 OP_9 ($[M+H]^+$, $C_6H_{11}N_6O_2$, m/z 199.094, Figure S7) comprises 6 nitrogen atoms and seems to be
342 an *N*-oxide due to the facile loss of an -O during in-source fragmentation. However, the
343 corresponding parent compound (i.e., $[M+H]^+$, $C_6H_{11}N_6O$, m/z 183.098) did not exist in WWTP
344 effluent before ozonation; therefore OP_9 is possibly a secondary OP formed from an intermediate
345 during ozonation, such as the methoxymethylmelamine derivatives. Similarly, OP_8 ($[M+H]^+$,
346 $C_4H_7N_6O$, Figure S8), 10 ($[M+H]^+$, $C_9H_{17}N_6O_2$, Figure S9), and 15 ($[M+H]^+$, $C_5H_{11}N_6O$, Figure
347 S10) also contain 6 nitrogen atoms, and might share the same origin (e.g.,
348 methoxymethylmelamines). However, there are no characteristic fragment ions to verify the
349 melamine structure for OP_10 and 15. Notably, OP_8 ($[M+H]^+$, $C_4H_7N_6O$, m/z 155.068, Figure
350 S8) is likely to be one of the transformation products of hexamethoxymethylmelamine as its
351 fragmentation pattern is comparable to literature results (Alhelou et al., 2019). OP_8 was already
352 present in WWTP effluent before ozonation (probably formed by biotransformation), but its
353 intensity significantly increased upon ozonation.

354 In negative ionisation mode, OP_14 ($[M-H]^-$, CH_3O_3S , m/z 94.980, Figure S11) was confirmed to
355 be methane sulfonic acid (MSA) using analytical standard. It was a prominent OP significantly
356 enhanced during ozonation; however its origin remains unclear. Previous studies suggested that

357 the reduced sulfur containing compounds such as dimethyl sulfide (present in cooked vegetables
358 or seafood) can be oxidized to MSA by ozonation (Devulapelli and Sahle-Demessie, 2008).
359 Furthermore, MSA was reported to be formed by ozonation of dimethyl sulfoxide (Wu et al.,
360 2007). OP_16 ($[M-H]^-$, $C_6H_5O_6S$, Figure S12) and OP_17 ($[M-H]^-$, $C_6H_6O_6SCl$, Figure S13) might
361 be the substituted phenyl or alkyl sulfates/ sulfonates based on their exact masses and molecular
362 formulas, but this could not be substantiated as the fragment ions were missing possibly due to the
363 already too low intensity of molecular ions.

364 **3.3 Behaviour of OPs during post-treatments**

365 The post-treatment subsequent to ozonation is necessary to eliminate OPs with the goal of
366 minimizing the ecotoxicological effects of ozonated wastewater (Hollender et al., 2009; Prasse et
367 al., 2015). The removal of OPs that were detected by suspect and non-target screenings of ozonated
368 WWTP effluent in this study was investigated during 3 different post-treatments based on dual-
369 media filters: S/BAC, S/A, and S/A+ post-GAC. The removal efficiency for each OP was
370 evaluated based on the evolution of its peak intensity.

371 The behaviour of known OPs as well as of the SFC-only OPs during post-treatments are presented
372 in Table 1 and 2, respectively. Generally, medium (20-70%) to no removal (<20%) was observed
373 for most OPs during S/BAC and S/A treatments, whereas S/A+ post-GAC showed far better
374 removal (>70%). The treated BVs of S/BAC and S/A here were about 60,000 (see Section 2.2),
375 where the abatement of most micropollutants had already reached a steady-state on these filters
376 (Sauter et al., 2021). This indicates that biodegradation is of limited efficacy for the OPs detected
377 in this study. The S/A filter was less effective in OP removal than S/BAC, which was related to
378 the non-adsorptive characteristic of anthracite (Sauter et al., 2021). The third post-treatment S/A+
379 post-GAC refers to S/A and post-GAC filters that were connected in series. The post-GAC applied

380 here was relatively fresh (~ 13,000 BV) compared to the other filters (~ 60,000 BV). Thus, the
381 better performance of S/A+ post-GAC than S/BAC and S/A can be attributed to its higher
382 remaining adsorption capacity.

383 *N*-oxides are known to be non-biodegradable (Merel et al., 2017; Schollée et al., 2018). Bourgin
384 et al. (2018) reported that the biological post-treatments are often better for the abatement of parent
385 compounds than their *N*-oxides. This was further confirmed by our results. All known *N*-oxides
386 were not completely removed by S/BAC and S/A but successfully eliminated by S/A+ post-GAC
387 with predominant adsorption (Table 1 and Table S4). Particularly, the *N*-oxides of citalopram,
388 sulpiride, tramadol, and tiapride were less eliminated compared to their parent compounds within
389 S/BAC and S/A filters. The carbamazepine OPs, BaQM and BaQD, were found to be the most
390 persistent substances within all known OPs in Table 1. A partial removal of BaQM (30 to 50%)
391 by S/A+ post-GAC was observed, whereas BaQD appeared to remain stable or even being formed
392 during all post-treatments. Hübner et al. (2014) also reported that a sand column failed to eliminate
393 BaQD although a complete abatement of BaQM was achieved.

394 Only 8 and 5 out of 23 SFC-only OPs were removed (>70% removal) by S/BAC and S/A,
395 respectively (Table 2 and Table S5). Thereby, 10 and 14 of the SFC-only OPs were classified as
396 persistent (<20% removal) during S/BAC and S/A, respectively, revealing that the specific
397 biodegradation conditions in this study were not sufficient to remove all SFC-only OPs. BAC was
398 known to perform better than sand in eliminating biodegradable organic matter (Reungoat et al.,
399 2011; Sauter et al., 2021). Thus, the SFC-only OPs persistent to S/BAC here are expected to remain
400 stable during sand filtrations following the ozonation of wastewater or drinking water under
401 comparable operating conditions. The majority of SFC-only OPs (17 out of 23), including the
402 methoxymethylmelamine related OPs (i.e., OP_8, 9, 10 and 15), were completely removed or

403 remained to less than 30% during S/A+ post-GAC. The adsorption therefore can be an option for
404 the elimination of most SFC-only OPs. However, approximately 50% of OP_4 (i.e., OP of
405 metformin) and OP_18 were still present even after the S/A+ post-GAC treatment, while another
406 OP of metformin (i.e., OP_5), the tentatively proposed phenolic and alkyl sulfates or sulfonates
407 (i.e., OP_16 and_17), as well as OP_7, appeared to persist or tend to increase during S/A+ post-
408 GAC. The electrostatic and hydrophobic interactions play important role in the adsorption process
409 of activated carbon (Margot et al., 2013). These results suggested that some SFC-only OPs were
410 extremely hydrophilic or negatively charged (e.g., in the case of OP_16), which prevented their
411 adsorption even by fresh GAC.

412 **3.4 Ozonation of metformin**

413 As mentioned in Section 3.2, two of the SFC-only OPs (i.e., OP_4 and 5, Table 2) were proposed
414 to be metformin products. Moreover, about 0.94 $\mu\text{g/L}$ of metformin was present in WWTP effluent
415 in this study, which was reduced to 0.57 $\mu\text{g/L}$ after ozonation (~40% removal), suggesting that
416 metformin was degraded to OPs. Thus, lab-scale ozonation was conducted in this study to confirm
417 the identification of metformin OPs in real wastewater ozonation. The antidiabetic drug metformin
418 is one of the most prescribed pharmaceuticals worldwide with an average oral dose of 2 g per day
419 (Straub et al., 2019). There is no significant metabolism of metformin in human body, therefore
420 the majority is excreted as unchanged in urine and feces (Straub et al., 2019). Considerable amount
421 of metformin (up to several tens μg per L) can be still present in wastewater effluent due to its
422 high influent concentration, even though a significant removal could be achieved by conventional
423 treatments (Scheurer et al., 2009; Scheurer et al., 2012). Metformin is an extremely hydrophilic
424 compound ($\text{Log}D = -5.62$ at pH 7.4), and thus mobile in aquatic environment. Previous screening
425 studies reported the widespread presence of metformin in surface water, ground water, and

426 drinking water (Caldwell et al., 2019, and references therein). Despite the frequent occurrence of
 427 metformin in the environment, studies on its fate during wastewater and drinking water treatment
 428 processes are challenging due to the lack of suitable enrichment and analytical approaches for
 429 metformin as a highly polar compound (Scheurer et al., 2009). Thus, SFC-HRMS was expected to
 430 provide a complete picture of metformin transformation pathway during ozonation.

431 Ozonation of metformin was conducted in buffered pure water and samples were analyzed with
 432 SFC-HRMS in comparison to RPLC-HRMS. Metformin was eluted in void volume of RPLC (RT
 433 = 0.71 min, Table 3) but well retained in SFC (RT = 7.72 min, Table 3). Metformin with its amine
 434 substituents is protonated at neutral pH ($pK_a=10.3$ and 12.3) (Scheurer et al., 2009), showing low
 435 reactivity towards ozone ($1.2 \text{ M}^{-1} \text{ s}^{-1}$ at pH 7) (Jin et al., 2012). It was partly removed (~50%,
 436 Figure S14) by 5 mg/L of ozone at pH 7, and almost completely degraded while pH was increased
 437 to 8.5. These results suggested that $\cdot\text{OH}$ also contributed to the transformation of metformin
 438 ($k_{\cdot\text{OH}+\text{metformin}} = 1.4 \times 10^9 \text{ M}^{-1} \text{ s}^{-1}$) (Wols et al., 2013) as alkaline pH values promote the formation
 439 of $\cdot\text{OH}$ during ozonation (von Gunten, 2003). This was further supported by additional experiments
 440 conducted in the presence of $\cdot\text{OH}$ scavenger, where 1 mol/L of *tert*-butanol reduced the removal
 441 of metformin (e.g., by a factor of 100 at pH 8.5) by largely consuming $\cdot\text{OH}$ in the solution (Figure
 442 S14).

443 **Table 3.** OPs of metformin detected by SFC-HRMS and RPLC-HRMS. The mass spectra and
 444 fragments of compounds were obtained from SFC-HRMS and are provided in the supporting
 445 information (Figures S16-S26).

Compound	RT ^a (SFC)	RT ^a (RPLC)	<i>m/z</i> [M+H] ⁺	Error (ppm)	Formula [M+H] ⁺	Fragments (<i>m/z</i>)	reference
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Metformin	7.72	0.71	130.1093	-4.6	C ₄ H ₁₂ N ₅	113.0822 (C ₄ H ₉ N ₄) 88.0869 (C ₃ H ₁₀ N ₄) 85.0505 (C ₂ H ₅ N ₄)	
OP 140	3.54	2.14	140.0572	-3.6	C ₄ H ₆ N ₅ O	112.0623 (C ₃ H ₆ N ₅)	this study
OP 126a ^b	3.71	0.91	126.0780	-2.4	C ₄ H ₈ N ₅	82.0398 (C ₃ H ₄ N ₃) 84.0553 (C ₃ H ₆ N ₃) 70.0400 (C ₂ H ₄ N ₃)	d,e, f
OP 112 ^b	4.08	0.66	112.0623	-0.9	C ₃ H ₆ N ₅	70.0399 (C ₂ H ₄ N ₃)	f
OP 142a	4.48	n. d.	142.0729	-2.8	C ₄ H ₈ N ₅ O	124.0621 (C ₄ H ₆ N ₅) 112.0620 (C ₃ H ₆ N ₅)	this study
OP 127	4.53	0.86	127.0620	-3.9	C ₄ H ₇ N ₄ O	86.0349 (C ₂ H ₄ N ₃ O) 83.0240 (C ₃ H ₃ N ₂ O)	this study
OP 142b ^b	5.75	0.72	142.0729	-2.8	C ₄ H ₈ N ₅ O	100.0509 (C ₃ H ₆ N ₃ O) 99.0654 (C ₃ H ₇ N ₄) 86.0349 (C ₂ H ₄ N ₃ O)	this study
OP 128	5.87	0.61	128.0572	-3.1	C ₃ H ₆ N ₅ O	86.0347 (C ₂ H ₄ N ₃ O)	this study
OP 102	7.77	0.56	102.0780	-14.7	C ₂ H ₈ N ₅	c	d
OP 116	7.57	0.55	116.0936	-8.6	C ₃ H ₁₀ N ₅	99.0654 (C ₃ H ₇ N ₄) 74.0705 (C ₂ H ₈ N ₃)	d,e,f, g
OP 126b	8.35	0.6	126.0780	-3.2	C ₄ H ₈ N ₅	82.0399 (C ₃ H ₄ N ₃) 84.0552 (C ₃ H ₆ N ₃)	d,e,f, g

Experimental conditions: metformin: 20 μM, ozone: 0.5 and 5 mg/L, 10 mM phosphate buffer at pH 7 and 8.5.

^a retention time in min.

^b also found from ozonated wastewater in this study.

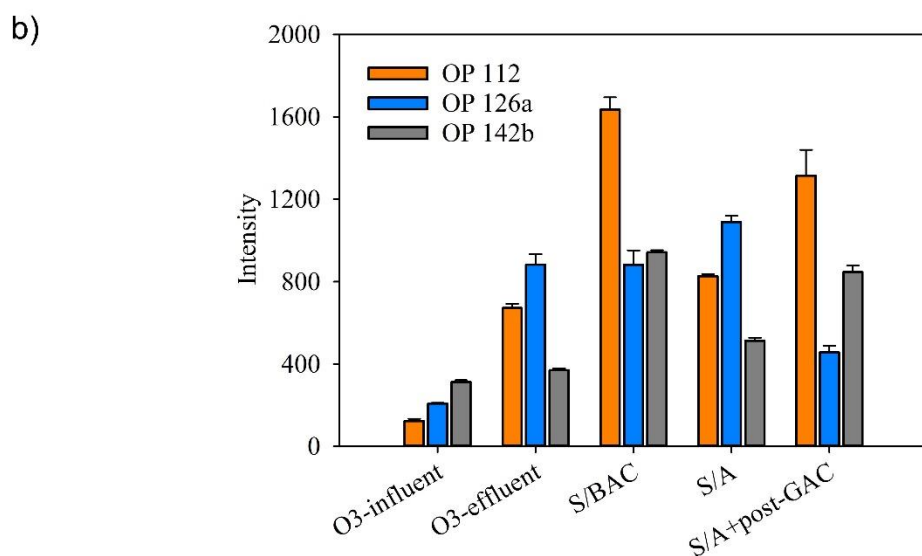
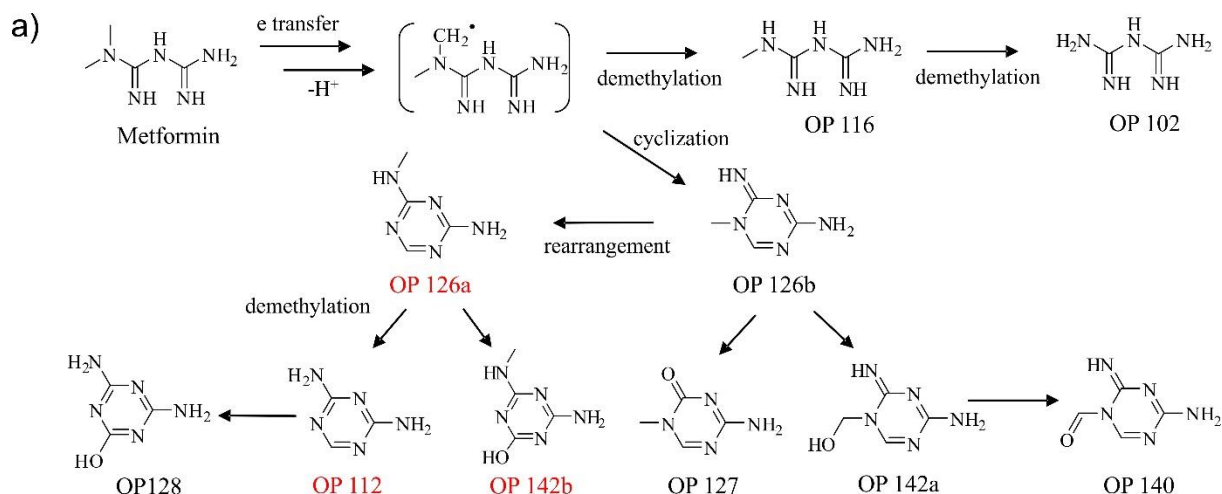
^c signal too weak to perform MS/MS.

^d hydroxyl radical oxidation (Collin et al., 2004), ^e hydroxyl radical oxidation (Trouillas et al., 2013), ^f electrochemical oxidation (Tisler and Zwiener, 2018), ^g ozonation and photocatalysis (Quintão et al., 2016).

446 Ten OPs of metformin were detected by SFC-HRMS in positive ionization mode. They were well
447 distributed in the chromatogram with sharp (Gaussian) peak shapes and RTs ranging from 3.54
448 min to 8.35 min (Table 3). Nine of these compounds were observable in the void volume of the
449 RPLC-HRMS (0.51-0.91 min), but with tailed peak shapes (Figure S15, as *m/z* 126.0780 an
450 example) and overlapping, so that the structure elucidation of these OPs based on fragment ions
451 in RPLC-HRMS would not have been possible. Furthermore, this lack of retention in RPLC would

452 likely lead to strong signal suppression in real wastewater matrix. In addition, one isomer of m/z
453 142.0729 was not detected by RPLC.

454 The molecular structures of OPs, which were tentatively identified based on their fragmentation
455 patterns (mass spectra, Figures S16-S26) in SFC-HRMS, supported that the *N*-dimethyl moiety of
456 metformin was the main reaction site during ozonation (Figure 2a). A carbon-centered radical is
457 assumed to be initially formed following the electron transfer and H-abstraction, which either
458 undergoes demethylation to form OP 116 or intramolecular cyclization to produce OP 126b.
459 Subsequent demethylation can transfer OP 116 to OP 102, whereas OP 126b can be isomerized to
460 OP 126a via intramolecular rearrangement (methyl transfer). The cyclization products (i.e., OP
461 126a and b) were more abundant in total ion chromatogram than the demethylation products (i.e.,
462 OP 116 and OP 102). The $\cdot\text{OH}$ -induced cyclization of metformin to OP 126 isomers was studied
463 in detail previously (Collin et al., 2004; Trouillas et al., 2013). It was reported that the
464 isomerization process (methyl transfer) favors high pH conditions ($\text{pH} \geq 7$) (Trouillas et al., 2013).
465 The MS/MS spectra of OP 126 isomers in this study exhibited m/z 82.0398 and m/z 84.0553 as
466 dominant fragment ions. However, the isomer at $\text{RT}=8.35$ min had a unique fragment ion m/z
467 99.0648, corresponding to the loss of $-\text{CNH}$, and tended to be more abundant at pH 7. In contrast,
468 the isomer at $\text{RT}=3.71$ min showed 4-fold higher formation yield at pH 8.5 than that at pH 7.
469 Therefore, the structures of OP 126 isomers at $\text{RT}=8.35$ and 3.71 min were assigned to be before
470 (i.e., OP 126b) and after methyl transfer (i.e., OP 126a), respectively. The further demethylation
471 of OP 126a could lead to the formation of OP 112. Five further oxidation products (i.e., OP 127,
472 128, 140, 142 a and b) are reported for the first time in this study. Among them, OP 128 was
473 confirmed to be ammeline (4,6-diamino-2-hydroxy-1,3,5-triazine) based on its analytical standard
474 (Figure S23).



475

476 **Figure 2.** a) Proposed transformation pathways of metformin during ozonation (pH 7 and 8.5) and

477 b) Intensity evolution of metformin OPs found from wastewater during ozonation and post-

478 treatment (i.e., OP 112, 126a, and 142b, highlighted in red in (a)). Error bars represent the standard

479 deviations of 3 injection replicates

480 Importantly, the SFC-only OPs, OP_4 and 5 (Table 2) found during non-target analysis of

481 ozonated wastewater, were confirmed to be OP 126a and OP 112 of metformin, respectively, based

482 on the similarity of their RT, exact masses, and fragment ions. OP 126a and OP 112 were already

483 present in WWTP effluent before ozonation and thus likely formed by biotransformation of
484 metformin or other precursors during wastewater treatment. Nevertheless, a significant increase in
485 their intensities (4-5 fold) was observed upon ozonation (Figure 2b). It should be noted that lab-
486 scale ozonation has confirmed metformin as precursor of these OPs in buffered pure water.
487 However, current study cannot rule out the possibility of other precursors in complex wastewater
488 matrix. As mentioned in Section 3.3, both OP 126a and OP 112 persisted to post-treatments. OP
489 112 even appeared to increase in S/BAC and S/A+ post-GAC, revealing that it can be continuously
490 formed during post-treatment via biotransformation of OP 126a (in the case of S/A+ post-GAC)
491 or other intermediates (Figure 2b). Another metformin product, OP 142b, was also detected from
492 wastewater effluent. A slightly low intensity increase (1.2 fold) of OP 142b was observed by
493 ozonation (Figure 2b), a reason why it was not extracted during non-targeted analysis mentioned
494 in Section 3.2. However, post-treatment also failed to eliminate this product, but continuously
495 elevated its intensity.

496 **4. Conclusions**

- 497 • SFC-HRMS and RPLC-HRMS were applied in parallel to comparatively characterize the
498 OPs generated during pilot-scale ozonation of real WWTP effluent. In the ozonated WWTP
499 effluent non-target screening by SFC-HRMS detected a total of 23 OPs that were not found
500 by RPLC-HRMS. These OPs were, on average, lower in molecular weight and thus
501 difficult to retain in RPLC. Several SFC-only OPs were proposed to originate from
502 methoxymethylmelamine, metformin, phenolic and alkyl sulfates/sulfonates.
- 503 • In general, only 20% of the SFC-only OPs were removed by more than 70% by subsequent
504 dual-media filters S/BAC and S/A (both 60,000 BV), revealing that the majority of SFC-
505 only OPs were persistent to biodegradation in these systems. An additional filter employing

506 relatively fresh GAC (S/A+ post-GAC, 13,000 BV) showed far better removal efficiency
507 due to the additional adsorption capacity. Nevertheless, six (out of 23) of the SFC-only
508 OPs still escaped through S/A+ post-GAC.

509 • Ten OPs generated from metformin were detected from a lab-scale experiment using SFC-
510 HRMS, five of which are reported for the first time. Three metformin OPs were found in
511 ozonated WWTP effluent and appeared to persist to all post-treatments applied in this
512 study.

513 This study shows that RPLC-HRMS screening can overlook a certain fraction of OPs generated
514 during ozonation of municipal WWTP effluent. Chromatographic methods such as SFC, if
515 combined with HRMS, can extend our view and add considerably to the picture of OPs specifically
516 to those that appear to be biologically stable and hydrophilic, and thus are not completely removed
517 by post-treatments. Due to their persistence and mobility, these OPs may eventually reach the
518 drinking water sources after the discharge of ozonated WWTP effluent.

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523 **Supporting Information**

524 6 tables and 26 figures are available as supplementary material and data.

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