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1 **Green growth? On the relation between population density, land use and**
2 **vegetation cover fractions in a city using a 30-years Landsat time series.**

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12

13 Abstract

14

15 Both compact and dispersed green cities are considered sustainable urban forms, yet some
16 developments accompanied with these planning paradigms seem problematic in times of
17 urban growth. A compact city might lose urban green spaces due to infill and a dispersed-
18 green city might lose green in its outskirts through suburbanisation. To study these storylines,
19 we introduce an operationalised concept of contrasting changes in population density
20 (*shrinkage* or *growth*) with vegetation density (*sealing* or *greening*) over time. These trends
21 are ascribed to different land use classes and single urban development projects, to quantify
22 threads and pathways for urban green in a densifying city. We mapped the development in
23 vegetation density over 30 years as subpixel fractions based on a Landsat time series (for 2015:
24 MAE 0.12). The case study city Berlin, Germany, developed into a city that is both gaining in
25 vegetation—greening—and population—growing—in recent years but featured highly diverse
26 trends for both compact and green city districts before that. Pathways to achieve a *greening-*
27 *growing* scenario in a compact city include green roofs, brownfield and industrial
28 revitalisation, and bioswales in predominantly green city districts. A threat for compact cities
29 pose infill developments without greening measures. A threat for dispersed-green cities is
30 microsealing in private residential gardens—gravel gardens—or car parking infrastructure. We
31 conclude that neither a compact nor a dispersed-green city form concept logically leads to a
32 development towards more environmental quality—here vegetation density—in times of
33 densification but rather context specific urban planning.

34 1 Introduction

35 An increase in urban green cover and increases in population density are often considered to be
36 antagonists (Haaland & van den Bosch, 2015). A number of studies find that growth in population
37 density – whether compact inner-city growth or dispersed suburbanisation on the urban fringes - is
38 inevitably connected to negative outcomes such as a reduction in vegetation cover (Elmqvist et al.,
39 2018; Nuisl et al., 2009; Wolff & Haase, 2019). This notion of over-crowding in regard to population
40 density increases can be found across the literature. However, none of these studies actually measures
41 how vegetation cover has developed in its full spatial-temporal configuration for an entire city with
42 population density developments. We therefore ask ourselves, whether both entities can grow at the
43 same time and under different conditions in a city or parts of it and what the paths and threats for this
44 development are?

45 From an urban ecological view, growth in population density should go hand in hand with an increase
46 in vegetation density to prevent a degradation of viable ecosystem services that are critically important
47 for human well-being such as regulating air temperature or local recreation (Pauleit et al., 2019).
48 Therefore it is worrying that a wide range of authors have observed both a trend in the degradation of
49 urban vegetation, and a worldwide increase in population density in cities, leading to a reduction in
50 per capita ecosystem service provisioning (Elmqvist et al., 2018; Fuller & Gaston, 2009).

51 One prominent way of dealing with densification in urban planning and the green city is the
52 implementation of greening strategies following the concept of green infrastructure according to
53 Pauleit et al. (2019), as integrating vegetation into the city provides numerous beneficial flows of
54 ecosystem services to its inhabitants (Andersson et al., 2019; Haase et al., 2014) as well as offering
55 considerable potential for biodiversity conservation (Schwarz et al., 2017). Important tools for growing cities
56 include small-scale elements of green infrastructure such as green roofs, roadside vegetation such as
57 trees and hedgerows or bioswales and the formation of new green spaces such as parks. However, the
58 processes on private grounds around buildings i.e. front and backyards or gardens are overlooked.
59 Such areas can make up about 40 % of total vegetation cover in a city and are very important for
60 comprehensive spatial scale assessments (Haase, Jänicke & Wellmann, 2019).

61 The current European planning paradigm largely draws on the compact cities approach to
62 sustainability (De Roo & Miller, 2019). The compact city paradigm emphasizes the need to integrate
63 different land uses in close proximity to enable short daily commutes to save energy and land
64 (Echenique et al., 2012). The opposite of the compact city is the concept of the dispersed city (in Europe
65 also referred to as the 'garden city'), which emerged in industrial England in the 19th century. Here,
66 urban structure is shaped by open built-up space, low rise and low-density housing, where buildings,
67 streets, green spaces and other urban amenities form a mosaic-like and more dispersed pattern
68 (Holden and Norland, 2005, p.2148). In a dispersed city, we have less congestion but higher
69 segregation. In terms of environmental quality, the dispersed city is also supposed to be a green city
70 due to its higher share of open space and less air pollution (Westerink et al., 2012).

71 Most literature suggests that cities can generally be characterised as a compact or a dispersed city
72 (Holden & Norland, 2005; Jenks & Burgess, 2000; Westerink et al., 2013). However, experiences from
73 different cities across Europe over time tell us that we often observe both: growth in the form of
74 densification and infill as well as dispersed growth at the periphery (Wolff & Haase, 2019). The
75 processes however, of both population and built space densification in terms of the change in
76 vegetation cover are often unequally distributed in a city, raising questions of equity and justice about
77 access to green spaces (Kabisch & Haase, 2014). From this arises the need for a spatially and temporally

78 explicit analysis of population- and vegetation density developments in an integrated manner to
79 evaluate and design better policies. Important is thereby to enable active citizenship for instance with
80 open data and result policies, working towards a kind of mosaic governance (Buijs et al., 2016).

81 To portray spatial-temporal trends in urban vegetation cover, Earth observation (EO) based time series
82 analysis is very appropriate (Li et al., 2017). Landsat satellite imagery is particularly suitable to monitor
83 land cover and land use change because of its long recording history and open data policy (Wulder &
84 Coops, 2014). As the resolution of Landsat (30 metres) is still higher than the size of many urban
85 objects, the problem of mixed pixels consisting of multiple land cover classes is a remaining challenge
86 (van der Linden et al., 2018). In contrast to discrete land cover classification that tends to
87 underrepresent smaller elements that occur comparatively frequently in an urban vegetation context
88 (Zhu et al., 2015), spectral unmixing methods describe the quantitative composition of land cover
89 within pixels and thus the continuous character of land cover throughout urban spaces (Small & Sousa,
90 2016). Spectral unmixing is a widely used concept in Earth observation and non-parametric machine
91 learning regression approaches are becoming more frequently used in different urban and non-urban
92 land cover composition mapping applications (Suess et al., 2018; van der Linden et al., 2018). However,
93 contributions to urban ecological studies (Haase et al., 2019b) or for long time series analyses in cities
94 (Michishita, Jiang, & Xu, 2012; Schug et al., 2018) are still rare.

95 Having the new time series based on Earth observation technologies at hand, we are able to assess
96 more comprehensively whether green growth—or green shrinkage, respectively—is occurring or if this
97 is merely envisioned and identify properties and characteristics that are typical for both types of city
98 paradigms: the compact and the dispersed-green city, as outlined by various authors. Such information
99 helps us to uncover what Neuman (2005) calls ‘the compact city fallacy’ that cities are a continuous co-
100 evolutionary process rather than a form or a state. In doing so, in this study, we quantify vegetation
101 and population density changes and co-relate both on different spatial scales for the city of Berlin,
102 Germany to answer the following questions:

- 103 • How can Landsat time series data and spectral unmixing contribute to a better understanding
104 and hypothesis testing for the spatial realisation of different urban planning concepts?
- 105 • What are long-term urban vegetation trends in different land use classes?
- 106 • Can densification of built space be detected in specific land use classes and recommendations
107 for action be provided?
- 108 • What are the paths and threats for green-growth in compact or dispersed areas?

110 2 Study area

111 Berlin is a Central European city (52° N, 13° E) with an area of about 900 km² (Figure 1) featuring 3.6
112 million inhabitants (Statistical Office for Berlin-Brandenburg, 2018). The main constituents of green
113 and blue infrastructure are forests with 18%, parks and allotment gardens with 12%, a river network
114 with 7 % and agriculture with 4% of Berlins surface coverage (Senate administration Berlin, 2018).
115 Settlement and transportation infrastructure, in turn, cover about 60% of the city's surface. Both
116 residential density and urban form vary substantially between Berlins districts. The highest population
117 density can be found in the central Wilhelminian-time perimeter block developments with a 20 meters
118 eaves height stemming from the 1870s. In the Eastern—former socialist—part as well as in the south
119 and north of the city there are mostly pre-fabricated large scale high rise complexes stemming from
120 the 1960s to 1990s. In the outer districts, single family homes are widespread, consisting of detached-
121 , semi-detached house and villas with gardens around them (Figure 1).

122 Multiple trends in urban development can be discerned in the city over the last 30 years. Following
123 World War II, the city was divided into an eastern and a western part, with East-Berlin as the capital of
124 the German Democratic Republic (GDR) and West-Berlin as a West-German enclave surrounded by
125 GDR territory. After the reunification of both parts in 1990, two separately functioning, but not entirely
126 different cities had evolved. Special characteristics in East-Berlin included vacant inner city perimeter
127 blocks because GDR housing policies were focussed on promoting large scale pre-fabricated building
128 blocks in the urban fringe. Besides those residential structures, large scale industrial grounds were
129 located in central parts of the city (Nuisl & Rink, 2005). West-Berlin, in turn, had lower residential
130 vacancy rates and a more continuously used built infrastructure in the central districts.

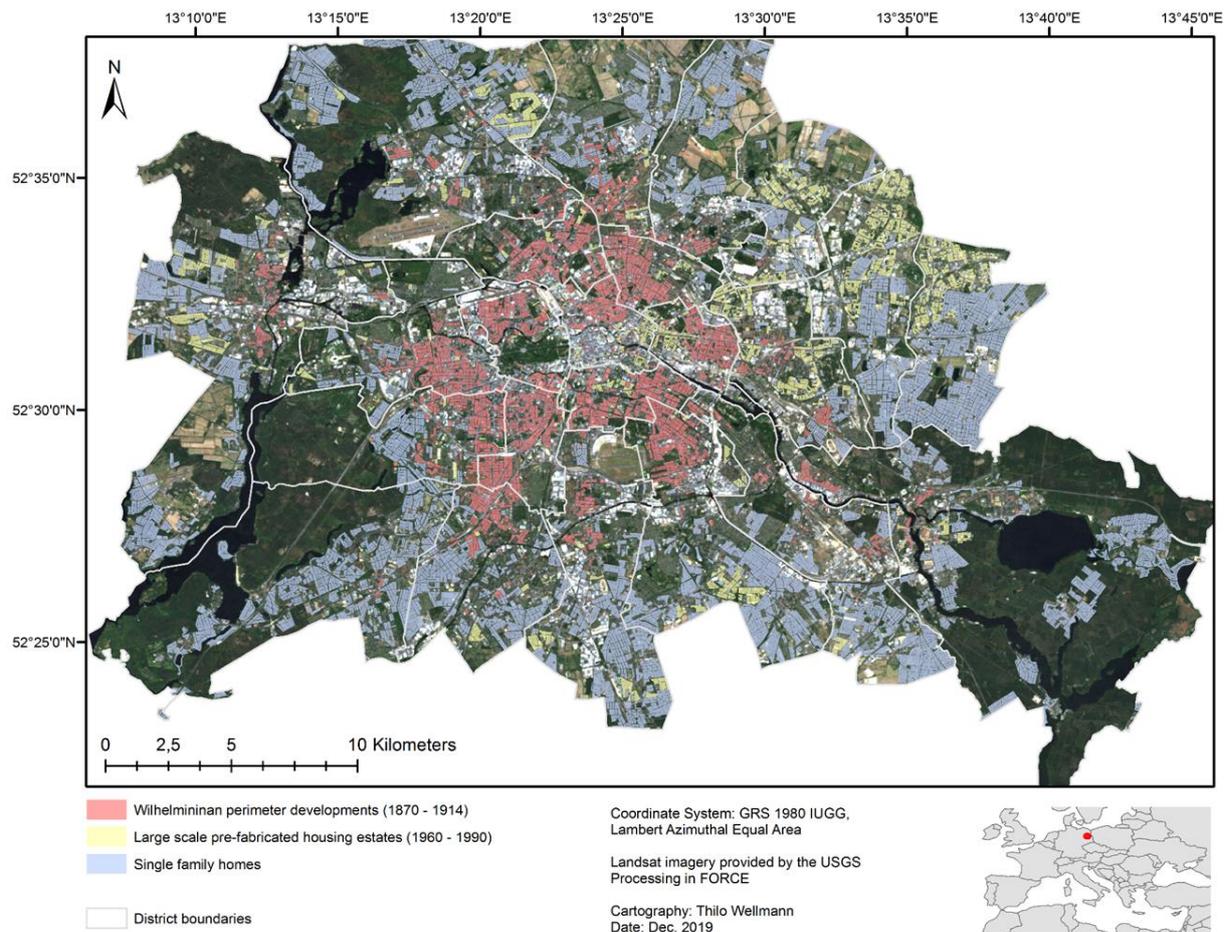


Figure 1. True colour Landsat 8 OLI (Operational Land imager) representation of the study area covering 96% of the city of Berlin with the three dominant building types and the district borders

131 Overall, the population density in East-Berlin (3,175 pop/km²) was much lower compared to West-
 132 Berlin (4,509 pop/km²) in 1991. While this tendency remained until today, the eastern part densified
 133 more intensively (increase of 425 pop/km²) in contrast to the western part (increase of 191 pop/km²)
 134 since 2011. Large shares of this trend can be attributed to sub-urbanisation processes and the revival
 135 of the previously fallow inner city quarters (Nuissl & Rink, 2005), meaning that both compact and
 136 dispersed city growth occurs.

137 The growth in population, however, was not a linear process (Figure 2). In 1950, a cumulative
 138 population of 3.3 million and in 1970 3.2 million lived in East and West Berlin. After a mild rise in
 139 population number directly after the German reunification (3.4 million), there was a period of
 140 shrinkage between the years 1993 and 2000, in which Berlin faced a loss of 130,000 inhabitants. This
 141 period of shrinkage was followed by a decade of stability. Since 2010, the trend in population
 142 development turned around and Berlin grew by 370,000 inhabitants, thus surpassing its former
 143 population maximum.

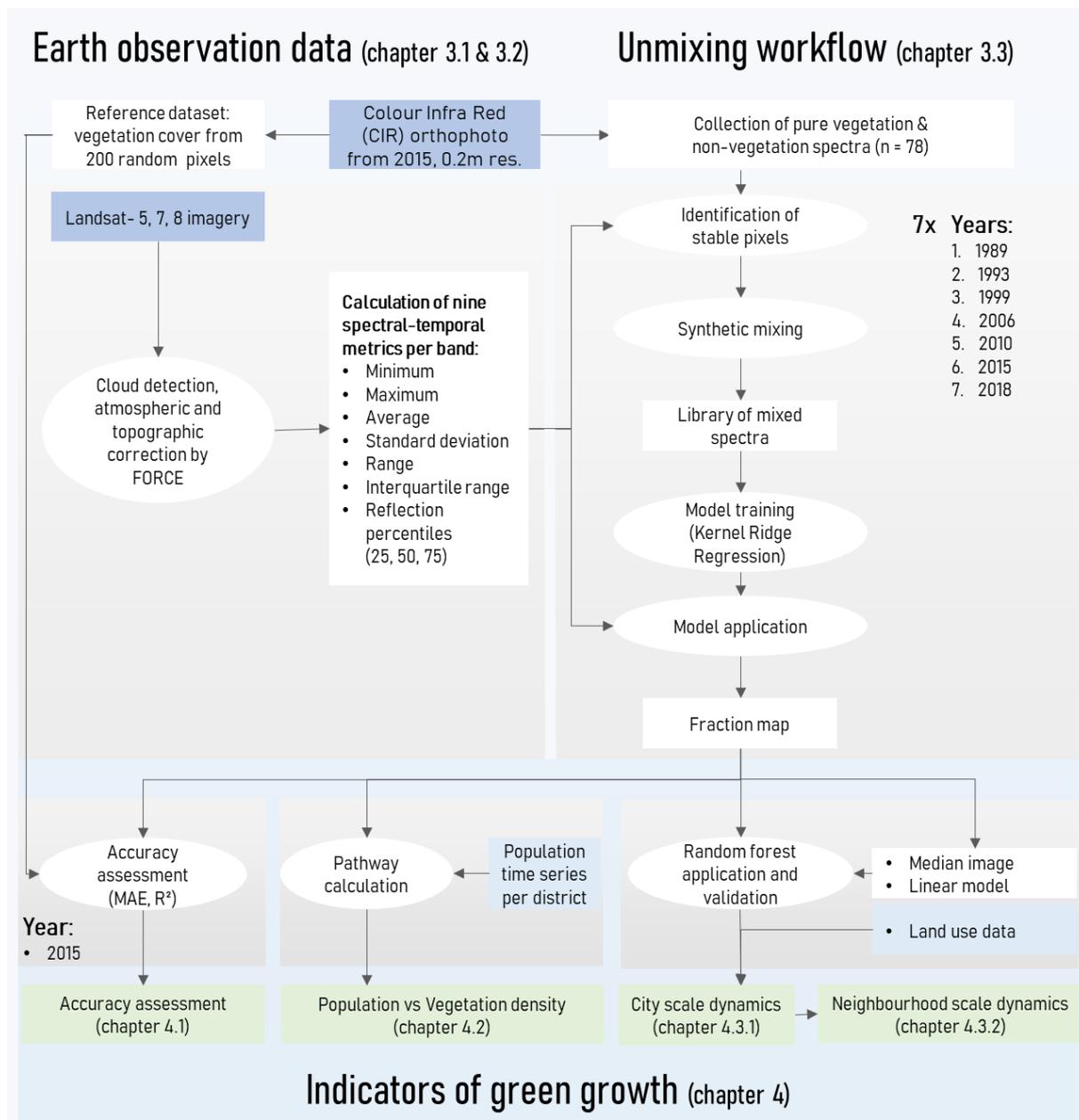


Figure 3. Flowchart of the proposed methodology of using a Landsat time series for spectral unmixing to derive spatially explicit products relating the three entities population density, vegetation density and land use in a city over time.

154

155 3.1 Landsat data and model input features

156 We acquired Landsat-5 TM (Thematic Mapper), Landsat-7 ETM+ (Enhanced Thematic Mapper) and
 157 Landsat-8 OLI (Operational Land Imager) imagery for seven points in time. This choice was made based
 158 on the availability of a cloud-free observation in July the most vegetation active month. For the
 159 purpose of feature space harmonisation and information extraction, spectral-temporal metrics were
 160 generated for each three-year period using the six spectral bands of all available pixels with clear-sky
 161 observations (Frantz, 2019). These metrics included median, mean, maximum and minimum

162 reflectance, 25th and 75th percentile of reflectance and standard deviation, range and inter-quartile
 163 range of reflectance (Figure 3 & Table 1). As an example, all data from 1988 to 1990 was downloaded
 164 for the target year 1989. The data was acquired as level 1 product and then pre-processed to level 2A
 165 using the FORCE framework, which is a cloud detection and radiometric correction chain for optical EO
 166 data providing analysis-ready data for remote sensing applications (Frantz, 2019).

Table 1. Landsat images used in this study with indication of sensor, acquisition dates and data availability.

	Years	Date of July scene	Sensor of July scene	Average number of acquisitions per pixel
1	1988 - 1990	07.07.89	Landsat 5 TM	3.8
2	1992 - 1994	02.07.93	Landsat 5 TM	2.4
3	1998 - 2000	11.07.99	Landsat 7 ETM+	10.7
4	2005 - 2007	06.07.06	Landsat 5 TM	7.9
5	2009 - 2011	09.07.10	Landsat 7 ETM+	8.2
6	2014 - 2016	07.07.15	Landsat 7 ETM+	9.4
7	2017 - 2018	20.05.18	Landsat 8 OLI	13.5

167

168 3.2 Additional Earth observation and vector data

169 For additional reference in the process of deriving training and validation data, we acquired a very
 170 high-resolution (0.2 metres) colour infrared (CIR) orthophoto from 03.07.2015. From this dataset we
 171 derived the actual vegetation extent for the comparison with the modelled results. The *Statistical*
 172 *Office Berlin-Brandenburg* provided the population data on an annual basis for every borough between
 173 1991 and 2017 while the Urban Structure (In German: Stadtstruktur) database provided detailed land
 174 use and building type information and whether these structures are owned privately (e.g. residential
 175 housing), publicly (e.g. forest) or semi publicly (allotments) (Stadt Berlin, 2018). Using the latter two
 176 datasets we calculate population density as residential density incorporating only land use classes with
 177 residential usage into the density analysis. For discerning dense and dispersed urban form we use the
 178 threshold of 90 m²/capita or city quarters as in Wolff & Haase (2019). Since Berlin features very high
 179 density quarters on a European scale, we added a third class representing even denser and more
 180 compactly build quarters by splitting the “compact” class around its median value (Figure 2).

181 3.3 Spectral unmixing

182 We performed pixel-wise spectral unmixing using a kernel ridge regression (KRR) to map fractions of
 183 vegetated and non-vegetated surfaces within the 30 m pixels of the Landsat data. KRR is a machine
 184 learning regression method that uses non-linear kernels to perform a linear regression on high

185 dimensional data (Vovk, 2013)(Figure 3). We used KRR for mapping the land cover fractions based on
 186 a labelled spectral library that contains pure image spectra and synthetic mixtures between them
 187 together with labels showing the weights during mixing (please, see Okujeni et al. (2017, 2013) for a
 188 more detailed description).

189 For library composition, we firstly evaluated whether an image pixel qualified as a pure library pixel,
 190 i.e. complete homogenous coverage of the same land cover type, in 2015 with the CIR orthophoto.
 191 Secondly, we assured that those pure pixels were stable across the investigation period by evaluating
 192 whether the observed spectra in 2015 remained stable throughout the entire investigation period. We
 193 collected a total of 78 spectra of pure vegetation and non-vegetation surfaces.

194 Data for regression training was generated by synthetically mixing pure endmember reference spectra
 195 as in Okujeni et al. (2013) and extended by an ensemble approach (Okujeni et al., 2017). In this study,
 196 we randomly create 1,000 synthetic mixtures between classes. Mixing complexity likelihoods were set
 197 to 50 % for a 2-endmember case and 50 % for a 3-endmember case, meaning that a spectrum could
 198 be mixed against a spectrum from the same or the other class. Based on this training data the KRR
 199 implementation of *scikit-learn* was used from within the *EnMAP-Box* (Sebastian van der Linden et al.,
 200 2015) for unmixing with an ensemble size of 10.

201 For validating the vegetation cover fractions in the year 2015, 200 pixels of the Landsat image were
 202 randomly selected and their vegetation content evaluated by digitising the extent found in the CIR
 203 orthophoto. The statistical analysis was based on the model coefficients, the mean absolute error
 204 (MAE) and the R^2 between digitised observed values and modelled values.

205 3.4 Conceptualising the co-relation between vegetation and population density

206 This chapter develops a methodology that integrates both trends in population density from census
 207 data and vegetation cover from fraction mapping in long-term urban analysis. In order to systematise
 208 the co-relation of both proxies, we use a matrix that spans between the increases or decreases in
 209 both entities and is applicable for whole cities as well as subdivisions of various forms (Table 2).

210 Table 2. Conceptual matrix of an integrated population density and vegetation cover analysis classifying the
 211 urban dynamics depending on change of both entities.

Trends	Vegetation cover increase	Vegetation cover decrease
Population density increase	Greening & Growing City (GG)	Sealing & Growing City (SG)
Population density decrease	Greening & Shrinking City (GS)	Sealing & Shrinking City (SS)

212

213 *Greening-growing* (GG) dynamics imply a concurrent increase in both vegetation cover and population
214 density in the respective time period. *Greening-shrinking* (GS) dynamics in turn represent a city gaining
215 in vegetation cover as population density diminishes which had been observed in shrinking cities after
216 wholesale demolitions. In contrast to this, a *sealing-growing* (SG) and a *sealing-shrinking* (SS) city
217 would represent two dynamics which stand for a rather unsustainable urban development as more
218 vegetated land is converted to built-up land. In the SG case this is accompanied with an increase in
219 population density and in the SS case a loss in population density. According to this matrix, either parts
220 of cities or entire cities can be systematically analysed and compared over longer periods of time.

221 To avoid over-determination, we omitted the introduction of static classes. The derivation of adequate
222 thresholds would be highly case study specific and is thus not core of the overall methodology. In
223 general, the concept is open to an introduction of such classes.

224 3.5 Urban vegetation change by land use classes

225 For the analysis of the long-term vegetation cover trends per pixel, we carried out a random forest
226 classification, parametrised with 500 trees using vegetation fraction maps from all years as input. The
227 random forest algorithm is a supervised learning method consisting of an ensemble of decision trees
228 (Breiman, 2001). Classes were defined based on two additional layers and clear thresholds (Table 3).
229 First, we calculated the median vegetation cover over the whole study period to describe the median
230 vegetation content of each pixel throughout the years. Second, we calculated a linear regression model
231 over each pixel's development in vegetation from which we took the regression coefficient, to
232 determine the overall trend in the respective pixel. Training data was collected based on the class
233 definitions in Table 3. To validate the resulting classification, we randomly drew 270 locations (each
234 representing one Landsat pixel) and checked if the given locations matched our previously stated class
235 definitions based on the linear regression coefficient and the median image (see the right hand
236 columns of Table 3).

237 Drawing from the city wide analysis carried out above, we analyse trends in vegetation density per
238 land use class and on a neighbourhood scale for single planning developments bringing about land-use
239 or land management changes. We thereby add a stronger thematic focus to discern specific processes
240 and drivers. In this chapter (4.3) we combine the vegetation density time series, high resolution
241 orthophotos and the classifications of the population- and vegetation density dynamics (Table 2) to
242 highlight opportunities and threats for the urban vegetation cover for existing and newly developed
243 structures. Land use and ownership structure (private (e.g. residential housing), public (e.g. forest) or

244 semi-public (allotments)) is drawn from the Urban Structure database (Stadt Berlin, 2018). Of the land
 245 use classes we selected four typical and widespread types of green infrastructure for further analysis,
 246 namely parks, forests, graveyards, and allotments in accordance with Pauleit et al. (2019).

Table 3. Classification of long-term vegetation trends based on indicators and their thresholds

Process	Description	Example	Class definitions	
			1. Linear regression coefficient	2. Median image
Greening	Vegetation cover increase	Unsealing of land, for instance in the case of new parks being build	Positive (>0.05)	
Sealing	Vegetation cover decrease	Construction of new buildings without integrating vegetation	Negative (<-0.05)	
Continuous vegetation	Continuous dense vegetation cover	Forest	Neutral (> -0.05 & < 0.05)	High (>75%)
Continuous mixed	Stable medium	Street with roadside vegetation	Neutral (> -0.05 & < 0.05)	Medium (75% - 25%)
Continuous sealed	Stable low	Large industrial or commercial estates	Neutral (> -0.05 & < 0.05)	Low (<25%)
Regrowth/ Replanting	1. Dense vegetation cover 2. Vegetation loss 3. Recovery	Replanting of lost vegetation, natural succession on previously after cutting		Medium (75% - 25%)

247

248 4 Results

249 4.1 Spectral unmixing: Accuracy assessment

250 Figure 4 illustrates the accuracy of the binary unmixing product for the year 2015 (see Figure A1 in the
 251 Appendix), featuring an overall MAE of 0.12. Based on a linear model fitted to the estimate and
 252 reference data, the model overestimates vegetation in areas with lower to medium vegetation shares
 253 but shows a generally good fit in areas with higher vegetation shares with an intercept of 0.15 and a
 254 slope of 0.81. Regarding the long-term vegetation trends, we found that 73% of the profiles match
 255 with the first indicator (model coefficient) and 94 % match with the second indicator (median image).

256

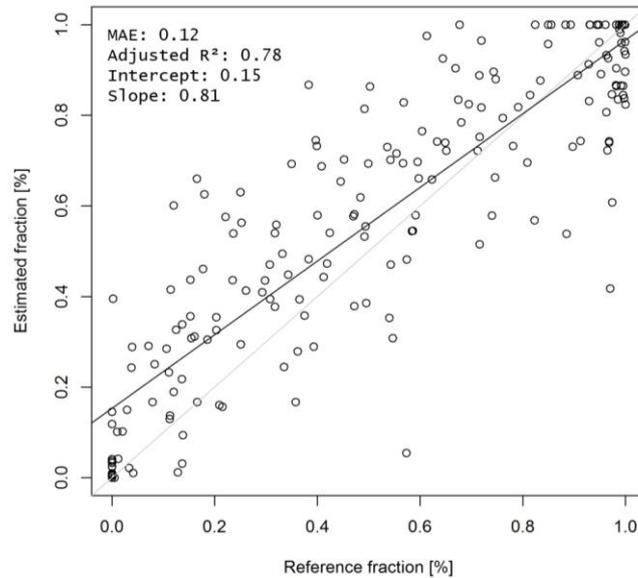


Figure 4. Accuracy metrics for the year 2015; a scatterplot with the modelled relationship between reference and estimations with the model parameters in black, an ideal 1:1 model fit in grey, the mean absolute error (MAE) and the adjusted R².

257 4.2 Green Growth? The relation of population density and vegetation cover in
 258 compact and dispersed parts of the city

259 Over the past 30 years, we can observe diverse changes in the spatial patterns of vegetation and
 260 population density (Figure 5). Between 1993 and 2006 *sealing-shrinking* was the predominant trend,
 261 as vegetation was lost and population density decreased. Since then, this trend changed for *green-*
 262 *growth* almost citywide, where both entities, vegetation and population density, are growing
 263 simultaneously. In fact, the most common land cover dynamic is to date *greening-growing*.

264 Figure 5 shows that periods of growth and shrinkage are not equally distributed across space and time,
 265 while greening was more widespread in the eastern—former socialist—part, trends for soil sealing had
 266 been more frequent in the West. West Berlin’s development in the 1990s is characterised by
 267 population shrinkage along with soil sealing. From 2010 onwards, population re-growth let West Berlin
 268 joining the ballad of the fast growing capital and investor-driven construction. In the East, this process
 269 of population growth was more pronounced, with some districts continuously increasing in population
 270 density across the study period. Both compact (inner urban) districts as well as more dispersed
 271 suburban districts offer examples for *greening-growing* profiles. For both types, however, we also find
 272 the opposite, meaning *sealing* and or *sealing-shrinking* dynamics.

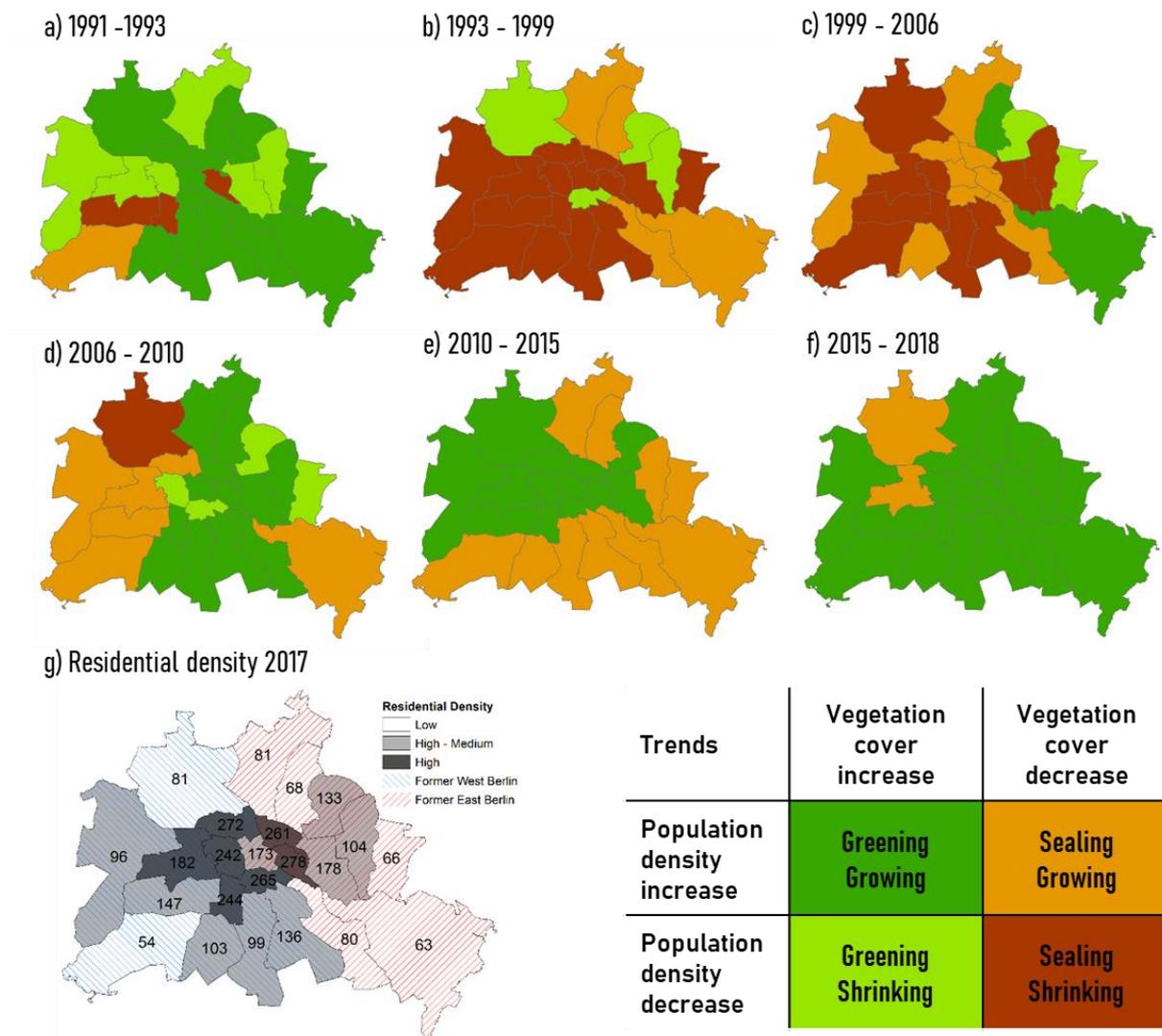


Figure 5. Annual change in vegetation cover in regard to population density between 1991 and 2018 (a – f) and (g) the residential density in the year 2017 (in population/km²) discriminating dense and dispersed areas of Berlin.

273 4.3 Vegetation density development in green infrastructure and built-up structures

274 4.3.1 City scale

275 In the city of Berlin, we find diverse spatial pattern in vegetation development (Figure 6) and different
 276 trends in various land use classes (Table 4). From a spatial point of view, one can observe that surface
 277 and soil sealing can be found in central and peripheral areas of the city. Contrary to that, we find large
 278 areas of greening rather in the Eastern—former socialist—parts. Examples for these processes are (see
 279 Figure 6): creation of new parks on former railway grounds such as `Gleisdreieck Park` (6a), infill in
 280 district Friedrichshain’s perimeter development areas (6b) and greening of pre-fabricated housing
 281 estates in the district of Marzahn-Hellersdorf (6c). Also widespread are patterns of vegetation
 282 regrowth representing both spontaneous natural vegetation and purposely replanted vegetation.

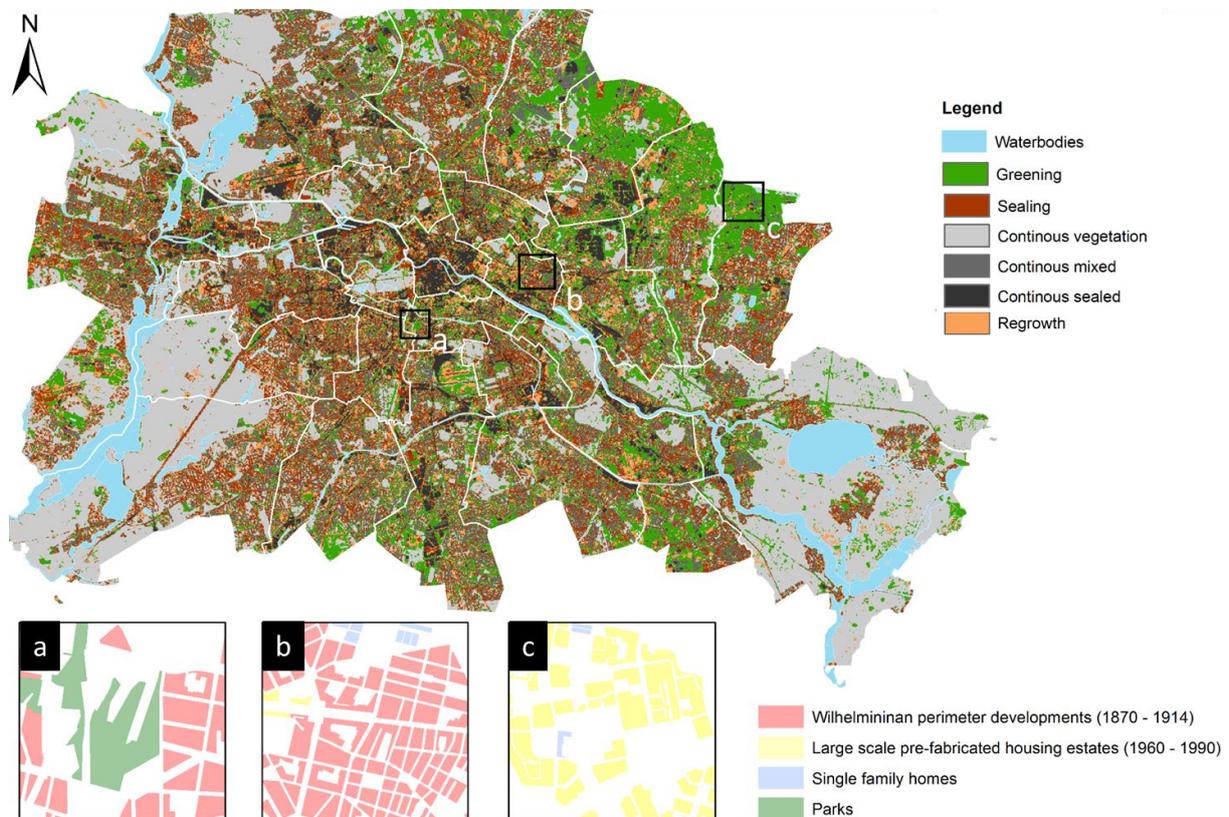


Figure 6. Map of different spatial trends in vegetation cover process changes and stability, respectively with three highlights representing a) Gleisdreieck Park b) Friedrichshain and c) Marzahn-Hellersdorf.

283 From a land use perspective, forests and graveyards feature the highest share in continuous vegetation
 284 in the city. In all classes of public green infrastructure, greening is more dominant than sealing resulting
 285 in a net increase in vegetation over the past 30 years since 1990 (Table 4). This statement is also true
 286 for public parks, where high greening values especially stem from parks created after 1990 at
 287 revitalized railway brownfields such as the 'Gleisdreieck' or 'Südgelände'. In contrast to the public
 288 green infrastructure, semi-public allotment gardens show a much larger trend to change for sealing. In
 289 the allotment garden class, only 30% can be attributed to a stable (continuous) vegetation from 1991
 290 to 2017. In the class of streets and squares, we found only 8% of the total area were continuously
 291 sealed on a 30m resolution but 17% of the pixels show a vegetation regrowth trend, the latter
 292 describing regrowth after an initial loss.

293 Private residential areas show a trend towards sealing over the last 30 years with the exception of
 294 larger building blocks that underwent more greening measures. This is true for both low-density
 295 structures like detached houses and villas, but also for the dense inner city closed perimeter blocks.
 296 Together they feature the highest sealing and lowest greening values of all built-up structures.

297

Table 4. Percentage of long-term vegetation trends (1989 to 2018) per urban land use class, with an indication of the dominating process per class. Colour coding is in accord with the upper legend of Figure 6 highlighting the largest values for each of the regarded temporal trajectories.

Temporal trajectories	Public grey infrastructure	Public green infrastructure			Semi-public green infrastructure	Private Residential areas					Private production facilities
	Streets and squares	Forests	Parks	Graveyards	Allotment gardens	Closed perimeter blocks	Large scale housing estate	Detached houses	Semi-detached houses	Villas	Industry and commerce
Greening	18	5	30	7	16	11	41	10	17	7	18
Sealing	18	1	5	4	17	32	9	30	22	32	16
Continuous vegetation	21	91	44	77	30	0	5	14	13	22	2
Continuous mixed	19	1	7	5	26	23	17	32	32	25	6
Continuous sealed	8	0	1	0	0	11	2	0	0	0	35
Regrowth	17	2	13	7	10	24	26	14	15	14	22

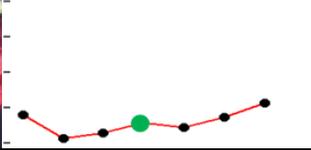
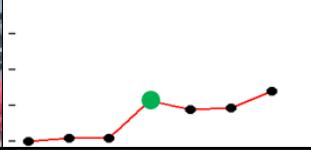
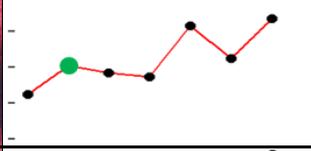
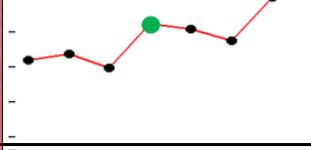
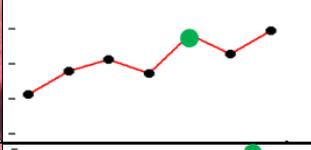
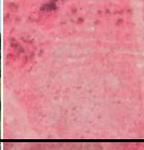
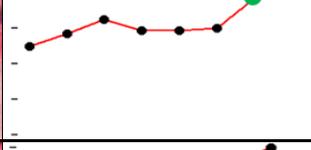
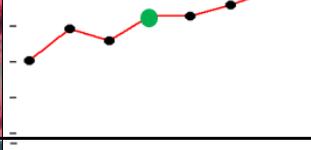
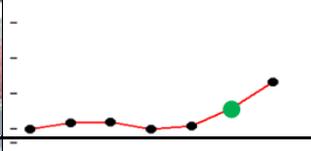
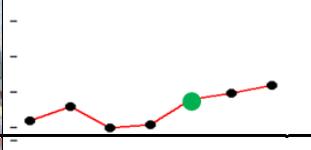
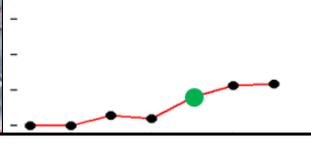
298

299 4.3.2 Neighbourhood scale: management and use changes

300 In this section, trends found at the city scale are brought together with the site specific process regime.
301 Setting a focal point on the local level (here we consider 30 by 30 metres as local) we can explain
302 pattern found at the city level and analyse underlying drivers and processes. Table 5 shows the effects
303 of changes in land use or land management on the vegetation cover. Positive changes are classified as
304 *greening* or even *greening-growing* dynamics while changes reducing the coverage are classified as
305 *sealing* or *sealing-growing* dynamics depending on the expected change in population. We further
306 discern between paths and threats in new and existing infrastructure and whether or not these
307 dynamics are especially relevant for compact or green/dispersed city types.

308 We find that a wide range of land use classes can in fact contribute to a greening city and some even
309 to *greening-growing* city. The biggest increases in green can here be found in the cases where new
310 housing or parks are created on formerly industrial land. Moreover brownfields and roads offer big
311 potentials for various greening measures. There are however also contrary examples, where
312 vegetation is lost. In a *sealing-growing* scenario these would be the construction of residential
313 buildings on formerly vegetated land without green roofs, or green roofs that cannot compensate for
314 the loss of green at the specific site. A *sealing* scenario is often linked to the extension of car-parking
315 infrastructure or the conversion of private vegetated gardens to rock gardens or sealed land around
316 houses.

317 Table 5. Paths and threats for existing structures and new urban developments illustrated with aerial images
 318 (vegetation appears in red) representing one Landsat pixel and coinciding with the displayed temporal profiles
 319 (*first point in time after the modification is highlighted) and lastly the changes in green cover and the thereof
 320 derived classification (some street images are taken on different spots if an area was not accessible).

Process typology		Examples from the City of Berlin			Associated Vegetation Cover trend				
Type	Land use class(es)	Urban form and description	Street image (2019)	Aerial image (2017)	Green cover trend * (1989 – 2018)	Green changes in %	Indic.		
Paths for existing structures	Management changes	Residential	Compact and green city (Greening of front- and backyards in residential structures.)				10	Greening	
		Industry	Primarily compact city (Revitalisation of former industrial estates, e.g. Breweries to commercially used office spaces mixed with residential usage)				30	Greening Growing (GG)	
		Transport infrastructure	Compact and green city (Spontaneous greening in form of natural succession along the rails of the regional trains across entire Berlin)				40	Greening	
		Brown-fields	Green city (Nature Protection in form of fencing for e.g. the breeding period of the Eurasian Skylark On former Tempelhof airfield)				20	Greening	
			Compact & Green City (Community gardens were established as an interim usage on brownfields with some persisting over large time spans)				30	Greening	
			Green City (Grazing on peri-urban grasslands with sheep, goats, or Heck- and Highland cattle)				20	Greening	
		Riverbed	Compact & Green City (River restoration projects together with tree planting campaigns, e.g. in the Wuhle valley)				20	Greening	
		Green Street infrastructure	Streets	Compact & Green City (Conversion of a street to a biking and pedestrian path equipped with green adjacent to it)				30	Greening
				Compact & Green City (Tree planting campaigns in Berlin since 2004; e.g. 415 new trees in Neu-Kölln or >1,000 in Friedrichshain)				30	Greening
				Green City (Street-wise bio swales with trees, shrubs and grassy vegetation were established since 2010 in Berlin Adlershof commercial area)				20	Greening

Threats for developments	Paths for developments		Description	Photo 1	Photo 2	Line Graph	Value	Status
	Category	Sub-category						
Threats for developments	Integration of green Infrastructure	Industrial to Commercial	Compact and green City (Green sedum-roofs for commercial buildings)				40	Greening
		Industrial to Residential	Compact City (Large parts of the former brewery and slaughterhouse of Prenzlauer Berg was converted into housing)				60	Greening Growing (GG)
		Logistics to Parks	Compact city (Conversion of railway tracks to public green spaces. The most prominent examples are Gleisdreieck and Südgelände Park)				80	Greening
		Residential to Park	Compact city (After 1990 due to housing vacancy: Houses were converted to green spaces (Stadtumbau Ost))				60	Greening Shrinking (GS)
		Brownfield To Residential	Compact city (infill development on former parking spaces or other sealed surfaces (Object in orthophoto under construction))				30	Greening Growing (GG)
Threats for developments	Reduction of green	Brownfield to Residential	Compact city (Conversion of tree covered area to large-scale residential housing blocks. In Prenzlauer Berg and Friedrichshain)				-10	Sealing Growing (SG)
		Agricultural to Residential	Green city (Urban sprawl on former agricultural areas or brownfields, consisting of streets, houses and greened front- and backyards.)				-10	Sealing Growing (SG)
Threats in existing structures	Micro sealing	Residential Gardens	Green city (Small car parking facilities (pathways, garages, carports) in residential front yards)				-40	Sealing
		Residential Gardens	Green city (Conversion of lawns and flower beds to gravel, mulch or concrete and other infrastructure such as swimming pools.)				-30	Sealing
	Sealing	Parking grounds	Green city (Expansion of car parking facilities, especially in commercial areas, around supermarkets, hardware stores etc.)				-30	Sealing

321

322 5 Discussion

323 Contrary to European (Pauleit et al., 2019) and international studies (McDonald et al., 2019), in the
 324 study undertaken here for Berlin, green growth can be found in both compact and dispersed areas of

325 the city along a comparably long temporal trajectory from 1989-2018. This highlights that the urban
326 form is not necessarily the only decisive factor or essential in the analysis of a city's environmental
327 characteristics and green land cover, as other authors have also suggested (Echenique et al., 2012;
328 Neuman, 2005). Furthermore, a city does not necessarily follow a single planning and development
329 paradigm like the compact or the dispersed city as both are rather ideal paths. It becomes clear that a
330 combination of contrasting spatial development visions and respective planning efforts are needed
331 followed by either densifying or de-densifying land cover changes to create ecologically healthy and
332 socially fair environmental conditions and to address the host of processes that shape and produce
333 the distinct urban land forms; or as Tratalos et al. (2007) put it: "at any given density, there is
334 substantial scope for maximising ecological performance".

335 Therefore, quantifications of such different spatial (de)densifying processes (here vegetation density
336 developments) are the key to disentangle urban developments and produce future sustainable urban
337 form(s). Thus, the question to ask is not: Is the city compact or spread, but rather is it compact and
338 spread in 'the right places' and 'at the right time' and, most importantly, are the processes leading to
339 a degree of compactness or dispersion (including the respective type and amount of vegetation)
340 appropriate for the given urban form and for the number of inhabitants. Therefore, construction
341 processes need to be reviewed (Tratalos et al., 2007), different types of green infrastructure need to
342 be considered (Pauleit et al., 2019), and then related to environmental properties (e.g. green space
343 types but also blue and grey open spaces) and put into a larger urban context. We, therefore, analysed
344 the city across temporal and spatial scales (from city- to land-use to neighbourhood scale) to
345 continuously detect the multitude of urban dynamics. Set against such backgrounds, Berlin and its
346 multi-path urban development is the perfect study site for the given context, in particular due to the
347 different starting points in the East and West in 1990 after the German reunification.

348 Overall, our case study city of Berlin is becoming a greener city as the remote sensing data time series
349 used in this paper shows. The greening trend in Berlin can primarily be attributed to high values in
350 continuous ground-based tree, shrub and lawn vegetation, the embankment vegetation of rivers and
351 lakes as well as remnant forests and positive greening to sealing ratios in public green spaces, which is
352 an indicator of good maintenance and management. In spite of the fact that Berlin's population
353 increased over recent decades, the city has a *greening-growing* dynamic. For questions of
354 environmental justice i.e. the availability and accessibility of green spaces for the resident population,
355 this is a major advantage, because in almost all districts (with high or low incomes) vegetation is
356 increasing, even in those districts with the highest population increase (Biernacka & Kronenberg, 2018;
357 Kabisch & Haase, 2014).

358 Densification, infill developments and suburbanisation processes do not necessarily lead to a
359 deterioration in environmental quality. Rather the opposite seems to be the case in Berlin: with an
360 increase in population density, we frequently observe an increase in vegetation density and vice-versa.
361 We explain this finding by the fact that the increasing population pressure exerts pressure on urban
362 planning processes to speed up the implementation of green infrastructure for recreation. It shows
363 that a population density increase is not necessarily accompanied by a loss in vegetation and, in
364 addition, if there is a reduction in population (density) due to a number of reasons, it is not a logical
365 consequence that there will be an increase in vegetation following shrinkage. Instead, in times of urban
366 shrinkage, more focused efforts towards establishing sustainable vegetation must be made and laissez-
367 faire is not an option (Haase et al., 2019a).

368 Based on the Berlin time series, we observe a decrease in green in low density private residential areas
369 (such as villas and detached housing). Hence, gradual soil sealing and a subsequent reduction in
370 vegetation is a major environmental problem in the city of Berlin, as it is the predominant source and
371 process of vegetation loss but not visible at first glance. Most small-scale sealing occurs on private
372 residential ground i.e.—backyards, street corners, infill houses or pedestrian zones, as was found in a
373 recent study on a neighbouring city (Strohbach et al., 2019). Another land use class where sealing is
374 the predominant vegetation trend are the central closed perimeter blocks, suggesting that Berlin
375 simultaneously features infill and suburbanisation tendencies.

376 These findings highlights that in densifying cities, efficient technical and transparent legal solutions are
377 needed to maintain and improve the amount and quality of vegetation (Wolch et al., 2014). Urban
378 planners, lawmakers and landowners need to be incorporated and most importantly need to work
379 together (Wu, 2008) as a kind of mosaic governance, after Buijs et al. (2016), involving a heterogeneous
380 array of people, institutions and practices associated with active and informed citizenship. This paper
381 demonstrates how a long Landsat sensor-based unmixing time series could contribute to this issue as
382 a precise tool for environmental assessments, potentially covering large areas and long time periods
383 and thus publicly informing all of the aforementioned groups of people using clear quantitative and
384 spatially allocated arguments. Here, one of the main arguments is to have remote sensing literate
385 public officials that are able to handle big-data earth observation data (Ilieva & McPhearson, 2018).
386 Hence, in the long run, the methodology could contribute to improving the smartness of sustainability
387 ‘design’ in urban densification by proactively steering the involved governance processes.

388 The full spatial-temporal scope of vegetation developments become even more important in a context
389 of urban densification, because “as cities grow, interactions between people and nature depend
390 increasingly on landscape quality outside formal green space networks, such as street plantings, or the

391 size, composition and management of backyards and gardens” (Fuller & Gaston, 2009). Therefore, it is
392 not sufficient to merely study the amount of formal public/open greenspaces as provided in e.g. the
393 Urban Atlas data set for Europe. In this light it is very promising that we find high numbers of vegetation
394 regrowth along roads, which is subject to multiple stressors but also filter and retention space for
395 pollutants and fast runoff water. Another such promising green provider where many people live, are
396 the green areas around large scale prefabricated housing complexes that underwent wide greening
397 measures (mostly tree based) in the last years.

398 We find that a *greening-growing* city is not compatible with the widespread use of motorised private
399 transport i.e. passenger cars. We recommend the transformation of roads—at least partly—into cycle
400 and pedestrian space with more vegetation in and around it and the general reduction of parking area.
401 Worrying is furthermore the trend of gardens being covered with, mulch, rocks, concrete, artificial turf,
402 swimming pools and car parking infrastructure, taking their toll on the urban vegetation extent. Since
403 such gardens cannot, or very limited, provide multiple ecosystem services, it is in the public interest to
404 reduce such activities with appropriate policies (Strohbach et al., 2019). As green roofs are not visible
405 from the street level and accessible maximally by local tenants, they can only contribute to regulating
406 ecosystem services but cannot be perceived by people (Yu et al., 2016). We, therefore, recommend
407 façade greening, especially if perceivable green is lost in the course of a development or the opening
408 of green roofs to either the tenants, customers of a specific business (e.g. rooftop bar, sports ground)
409 or the public. Why not having an entire park with trees, hedgerows and lawns built on top of a
410 supermarket?

411 In this study, we methodically use spectral unmixing to derive a long time series of fractional vegetation
412 cover for an urban region. Commonly, studies of urban vegetation dynamics use the Normalized
413 Difference Vegetation Index (NDVI) as a proxy for vegetation extent (De Carvalho & Szlafsztein, 2019;
414 Kabisch et al., 2019). With regression based unmixing, however, we calculate the actual spatial extent
415 and density of vegetation, getting rid of the need for a proxy that does not provide for a direct spatial
416 quantification. In doing so, we have the clear advantage of using all of the bands from the satellite
417 image as opposed to only two as is the case with the NDVI (Okujeni et al., 2015). Moreover, spectral
418 unmixing has shown promising results for further applications in planning-relevant urban ecology such
419 as species distribution modelling (Wellmann et al., 2020).

420

421 [Uncertainties and outlook](#)

422 This study exclusively looks at the space within the administrative borders of the city of Berlin and not
423 beyond. This means that the larger metropolitan area, featuring the city, peri-urban areas and adjacent
424 villages partly exhibit their own dynamics and processes is excluded (Nuisl et al., 2009). The main
425 reasons for this strict delineation are first, that planning regimes and responsibilities in the surrounding
426 municipalities of large cities are different. And second, data is missing for small communities regardless
427 of them being close to a city or not. Therefore, for this research, we decided to exclusively study the
428 city of Berlin as a highly diverse city in itself, in terms of its history and urban form.

429 However, as recent studies for urban dynamics in Europe suggest: densification and growth within
430 cities are more likely to increase the land use pressure in the peri-urban areas rather than reducing it,
431 as former inner-urban land uses are spatially outsourced into the peri-urban surroundings. Empirical
432 evidence for such processes and patterns are still sporadic rather than systematic. Therefore, there is
433 substantial need for further research on the interactions along the urban to rural interface, in particular
434 transgressive approaches (Hedblom et al., 2017).

435 We refrained from adding a static class for the classification of the vegetation and population density
436 dynamics. This was done to omit arbitrary and not necessarily uniformly true threshold values, as
437 growth pattern around the world differ severely in both their magnitude and shape. It does, however,
438 add the uncertainty that small changes cannot be separated from major ones. We thus recommend
439 adding thresholds for future studies based on the growth or shrinkage patterns adapted to the given
440 setting and data. As this study is a first methodological paper and thus not based on large scale
441 evidence we refrained from providing the values for Berlin.

442 As this study is based on a binary vegetation to non-vegetation unmixing product, qualitative
443 information of the type and the quality of change is missing. Possibilities that should be considered to
444 achieve this would be the addition of qualitative information from remote sensing (Wellmann et al.,
445 2018) or the inclusion of vegetation configuration (such as patch size, core area, connectivity) as
446 determinants of green infrastructure performance as important planning considerations (Pauleit et al.,
447 2019). Finally, more in depth field work would add valuable information. Combining the
448 unprecedented quantitative capabilities of Earth observation with these qualitative methods will show
449 great potential for the fields of urban research and could provide solid, open access and easily
450 applicable results for the applied and multi-actor planning of better cities.

451

452 6 Conclusions

453 Compact and dispersed city dynamics have long been understood as archetypical antagonists in urban
454 planning. With this Earth observation data supported research, we want to contribute to a non-binary
455 vision of urban (form) as we conclude that neither a compact urban form, nor a dispersed city leads to
456 transparently deducible increases in environmental quality, measured here in terms of vegetation
457 density. Rather, both areas have their unique configurations and processes that shape these
458 configurations and thus they need to be addressed differently and evaluated with care.

459 To achieve sustainable urban growth, each type of urban form requires different management and
460 planning interventions. Here, we propose a vegetation fraction time series for the long term,
461 repeatable and cost effective analysis of urban developments. In doing so, the relation between
462 population and vegetation densities, were evaluated across spatial-temporal scales, from city- to land
463 use- to local neighbourhood perspective. Highlighting the promising fact that growth without the
464 deterioration of environmental quality (here, measured in vegetation cover) is indeed possible but by
465 far no logical consequence.

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477 8 Open data policy

478 The fractional vegetation cover time-series for Berlin is available for download here:
479 <https://doi.org/10.5281/zenodo.3870592>.

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634 Appendix A

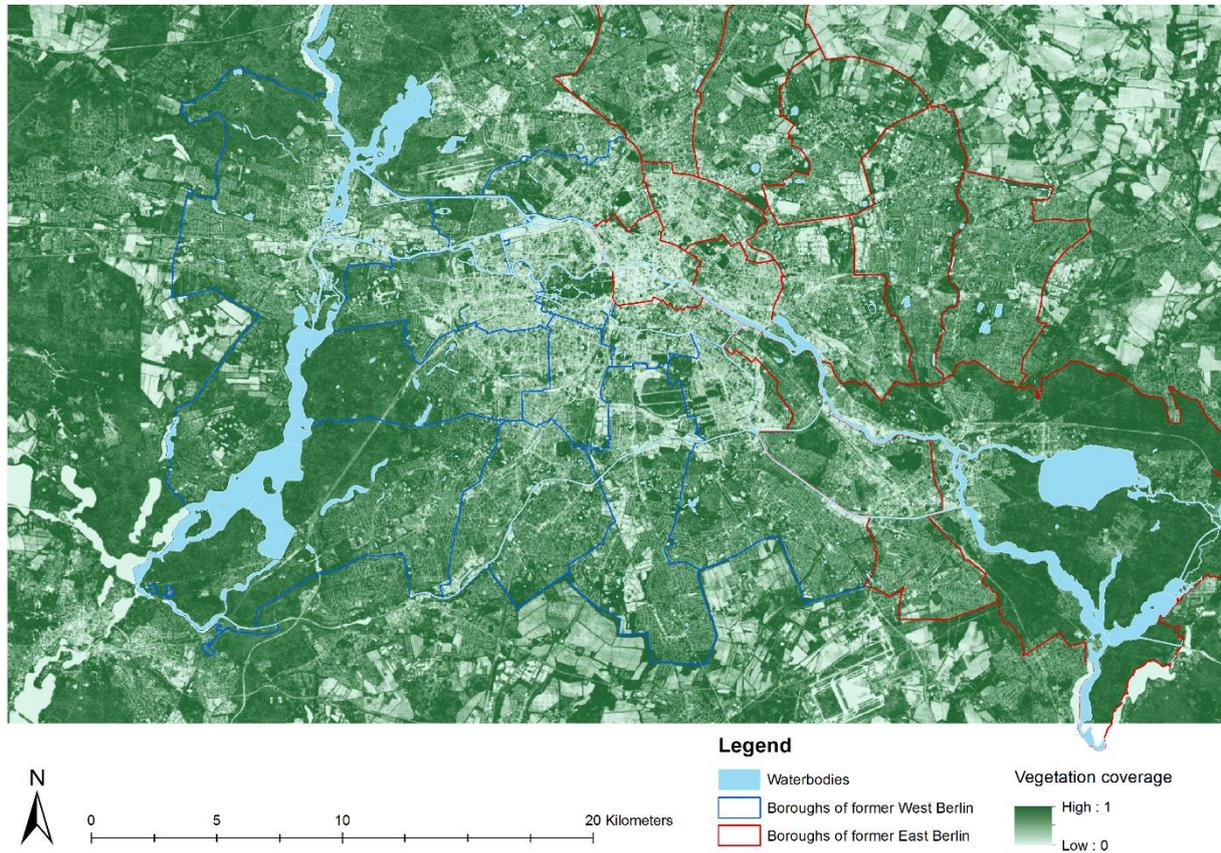


Figure A1. Vegetation coverage for the city of Berlin and its immediate surroundings for the year 2015.

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