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1 **Optimization of a pre-metabolization procedure using rat liver S9 and cell-extracted S9 in**  
2 **the Ames fluctuation test**

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## 23 **Abstract**

24 Many environmental pollutants pose a toxicological hazard only after metabolic activation. *In vitro*  
25 bioassays using cell lines or bacteria have often no or reduced metabolic activity, which impedes  
26 their use in the risk assessment. To improve the predictive capability of *in vitro* assays, external  
27 metabolization systems like the liver S9 fraction are frequently combined with *in vitro* toxicity  
28 assays. While it is typical for S9 fractions that samples and testing systems are combined in the  
29 same exposure system, we propose to separate the metabolism step and toxicity measurement. This  
30 allows for a modular combination of metabolic activation by enzymes isolated from rat liver (S9)  
31 or a biotechnological alternative (EwoS9<sup>R</sup>) with *in vitro* bioassays that lack metabolic capacity.  
32 Benzo(a)pyrene and 2-aminoanthracene were used as model compounds to optimize the conditions  
33 for the S9 metabolic degradation/activation step. The Ames assay with *Salmonella typhimurium*  
34 strains TA98 and TA100 was applied to validate the set-up of decoupling the S9  
35 activation/metabolism from the bioassay system. S9 protein concentration of 0.25 mg<sub>protein</sub>/mL, a  
36 supplement of 0.13 mM NADPH and a pre-incubation time of 100 min are recommended for  
37 activation of samples prior to dosing them to *in vitro* bioassays using the regular dosing protocols  
38 of the respective bioassay. EwoS9<sup>R</sup> performed equally well as Moltox S9, which is a step forward  
39 in developing true animal-free *in vitro* bioassays. After pre-incubation with S9 fraction, chemicals  
40 induced bacteria revertants in both the TA98 and the TA100 assay as efficiently as the standard  
41 Ames assay. The pre-incubation of chemicals with S9 fraction could serve for a wide range of  
42 cellular *in vitro* assays to efficiently combine activation and toxicity measurement, which may  
43 greatly facilitate the application of these assays for chemical hazard assessment and monitoring of  
44 environmental samples.

## 45 **Keywords**

46 Pre-incubation; Effect-based methods; S9 metabolic activation; Detoxification; CYPs

## 47 **1 Introduction**

48 Bioanalytical tools have been recognized as valuable tools for water quality assessment (Brack et  
49 al. 2015). To meet the requirements of the ‘3R’ principles, which were designed to replace, reduce  
50 and/or refine animal experiments in teaching, research and testing, arrays of *in vitro* bioassays  
51 have been widely utilized to provide toxicity data for aquatic pollutants (Neale et al. 2017a), since  
52 their forecast capability allows to reduce costly animal experiments. The application of *in vitro*  
53 bioassays is strengthened by the Organization for Economic Cooperation and Development  
54 (OECD) in their Guidance document on “Good *in vitro* Method Practice” (GIVIMP )(OECD  
55 2018a). However, the biological effects obtained from *in vitro* bioassays have limitations as to  
56 explain the real toxicity of pollutants, since they are often not directly comparable to *in vivo*  
57 exposure (Liebsch et al. 2000). One of the most obvious differences between *in vitro* and *in vivo*  
58 situations is the absence of Phase I metabolic processes (Yoon et al. 2012). Metabolism is an  
59 important factor in determining toxicity of contaminants, since contaminants can be activated or  
60 detoxified by Phase I cytochrome P450 monooxygenase (CYP) enzymes (Yu 2020) and Phase II  
61 conjugation enzymes (*e.g.*, glucuronosyltransferases, sulfotransferases, and glutathione-S-  
62 transferases) (Lepri et al. 2017). *In vitro* systems can only determine the toxicological potential of  
63 the parent compounds, unless they have metabolic enzymes that can convert the parent to  
64 metabolites (Chitrangi et al. 2017). This is particular important for mutagenicity testing with  
65 bacteria assays such as the Ames assay.

66 To overcome the problem of metabolism absence in *in vitro* methods, liver tissue homogenates  
67 from mammalian activation systems have been developed using various fractionation protocols to  
68 isolate enzymes from different populations to implement the mammalian metabolism in *in vitro*

69 bioassays and to detect the toxicity of metabolites (Langsch and Nau 2006, Wienkers and Heath  
70 2005). Various liver derived products have been applied from mice, rats, fish, dogs, monkeys and  
71 even humans to conduct metabolization in the *in vitro* models (Yoshihara et al. 2004). The most  
72 prominent example for an external metabolization is the homogenate of phenobarbital/beta-  
73 naphthoflavone or arochlor 1254 pre-treated rat liver, namely rat liver S9. It is applied to metabolize  
74 pro-mutagens which can subsequently be detected by *in vitro* bioassays (Proudlock 2016). The rat  
75 liver S9 is obtained as the supernatant fraction after homogenization and centrifugation of the  
76 livers at 9000 g (Kwon et al. 2020) . When supplemented with cofactors (S9 mix) energy for the  
77 metabolic reactions is produced *via* the generation of reduced  $\beta$ -Nicotinamide adenine  
78 dinucleotide phosphate (NADPH) (Reifferscheid et al. 2012a). S9 metabolic activation is widely  
79 applied to evaluate the mutagenicity and carcinogenicity of chemical metabolites (Jeong et al. 2014,  
80 Richardson et al. 2016) and the *in vitro* intrinsic clearance of a test chemical (OECD 2018b) after  
81 a simulated liver passage. In these *in vitro* bioassays, the S9 fraction and the test chemicals were  
82 usually directly added into a solution with bacteria or cell suspension (Bernacki et al. 2016, Shao  
83 et al. 2018), which combined metabolism and toxicity in the same exposure system. This is a  
84 undefined system, and the testing results may be modified by the additional large quantity of  
85 proteins introduced by the S9 fraction (Mollergues et al. 2017). Moreover, it has to be noticed that  
86 the S9 contains some cytotoxic properties and/or high levels of histidine which could interfere  
87 with the bioassays (Kauffmann et al. 2019). Furthermore, it could be a source of contamination  
88 *e.g.* by viruses or microorganisms which would interfere with the sterile bioassays or make it  
89 necessary to add antibiotics.

90 On the basis of the previous observations, we hypothesized that 1) the chemical metabolism and  
91 toxicity measurement can be separated by a pre-incubation of S9 with reference compounds, and

92 2) this pre-culture could be modularly combined with different *in vitro* bioassays to make the  
93 metabolic activation amenable to testing chemicals and environmental samples in a wide range of  
94 *in vitro* assays. In this study, the test chemicals were pre-incubated with the external metabolic  
95 activation *via* the S9 fraction before bacteria were exposed. To confirm whether the pre-incubation  
96 method and the application of a biotechnological S9 metabolism can be combined with the *in*  
97 *vitro* bioassays for chemical metabolites toxicity evaluation, chemicals after pre-incubation were  
98 tested with a robotic platform Ames fluctuation assay to assess the mutagenicity after metabolic  
99 activation.

100 This study can be used as a basis for the development of modular combination of S9 metabolism  
101 assays with different bioassays to evaluate effects of chemical metabolites with *in vitro* bioassays  
102 that have no or limited intrinsic metabolic capacity.

## 103 **2 Materials and methods**

### 104 2.1 Chemicals and reagent

105 Benzo(a)pyrene (BaP), 2-aminoanthracene (2-AA), nitrofurantoin (NF), 4-nitro-o-  
106 phenylenediamine (4-NOPD), L-histidine, beta-nicotinamide adenine dinucleotide phosphate  
107 sodium salt (NADP),  $\beta$ -Nicotinamide adenine dinucleotide 2'-phosphate reduced tetrasodium salt  
108 hydrate (NADPH), bromocresol purple, D-glucose-6-phosphate sodium salt (G6P), citric acid, D-  
109 glucose, peptone and sodium ammonium hydrogen phosphate-4-hydrate were purchased from  
110 Sigma-Aldrich with at least 95 % purity (Sigma-Aldrich, Darmstadt, Germany). HPLC gradient  
111 grade acetonitrile was from Th. Geyer (Th. Geyer GmbH, Renningen, Germany). D-biotin was  
112 obtained from Invitrogen (ThermoFisher, Darmstadt, Germany). Ampicillin trihydrate was  
113 obtained from Fluka (Honeywell, Offenbach, Germany). Potassium chloride and di-potassium

114 hydrogen phosphate were from Merck (Merck, Darmstadt, Germany). Dimethyl sulfoxide  
115 (DMSO), Magnesium chloride and magnesium sulphate were from PanReac AppliChem  
116 (AppliChem GmbH, Darmstadt, Germany). Sodium chloride was purchased from ROTH (Carl  
117 Roth, Karlsruhe, Germany). Meat extract “Lab-lemco” was obtained from OXOID (ThermoFisher,  
118 Darmstadt, Germany). Bovine serum albumin (BSA), Gene BLAzer medium and fetal bovine  
119 serum (FBS) were from Thermo Fisher (ThermoFisher, Darmstadt, Germany).

## 120 2.2 Protein determination

121 The rat liver S9 fraction produced by Molecular Toxicology Inc. (Moltox S9) was purchased from  
122 Trinova Biochem (TRINOVA Biochem GmbH, Germany) (the lot number used was 3833). The  
123 biotechnological metabolization system ewoS9<sup>R</sup> was provided by EWOMIS (EWOMIS GmbH,  
124 Bruchköbel, Germany) (the lot number used was 1804). The ewoS9<sup>R</sup> system was chemically  
125 induced to show an activated cytochrome pattern with a special focus on cytochrome P450 1A  
126 enzymes. Protein concentration of Moltox S9 and ewoS9<sup>R</sup> were determined with the Lowry assay  
127 with slight modifications as described previously (Fischer et al. 2017). Firstly, S9 was  
128 homogenized in milliQ water to achieve protein concentrations between 0.1 and 2 mg<sub>protein</sub>/mL.  
129 200 µL of the homogenate was diluted with 200 µL of 0.1 M NaOH solution. Then 200 µL of the  
130 Lowry reagent and 20 µL of BSA standard, sample or control were pipetted into a clear bottom  
131 96-well plate. To protect the 96-well plate from light, it was wrapped in aluminum foil. After 5  
132 min of shaking at 900 rpm, 20 µL of the Folin-Ciocalteu-phenol reagent was added into each well.  
133 Afterwards, the 96-well plate was shaken at 900 rpm for 30 min. Finally, the protein concentration  
134 was measured by absorbance at 750 nm using the BSA standard as the reference for the calibration  
135 curve.

### 136 2.3 Pre-incubation of BaP or 2-AA with metabolic activation systems

137 To determine the metabolic activation capability, two metabolization systems were investigated in  
138 a pre-incubation approach with the same medium as the subsequent *in vitro* bioassays.  
139 Benzo(a)pyrene (BaP) and 2-aminoanthracene (2-AA) were used to develop and validate the combined  
140 activation/Ames assay system (Shoukat 2020, Santes-Palacios 2018). An alternative biotechnological  
141 metabolization system ewoS9<sup>R</sup> which had been developed with the aim to reduce the animal-derived  
142 products in *in vitro* bioassays, was used in the current study to compare its metabolic competency with the  
143 commercial rat liver S9. A rat hepatoma cell line was cultivated in a chemically-defined medium and  
144 suspension culture, which exhibits an activated cytochrome pattern with a special focus on cytochrome  
145 P450 1A enzymes. Afterwards, the cells were harvested and processed analogous to the animal-derived S9  
146 to produce the biotechnological metabolization system ewoS9<sup>R</sup>. A NADPH generating system with  
147 nicotinamide adenine dinucleotide phosphate (NADP) and glucose-6-phosphate (G6P) was compared to a  
148 direct activation with NADPH in its reduced form (Johnson 2010, Reifferscheid et al. 2012b). S9 protein  
149 concentration, incubation time and NADPH-generating cofactors were optimized.

150 Ames medium (0.82 mM MgSO<sub>4</sub>·7H<sub>2</sub>O, 9.36 mM C<sub>6</sub>H<sub>8</sub>O<sub>7</sub>·H<sub>2</sub>O, 56.45 mM K<sub>2</sub>HPO<sub>4</sub>, 16.45 mM  
151 NaNH<sub>4</sub>HPO<sub>4</sub>·4H<sub>2</sub>O, 21.82mM C<sub>10</sub>H<sub>16</sub>N<sub>2</sub>O<sub>3</sub>S) or Gene Blazer medium (98% Opti-MEM  
152 supplemented with 2% FBS) was used as the exposure medium. The cofactor supplementing the  
153 external metabolization systems is called S9-mix. The S9-mix consisted of 3.3 mM KCl, 0.8 mM  
154 MgCl<sub>2</sub>·6H<sub>2</sub>O, 0.5 mM NaH<sub>2</sub>PO<sub>4</sub>-Buffer (pH7.4), and 4 mM NADP + 5 mM G6P or 4 mM NADPH,  
155 and Moltox S9 or ewoS9<sup>R</sup>. Different protein concentrations of metabolization systems and varying  
156 durations of incubation times have been conducted to optimize the pre-incubation condition. S9  
157 concentrations ranged from 0.12 mg<sub>protein</sub>/mL to 0.45 mg<sub>protein</sub>/mL are listed in the Supporting  
158 Information (SI), **Table S1**. In addition to the tested chemical of BaP (722.6 nM) or 2-AA (799.6

159 nM), each experiment contained a process control (without S9-mix) and a denatured S9 control  
160 (water bath at 80 °C for 3 min).

161 The pre-incubation was carried out at 37°C for 0 to 120 min with shaking at 200 rpm. To quench  
162 the metabolic processes, 350 µL of acetonitrile (ACN) was added into each incubation vial at  
163 different time points to optimize the S9 activation time. Then a total volume of 450 µL of quenched  
164 reaction mixture was centrifuged at 1000 rpm for 10 min. The liquid supernatant was transferred  
165 and 350 µL of ACN was added. After centrifugation, a total volume of 800 µL supernatant was  
166 stored at -20 °C for chemical concentration analysis. Each metabolic degradation test was repeated  
167 in two independent experiments, and tests were only evaluated if no biotransformation was  
168 observed in the control incubation (without S9 fraction and without NADPH-generating systems)  
169 and denatured incubation (containing denatured S9 fraction and without NADP/G6P or NADPH).

170 The loss of the tested compounds followed first-order kinetics. The natural logarithm of the  
171 detected concentrations at time t ( $C_t$ ), normalized to the concentration at time zero  $C_0$  was plotted  
172 against the time to derive the metabolic rate constant k of loss of the parent chemical (BaP or 2-  
173 AA) from the negative slope of the linear regression (eq. 1).

$$174 \quad \ln\left(\frac{C_t}{C_0}\right) = -kt \quad (1)$$

175 The degradation half time ( $t_{1/2}$ ) was derived from k with eq. 2.

$$176 \quad t_{1/2} = \frac{\ln 2}{k} \quad (2)$$

177 Regressions were performed with Graphpad prism and statistic parameters are given by standard  
178 error of fit parameters of  $r^2$  and  $F$ .

## 179 2.4 HPLC analysis of 2-AA and BaP

180 A high-performance liquid chromatography (HPLC) system equipped with a fluorescence detector (FLD)  
181 was applied to analyze the concentration of 2-AA and BaP after incubation for the metabolic competency  
182 evaluation (Sess-Tchotch 2018). Quantification of BaP and 2-AA was performed using a HPLC system  
183 (1260 Infinity HPLC, Agilent, Waldbronn, Germany) equipped with a fluorescence detector (FLD) and a  
184 C18 column (Kinetex 2.6 $\mu$ m, 100Å, 100×3.0mm from Phenomenex, Aschaffenburg, Germany). For BaP,  
185 the injection volume was 10 $\mu$ L for each sample. The following gradient with a flow rate of 1 mL/min was  
186 used for BaP: 0 to 1.0 min, 45% acetonitrile in water; 1.0 to 2.5 min, a linear gradient of 45% to 55%  
187 acetonitrile in water; 2.5 to 10.0 min, a linear gradient of 55% to 80% acetonitrile in water; 10.0 to 10.5  
188 min, a linear gradient of 80% to 45% acetonitrile in water; 10.5 to 12 min, 45% acetonitrile.

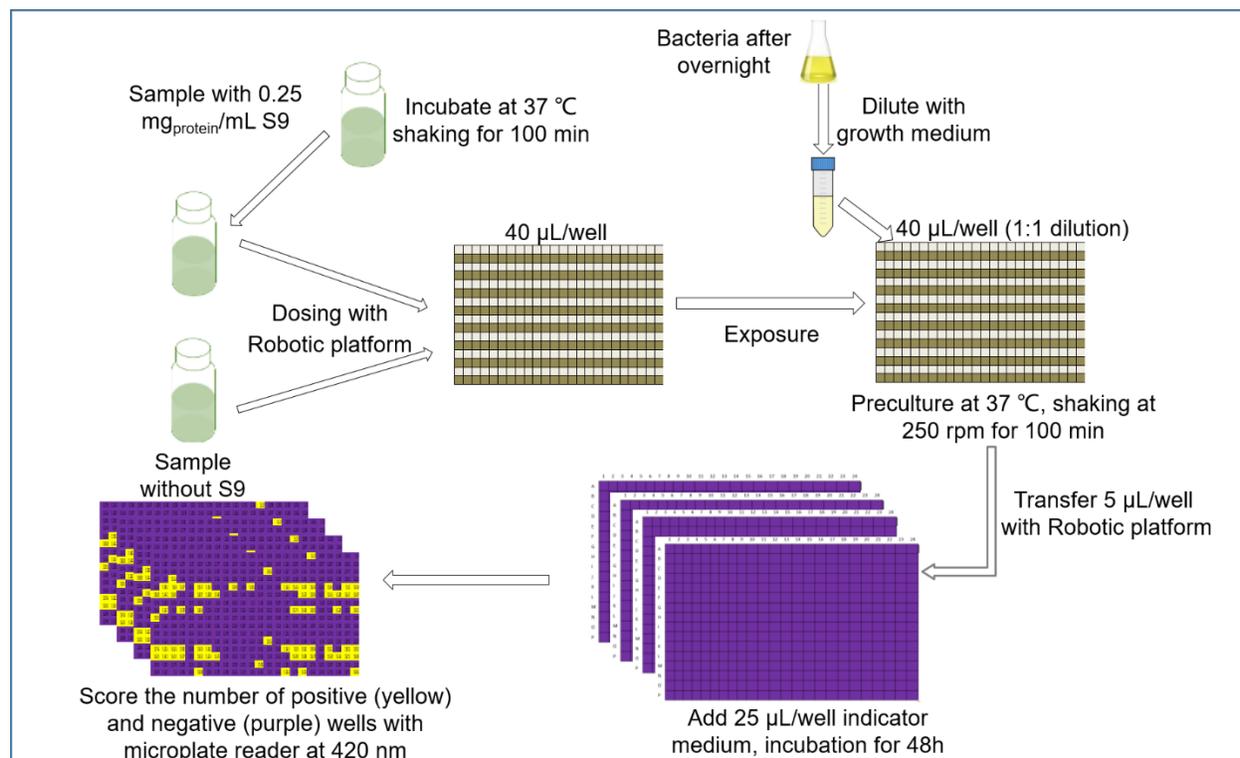
189 For 2AA, the gradient with a flow rate of 1 mL/min was: 0 to 1.0 min, 20% acetonitrile in water  
190 (with 0.1% H<sub>3</sub>PO<sub>4</sub>); 1.0 to 4.5 min, a linear gradient of 20% to 90% acetonitrile (with 0.1% H<sub>3</sub>PO<sub>4</sub>)  
191 in water (with 0.1% H<sub>3</sub>PO<sub>4</sub>); 4.5 to 5.5 min, a linear gradient of 90% to 20% acetonitrile (with 0.1%  
192 H<sub>3</sub>PO<sub>4</sub>) in water (with 0.1% H<sub>3</sub>PO<sub>4</sub>); 5.5 to 6.0 min, 20% acetonitrile (with 0.1% H<sub>3</sub>PO<sub>4</sub>). The  
193 detection of the two compounds was conducted by fluorescence with fluorescence excitation set  
194 at 260 nm and the emission was detected at 410 nm for BaP. For 2-AA the fluorescence excitation  
195 was set at 254 nm and the emission was detected at 500 nm.

## 196 2.5 Application of the S9 metabolic activation in the Ames fluctuation assay

197 The Ames fluctuation assay was conducted to determine the mutagenic activity of BaP, 2-AA and  
198 their metabolites based on the ISO guideline 11350 (ISO11350 2012, Reifferscheid et al. 2012a).  
199 Modifications were implemented for the S9 pre-incubation methodology described above and for  
200 the use of a robotic platform containing a 384-pipetting unit (**Figure 1**). The Ames test was adapted  
201 for the use on a robotic pipetting platform (Hamilton Microlab<sup>®</sup> STAR, Bonaduz, Switzerland,

202 **Figure 1**) with 384-well plates for dilution, 100 min exposure, 48 h incubation and detection.  
203 These modifications allowed the easy determination of mutagenicity of a large number of samples  
204 in parallel (minimum sample volume: 320  $\mu$ L per sample per strain for both without S9 test and  
205 with S9 test). In brief, cultures of test strains were grown overnight for no more than 10 h in growth  
206 medium (7.5 g/L meat extract “Lab-lemco”, 7.5 g/L Peptone, 5 g/L NaCl and 50 mg/L Ampicillin)  
207 in a shaking incubator at 150 rpm and 37°C.  
208 The samples of chemical and S9-mix were pre-incubated for metabolic activation before exposure.  
209 The incubation time, the S9 protein concentration and the NADPH-generating system were  
210 determined by the pre-incubation analysis described above. The Ames *salmonella typhimurium*  
211 tester strains TA 98 at OD 0.26-0.3 and TA100 at OD 0.05-0.08 were exposed to BaP or 2-AA  
212 with S9-mix and without S9-mix in a 384-well plate at 37°C and 200 rpm for 100 min. After  
213 exposure, the mixtures were transferred and diluted six-fold with the indicator medium (0.9 mM  
214  $MgSO_4 \cdot 7H_2O$ , 10.3 mM  $C_6H_8O_7 \cdot H_2O$ , 62.1mM  $K_2HPO_4$ , 18.1 mM  $NaNH_4HPO_4 \cdot 4H_2O$ , 24mM  
215  $C_{10}H_{16}N_2O_3S$ , 0.05 mM bromocresol purple and 0.01 mM D-biotin) and distributed into 384-well  
216 copy plates (48 wells per replicate). Due to the incubation in a histidine deficient medium, only  
217 bacteria that regained histidine prototrophy through mutation show growth. This growth leads to  
218 acidification of the indicator medium, which thereby changes color from purple to yellow. Sample-  
219 induced reversion from the non-growing to the growing phenotype was detected by measuring OD  
220 at 420 nm in a 384-well microplate reader after 48 h of incubation at 37°C. Positive controls  
221 included 4-NOPD for the TA 98 strain without S9, NF for TA100 without S9, 2-AA for TA 98  
222 and TA 100 with S9. To match the chemical concentrations for both strains, 4-NOPD (at  
223 concentrations from  $4.09 \cdot 10^{-6}$  M to  $6.54 \cdot 10^{-5}$  M), NF (at concentration from  $1.31 \cdot 10^{-7}$  M to  $2.10 \cdot 10^{-$   
224  $6$  M), 2-AA (at concentrations from  $6.46 \cdot 10^{-7}$  M to  $1.03 \cdot 10^{-5}$  M) and BaP (at concentrations from

225  $4.95 \cdot 10^{-6} \text{ M}$  to  $7.92 \cdot 10^{-5} \text{ M}$ ) were tested in five 1:2 serial dilution steps. Negative control is DMSO  
 226 for both strains with or without S9. Each exposure test was repeated in two independent  
 227 experiments. The concentration-response curves were fitted with a log-logistic model for the EC50  
 228 value calculation.



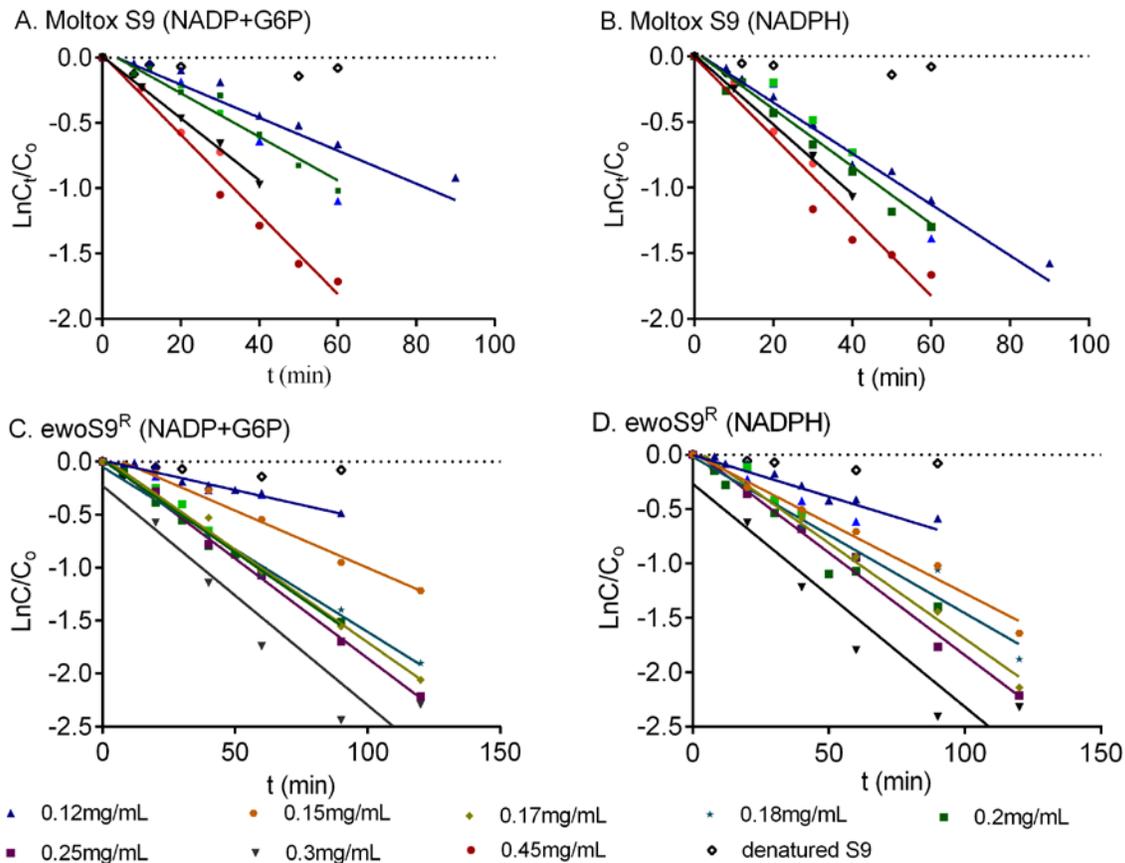
229  
 230 **Figure 1.** Ames fluctuation assay on the robotic platform (Hebert et al. 2018)

231 **3 Results**

232 3.1 Degradations kinetics of BaP and 2-AA by S9

233 The concentration of BaP decreased exponentially with time when it was incubated with Moltox  
 234 S9 and the NADPH-generation systems NADP + G6P (**Figure 2A**) and NADPH (**Figure 2B**). The  
 235 linear fit of  $\ln(C_t/C_0)$  against time (eq.1) yielded high regression coefficients  $r^2$ , indicating that the  
 236 metabolic loss of BaP followed first-order rate law at S9 protein concentrations of 0.12  
 237  $\text{mg}_{\text{protein}}/\text{mL}$  to  $0.45 \text{ mg}_{\text{protein}}/\text{mL}$ . Similar results were obtained for the tests of BaP degradation

238 with ewoS9<sup>R</sup> using NADPH generation system of NADP+G6P (Figure 2C) and NADPH (Figure  
 239 2D).



240  
 241 **Figure 2.** Phase I metabolism of BaP (starting concentration  $C_0$  723 nM) by Moltox S9 using  
 242 NADPH generation system of (A) NADP+G6P and (B) NADPH or by ewoS9<sup>R</sup> using NADPH  
 243 generation system of (C) NADP+G6P and (D) NADPH at a protein concentration of 0.12  
 244 mg<sub>protein</sub>/mL (dark and light blue triangles the two colors represent two independent experiments),  
 245 0.15 mg<sub>protein</sub>/mL (orange circles), 0.17 mg<sub>protein</sub>/mL (bluish yellow rhombi), 0.18 mg<sub>protein</sub>/mL  
 246 (turquoise blue pentagram), 0.2 mg<sub>protein</sub>/mL (light and dark green squares, the two colors represent  
 247 two independent experiments), 0.25 mg<sub>protein</sub>/mL (purple squares), 0.3 mg<sub>protein</sub>/mL (black  
 248 triangles), 0.45 mg<sub>protein</sub>/mL (light and dark red circles, the two colors represent two independent  
 249 experiments) and denatured S9 (empty black rhombi). BaP degradation at S9 concentrations 0.12

250  $\text{mg}_{\text{protein}}/\text{mL}$ ,  $0.2 \text{ mg}_{\text{protein}}/\text{mL}$  and  $0.45 \text{ mg}_{\text{protein}}/\text{mL}$  were conducted in duplicates, and  $0.15 \text{ mg}_{\text{protein}}/\text{mL}$ ,  
251  $0.17 \text{ mg}_{\text{protein}}/\text{mL}$ ,  $0.18 \text{ mg}_{\text{protein}}/\text{mL}$ ,  $0.25 \text{ mg}_{\text{protein}}/\text{mL}$ ,  $0.3 \text{ mg}_{\text{protein}}/\text{mL}$  and denatured S9 were  
252 conducted in a single experiment. The solid lines correspond to fits of the first order kinetics with  
253 eq.1.

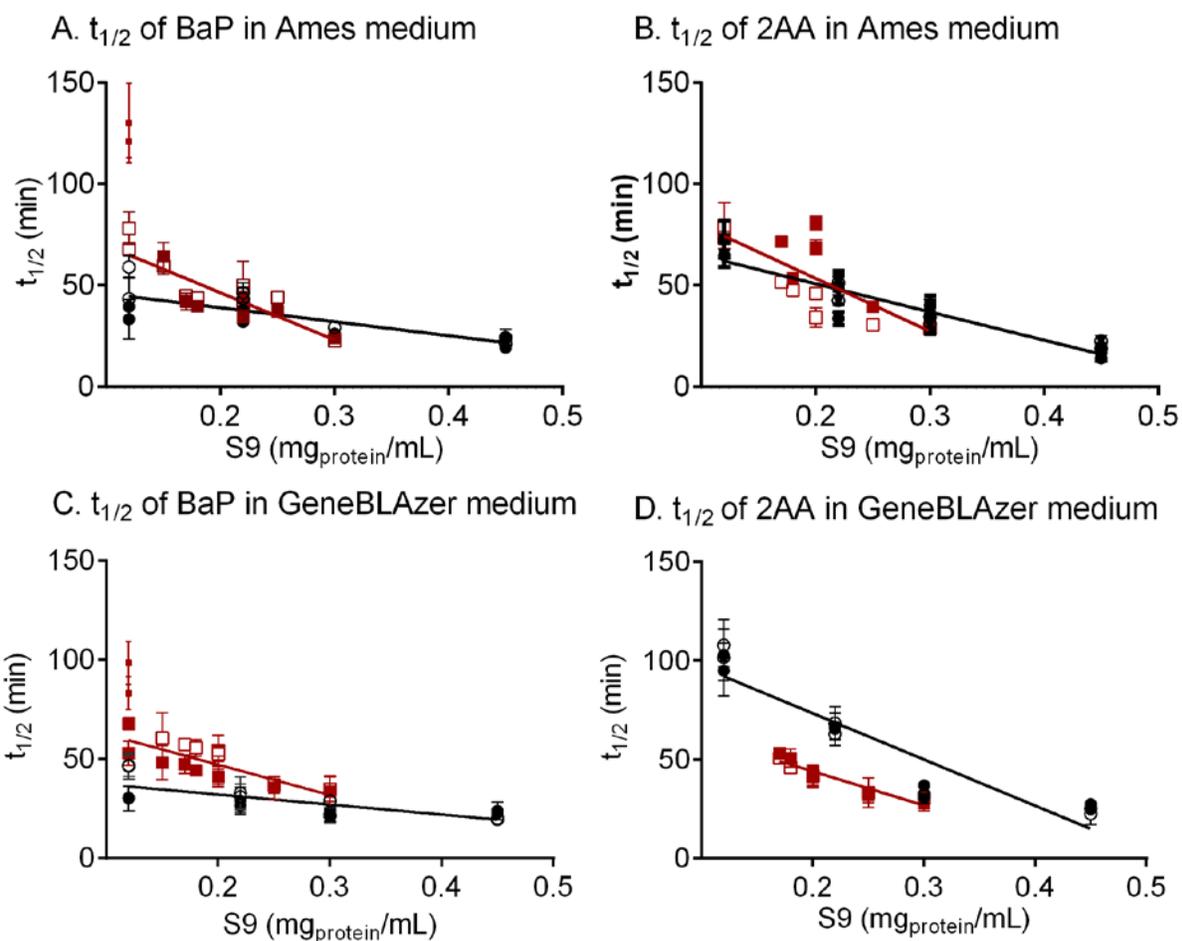
### 254 3.2 Decay half-times as a function of S9 concentration

255 The decay half times of BaP and 2-AA decreased with increased protein content of the S9 fractions  
256 for both Moltox S9 and ewoS9<sup>R</sup> in the Ames medium (**Figure 3A and B**). The protein content  
257 quantified in this study with the Lowry assay was slightly different from the protein content  
258 reported by the suppliers (**Table S2**). Since protein content is only operationally defined, it is  
259 important to compare protein contents determined with the same method.

260 **Figure 3** shows that the NADPH generation system of NADP+G6P and NADPH yielded equal  
261  $t_{1/2}$  for both BaP and 2-AA (**Table S3**), indicating that both systems could be used directly with  
262 S9 fractions for chemical activation.

263 The different metabolic activities of Moltox S9 and ewoS9<sup>R</sup> are illustrated by differences in the  
264 slope of the linear regression of  $t_{1/2}$  vs. S9 protein concentration, but both lines overlap in the range  
265 of  $0.2$  to  $0.3 \text{ mg}_{\text{protein}}/\text{mL}$  with a similar  $t_{1/2}$  of approximately 50 min.

266 In addition, BaP and 2-AA degradation tests using GeneBLAzer cell culture medium showed  
267 similar first order decay kinetics (**Figure S2**) and thereof derived decay half times  $t_{1/2}$  (**Table S4**)  
268 were similar to the results of degradation tests using the Ames medium (**Figure 3C and D**).

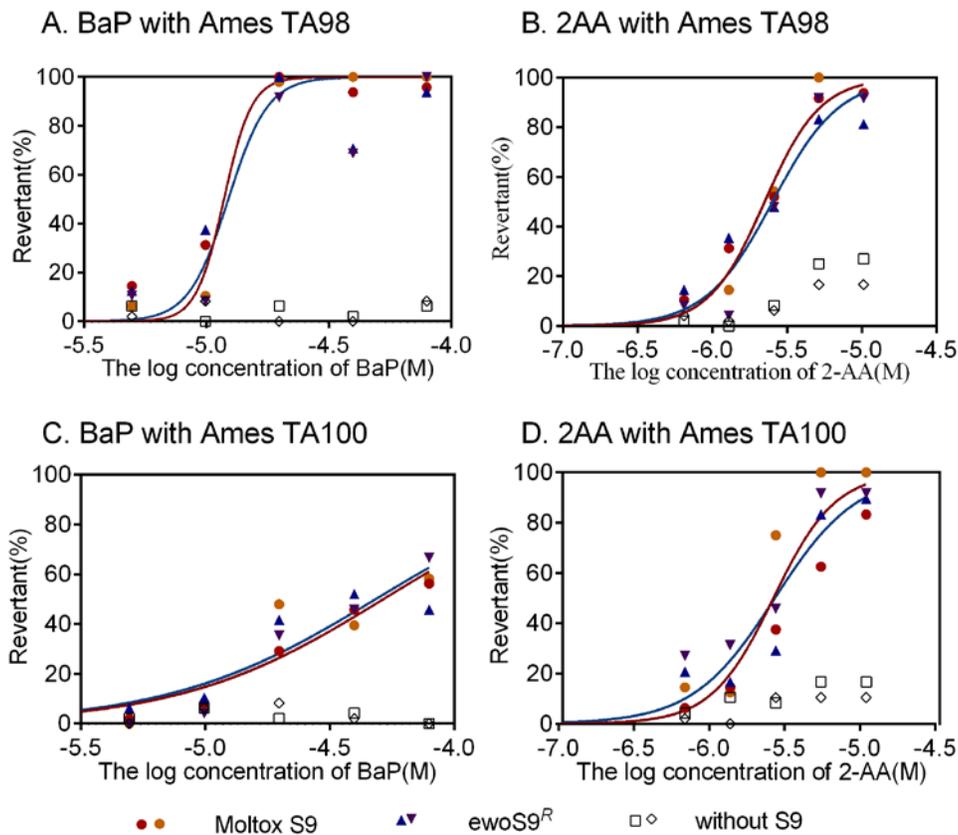


269 ○ Moltox S9 NADP ● Moltox S9 NADPH □ ewoS9<sup>R</sup> NADP ■ ewoS9<sup>R</sup> NADPH  
 270 **Figure 3** Half time ( $t_{1/2}$ ) vs S9 protein concentration for (A) BaP and (B) 2-AA degradation with  
 271 Moltox S9 and ewoS9<sup>R</sup> using Ames medium and for (C) BaP and (D) 2-AA degradation with  
 272 Moltox S9 and ewoS9<sup>R</sup> using GeneBLAzer medium. The lines are linear regressions. The smaller  
 273 symbols are experiments that were outliers (visually identified) and are not included in the  
 274 regressions.

### 275 3.3 S9 pre-incubation combined with the Ames assay

276 Moltox S9 or ewoS9<sup>R</sup> at a protein concentration of 0.25 mg<sub>protein</sub>/mL were pre-incubated with BaP  
 277 or 2-AA for 100 min to obtain mammalian metabolism derived metabolites for bacteria exposure.  
 278 Afterwards, the pre-incubated BaP or 2-AA solutions were tested with the Ames fluctuation assay

279 with the tester strains TA98 and TA100 to evaluate their mutagenicity potential. As shown in  
 280 Figure 4, after activation by S9 both BaP and 2-AA caused mutagenicity in the tester strains TA98  
 281 and TA100. Moltox S9 and ewoS9<sup>R</sup> yielded the same concentrations causing 50% of revertants  
 282 (EC<sub>50</sub>, **Table 1**). The EC<sub>50</sub> values for 2-AA on both strains TA98 and TA100 were similar, but  
 283 TA98 was slightly more sensitive than TA100 for BaP.  
 284 This active concentration range is consistent with the previous standard mutation test using 24-  
 285 well plates, where metabolic activation of BaP at 5.07 μM and 2-AA at 25.9 μM was mediated by  
 286 a rat liver derived S9 fraction (Proudlock and Evans 2016). Thus, pre-incubation of chemicals via  
 287 an external metabolization system derived from rat liver S9 or a biotechnological process to  
 288 simulate the metabolic activation was successfully applied to the Ames fluctuation assay.



289

290 **Figure 4.** Concentration-response curves from the Ames fluctuation assay with TA 98 and TA 100  
 291 strain for the reference compounds BaP and 2-AA. Different symbols of a similar color stand for  
 292 independent experiments using Moltox S9 or ewoS9<sup>R</sup>. (A) Ames strain TA98 revertant percentage  
 293 for BaP pre-incubated with Moltox S9 (red and orange the colors represent two independent  
 294 experiments) and ewoS9<sup>R</sup> (blue and purple) and without S9 (black rhombus and squares, the shapes  
 295 represent two independent experiments); (B) Ames strain TA98 revertant percentage for 2AA pre-  
 296 incubated with Moltox S9 (red and orange) and ewoS9<sup>R</sup> (blue and purple) and without S9 (black  
 297 rhombus and squares); (C) Ames train TA100 revertant percentage for BaP pre-incubated with  
 298 Moltox S9 (red and orange) and ewoS9<sup>R</sup> (blue and purple) and without S9 (black rhombus and  
 299 squares); (D) Ames train TA100 revertant percentage for 2AA pre-incubated with Moltox S9 (red  
 300 and orange) and ewoS9<sup>R</sup> (blue and purple) and without S9 (black rhombus and squares). The lines  
 301 are log-logistic fits.

302 **Table 1.** Effect concentrations causing 50% of revertants (EC<sub>50</sub>) for BaP and 2-AA after activation  
 303 with Moltox S9 and ewoS9<sup>R</sup>.

		BaP		2-AA	
		log EC <sub>50</sub> (M)	EC <sub>50</sub> (M)	log EC <sub>50</sub> (M)	EC <sub>50</sub> (M)
TA 98	Moltox S9	-5.65±0.03	2.24×10 <sup>-6</sup>	-4.93±0.04	11.8×10 <sup>-6</sup>
	ewoS9 <sup>R</sup>	-5.60±0.04	2.53×10 <sup>-6</sup>	-4.91±0.05	12.3×10 <sup>-6</sup>
TA 100	Moltox S9	-5.60±0.06	2.5×10 <sup>-6</sup>	-4.29±0.07	52×10 <sup>-6</sup>
	ewoS9 <sup>R</sup>	-5.59±0.06	2.52×10 <sup>-6</sup>	-4.32±0.07	48.2×10 <sup>-6</sup>

## 304 4. Discussion

### 305 4.1 Standardizing metabolic activation

306 The adequate optimization of the exogenous metabolic system is a prerequisite for the realistic  
 307 assessment of chemicals and environmental samples for a wide range of cellular *in vitro* assays.  
 308 Previously the addition of S9 for metabolic activation was determined by its percentage in

309 mediums (1% S9 suspension irrespective of its activity), so that differences between different S9  
310 batches and S9 origin could be expected. In this study, we have demonstrated that S9 protein  
311 concentration of 0.25 mg<sub>protein</sub>/mL, a supplement of 0.13 mM NADPH and a pre-incubation time  
312 of 100 min is an optimized protocol for the activation of samples and reference chemicals before  
313 dosing them in the Ames assay, irrespective of the source of S9 as evidenced by comparison of the  
314 animal-derived Moltox S9 and the cell-derived ewoS9<sup>R</sup>.

315 S9 fractions isolated from animals allow to activate substances that require phase I enzymatic  
316 biotransformation to express toxicity effects (Mollergues et al. 2016). A level of 1% S9 is  
317 recommended in literature to yield a clearly enhanced response in effect-based methods like  
318 mammalian or bacterial test systems for metabolites toxicity investigation (Natsch and Haupt  
319 2013), However, this study has demonstrated that the S9 content should be adjusted depending on  
320 the protein content instead of the percentage of the S9 used. This is due to the fact that the protein  
321 concentrations of S9 products vary strongly between different production lots. For instance, the  
322 concentration of current S9 is 45.01 mg<sub>protein</sub>/mL for commercial rat liver Moltox S9 and 12.15  
323 mg<sub>protein</sub>/mL for cell-extracted ewoS9<sup>R</sup> (**Table S2**). The addition of 1% S9, which is often  
324 recommended, would result in a final protein content of 0.45 mg<sub>protein</sub>/mL for Moltox S9 and 0.12  
325 mg<sub>protein</sub>/mL for ewoS9<sup>R</sup>. Moreover, the species-, strain- and sex-specific differences also influence  
326 the protein concentration and enzymatic composition at the same percentage of S9 fraction, even  
327 though the molecular biology of xenobiotic-metabolising enzymes is widely conserved across  
328 mammals (Callander et al. 1995, Cox et al. 2015, Elliott et al. 1992, Rudeck et al. 2018b). This  
329 was reflected by a study where the concentration of liver S9 was 20 mg<sub>protein</sub>/mL from 35 male  
330 trouts and 26 mg<sub>protein</sub>/mL from 6 mixed-sex trouts (Laue et al. 2014). Thus, relating the dose to

331 the protein concentration, instead of the percentage of S9 in the media, is recommended in future  
332 S9 studies.

333 To improve this situation, metabolism studies should focus more on the desired phase I activity,  
334 cytochrome P450 content or even the single cytochrome P450 activity (Burke et al. 1994, Welters  
335 et al. 2017).The BaP degradation rate was found to increase with increasing S9 protein  
336 concentration (**Figure 3**), which is in agreement with a previous study on BaP metabolism  
337 characteristics (Prough et al. 1979). Thus, the percentage related S9 concentration may give  
338 misleading results for the enzymatic efficiency, since the metabolic activity of the S9 was clearly  
339 related to its protein content (**Figure 3**).

340 S9 fraction containing both phase I and phase II enzymes, is a robust source of CYPs, which play  
341 important roles not only in chemical activation, but also in chemical detoxification by different  
342 P450 isoforms (Wang et al. 2017). A BaP pharmacokinetics study showed that the detoxification  
343 of BaP is also predominantly mediated by CYPs, and BaP can be oxidized by several P450  
344 isoforms (CYP1A1, CYP1A2, and CYP1B1) along with epoxide hydrolase, yielding phase I  
345 metabolites, further conjugated with Phase II enzyme to form more hydrophilic metabolites to  
346 excretion (Nebert et al. 2013). In the current study, only approximate 20% of BaP/2-AA was left  
347 in the media after 100 min incubation, which was found to be sufficient for chemical activation,  
348 and yielded a good balance between activation and detoxification (**Figure 2**). This 100 min  
349 incubation time is aligning with the required exposure time of the ISO 11350 (ISO11350 2012).  
350 These results are in contrast to the 3 h contact time that was recommended for genotoxicity testing  
351 in the mammalian cell assays (Natsch and Haupt 2013). Our decay half-times clearly indicate that  
352 the 100 min should be sufficient for the Ames assay. Too long incubation time could lead to  
353 deactivation by further degradation.

354 NADPH, as a redox cofactor, plays a critical role during chemical metabolism, which is essential  
355 for many enzymatic steps in the biosynthesis of cellular macromolecules (Partow et al. 2017).  
356 Moreover, microsomal metabolism studies provided evidences that NADPH was a rate-limiting  
357 factor in the oxidation of chemicals in murine hepatoma cells (Paolini et al. 1987). The endogenous  
358 NADPH is generated from NADP by a glucose-6-phosphate dehydrogenase (G6PDH) catalyzed  
359 oxidation of G6P (Savidov et al. 1998). Short-term tests with metabolic activation therefore  
360 generally utilize G6P and NADP for the NADPH-generating machinery (Ortiz et al. 2016). In the  
361 current study, the NADPH generation systems of NADP+G6P and NADPH give very similar  
362 redox activity at 0.13 mM for BaP and 2-AA metabolism (**Figure 2**), indicating that NADPH could  
363 be a convenient and economic alternative to NADP+G6P for short-term *in vitro* metabolic  
364 activation tests.

#### 365 4.2 S9 metabolic activation as pre-incubation in the Ames fluctuation assay

366 The Ames fluctuation assay has been included in the ISO 11350 in 2012 and has been widely  
367 applied to measure the genotoxic potential of chemicals and environmental samples in liquid  
368 culture by using small amounts of test items (Berg et al. 2016, Neale et al. 2017b). This bioassay  
369 allows the detection of base pair and frameshift mutagenicity in the presence and absence of  
370 metabolic activation (Reifferscheid et al. 2012b). In the current study, BaP exhibited mutagenicity  
371 with the supplement of Moltax S9 or ewoS9<sup>R</sup> in the Ames fluctuation assay (**Figure 4**), which is  
372 in agreement with a BaP metabolites study where BaP had high mutagenic effects on TA 97, TA  
373 98 and TA 100 in the presence of S9 metabolic activation, and BaP diols were found to be most  
374 sensitive BaP metabolites on mutagenicity for *Salmonella typhimurium* (Schoeny et al. 1985). BaP  
375 metabolites studies reported that BaP, catalysed by cytochrome P450 1A1 enzymes (CYP1A1)  
376 (Willis et al. 2018), could be oxidized into BaP diol epoxide (Jiang et al. 2007) which may bind to

377 the nucleophilic guanine bases; distorts the DNA and causes mutations (Eaton and Gallagher 1994).  
378 Similarly, Moltox S9 and ewoS9<sup>R</sup> at 0.25 mg<sub>protein</sub>/mL increased the mutagenicity of 2-AA by more  
379 than 5-fold in both TA98 and TA100 assays, when compared to the revertants without S9 (**Figure**  
380 **4**). This result is consistent with a non-genotoxic carcinogens study, in which 2-AA revealed  
381 marked increase of revertant colonies in presence of a metabolic activation system (S9) by a plate  
382 incorporation method (Kanode et al. 2017). An *in vitro* mammary epithelium study demonstrated  
383 that polar metabolites of 2-AA were produced probably via mixed-function oxidation, which may  
384 induce mutagenesis in breast tissue (Silva et al. 1985). 2AA is a CYP1A2 dependent promutagen,  
385 which requires CYP1A2-mediated oxidation and subsequent O-acetyl or Osulfo conjugation to  
386 generate DNA-damaging metabolites (Palma et al. 2016). CYP1A2 is almost exclusively  
387 expressed in the liver (Zanger and Schwab 2013), which further proved the metabolic activity of  
388 Moltox S9 and ewoS9<sup>R</sup> for 2AA. In the current study, pre-incubation with Moltox S9 or ewoS9<sup>R</sup>  
389 S9 fraction of the reference compounds, BaP and 2-AA induced bacteria revertants in both tester  
390 strains TA98 and TA100. These results are comparable to the standard protocol of the Ames  
391 fluctuation assay in which the reference compounds are metabolized during the exposure time of  
392 the bacteria. This indicates the validity of modular combination of pre-S9 metabolic activation  
393 with *in vitro* bioassays.

394 The benefit of the biotechnological system ewoS9<sup>R</sup> is that no animals were needed for its  
395 production. In the production process cells cultivated in a chemically-defined medium were used.  
396 A biotechnological metabolization system contributes to the requirements of 3R as a replacement  
397 of the animal metabolization systems. Furthermore, the biotechnological approach results in a  
398 highly standardized product as all the components of the production process are controlled. This  
399 is not the case for the animal systems. In the study by Rudeck et al. (2018a), different animals or

400 even different lobes of the liver within an animal showed varying levels of protein content and  
401 cytochrome activity. In the study, ewoS9<sup>R</sup> is obtained from a rat hepatoma cell line and  
402 standardized, which may avoid the above differences. The cell line does not show a sex specificity.  
403 The strain is defined and if desired can be simulated by adopting another rat liver cell line as the  
404 foundation for the ewoS9<sup>R</sup> if needed. Hence, a biotechnological system offers great flexibility.

## 405 **5 Conclusion**

406 This study demonstrates that the pre-incubation of chemicals with S9 fraction is applicable to  
407 separate the chemical metabolism and toxicity measurement in the *in vitro* bioassays. The  
408 described pre-incubation procedure can serve for a wide range of cellular *in vitro* assays to  
409 standardize the exposure environment, which could improve the bioassay efficacy and  
410 comparability between different assays. However, there will always be some limitations when  
411 using a metabolic activation/detoxification step. We recommend a S9 protein concentration of 0.25  
412 mg<sub>protein</sub>/mL and an incubation time of 100 min for the Ames test. These corresponds to two decay  
413 half times of BaP and 2-AA, which assures that the reaction is advanced but not fully completed.  
414 In the proposed set-up, the metabolism kinetics for reference chemicals tested should be evaluated  
415 prior to testing environmental samples. In complex mixtures, there will probably be a trade-off  
416 between activation and detoxification. The application of a biotechnological metabolization  
417 system bears the benefit of reducing animal experiments as it does not require the dosing and  
418 killing of animals for the production of S9. Furthermore, due to its chemically-defined medium  
419 composition the production process for a biotechnological metabolization system even complies  
420 with the rules of pharmaceutical production. In this controlled environment the variability can be  
421 greatly reduced and the cytochrome P450 composition can be standardized and defined. In

422 summary, this technique can be applied to test the real toxicity of chemicals and environmental  
423 samples, and may greatly facilitate regulatory toxicity testing whilst reducing animal testing.

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#### 431 **Conflict of interest**

432 AS, BT and HH are co-founder of EWOMIS GmbH, a company aimed to commercialize  
433 biotechnological metabolization systems.

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