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1 **Isotope fractionation ( $^2\text{H}/^1\text{H}$ ,  $^{13}\text{C}/^{12}\text{C}$ ,  $^{37}\text{Cl}/^{35}\text{Cl}$ ) in trichloromethane and**  
2 **trichloroethene caused by partitioning between gas phase and water**

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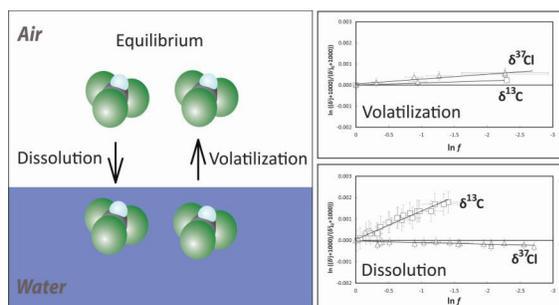
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11  
12 This article scrutinizes volatilization isotope effects of chlorinated organic compounds by taking  
13 into account all measurable stable isotopes of present elements and the three main kinetic and  
14 equilibrium partitioning scenarios.

## 15 **Abstract**

16 Transfer of organic compounds between aqueous and gaseous phases may change the isotopic  
17 composition which complicates the isotopic characterization of sources and transformation  
18 mechanisms in environmental samples. Studies investigating kinetic phase transfer of  
19 compounds dissolved in water (volatilization) are scarce, even though it presents an  
20 environmentally very relevant phase transfer scenario. In the current study, the occurrence of  
21 kinetic isotope fractionation ( $^2\text{H}/^1\text{H}$ ,  $^{13}\text{C}/^{12}\text{C}$ ,  $^{37}\text{Cl}/^{35}\text{Cl}$ ) was investigated for two volatile organic  
22 compounds (trichloroethene, TCE and trichloromethane, TCM) during volatilization from water  
23 and gas-phase dissolution in water. In addition, experiments were also carried out at equilibrium  
24 conditions. The results indicated that volatilization of trichloromethane and trichloroethene from  
25 water, in contrast to pure phase evaporation, only caused small (chlorine) or negligible  
26 (hydrogen, carbon) isotope fractionation whereas for dissolution in water significant carbon  
27 isotope effects were found. At equilibrium conditions, hydrogen and carbon isotopes showed  
28 significant differences between dissolved and gaseous phase whereas small to insignificant  
29 differences were measured for chlorine isotopes. The results confirm the hypothesis that isotope  
30 effects during volatilization of organics from water are caused by transport inhibition in the  
31 aqueous phase. The consideration of gas-phase diffusion and vapor pressure isotope effects  
32 (Craig-Gordon model) could not reproduce the measured isotopic data. Overall, this study  
33 provides an overview of the most common kinetic and equilibrium partitioning scenarios and  
34 reports associated isotope effects. As such it illustrates under which environmental conditions  
35 isotopic signatures of chlorinated volatile organics may change, or remain constant, during  
36 transfer between surface waters and air.

## 37 **1 Introduction**

38 Chlorinated organic compounds are both naturally occurring as well as human-made chemicals.<sup>1</sup>  
39 <sup>2</sup> Many of these compounds are toxic, persistent, and have adverse effects on flora, fauna, and  
40 human health.<sup>3</sup> Stable isotope analysis has become a routinely used tool to more precisely  
41 identify the sources of these compounds and to characterize transformation and degradation  
42 pathways.<sup>4</sup> The interpretation of the results from isotopic measurements may, however, be  
43 complicated by additional processes such as adsorption, diffusion, or phase transfer (evaporation,  
44 volatilization). Even though those processes do not break any bonds and leave the molecules  
45 unchanged, they are able to cause changes in the isotopic composition of these organic  
46 compounds. As a consequence, isotopic fingerprints for the sources of chemicals may be  
47 changed or the quantification of degradation rates in contaminated aquifers may be over- or  
48 underestimated, for example.<sup>4</sup>

49 Phase transfer processes and associated isotope fractionation in organic compounds have been  
50 studied widely in the last 70 years, and especially isotope fractionation for equilibrium  
51 partitioning between the pure phase and the gaseous phase of chemicals.<sup>5-8</sup> With the advent of  
52 compound-specific isotope analysis about twenty years ago interest arose in the study of kinetic  
53 evaporation (pure phase – air transfer) and associated isotope fractionation of organic  
54 compounds.<sup>9-12</sup> The environmentally very relevant transfer of dissolved organic compounds from  
55 water to air (kinetic volatilization), however, has largely remained understudied and was only  
56 reported by few publications.<sup>13-15</sup>

57 The processes causing fractionation during volatilization of organics from water are at debate.  
58 The classic theory suggests that isotope fractionation during equilibrium partitioning between the

59 pure phase and gas phase is caused by small differences in the vapor pressure of the different  
60 isotopologues.<sup>5</sup> Isotopologues are molecules that differ in their isotopic composition at least at  
61 one position.<sup>16</sup> Diffusive isotope effects are often assumed in addition to vapor pressure isotope  
62 effects (VPIE) during non-equilibrium/ kinetic conditions, that is, when the gaseous organic is  
63 continuously removed.<sup>13, 14, 17</sup> The existence of diffusive isotope effects was rationalized with  
64 diffusive transport models such as the Two-Film theory.<sup>18</sup> Furthermore, the so-called Craig-  
65 Gordon model (CG model) was adopted for organics to describe isotope effects during phase  
66 transfer.<sup>13, 17</sup> Originally, this model was developed to interpret isotope fractionation during  
67 evaporation of water considering both VPIE and diffusive isotope effects.<sup>19</sup> The mentioned  
68 models do, however, not consistently reproduce all data reported for organic compounds in the  
69 literature. A recent study demonstrated that only insignificant carbon isotope fractionation occurs  
70 during passive volatilization of TCE and other chlorinated organics from water.<sup>15</sup> It was  
71 suggested that fractionation, or the lack thereof, might be attributed mainly to molecular  
72 interactions and/or transport limitations in the aqueous phase. Another study applied a different  
73 experimental volatilization approach. The authors reported significant carbon and also chlorine  
74 isotope fractionation for TCE dissolved in water and results were interpreted according to the  
75 Craig-Gordon Model considering both VPIE and diffusive effects.<sup>14</sup> Other authors proposed that  
76 isotope fractionation during volatilization is dominated by diffusion with contributions from  
77 VPIE being negligible.<sup>20</sup> This discrepancy between few publications shows that isotope  
78 fractionation due to phase transfer processes of dissolved organics are currently still not well  
79 understood.

80 Hence, the objective of this work was to carry out a systematic study of phase transfer processes  
81 and associated isotope fractionation for organic compounds including both kinetic and

82 equilibrium partitioning between water and air. For this purpose, two nonpolar volatile  
83 chlorinated organic compounds were chosen: trichloromethane and trichloroethene. Nonpolar  
84 compounds are, according to definition,<sup>21</sup> not able to form permanent hydrogen bonds. This  
85 definition includes apolar compounds such as hexane but also monopolar compounds such as  
86 trichloromethane.<sup>21</sup> Both TCE and TCM represent important pollutants in the environment<sup>22</sup> and  
87 results from this study might also be representative for similar volatile halogenated contaminants  
88 possessing similar physicochemical properties. To investigate phase transfer processes as  
89 detailed as possible, experiments were carried out for all conceivable scenarios including  
90 equilibrium partitioning of dissolved compounds and continuous kinetic volatilization of  
91 dissolved compounds from open water surfaces. For the first time, to our knowledge, we report  
92 isotopic enrichment factors for dissolution of gaseous organic compounds in water. For all these  
93 scenarios we measured the isotope fractionation for stable hydrogen, carbon and chlorine  
94 isotopes. The results were used to scrutinize currently common models for the interpretation of  
95 isotope fractionation and the corresponding processes in organic compounds volatilizing from  
96 open water surfaces.

## 97 **2 Material and Methods**

98 Trichloromethane and trichloroethene (purity >99.5 % each) were purchased from Fisher  
99 Scientific, Fair Lawn, NJ, USA for carbon isotope analyses of equilibrium partitioning  
100 experiments. For all other experiments chemicals were acquired from Carl Roth GmbH & Co  
101 KG (TCM >99.9 %) and Sigma Aldrich Chemie GmbH, Germany (TCE, >99.5 %).

### 102 **2.1 Volatilization, dissolution, and evaporation experiments.**

103 Experiments for open system volatilization of chemicals dissolved in water and evaporation of  
104 pure phase compounds were carried out according to a previously published protocol.<sup>15</sup> For  
105 volatilization (water-air transfer of dissolved organics) two different scenarios were investigated:  
106 (a) volatilization under dynamic conditions and (b) volatilization under static conditions (see ESI  
107 1 for experimental setup). For (a), 6 - 10 beakers (60 mL) were filled with 50 mL of stock  
108 solution (100 mg L<sup>-1</sup>) each and stirred 10 to 90 minutes for TCM and TCE respectively. A  
109 constant turbulent movement of both, the water and the air above the water was present  
110 throughout the whole experiment. For the static setup (b), 8 open septum bottles (120 mL) were  
111 filled with 50 mL of stock solution and left completely still over the entire experiment which, in  
112 this case, lasted for up to 8 hours. After defined volatilization times, the aqueous solution from  
113 beakers (a) and open septum bottles (b) was transferred to 60 mL septum bottles by using a  
114 60 mL plastic syringe to slowly extract the water from the beakers and the 120 mL open septum  
115 bottles. There was no significant loss (< 3%) of TCE and TCM associated with this transfer  
116 procedure. The 60 mL bottles were then crimp-sealed and equilibrated for at least 12 hours thus  
117 providing an equal treatment to all bottles which maintains the relative quantitative and isotopic  
118 differences between the individual samples of each experiment. Concentrations for dissolved  
119 compounds were determined before isotopic analysis by using the peak areas of calibration  
120 standards (4-point calibration) and samples which were recorded by the IRMS. Details of the  
121 quantification procedure are provided in the electronic supplementary information (ESI 2).

122 For kinetic dissolution experiments of gas phase chemicals in water, first 10 mL of compound  
123 was filled into a 1 L custom-made septum bottle, crimp-sealed, and equilibrated over night at  
124  $23 \pm 1^\circ\text{C}$  to create a nearly saturated air – organic compound gas mixture. Then 250 mL septum  
125 bottles were filled with 200 mL of deionized water, crimp sealed, and the air in the headspace

126 was replaced with the air-organic gas mixture. This replacement was performed using a 60 mL  
127 gas-tight syringe and an additional needle. The headspace was flushed with the air-organic gas  
128 mixture using the second needle as an outlet thus avoiding early condensation by keeping the  
129 pressure at atmospheric levels. During dissolution experiments, samples of headspace gas were  
130 taken approximately every minute and analyzed for  $\delta^2\text{H}$ ,  $\delta^{13}\text{C}$  and  $\delta^{37}\text{Cl}$ . The aqueous phase was  
131 continuously shaken at a slow rate to avoid concentration differences within one phase.  
132 Equilibrium conditions were not reached throughout all experiments. Five separate experiments  
133 were carried out with five analyses per experiment. It can be assumed that glass walls of the  
134 bottles or the stoppers did not cause adsorptive isotope effects and hence did not disturb the  
135 measurements (ESI 3).

136 Evaporation experiments of pure phase compounds were carried out to provide a full set of  
137 enrichment factors also for this process. In these experiments three separate samples (usually  
138  $5\text{ g} \pm 0.2\text{ g}$  in 5 mL beakers) were left for evaporation in a fume hood for passive pure phase  
139 volatilization. The remaining aqueous phase was sampled 5 to 7 times at different evaporation  
140 steps and analyzed for  $\delta^2\text{H}$ ,  $\delta^{13}\text{C}$ , and  $\delta^{37}\text{Cl}$ . The amount of organic compound remaining after  
141 partial evaporation ( $f$ ) was measured gravimetrically (ESI 2).

## 142 **2.2 Equilibrium partitioning experiments.**

143 For compounds dissolved in water, stock solutions with a concentration of  $100\text{ mg L}^{-1}$  were  
144 prepared. Fifty mL of stock solution were filled in 60 mL septum bottles, crimp sealed and  
145 equilibrated overnight. The  $\delta^2\text{H}$ ,  $\delta^{13}\text{C}$  and  $\delta^{37}\text{Cl}$  signatures of the organic compounds in the gas  
146 phase of the closed and equilibrated bottles were determined by headspace analysis and  
147 compared to the isotopic composition of the organic stock (pure phase) which was used to  
148 prepare the aqueous solutions. Calculations based on Henry's constant (see ESI 4) had shown

149 that in our experiments 97.3 % of TCM and 93.3 % of TCE, remained in the aqueous phase and  
150 only negligible amounts partitioned into the headspace. No significant isotopic difference may  
151 be observed between the pure phase (organic stock) and the dissolved chemical at equilibrium.  
152 Thus, equilibrium isotope effects could be determined by simply comparing isotopic values of  
153 headspace gas and the pure phase which was used to prepare the aqueous stock solutions.

154 For experiments studying the pure phase of organic substances at equilibrium conditions, 5 mL  
155 of each compound was filled in 10 mL vials, crimp sealed with PTFE-coated stoppers  
156 (Wheaton<sup>®</sup>) and left for equilibration overnight at  $23 \pm 1^\circ\text{C}$ . The isotopic composition of  
157 hydrogen, carbon and chlorine was determined for both the gas phase and the pure liquid phase.  
158 For gas phase analyses, aliquots of the headspace were collected with a gas tight syringe with  
159 pressure lock (VICI precision sampling) and injected into the injector (split mode) of the GC.  
160 Extracted volumes were replaced by air to keep pressure balance in the bottles. Liquid phases  
161 were vaporized and diluted in He. In these conditions, liquids could be analyzed in a similar way  
162 as headspace gases to avoid overly high split ratios and this procedure was previously evaluated  
163 for conservation of the isotopic composition.<sup>23, 24</sup> After each injection into the GC, syringes were  
164 flushed 5 times with air to remove remaining organic compounds and to avoid memory effects.  
165 Usually 6–10 analyses were carried out for the liquid phase and headspace, respectively.

### 166 **2.3 Stable isotope analysis of carbon, hydrogen and chlorine.**

167 Compound specific stable isotope analyses for carbon, hydrogen, and chlorine were carried out  
168 according to the procedures and methods published in previous articles.<sup>23-27</sup> The details are  
169 provided in the electronic supplementary information (ESI 5). Isotopic ratios measured by these  
170 various methods are given in delta notation<sup>28</sup>:

171 Equation 1

172 where  $^iE$  indicates  $^{13}C$ ,  $^2H$ , and  $^{37}Cl$ , and  $R$  the isotopic ratios  $^{13}C/^{12}C$ ,  $^2H/^1H$ , and  $^{37}Cl/^{35}Cl$ , for  
173 carbon, hydrogen, and chlorine, respectively. Delta values express the relative difference of the  
174 sample ratio versus a standard that represents an international agreement scale: V-PDB (Vienna  
175 Pee Dee Belemnite) for carbon, V-SMOW (Standard Mean Ocean Water) for hydrogen, and  
176 SMOC (Standard Mean Ocean Chloride) for chlorine. The delta values are given in Ur (urey)  
177 according to recent IUPAC recommendations.<sup>29</sup> This unit is interchangeable with the permil  
178 scale if given in mUr (milli-urey):  $1 \text{ mUr} = 0.001 = 1 \text{ ‰}$ .<sup>30</sup> Total uncertainty of the analytical  
179 procedures, including accuracy, reproducibility, linearity, and scale normalization are usually  
180 better than 5 mUr (hydrogen),<sup>23</sup> 0.5 mUr (carbon),<sup>31</sup> 0.2 mUr (chlorine).<sup>24</sup>

#### 181 **2.4 Enrichment factors for kinetic and equilibrium conditions.**

182 For kinetic volatilization and dissolution experiments the Rayleigh equation was applied to  
183 determine isotopic enrichment factors:<sup>32</sup>

184 Equation 2

185 where  $\delta^iE$  is the isotopic signature ( $\delta^2H$ ,  $\delta^{13}C$ ,  $\delta^{37}Cl$ ) of the organic compound in the aqueous  
186 phase after partial volatilization,  $\delta^iE_0$  indicates the initial delta value ( $\delta^2H_0$ ,  $\delta^{13}C_0$ ,  $\delta^{37}Cl_0$ ),  $f$  is the  
187 fraction of organic compound remaining in the aqueous phase, and  $\epsilon$  indicates the isotopic  
188 enrichment factors  $\epsilon_H$ ,  $\epsilon_C$ , and  $\epsilon_{Cl}$  in Ur. For dissolution experiments,  $\delta^iE$  indicates the delta value  
189 of the organic substance in the gas phase after partial dissolution,  $\delta^iE_0$  designates the initial delta  
190 value and  $f$  the fraction remaining in the gas phase. Enrichment factors at equilibrium express the  
191 isotopic difference between the pure organic phase and the organic in the gas phase ( $\epsilon_{\text{equ}_{\text{pure}}}$ ) or  
192 the dissolved organic phase and the organic in the gas phase ( $\epsilon_{\text{equ}_{\text{wat}}}$ ). A negative  $\epsilon$  indicates a  
193 depletion of the heavy isotopes in the organic compound in the gaseous phase compared to the  
194 pure liquid or aqueous phase organic. Kinetic enrichment factors express the isotopic enrichment

195 for pure phase–air transfer (evaporation,  $\epsilon_{\text{evap}}$ ), dissolved phase–air transfer (volatilization,  
196  $\epsilon_{\text{vol}}$ ) and air–dissolved phase transfer (dissolution,  $\epsilon_{\text{diss}}$ ). All volatilization and dissolution  
197 experiments were carried out with water as solvent. For evaporation and volatilization, negative  
198 enrichment factors indicate normal isotope fractionation and hence an enrichment of the heavier  
199 isotope in the remaining pure liquid or aqueous phase because heavier isotopologues possess  
200 higher boiling points due to lower zero-point vibrational energies.<sup>33</sup> For dissolution, in contrast,  
201 the substrate reservoir is situated in the gas phase. Preferential dissolution of heavier  
202 isotopologues into the aqueous phase causes depletion in the gaseous reservoir and thus positive  
203 enrichment factors indicate a normal isotope effect.

204 For experiments at equilibrium conditions isotopic enrichment factors ( $\epsilon$ ) were determined  
205 directly by calculating the difference between the measured  $\delta$ -values in the gas phase and in the  
206 liquid phase.

## 207 **2.5 Two-film theory and Craig-Gordon model.**

208 Previous studies interpreted phase transfer isotope effects of organic compounds according to the  
209 Craig-Gordon model and the underlying two-film theory.<sup>13, 14, 17</sup> Generally, mass transfer of  
210 organic compounds from a liquid phase to the gas phase may be viewed as the movement of  
211 molecules through a boundary layer; the liquid-gas interface.<sup>21</sup> It is assumed that transport  
212 through this boundary layer is significantly slower than in adjacent layers creating a bottleneck  
213 for the liquid-gas transfer of organic molecules. Various models and theories were developed to  
214 describe the exchange of organic compounds between the liquid phase (pure phase organic or  
215 organic dissolved in water) and air, such as the two-film theory, surface renewal model, or  
216 boundary layer model.<sup>21</sup> The two-film theory, first proposed by Whitman,<sup>18</sup> assumes that the air-  
217 water interface consists of two static layers, one situated on the air side of the interface and the

218 other one on the water side. The movement of organic molecules is controlled by one of these  
219 films depending on the air-water partition coefficient and transfer velocities in water and air.  
220 Based on this two-film theory, the Craig-Gordon model was developed to describe isotope  
221 fractionation during evaporation of water. It assumes an air-side limitation of transfer rates of  
222 water and incorporates diffusive isotope effects caused by the movement of water molecules  
223 through this static gas layer.<sup>19</sup> Furthermore, the isotope effect caused by the different vapor  
224 pressures (VPIE) of different isotopologues is taken into account. Consequently, isotope effects  
225 caused by evaporation of water are the result of two processes: the effect of the different vapor  
226 pressures and fractionation due to diffusion through the static gas layer. The VPIE can be  
227 estimated directly from experiments carried out at equilibrium conditions ( $\epsilon\text{-equ}_{\text{pure}}$  for  
228 evaporation and  $\epsilon\text{-equ}_{\text{wat}}$  for volatilization) whereas the maximum diffusive enrichment ( $\epsilon\text{-diff}$ ) in  
229 the stagnant gas layer compared to the free air is usually calculated according to the following  
230 equation:<sup>34</sup>

231 Equation 3

232 where  $M_{\text{light}}$  and  $M_{\text{heavy}}$  indicate the molecular mass of the light and heavy isotopologues,  
233 respectively. The mass of air is estimated with  $28.8 \text{ g mol}^{-1}$ . Theoretically, the concentration of  
234 the organic substance in the turbulent layer of the gas phase needs to be considered for the  
235 calculation of diffusive isotope effects. If the concentration in the air approaches saturation,  
236 diffusion and hence diffusive isotope effects disappear. For that reason, diffusive isotope effects  
237 of water are corrected for the humidity of air or, if strong winds prevail in the studied  
238 environments, for partial rupture of the diffusive layer.<sup>34</sup> In our evaporation and volatilization  
239 experiments the concentration of organic compounds in the gas phase was virtually zero and

240 diffusive isotope effects should be maximal. The overall fractionation during evaporation  
241 according to the Craig Gordon model is then simply given by:

242 Equation 4.1

243 and for volatilization:

244 Equation 4.2

245 The calculated enrichment factors  $\epsilon_{vol_{CG}}$  and  $\epsilon_{evap_{CG}}$  are expressed as the isotopic enrichment of  
246 gaseous organic compounds in the free air compared to the dissolved phase in water.

### 247 **3 Results and Discussion**

248 The objective of this study was to elucidate the occurrence, or the absence, of the processes  
249 (diffusion and VPIE) that influence isotope fractionation during phase transfer. Subsequently, we  
250 first present the isotopic results for the three possible phase transfer scenarios for compounds  
251 dissolved in water (volatilization, dissolution, equilibrium partitioning). Enrichment factors for  
252 equilibrium partitioning and evaporation of pure phase compounds are also provided in Table 1  
253 for comparison. The data is then used to evaluate the validity of the currently used hypotheses  
254 for the occurrence of volatilization isotope effects.

#### 255 **3.1 Volatilization isotope effects.**

256 Isotope fractionation during water-air transfer of TCM and TCE was investigated for two  
257 different scenarios: (a) dynamic conditions and (b) static conditions (experimental setup shown  
258 in ESI 1). The experimental setup for dynamic conditions was adopted from a previous study.<sup>15</sup>  
259 These dynamic experiments (a) simulate a constant movement of the water surface by stirring the  
260 organic-water solution. Thus, these experiments may provide insights into volatilization isotope  
261 effects of organics from open water bodies such as ponds and lakes that possess moving water

262 surfaces due to wave formation, for example, and which undergo turbulent mixing at least in the  
263 upper layers. Experiments were carried out in a fume hood, thereby simulating a constant  
264 exchange of the air above the aqueous phase. Static experiments (b) were carried out to  
265 investigate whether completely static conditions and a near-static air column above the aqueous  
266 phase would generate different enrichment factors which would hint toward an increasing  
267 influence of diffusion and associated isotope effects.

268 Stable carbon isotopic enrichment factors for dynamic volatilization (a) of compounds dissolved  
269 in water ( $\epsilon_{Cvol_d}$ ) were published in our previous article using a similar experimental approach as  
270 in the current study<sup>15</sup> (Table 1). This former study demonstrated that carbon isotope fractionation  
271 was negligible for continuous dynamic volatilization of hydrophobic compounds such as  
272 chlorofluorocarbons, TCE, and TCM when dissolved in water. For stable chlorine isotopes,  
273 volatilization of TCM and TCE from water yielded  $\epsilon_{Clvol_d}$  of  $0.21 \pm 0.10$  mUr and  
274  $0.34 \pm 0.15$  mUr, respectively. Hydrogen isotopes showed exclusively insignificant isotope  
275 effects ( $\epsilon_{Hvol} < \pm 2$  mUr) for volatilization of both compounds from water.

276 Volatilization under static conditions (b) generated enrichment factors for carbon and chlorine  
277 isotopes which were indistinguishable from those obtained for the dynamic experiment. Rayleigh  
278 plots are provided in the supplementary information (ESI 6). For carbon, insignificant  
279 enrichment factors ( $\epsilon_{Cvol_s}$ ) of  $0.0 \pm 0.3$  mUr and  $-0.1 \pm 0.3$  mUr were measured for TCM and  
280 TCE respectively. For chlorine,  $\epsilon_{Clvol_s}$  of  $0.29 \pm 0.19$  mUr and  $0.17 \pm 0.15$  mUr were obtained  
281 for TCM and TCE respectively. Enrichment factors for hydrogen isotopes could not be  
282 determined for this experiment but it is conceivable that  $\epsilon_{Hvol_s}$  for the static experiment is  
283 similarly insignificant as  $\epsilon_{Hvol_d}$  determined in the dynamic experiment. The comparable  
284 enrichment factors obtained from both the dynamic and the static experiment clearly shows that

285 gas phase diffusion, even though a likely process in the static air column, does not influence the  
286 isotopic composition in the aqueous phase. These findings are also in agreement with boundary  
287 layer models because transport of TCM and TCE should be limited by the water-side film<sup>21</sup> and  
288 isotopic enrichment factors usually reflect the rate limiting process or reaction (see also  
289 discussion below).<sup>35</sup>

290 Evaporation experiments of pure TCM and TCE were also carried out during this study. The  
291 carbon isotope enrichment factor determined for evaporation of TCE yielded a value of  
292  $\epsilon_{\text{Cevap}} = +0.46 \pm 0.10$  mUr. This  $\epsilon_{\text{Cevap}}$  is in good agreement with previously published  
293 enrichment factors for this compound ranging from +0.24 to +0.35 mUr.<sup>9, 10, 14, 17</sup> The  $\epsilon_{\text{Cevap}}$  for  
294 TCM was published in our former study and is given in Table 1 ( $\epsilon_{\text{Cevap}} = +1.20 \pm 0.10$  mUr).<sup>15</sup>  
295 Chlorine isotope measurements for pure phase evaporation of TCM and TCE yielded  $\epsilon_{\text{ClEvap}}$  of  
296  $1.14 \pm 0.11$  mUr and  $1.01 \pm 0.10$  mUr, respectively. The enrichment factor of pure phase  
297 evaporation of TCE was determined previously with values ranging from 1.35 mUr to  
298 1.82 mUr.<sup>9, 10, 14</sup> All enrichment factors from the current and previous studies indicate that  
299 evaporation of pure phase compounds produces a significant, but in contrast to carbon, a normal  
300 chlorine isotope effect. For hydrogen isotopes, pure phase evaporation of TCM and TCE  
301 generated significant inverse enrichment factors ( $\epsilon_{\text{Hevap}}$ ) of  $+14 \pm 2$  mUr for TCM and  
302  $+8 \pm 1$  mUr for TCE. The  $\epsilon_{\text{Hevap}}$  for pure phase TCE agrees well with the +9 mUr reported by  
303 Poulson et al.<sup>10</sup>

304 These comparisons of the results from evaporation of pure organics and volatilization of  
305 dissolved organics reveal important properties of these compounds. Enrichment factors ( $\epsilon_{\text{vol}}$ )  
306 measured for the isotopes of all elements (H, C, and Cl) in TCM and TCE were always smaller  
307 than enrichment factors obtained for evaporation of the pure phase ( $\epsilon_{\text{evap}}$ , Table 1). Hydrogen

308 ( $\epsilon_{\text{Hvol}}$ ) and carbon isotope effects ( $\epsilon_{\text{Cvol}}$ ) became very small or insignificant if dissolved  
309 compounds volatilized from water whereas  $\epsilon_{\text{Clvol}}$  for chlorine decreased to about 25-30 % of the  
310 magnitude of the pure phase  $\epsilon_{\text{ClEvap}}$ . These results may corroborate the previous hypothesis that  
311 isotope fractionation during volatilization of volatile nonpolar compounds from water is  
312 inhibited due to the rate limitation of the slow mass transfer in the water.<sup>15</sup> These results may  
313 also be in line with the assumption that diffusion in the liquid boundary layer could be  
314 responsible for the measured fractionation but results from dissolution experiments do not  
315 support this hypothesis (see discussion below). Overall, our measurements demonstrate that  
316 volatilization isotope effects may be considered largely negligible for these chemicals in  
317 environmental surface water samples if they were subject to volatile loss. This fact was  
318 previously shown for stable carbon isotopes<sup>15</sup> but the current study also demonstrates this fact for  
319 hydrogen and chlorine isotopes. Similarly, incomplete extraction with pre-concentration methods  
320 such as purge and trap should also not cause significant H and Cl isotope fractionation as  
321 previously already shown for stable carbon isotopes.<sup>25, 36</sup>

### 322 **3.2 Dissolution of gas phase organic compounds in water.**

323 Isotope effects for the kinetic transfer of organic compounds from air to the aqueous phase are,  
324 to our knowledge, not reported in the literature yet. Dissolution is the reversal of volatilization  
325 and thus it may provide additional insights into phase change processes and validity of models.  
326 In our experiments, dissolution generated consistently inverse carbon isotope fractionation  
327 ( $\epsilon_{\text{Cdiss}}$ ) of  $1.3 \pm 0.1$  mUr and  $1.0 \pm 0.1$  mUr measured in TCM and TCE, respectively. In  
328 dissolution experiments, heavier isotopologues should dissolve faster due to lower zero-point  
329 vibrational energies and resulting lower volatility.<sup>33</sup> Taking into account the direction of the  
330 transfer (air–dissolved phase), a positive  $\epsilon$ -diss describes a normal isotope effect. The dissolved

331 compound in the water should become more enriched in heavy isotopes compared to the gas  
332 phase. Such depletion of the substrate reservoir leading to positive enrichment factors was also  
333 reported for rainout effects of pure water in the atmosphere, for example. Correspondingly, a  
334 negative enrichment factor indicates an inverse effect for transfer from air to the dissolved phase  
335 during which the gas phase becomes more enriched in heavy isotopes. Hydrogen isotope effects  
336 for dissolution were inverse (enrichment in the gas phase) and small/insignificant for both TCE  
337 and TCM ( $\epsilon_{\text{Hdiss}} = 3 \pm 2$  mUr and  $2 \pm 3$  mUr). The  $\epsilon_{\text{Cl diss}}$  for  $\delta^{37}\text{Cl}$  of TCM and TCE were very  
338 small but Rayleigh plots showed statistically significant regressions (95 % confidence interval,  
339  $p < 0.05$ ) with  $+0.07 \pm 0.03$  mUr and  $+0.12 \pm 0.06$  mUr for TCM and TCE, respectively.  
340 Enrichment factors indicate normal isotope effects, that is, the molecules containing the heavier  
341 chlorine dissolve faster and the gas phase becomes more depleted.

342 These measured enrichment factors demonstrate that for hydrogen and chlorine isotopes gas  
343 phase dissolution caused similarly small fractionation effects as during volatilization. Stable  
344 carbon isotopes, however, showed significant inverse fractionation (enrichment of the gas phase)  
345 during dissolution, in contrast to the insignificant fractionation observed during volatilization.  
346 These different isotope effects might be explained with the absence of molecular interactions  
347 (van der Waals) in the gas phase due to the much larger distances between molecules.<sup>21</sup> During  
348 volatilization from water, however, isotope fractionation may be suppressed by mass transfer  
349 limitations due to stronger molecular interactions in the aqueous phase as hypothesized  
350 previously.<sup>15</sup> Overall, these results demonstrate that isotope fractionation patterns caused by gas  
351 phase dissolution may be different from the opposite phase transfer volatilization. Consequently,  
352 the direction of the kinetic phase transfer (waterair or airwater) should be considered if the fate  
353 of an organic contaminant in the environment is investigated with isotopic methods.



355 **3.3 Equilibrium isotope effects of compounds dissolved in water and in pure substances.**

356 Equilibration of compounds dissolved in water with air yielded inverse carbon isotope  
357 enrichment factors ( $\epsilon_{\text{C}}^{\text{equ}_{\text{wat}}}$ ) of  $+1.4 \pm 0.2$  mUr and  $+0.7 \pm 0.1$  mUr for TCM and TCE which is  
358 in accordance with previously published enrichment factors of  $+1.5$  mUr and  $+0.4$  to  $+0.6$  mUr,  
359 respectively.<sup>14, 37</sup> Stable chlorine isotope measurements revealed normal  $\epsilon_{\text{Cl}}^{\text{equ}_{\text{wat}}}$  of  
360  $0.24 \pm 0.10$  mUr for TCM and  $0.12 \pm 0.16$  mUr TCE. One previous study reported an  $\epsilon_{\text{Cl}}^{\text{equ}_{\text{wat}}}$  of  
361  $0.07$  mUr for TCE which is in good agreement with our findings.<sup>14</sup> Even though  $\epsilon_{\text{Cl}}^{\text{equ}_{\text{wat}}}$  values  
362 were close to or within analytical uncertainty, a consistently normal chlorine isotope effect was  
363 found in all cases. Stable hydrogen isotope analysis of TCM and TCE revealed small inverse  
364  $\epsilon_{\text{H}}^{\text{equ}_{\text{wat}}}$  of  $+7 \pm 3$  mUr and  $+5 \pm 3$  mUr, respectively.

365 Equilibrium partitioning of organics between pure substances and air generated overall larger  
366 fractionation effects than for dissolved compounds. For carbon,  $\epsilon_{\text{C}}^{\text{equ}_{\text{pure}}}$  of  $+1.0 \pm 0.3$  mUr for  
367 TCE and  $+2.2 \pm 0.4$  mUr for TCM were obtained. Literature values were available for pure  
368 phaseair equilibration of TCE which were slightly smaller ( $+0.1$  to  $+0.8$  mUr)<sup>14, 38</sup> compared to  
369  $+1.0 \pm 0.3$  mUr found in the present study. Stable chlorine isotope measurements yielded very  
370 small and partially insignificant normal  $\epsilon_{\text{Cl}}^{\text{equ}_{\text{pure}}}$  of  $0.06 \pm 0.09$  mUr and  $0.11 \pm 0.05$  mUr for  
371 pure phase equilibration of TCM and TCE with air, respectively. Previously reported  $\epsilon_{\text{Cl}}^{\text{equ}_{\text{pure}}}$   
372 agree for TCM ( $0.1$  mUr)<sup>39</sup> but differ slightly for TCE ( $0.39$  mUr)<sup>14</sup> which may be attributed to  
373 the different experimental method to determine these equilibrium isotope effects (stepwise  
374 equilibration for TCE). Hydrogen isotope measurements for pure phase–air equilibrium  
375 partitioning has not been reported in the literature. Our experiments indicated significant inverse  
376 isotope effects ( $\epsilon_{\text{H}}^{\text{equ}_{\text{pure}}}$ ) for TCM and TCE with  $+16 \pm 5$  mUr and  $+17 \pm 4$  mUr.

377 In general, equilibrium isotope effects of hydrogen and carbon measured for the pure and  
378 dissolved organic phases of TCE and TCM were always larger than evaporation and  
379 volatilization isotope effects. For chlorine, in contrast, evaporation isotope effects were larger  
380 than for pure phase equilibrium. Compounds dissolved in water showed comparably small  
381 chlorine isotope fractionation under equilibrium and kinetic conditions.

### 382 **3.4 Evaluation of current hypotheses for volatilization isotope effects of organics from** 383 **water**

384 The data collected throughout this study was used to test current hypotheses for the occurrence  
385 of isotope effects during continuous volatilization of organics dissolved in water. Current  
386 hypotheses assume that isotope effects are caused, according to common transport models, either  
387 by diffusion alone<sup>20</sup> (I) or a combination of diffusion and VPIE<sup>14</sup> (II, CG model, see section 2.5  
388 for details). A third hypothesis assumed transport limitations or molecular interactions in the  
389 water being responsible for observed volatilization isotope effects (III).<sup>15</sup>

390 For TCE and TCM dissolved in water, the stagnant water layer controls transfer according to  
391 common classifications of organic substances<sup>21</sup> and diffusion in water may potentially dominate  
392 transfer velocities (I). Wanner and Hunkeler<sup>40</sup> reported diffusive fractionation factors of  
393  $\alpha_{131/130} = 0.99978$  and  $\alpha_{132/130} = 0.99963$  for TCE isotopologues in water. These fractionation  
394 factors correspond to  $\epsilon_{Cdiff}$  and  $\epsilon_{Hdiff}$  of 0.22 mUr (Equation 6) and  $\epsilon_{Cliff}$  of 0.37 mUr and  
395 agree within analytical uncertainty with the  $\epsilon_{Cvol}$  and  $\epsilon_{Clvol}$  measured for TCE in the present  
396 study (Table 1). For the opposite air-water transfer (dissolution), however, measured enrichment  
397 factors for the three elements do not agree with the occurrence of diffusive isotope effects. Here,  
398  $\epsilon_{Cdiss}$  of  $1.3 \pm 0.1$  mUr and  $1.0 \pm 0.1$  mUr were obtained for stable carbon isotopes in TCM and  
399 TCE but only insignificant fractionation was observed for hydrogen and chlorine in the same

400 molecules. The two-film theory assumes a water-side limitation for TCE and TCM based on the  
401 physical properties (e.g. diffusivity) of these two compounds. If diffusion was the sole or  
402 dominating fractionating process, measured enrichment factors for dissolution would be  
403 similarly small (or insignificant) as those observed in volatilization experiments. Hence, our  
404 experiments indicate that diffusion may not be the main fractionating process during water-air  
405 and also not during air-water transfer of these compounds.

406 The Craig-Gordon model (II) not only considers diffusion in the stagnant gas layer, but it also  
407 includes vapor pressure isotope effects (VPIE) to account for the slightly different tendencies of  
408 heavy and light isotopologues to evaporate. Stable carbon isotope fractionation for evaporation  
409 of pure substances calculated by the CG model ( $\epsilon_{C\text{-evap}_{CG}}$ ) agree reasonably well with measured  
410  $\epsilon_{C\text{-evap}}$  but larger variations occur for hydrogen ( $\epsilon_{H\text{-evap}}$ ) in TCE and chlorine ( $\epsilon_{Cl\text{-evap}}$ ) in  
411 TCM (Table 1). Previous studies investigating stable carbon isotope fractionation during  
412 evaporation of pure organics also achieved a satisfying fit of measured and calculated data.<sup>17</sup> The  
413 measurement of all three isotopes, however, reveals that not all elements can be satisfactorily  
414 described by the Craig-Gordon model.

415 Similar discrepancies are observed, if the CG model is used to calculate volatilization isotope  
416 effects of compounds dissolved in water. Here, calculated enrichment factors for chlorine ( $\epsilon_{Cl\text{-evap}_{CG}}$ )  
417 deviate by 1.28 mUr (TCE) and 1.67 mUr (TCM) from measured  $\epsilon_{Cl\text{Vol}}$  even though  
418 carbon and hydrogen isotope enrichment factors from the CG model are in satisfactory  
419 agreement with measured  $\epsilon_{H\text{Vol}}$  and  $\epsilon_{C\text{Vol}}$ . The likely reason for the discrepancy might be the  
420 overestimated diffusive isotope effects derived from equation 3 for gas phase diffusion. Due to  
421 the physical properties of TCE and TCM, transport should be controlled by the water-side film of

422 the boundary layer<sup>21</sup> and hence the assumption of gas-phase diffusion in the CG model is  
423 misleading.

424 Generally, diffusion of organics in the gas phase may only influence the isotopic composition of  
425 organics in the aqueous phase, if organics dissolve back into the aqueous phase. This dissolution  
426 is possible only if, to some extent, equilibrium is reached between gas phase and aqueous phase.  
427 However, if compounds volatilize rapidly, equilibration of the gas-side boundary layer with the  
428 organics in the aqueous phase is quite unlikely. Without equilibration, dissolution of gas phase  
429 organics is rather negligible. This means that even if diffusive fractionation occurred in the gas  
430 phase, it would not have changed the isotopic composition in the aqueous phase. Such scenarios  
431 are conceivable only for pure water or less volatile organic compounds (pure or dissolved) where  
432 liquid-gas exchange rates are slow enough to allow for a partial equilibration between gas phase  
433 and liquid. Thus, a backward dissolution into the liquid phase may occur and, given enough time,  
434 homogeneous mixing of the liquid reservoir is possible. Hence the CG model, in its current form,  
435 may only be applicable for water or less volatile organic compounds that evaporate/volatilize on  
436 a slower rate than the tested compounds.

437 Another hypothesis proposed that insignificant carbon isotope enrichment factors during  
438 volatilization are simply the result of transport limitations and/or molecular interactions in the  
439 aqueous phase.<sup>15</sup> In this current study also hydrogen and chlorine isotope fractionation was  
440 largely insignificant or small which would be consistent with the presence of a rate-limiting non-  
441 fractionating transfer in the aqueous phase. Such limitation should, however, not occur in the  
442 gas-phase during dissolution experiments and this fact is also reflected in the data. Here, stable  
443 carbon isotope measurements showed a significant inverse isotope effect which can be  
444 rationalized with dissolution of the lighter isotopologues. Hydrogen and chlorine isotope effects

445 for TCE and TCM dissolved in water were not only small for dissolution experiments but also  
446 for volatilization and under equilibrium conditions. Hence, H and Cl isotopes seem to generally  
447 show only small fractionation for phase transfer between gas phase and water. For stable carbon  
448 isotopes, transfer limitations during volatilization and vapor pressure differences during  
449 dissolution are conceivably the drivers of isotope fractionation, or the lack thereof.

#### 450 **4 Conclusion**

451 In this study we provide experimental evidence that isotope fractionation for the three elements  
452 in TCM and TCE is insignificant (H, C) or small (Cl) during continuous volatilization of  
453 chlorinated organics from water. The results seem to confirm a previous hypothesis that  
454 fractionation of these volatile, nonpolar compounds is suppressed due to transport limitations in  
455 the aqueous phase. It is conceivable that this absence of fractionation may be generally observed  
456 for other important volatile halogenated compounds such as chlorinated ethenes, haloforms, and  
457 methyl halides.

458 Results from volatilization experiments might also be indicative for a diffusive isotope effect in  
459 the liquid boundary layer but dissolution experiments did not confirm this hypothesis. Measured  
460 results were not in agreement with enrichment factors calculated by the Craig Gordon model  
461 because both, diffusion in air and the effect of the different vapor pressures, would have caused  
462 significantly larger isotope fractionation which was not observed. Consequently, the CG model  
463 and the underlying processes should not be generally assumed for continuous volatilization of  
464 nonpolar chlorinated organics from surface water.

465 Our results may also have important implications for environmental studies of volatile  
466 halogenated compounds which are pollutants in groundwater<sup>3, 4</sup> and the atmosphere.<sup>2</sup> For

467 groundwater pollutants additional work will be necessary to fully understand the influence of  
468 phase transfer on the isotopic composition in the saturated zone of the aquifer. Recent studies  
469 indicated that gas phase diffusion in the porous sediments of the unsaturated zone might cause  
470 more complicated volatilization scenarios (movement through small pore spaces of various sizes,  
471 presence of pore water, etc).<sup>14, 41</sup> For atmospheric pollutants such as haloforms, methyl halides  
472 and (hydro-) chlorofluorocarbons the current study has direct implications. Isotopic methods are  
473 increasingly used to apportion sources and to quantify degradation of these substances. In this  
474 context, phase transfer isotope effects only need to be considered for certain scenarios. The  
475 current study demonstrates that volatilization isotope effects are largely negligible when organics  
476 are emitted from water to the atmosphere; for instance, for compounds produced by algae, such  
477 as methyl halides and haloforms. In contrast, for dissolution of these chemicals from the  
478 atmosphere in surface waters, or when equilibrium conditions are established, fractionation  
479 might occur for the isotopes of some elements and this fact needs to be considered in  
480 environmental studies where air-water transfer of organics is involved.

#### 481 **Conflict of interest**

482 There are no conflicts of interest to declare

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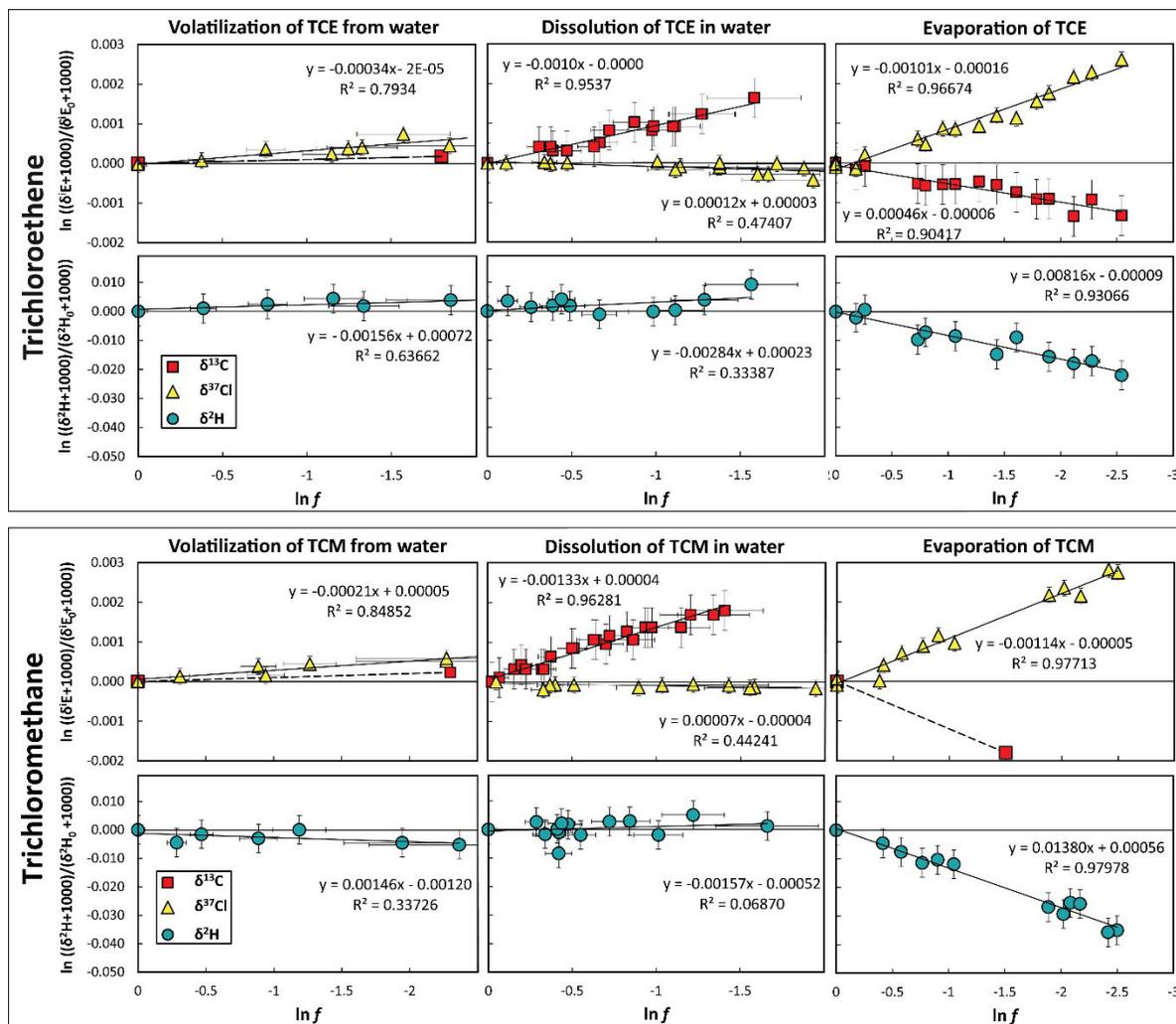
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611

612 Figure 1: Rayleigh plots of isotopic signatures ( $\delta^2\text{H}$ ,  $\delta^{13}\text{C}$ ,  $\delta^{37}\text{Cl}$ ) measured during volatilization

613 (dynamic experiment), dissolution, and evaporation of TCM and TCE. The regression lines were

614 not forced through the origin according to recommendations by Scott et al.<sup>42</sup> All experiments615 were carried out at  $23 \pm 1^\circ\text{C}$ . The slope of the regression line indicates the enrichment factor  $\epsilon$  in

616 Ur. For volatilization of compounds dissolved in water each data point is the result of a separate

617 sacrificial sample. For dissolution, data points were obtained from 5 individual experiments each

618 including 5 measurements per experiment. Values for evaporation of the pure phase were

619 obtained from three separate experiments each measured 5-7 times during progressive  
620 evaporation. Stable carbon isotope measurements of a previous study were included with a  
621 dashed trendline and a single marker and corresponding enrichment factors are included in Table  
622 1 along with the data of the present study<sup>15</sup>. Error bars indicate the total uncertainty, including  
623 accuracy, reproducibility, linearity, and scale normalization, for a measurement of  $\delta^2\text{H}$ ,  $\delta^{13}\text{C}$ , and  
624  $\delta^{37}\text{Cl}$  which amount to 5 mUr, 0.5 mUr, and 0.2 mUr respectively<sup>23, 24, 31</sup>. Analytical uncertainty  
625 for concentration measurements was usually better than 5 % for compounds dissolved in water  
626 and better than 0.5 % for pure phase compounds.



TCE	+16.8	3.7	6	+8.2	1.4	12	-0.69	+16.2	+5.2	2.6	8	-2.8	2.3	10	-1.6.	<sup>1</sup> <sub>4</sub> 6	+4.5
TCM	+15.6	4.8	7	+13.8	1.7	12	-0.82	+14.8	+7.4	2.7	5	-1.6	3.0	14	+1.5.	<sup>2</sup> <sub>4</sub> 7	+6.6
Carbon [mUr]																	
TCE	+1.0	0.3	9	+0.5	0.1	15	-0.69	+0.3	+0.7	0.1	9	-1.0	0.1	16	+0.1*	<sup>0</sup> <sub>2</sub> 8	±0.0
TCM	+2.2	0.4	10	+1.2*	0.1	12	-0.82	+1.4	+1.4	0.2	9	-1.3	0.1	23	+0.1*	<sup>0</sup> <sub>1</sub> 7	+0.6
Chlorine [mUr]																	
TCE	-0.11	0.05	6	-1.01	0.10	15	-1.37	-1.43	-0.12	0.16	6	+0.12	0.06	15	-0.34.	<sup>0</sup> <sub>5</sub> 8	-1.62
TCM	-0.06	0.09	7	-1.14	0.11	12	-1.64	-1.70	-0.24	0.10	7	+0.07	0.03	17	-0.21.	<sup>0</sup> <sub>1</sub> 7	-1.88