

This is the final draft of the contribution published as:

Kneis, D., Berendonk, T.U., Heß, S. (2019):

High prevalence of colistin resistance genes in German municipal wastewater

Sci. Total Environ. **694**, art. 133454

The publisher's version is available at:

<http://dx.doi.org/10.1016/j.scitotenv.2019.07.260>

High Prevalence of Colistin Resistance Genes in German Municipal Wastewater

Kneis, David^{a*,b}, Berendonk, Thomas U.^a, Heß, Stefanie^{a,c}

^a Institute of Hydrobiology, TU Dresden, Germany

^b Helmholtz Centre for Environmental Research – UFZ, Magdeburg, Germany

^c Dept. of Microbiology, University of Helsinki, Finland

* Corresponding author: david.kneis@tu-dresden.de

1 Abstract

2 Bacterial resistance against the last-resort antibiotic colistin is of increasing
3 concern on a global scale. Wastewater is suspected to be one of the pathways
4 by which resistant bacteria and the respective genes are disseminated. We
5 employed a metagenomics approach to detect and quantify colistin resistance
6 genes in raw municipal wastewater sampled at 9 locations all over Germany
7 (14 samples in total, collected in 2016/2017). Our data support the findings
8 of earlier studies according to which the prevalence of the colistin resistance
9 gene *mcr-1* is still low. However, we were able to demonstrate that the total
10 prevalence of colistin resistance genes is dramatically underestimated if the
11 focus is put on that specific gene alone. In comparison to *mcr-1*, other gene
12 variants like *mcr-3* and *mcr-7* proved to be 10 to 100 times more abundant in
13 samples of untreated wastewater. The average relative abundances expressed
14 as copies per 16S rRNA gene copies were 2.3×10^{-3} for *mcr-3*, 2.2×10^{-4} for
15 *mcr-4*, 3.0×10^{-4} for *mcr-5*, and 4.4×10^{-4} for *mcr-7*. While these four gene
16 variants were ubiquitous in all 14 samples, *mcr-1* was detected only once at a
17 relative abundance of 1.4×10^{-5} . Our results suggest a high risk of increasing
18 incidence of colistin resistance as large amounts of *mcr* genes are continuously
19 disseminated to diverse microbial communities via the wastewater path.

20 **Keywords:** antibiotic resistance genes, colistin, *mcr*, wastewater, Germany, metage-
21 nomics

22 1 Introduction

23 Polymyxin E (aka colistin) is a polypeptide antibiotic with bactericidal ef-
24 fects on Gram negatives. Although being available for about 60 years, this
25 drug has never been a first-choice antibiotic for human therapy owing to its
26 neuro- and nephrotoxicity (Spapen et al., 2011). In spite of such side effects,
27 the rapid proliferation of bacterial resistances against other classes of an-
28 timicrobials has pushed colistin on the current list of last-resort antibiotics
29 (World Health Organization, 2017). Reports on the frequent detection of
30 *mcr-1*, a gene conveying resistance against colistin, in municipal wastewater
31 are thus alarming (Hembach et al., 2017; Lekunberri et al., 2017). These
32 and other studies that screened wastewater for resistance genes, e.g. Pärnä-
33 nen et al. (2019), relied on real-time PCR (qPCR) which is highly sensitive
34 but has a narrow focus dictated by the chosen primers. In this work, we
35 employed a metagenomics approach to search for colistin resistance genes
36 in raw municipal wastewater. Although being less sensitive than qPCR,
37 metagenomics allows samples to be scanned for a multitude of antibiotic
38 resistance genes (ARG) including those *mcr* gene variants that were first
39 described only recently (e.g. Yin et al., 2017; Yang et al., 2018).

40 2 Material and methods

41 Raw wastewater was collected in 2016/2017 at nine locations distributed
42 over Germany. Four of the sites were visited multiple times with a delay of
43 several weeks (total $n = 14$; see Table 1 for details). Samples were stored in
44 1 L sterile glass bottles at 4 °C and DNA was extracted within 24 h using
45 the PowerWaterKit (MoBio, Vancouver, Canada) from volumes of 100 mL
46 (highly turbid samples) or 200 mL (less turbid samples). DNA samples
47 were shotgun-sequenced (2×150 bp) on a MiSeq device (GATC Biotech AG,
48 Konstanz, Germany) and the quality checked forward reads were aligned to
49 the latest (2019-03-05) Resfinder data base (Zankari et al., 2012). To make
50 ARG prevalences comparable across samples and studies, we report relative
51 gene abundances instead of absolute counts. Hence, we scaled the number
52 of ARG copies in a sample by the corresponding number of 16S rRNA gene
53 copies, the latter being a proxy for total bacterial abundance. We employed
54 METAXA2 (Bengtsson-Palme et al., 2015, version 2.1.3) to quantify 16S

55 rRNA genes. Data of replicate samples taken at different points in time
56 (Table 1) were pooled.

57 qPCR-based estimates of resistance gene abundances were obtained ac-
58 cording to the protocol of Heß et al. (2018). Relevant references for additional
59 primers are Hembach et al. (2017) (*mcr-1*) and Peak et al. (2007) (*tetM*).

60 Data analysis was conducted with R version 3.4.4 (R Core Team, 2017).
61 Confidence intervals for observed relative gene abundances were computed
62 with `binom.test`. Quantiles of the binomial distribution forming the basis of
63 Fig. 2 were computed with `qbinom`. Pearson and rank-based correlation coef-
64 ficients were calculated with `cor` choosing method "pearson" or "spearman",
65 respectively.

Table 1: Characteristics of the analyzed samples of raw wastewater collected throughout Germany. Subscripts in site codes denote individual sewers serving the same treatment plant. All plants perform secondary treatment combined with nitrogen and phosphorus removal.

Site code	Region	Plant capacity (popul. eq.)	Treated vol. (m ³ d ⁻¹)	Temporal replicates	Analyzed reads (≈ 150 bp each)
A	South	70,000	12,000	2	37–60 × 10 ⁶
B	East	100,000	26,000	3	45–89 × 10 ⁶
C	South	180,000	22,000	2	41–44 × 10 ⁶
D ₁	North	2,220,000	350,000	1	37 × 10 ⁶
D ₂	North	2,220,000	350,000	1	59 × 10 ⁶
E	West	470,000	50,000	1	58 × 10 ⁶
F ₁	West	1,300,000	220,000	1	45 × 10 ⁶
F ₂	West	1,300,000	220,000	1	48 × 10 ⁶
G	East	300,000	80,000	2	38–68 × 10 ⁶

66 3 Results and discussion

67 In accordance with expectation from earlier qPCR-based studies (Hembach
68 et al., 2017; Lekunberri et al., 2017), the relative abundance of the *mcr-1*
69 gene in untreated municipal wastewater was low. In fact, we detected *mcr-1*
70 in only one out of 14 samples (site B) and the estimated relative abundance
71 was less than 10⁻⁴ copies (16S rRNA gene copies)⁻¹ with 95% confidence
72 (Fig. 1). However, we observed unexpectedly high relative abundances of
73 other recently discovered colistin resistance gene variants. These include
74 *mcr-3* (Yin et al., 2017), *mcr-4* (Carattoli et al., 2017), *mcr-5* (Borowiak

75 et al., 2017), and *mcr-7* (Yang et al., 2018). Each of these gene variants
 76 was consistently detected in all samples including those taken on different
 77 dates at the sites A, B, C, and G (Table 2). Most notably, we found relative
 78 abundances of *mcr-3* genes in the range of 10^{-3} to 10^{-2} copies (16S rRNA
 79 gene copies) $^{-1}$ at seven of nine sampling sites. The overall relative abundance
 80 of colistin resistance genes (3.2×10^{-3} copies (16S rRNA gene copies) $^{-1}$ on
 81 average) was on par with genotypic resistances against more common classes
 82 of antibiotics like phenicols (2.4×10^{-3}) or quinolones (3.3×10^{-3}).

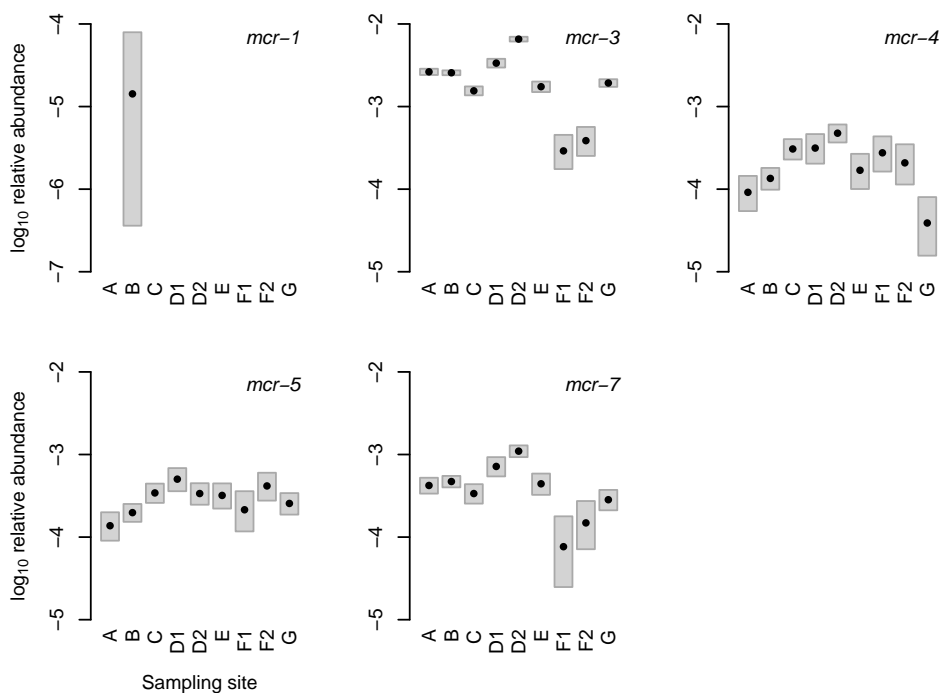


Figure 1: Prevalence of *mcr* genes in raw wastewater sampled at sites A–G (Tab. 1). Dots indicate observed values, boxes represent 95% confidence intervals. Note that scales differ between *mcr-1* and the other gene variants.

83 For the purpose of validation, we checked the BLAST e-values serving
 84 as an indicator for the quality of sequence alignments. We found that over
 85 90% of the hits were associated with e-values $< 10^{-29}$ for *mcr-3*, $< 10^{-68}$
 86 for *mcr-4*, $< 10^{-59}$ for *mcr-5*, and $< 10^{-7}$ for *mcr-7*, indicating low proba-
 87 bilities of false positives. Additionally, we compared ARG counts delivered
 88 by the metagenomics approach with those obtained by qPCR for a set of 14
 89 resistance genes. In the single instance where *mcr-1* was detected with the

Table 2: Frequency of detection and average relative abundance of *mcr* gene variants in raw wastewater sampled at sites A–G.

Gene variant	Positive samples	Average relative abundance (copies per 16S rRNA gene copies)
<i>mcr-1</i>	1/14	$<1.4 \times 10^{-5}$
<i>mcr-3</i>	14/14	2.3×10^{-3}
<i>mcr-4</i>	14/14	2.2×10^{-4}
<i>mcr-5</i>	14/14	3.0×10^{-4}
<i>mcr-7</i>	14/14	4.4×10^{-4}

90 metagenomics approach, the estimated relative abundance was compatible
91 with the qPCR measurement of 6.6×10^{-6} copies (16S rRNA gene copies)⁻¹.
92 For more common ARG, the metagenomics-based relative abundances corre-
93 lated very well with their qPCR-based equivalents. For example, the Pearson
94 correlation coefficients were > 0.98 for *sul1* and *tetM* and > 0.93 for *ermB*.
95 The metagenomics- and qPCR-based estimates generally matched well when
96 the relative abundance of the gene of interest was 10^{-3} or above. In those
97 cases, the deviation between the two methods' results hardly exceeded factor
98 5. For rare genes with relative abundances of 10^{-4} to 10^{-5} we still observed
99 good matches but, at the same time, the metagenomics approach produced
100 an increasing amount zero values resulting in an overall negative bias. This
101 is in accordance with probability theory: Considering $\approx 10^5$ 16S rRNA gene
102 copies per sample, the binomial distribution model predicts a notable ten-
103 dency towards underestimation as the relative abundance of the gene of
104 interest falls below a threshold of about 5×10^{-5} (Fig. 2).

105 Importantly, a marked overestimation of ARG abundances by the metage-
106 nomics approach was not observed for any of the 14 genes measured with
107 qPCR. At the same time, theory suggests that metagenomics-based estimates
108 are rather prone to negative than to positive bias (Fig. 2). Therefore, the
109 relative gene abundances reported in Fig. 1 represent conservative estimates
110 in the sense that the true prevalences could be even higher.

111 In spite of the fact that most sites were sampled only once, Fig. 1 shows
112 a largely consistent ranking of the different *mcr* gene variants in terms of
113 their relative abundance. Namely the pattern $mcr-3 > mcr-7 > mcr-1$ holds
114 for all sites. Differences in the relative abundance of particular gene variants
115 might reflect contrasting prevalences in the bacterial community as a whole.

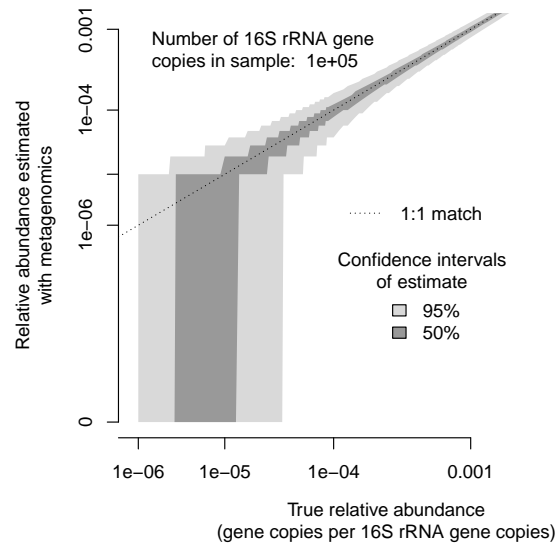


Figure 2: Expected accuracy of the metagenomics approach. The assumed number of 16S rRNA gene copies (10^5) is representative for the samples listed in Table 1.

116 Alternatively, the ranking could be explained by contrasting abundances of
 117 particular strains harboring different variants of *mcr*. A rank-based cor-
 118 relation analysis revealed that the occurrence of *mcr-7* is closely linked to
 119 that of *mcr-3* (Spearman’s $\rho = 0.88$, $p < 0.01$). A weaker correlation was
 120 found between *mcr-4* and *mcr-5* ($\rho = 0.67$, $p = 0.06$). The co-occurrence of
 121 the respective *mcr* gene variants in the genome of a specific strain provides
 122 a possible explanation. One would expect a particularly close correlation
 123 if the respective gene variants co-occur on mobile genetic element that in-
 124 trude a substantial proportion of the bacterial community via horizontal gene
 125 transfer.

126 The differences between sampling locations observed for specific gene
 127 variants (Fig. 1) cannot be sufficiently explained out of the current data set.
 128 For example, a significant relationship between the relative abundances of
 129 *mcr* genes and the capacity of the receiving plant could not be established.
 130 Neither is the geographic region alone a suitable predictor: E.g. sampling
 131 sites A and B exhibit similar values for all gene variants although they are
 132 about 350 km away from each other. Nevertheless, Pärnänen et al. (2019)
 133 have demonstrated on a much larger data set that the abundance of resis-

134 tance genes in wastewater mirrors the situation in the source area in terms of,
135 e.g. antibiotic consumption and the prevalence of resistant bacteria. From
136 that point of view, a hot spot of colistin resistance would be expected in the
137 source area of the sampling sites D1 and D2. Among the visited location,
138 these two sites exhibit the highest relative abundances for most *mcr* gene
139 variants.

140 Our study supports the opinion of [Bardet and Rolain \(2018\)](#) accord-
141 ing to which combined methodologies that include molecular and genomic
142 techniques are needed to track the prevalence and proliferation of colistin
143 resistances. The specific advantage of metagenomics lies in its capability to
144 detect and quantify the full spectrum of ARG with known signatures. This is
145 in contrast to PCR-based approaches (see [Rebelo et al., 2018](#)) which are su-
146 perior in terms of sensitivity but limited with respect to the set of detectable
147 ARG.

148 Our data suggest that the overall prevalence of colistin resistance genes
149 in raw wastewater is higher than previously known. Seen from a one-health
150 perspective, our results call for immediate action since a massive, continuous
151 dissemination of *mcr* genes is very likely to promote the emergence of phe-
152 notypic colistin resistance in potential pathogens. This is mainly because
153 all currently known *mcr* gene variants have been found on mobile genetic
154 elements ([Borowiak et al., 2017](#); [Carattoli et al., 2017](#); [Lekunberri et al.,](#)
155 [2017](#); [Yin et al., 2017](#); [Yang et al., 2018](#)). Since we analyzed samples of raw
156 wastewater only, we cannot make reliable statements about the impact on
157 receiving water bodies. However, the elimination of bacteria and ARG in
158 wastewater through conventional activated sludge treatment is known to be
159 incomplete ([Lüddeke et al., 2015](#); [Pärnänen et al., 2019](#)) and a significant
160 discharge of *mcr* genes into natural waters is thus likely.

161 First of all, we propose *mcr* genes to be traced back along sewer systems
162 to identify the relative contribution of sources, including households, health
163 care facilities, livestock farming sites (see, e.g., [Apostolakos and Piccirillo,](#)
164 [2018](#)), and slaughterhouses. Secondly, we suggest to also study the preva-
165 lence of *mcr* in treated wastewater so as to quantify the gene loads that
166 the receiving water bodies are confronted with. Finally, an extension of the
167 study region appears to be a valuable complement to current European ac-
168 tivities targeted at elucidating the occurrence, geographic distribution and
169 population dynamics of colistin resistant isolates with genomic methods (see

170 [European Centre for Disease Prevention and Control, 2018](#)).

171 **Acknowledgements**

172 Funding for this study has been provided by the German Federal Ministry
173 of Education and Research [grant number 02WRS1377D] and the German
174 Research Foundation (“Support the Best” pool of TU Dresden). S.H. also
175 acknowledges financial support by the German Research Foundation [grant
176 number HE8047/1].

177 **References**

- 178 Apostolakos, I. and Piccirillo, A. (2018). A review on the current situation
179 and challenges of colistin resistance in poultry production. *Avian Pathol-*
180 *ogy*, 47(6):546–558.
- 181 Bardet, L. and Rolain, J.-M. (2018). Development of new tools to detect
182 colistin-resistance among enterobacteriaceae strains. *Canadian Journal of*
183 *Infectious Diseases and Medical Microbiology*, 2018:Article ID 3095249.
- 184 Bengtsson-Palme, J., Hartmann, M., Eriksson, K. M., Pal, C., Thorell, K.,
185 Larsson, D. G. J., and Nilsson, R. H. (2015). metaxa2: improved identi-
186 fication and taxonomic classification of small and large subunit rRNA in
187 metagenomic data. *Molecular Ecology Resources*, 15(6):1403–1414.
- 188 Borowiak, M., Fischer, J., Hammerl, J. A., Hendriksen, R. S., Szabo, I., and
189 Malorny, B. (2017). Identification of a novel transposon-associated phos-
190 phoethanolamine transferase gene, mcr-5, conferring colistin resistance in
191 d-tartrate fermenting *Salmonella enterica* subsp. *enterica* serovar Paraty-
192 phi B. *Journal of Antimicrobial Chemotherapy*, 72(12):3317–3324.
- 193 Carattoli, A., Villa, L., Feudi, C., Curcio, L., Orsini, S., Luppi, A., Pezzotti,
194 G., and Magistrali, C. (2017). Novel plasmid-mediated colistin resistance
195 mcr-4 gene in *Salmonella* and *Escherichia coli*, Italy 2013, Spain and Bel-
196 gium, 2015 to 2016. *Eurosurveillance*, 22(31):pii=30589.
- 197 European Centre for Disease Prevention and Control (2018). ECDC study
198 protocol for genomic-based surveillance of carbapenem-resistant and/or

- 199 colistin-resistant Enterobacteriaceae at the EU level. Version 2.0. ECDC,
200 Stockholm.
- 201 Hembach, N., Schmid, F., Alexander, J., Hiller, C., Rogall, E. T., and
202 Schwartz, T. (2017). Occurrence of the mcr-1 Colistin Resistance Gene and
203 other Clinically Relevant Antibiotic Resistance Genes in Microbial Popu-
204 lations at Different Municipal Wastewater Treatment Plants in Germany.
205 *Frontiers in Microbiology*, 8:1282.
- 206 Heß, S., Berendonk, T., and Kneis, D. (2018). Antibiotic resistant bacteria
207 and resistance genes in the bottom sediment of a small stream and the
208 potential impact of remobilization. *FEMS Microbiology Ecology*, 94:fiy128.
- 209 Lekunberri, I., Balcázar, J. L., and Borrego, C. M. (2017). Detection and
210 quantification of the plasmid-mediated mcr-1 gene conferring colistin re-
211 sistance in wastewater. *International Journal of Antimicrobial Agents*,
212 50(6):734 – 736.
- 213 Lüddeke, F., Heß, S., Gallert, C., Winter, J., Güde, H., and Löffler, H.
214 (2015). Removal of total and antibiotic resistant bacteria in advanced
215 wastewater treatment by ozonation in combination with different filtering
216 techniques. *Water Research*, 69:243–251.
- 217 Peak, N., Knapp, C. W., Yang, R. K., Hanfelt, M. M., Smith, M. S., Aga,
218 D. S., and Graham, D. W. (2007). Abundance of six tetracycline resistance
219 genes in wastewater lagoons at cattle feedlots with different antibiotic use
220 strategies. *Environmental Microbiology*, 9(1):143–151.
- 221 Pärnänen, K., Narciso-da Rocha, C., Kneis, D., Berendonk, T., Cacace, D.,
222 Do, T., Elpers, C., Fatta-Kassinos, D., Henriques, I., Jaeger, T., Kark-
223 man, A., Martinez, J., Michael, S., Michael-Kordatou, I., O’Sullivan, K.,
224 Rodriguez-Mozaz, S., Schwartz, T., Sheng, H., Sørum, H., Stedtfeld, R.,
225 Tiedje, J., S.V., D., Walsh, F., Vaz-Moreira, I., Virta, M., and Manaia,
226 C. (2019). Antibiotic resistance in european wastewater treatment plants
227 mirrors the pattern of clinical antibiotic resistance prevalence. *Science*
228 *Advances*, 5(3):eaau9124.
- 229 R Core Team (2017). *R: A Language and Environment for Statistical Com-*
230 *puting*. R Foundation for Statistical Computing, Vienna, Austria.

- 231 Rebelo, A., Bortolaia, V., Kjeldgaard, J., Pedersen, S., Leekitcharoenphon,
232 P., Hansen, I., Guerra, B., Malorny, B., Borowiak, M., Hammerl, J., Bat-
233 tisti, A., Franco, A., Alba, P., Perrin-Guyomard, A., Granier, S., De Fru-
234 tos, E., Malhotra-Kumar, S., Villa, L., Carattoli, A., and Hendriksen, R.
235 (2018). Multiplex PCR for detection of plasmid-mediated colistin resis-
236 tance determinants, *mcr-1*, *mcr-2*, *mcr-3*, *mcr-4* and *mcr-5* for surveillance
237 purposes. *Eurosurveillance*, 23(6):pii=17-00672.
- 238 Spapen, H., Jacobs, R., Van Gorp, V., Troubleyn, J., and Honoré, P. M.
239 (2011). Renal and neurological side effects of colistin in critically ill pa-
240 tients. *Annals of Intensive Care*, 1(1):14.
- 241 World Health Organization (2017). WHO Model List of Essential
242 Medicines, 20th List. [https://www.who.int/medicines/publications/
243 essentialmedicines/en/](https://www.who.int/medicines/publications/essentialmedicines/en/).
- 244 Yang, Y., Li, Y., Lei, C., Zhang, A., and Wang, H. (2018). Novel plasmid-
245 mediated colistin resistance gene *mcr-7.1* in *Klebsiella pneumoniae*. *Jour-
246 nal of Antimicrobial Chemotherapy*, 73(7):1791-1795.
- 247 Yin, W., Li, H., Shen, Y., Liu, Z., Wang, S., Shen, Z., Zhang, R., Walsh,
248 T., Shen, J., and Wang, Y. (2017). Novel Plasmid-Mediated Colistin
249 Resistance Gene *mcr-3* in *Escherichia coli*. *mBio*, 8(3):e00543-17.
- 250 Zankari, E., Hasman, H., Cosentino, S., Vestergaard, M., Rasmussen, S.,
251 Lund, O., Aarestrup, F. M., and Larsen, M. V. (2012). Identifica-
252 tion of acquired antimicrobial resistance genes. *Journal of Antimicrobial
253 Chemotherapy*, 67(11):2640-2644.