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1 **Immediate and carry-over effects of increased soil frost on soil respiration**
2 **and microbial activity in a spruce forest**

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21 **Key words:** Snow exclusion, soil enzyme, microbial biomass, nitrogen availability, soil
22 aggregate, fine root

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24 **Running title:** Frost effect on soil carbon cycling

25 **Abstract**

26 Increased soil frost associated with winter climate change could have immediate and
27 carry-over effects on biological processes in high-altitude forest soils, but the nature of these
28 processes remain poorly understood. We conducted a snow-exclusion experiment to
29 investigate the immediate and cross-seasonal effects of increased soil frost on soil CO₂ efflux
30 and biological activity in a subalpine spruce forest on the eastern Tibetan Plateau, China. The
31 increased frost reduced soil CO₂ efflux by ~15 and ~19% in the winters of 2015/2016 and
32 2016/2017, respectively. Increased frost also tended to decrease soil basal respiration, the
33 amount of microbial phospholipid fatty acids and the activities of enzymes involved in soil
34 carbon cycling during the winters. Winter soil nitrogen availabilities were higher in the
35 snow-exclusion treatment than in the control plots. However, these effects did not carry over
36 to the following growing season. Our results suggest that increased frost reduces winter soil
37 respiration by direct environmental effects (e.g. soil temperature) and indirect biological
38 processes (e.g. microbial biomass and activity), whereas increased frost did not induce any
39 cross-seasonal effects. These findings underscore the ecological importance of seasonal
40 snowpack and microbe-associated carbon processes in subalpine forests where winter
41 snowfall is decreasing substantially.

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48 **1. Introduction**

49 Seasonal snow cover is a major control of biogeochemical cycling in cold environments
50 (Jusselme et al., 2016). Many snowy areas at high latitudes and altitudes have experienced
51 substantial climate change in recent decades, and this trend is predicted to continue in this
52 century (IPCC, 2013). Climate-induced changes are particularly rapid in high latitude and
53 alpine ecosystems, where rising temperatures have profound effects on winter conditions,
54 such as snowfall, soil frost and extreme climatic events (Liu et al., 2012; IPCC, 2013). Winter
55 precipitation in these regions is more likely to occur in the form of rain rather than snow due
56 to winter warming (Wang et al., 2016). The lack of insulating snow cover could consequently
57 increase soil frost (Groffman et al., 2001a; Bokhorst et al., 2013), which could in turn have
58 complex and large impacts on soil microbiological and biochemical processes in cold forests.

59 Winter biological processes and their controls are not as well understood as
60 growing-season processes, despite the importance of winter warming and biological activity
61 in cold systems (Sanders-DeMott and Templer, 2017). Recent studies have found that soil
62 biological processes are sensitive to warming-induced changes in winter conditions,
63 especially snow cover and soil frost (Haei and Laudon, 2015; De Long et al. 2016; Li et al.,
64 2016). Snow removal has negative or neutral influences on winter soil respiration in boreal
65 and temperate forests (Groffman et al., 2006; Aanderud et al., 2013; Bokhorst et al., 2013),
66 but changes in snow cover can also alter biological processes in snow-free periods (Muhr et
67 al., 2009; Wubs et al., 2018). Snow exclusion can suppress soil respiration in the snow-free
68 season in high-latitude ecosystems (Öquist and Laudon, 2008; Zhao et al. 2017). To our
69 knowledge, however, soil biological responses to changing soil frost have rarely been
70 investigated in both snow-covered and snow-free periods in the same experiment. A better
71 understanding of the impacts of intensified soil frost on the biotic and abiotic controls over
72 the dynamics of soil C in both snow-covered and snow-free seasons is thus essential for
73 accurately modeling and predicting potential C feedbacks in a warmer world.

74 Altered soil frost may directly and indirectly affect soil C cycling, such as by affecting

75 soil temperature and moisture (Aanderud et al., 2013; Song et al., 2017), soil microbial
76 biomass and activity (Monson et al., 2006; Sorensen et al., 2016) and substrate quality and
77 quantity (Brooks et al., 2004; Steinweg et al., 2008; Comerford et al., 2013). The direction
78 and magnitude of biological responses to increased frost may be determined by the combined
79 effect of these processes. Diverse techniques have provided insight in recent years into the
80 impacts of winter climate change on soil C cycling as the importance of winter processes has
81 increased (Li et al., 2016). Most field-manipulation studies have focused mainly on
82 high-latitude systems, including peatlands and boreal forests (Sanders-DeMott and Templer,
83 2017). Soil biological responses from low-latitude cold systems with unique winter
84 conditions, such as Tibetan subalpine forests, however, remain unknown.

85 The Tibetan Plateau, the Earth's 'Third Pole', has warmed substantially, especially in
86 winter (Chen et al., 2013). Winter snowfall has decreased at a rate of 0.6 mm y⁻¹ in recent
87 decades (Wang et al., 2016; Xu et al., 2017). Seasonal snowpack in this region has unique
88 characteristics, such as shorter duration and shallower depth relative to high latitudes. Winter
89 soil temperature is also near the physical melting point and is sensitive to changes in snow
90 cover (Li et al., 2017). The subalpine forests of southwestern China contain a large amount of
91 soil organic C (Zhang et al., 2013), but most studies of global-change biology have only
92 focused on responses during the growing season (e.g. Xu et al., 2012; 2015; Yin et al., 2013),
93 even though warming is extremely pronounced and microbial activity is unexpectedly high
94 during winter (Wang et al., 2016; Wang et al. 2012; Tan et al., 2014). Future soil frost will
95 also likely affect the biological and environmental controls of soil C cycling in these forests,
96 but the underlying mechanisms of such processes remain unknown.

97 We conducted a snow-manipulation experiment to investigate the immediate and
98 carry-over effects of increased winter frost on soil C cycling in a spruce forest on the eastern
99 Tibetan Plateau. Specifically, we hypothesized that (1) More intense frost in the soil as a result of
100 exclusion of snow would decrease microbial activity and soil respiration in winter; (2)
101 frost-reduced biological processes would carry over into the subsequent snow-free growing
102 season.

103 **2. Materials and methods**

104 **2.1 Site description**

105 The field manipulation experiment was conducted in a dragon spruce (*Picea asperata*
106 Mast.) stand at the Long-term Research Station of Alpine Forest Ecosystems of Sichuan
107 Agricultural University on the eastern Tibetan Plateau of China (31°15'N, 102°53'E; 3021 m
108 a.s.l.). The mean annual precipitation and temperature are 850 mm and 3.0 °C, respectively.
109 Snow generally begins to accumulate in late November and melts in late March the following
110 year. The soil is classified as a Cambic Umbrisol (IUSS Working Group WRB, 2007). The
111 soil (0-15 cm) contains 88.5 g kg⁻¹ organic C and 5.4 g kg⁻¹ nitrogen (N) and has a pH of 6.4
112 (Li et al., 2017).

113 **2.2 Experimental design**

114 Winter snowfall was excluded using shelters to intensify soil frost. Shelters are
115 considered to be a useful tool for studying the responses of soil processes to winter climate
116 change because they can effectively reduce snow cover and minimize the changes in other
117 unwanted environmental conditions (Li et al., 2016). In early November 2015, six wooden
118 roofs were set up in the spruce forest to prevent the accumulation of snow on the ground. One
119 control plot was established in the vicinity of each roof. The roofs were 2 m in height with a
120 ground area of 3 × 3 m. The snow manipulation began in mid-November and ended in late
121 March the following year.

122 **2.3 Soil sampling**

123 Soil samples were collected from the topsoil (0-15 cm) in the frost period (FP, late
124 January), early thawing period (ETP, early April) and the middle of the growing season

125 (MGS, mid-August) in the year of 2016 and 2017, respectively. Three cores (5 cm in
126 diameter, 15 cm in depth) were collected in each plot at each sampling. The three cores from
127 each plot were combined to form one composite sample. Each composite sample was passed
128 through a 2-mm sieve, and any visible living plant material was manually removed. The
129 sieved soil was used for biochemical analysis.

130 **2.4 Soil CO₂ efflux**

131 Two PVC collars (20 cm in diameter, 12 cm in height) were permanently installed in
132 each plot for measuring soil respiration. Soil CO₂ efflux was measured using a portable
133 infrared gas analyzer (Li-8100, Li-Cor Inc., Lincoln, USA) between 10:00 and 14:00 (Beijing
134 time, China Standard Time) approximately every two weeks during the experimental period.
135 Simultaneously, soil temperature and volumetric moisture at a depth of 5 cm were measured
136 nearby each collar using an auxiliary soil temperature probe (Omega Engineering Inc., USA)
137 and a Theta probe (Delta-T Devices, Cambridge, UK), respectively. Small red flags were
138 attached to the PVC collars in the control plots to minimize disturbance during the period of
139 snow cover. During the winters of 2015/2016 (four times) and 2016/2017 (once), the surface
140 snow was removed carefully from the top of the collars when the snowpack was thicker than
141 the height of the collar. We then waited 5 min to allow the system to equilibrate before
142 measuring the CO₂ efflux. The removed snow was gently backfilled after the measurements.
143 We measured CO₂ efflux under the natural snowpack (~10 cm) in the winter of 2015/2016 in
144 the same forest stand adjacent to the snow manipulation site. CO₂ efflux was also measured
145 after removing the snow within and around the collars. CO₂ efflux did not differ significantly
146 before and after snow removal (unpublished data). Snow removal therefore likely negligibly
147 affected the quantification of immediate CO₂ efflux, at least within an interval of a few
148 minutes.

149 **2.5 Microclimate, extractable N and microbial respiration**

150 Air temperature 2 m above the ground in the forest stand was measured using
151 Thermochron iButton DS1923-F5 Recorders (Maxim Dallas Semiconductor Corp., USA)
152 every 2 h during the experimental period. Meanwhile, soil temperatures 5 cm below the
153 surface were recorded in the snow-exclusion and control plots, respectively. Snow depth in
154 the control plots was measured by a metal ruler approximately every two weeks during
155 winter.

156 Soil extractable N (nitrate, NO_3^- -N, and ammonium, NH_4^+ -N) was extracted with 2 M
157 KCl (1:5 soil:solution). The extracts were shaken for 1 h and filtered with a filter paper. The
158 concentrations of NO_3^- -N and NH_4^+ -N in the extracts were determined by colorimetry (Li et
159 al., 2017).

160 The rate of soil microbial respiration was estimated using alkali absorption (Anderson et
161 al., 1982). Soil samples (50 g) were incubated in 1-L jars at 20 °C for 2 weeks. Empty jars
162 without soil were used as controls. The CO_2 produced was captured with 0.5 M NaOH in a
163 beaker suspended inside each jar. The NaOH solution was removed and titrated with 0.25 M
164 HCl solution to determine the amount of CO_2 produced. Microbial respiration was reported as
165 $\text{mg CO}_2\text{-C kg}^{-1}$ soil d^{-1} .

166 ***2.6 Aggregate fraction and fine-root biomass***

167 Aggregates were isolated as described by Kristiansen et al. (2006). Two soil cores from
168 each plot were collected from the 0-15 cm layer using an auger 10 cm in diameter in the early
169 thawing periods of 2015/2016 and 2016/2017 winters. Soil samples were air-dried to optimal
170 moisture (~10-15%) that would allow limited mechanical stress to maximize brittle failure
171 along natural planes of weakness, and the samples were then gently manually crumbled to <8
172 mm. The recovered samples were transferred to a nest of sieves (2 and 0.25 mm) and shaken
173 at 100 min^{-1} for 2 min. All visible roots and stones were removed, and aggregates >2 mm
174 (large macroaggregates) were collected. The same procedure was used for the material
175 retained on the 0.25 mm sieve, isolating an aggregate size class 0.25-2 mm (small

176 macroaggregates). The remaining material passing through the 0.25 mm sieve was identified
177 as aggregate class <0.25 mm (microaggregates).

178 Two soil cores were collected from each plot using an auger (15 cm long and 10 cm in
179 diameter) in the ETPs of 2015/2016 and 2016/2017 winters. Root samples were washed in the
180 laboratory on sieves (mesh size 0.1 mm) and dried to constant weight at 65 °C. Fine roots (<2
181 mm in diameter) were separated into live and dead components based on their color and
182 mechanical consistency.

183 *2.7 Assays of soil phospholipid fatty acids and enzyme activities*

184 Microbial biomass was estimated as the total extractable phospholipid fatty acids (PLFAs)
185 with a modified method described by White et al. (1996). Lipids from 2 g of fresh soil were
186 extracted in a chloroform-methanol-phosphate buffer mixture (1:2:0.8). The phospholipids in
187 the extracts were transformed by alkaline methanolysis into fatty acid methyl esters (FAMES),
188 which were identified by gas chromatography/mass spectrometry (GC/MS-QP2010 Series,
189 Shimadzu, Japan). Fatty acids were quantified by comparisons of the peak areas from the
190 sample with the peak areas of internal standards at 19:0 (nonadecanoic methyl ester) of the
191 known concentration. The areas were used to estimate the abundance of PLFA markers,
192 which were expressed as nmole g⁻¹ dry soil.

193 We assessed the activities of four enzymes involved in soil C cycling: two hydrolytic
194 enzymes, β -glucosidase (BG) that catalyzes one of the later steps of cellulose degradation and
195 β -N-acetyl-glucosaminidase (NAG) involved in the breakdown of chitin and fungal cell walls,
196 and two oxidases, polyphenol oxidase (PPO) that breaks down recalcitrant polymers such as
197 lignin and humic compounds and peroxidase (POD), a nonspecific enzyme that oxidizes and
198 depolymerizes lignin. The activities were measured using assay techniques described by
199 Allison and Jastrow (2006). Substrate solutions were 5 mM pNP- β -glucopyranoside for BG,
200 50 mM pyrogallol and 50 mM EDTA for PPO, 2 mM pNP- β -N-acetylglucosaminide for
201 NAG and 5 mM L-DOPA and 10 μ L of 0.3% H₂O₂ for POD. Activities were determined

202 using a microplate spectrophotometer and expressed as μmol of substrate produced or
203 consumed $\text{h}^{-1} \text{g}^{-1}$ dry soil.

204 **2.8 Data analysis**

205 A repeated-measures ANOVA was used to test the effects of treatment, sampling date
206 and their interactions on all response variables. A Bonferroni post hoc test was used to
207 examine the treatment effect on the variables on a given sampling date when the interaction of
208 treatment and sampling date was significant ($P < 0.05$), and a paired t -test was used when the
209 interaction was not significant. All data were tested for the assumptions of an ANOVA before
210 analysis. Heterogeneous data were \ln -transformed before analysis. An exponential regression
211 model was used to describe the relationship between CO_2 efflux and soil temperature during
212 specific periods (winter, growing season and entire year). All data from two winters or
213 growing seasons were used for the analyses due to the limited number of measurements.
214 Winter was defined as the period between the first day in autumn and the last day in spring
215 when soil temperature was continually below 5°C for 5 d in the control plots. The
216 temperature sensitivity (Q_{10}) of soil respiration was estimated using van't Hoff equation
217 (Van's Hoff, 1898). $R = \alpha \times e^{\beta \times T}$, Where R is the soil respiration rate ($\mu\text{mol m}^{-2} \text{s}^{-1}$), T is the
218 soil temperature at 5 cm ($^\circ\text{C}$), α and β are parameters. The Q_{10} values are calculated as: $Q_{10} =$
219 $e^{10 \times \beta}$. All statistical tests were performed using the Software Statistical Package for the Social
220 Sciences (SPSS) version 17.0 (IBM SPSS Statistics Inc., Chicago, IL, USA).

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226 3. Results

227 3.1 Treatment effect on winter soil conditions

228 The mean and minimum air temperatures were -2.1 and -14.1 °C during the winter of
229 2015/2016 and -0.9 °C and -6.4 °C during the winter of 2016/2017, respectively (Figure 1 a).
230 The maximum snow depth was 40 cm in the winter of 2015/2016 but only 23 cm in the winter
231 of 2016/2017. The mean air temperature in the winter of 2015/2016 (-2.1 °C) was comparable
232 to the seven-year average of -2.4 °C for 2010-2016. The mean air temperature in the winter of
233 2016/2017 (-0.9 °C), however, was the highest in the last seven winters and 1.5 °C higher
234 than the mean.

235 The snow-exclusion treatment successfully created a more intense frost regime in both
236 winters (Figure 1 a). The minimum daily mean soil temperatures were -2.2 °C (2015/2016)
237 and -2.4 °C (2016/2017) in the snow-exclusion plots but were only -0.5 °C (2015/2016) and
238 -1.3 °C (2016/2017) in the control plots. The numbers of days with differences in soil
239 temperature ≥ 0.5 °C between the treatment and control plots were 42 and 56 for the winters of
240 2015/2016 and 2016/2017, respectively. Such differences were mainly during mid- and late
241 winter when snow cover was >10 cm. Soil temperature fluctuated more in the treatment than
242 the control plots. Volumetric soil moisture was similar between the treatment and control
243 plots across the two years ($F=3.364$, $P = 0.116$, Figure 1 b).

244 3.2 Soil CO₂ efflux

245 The snow exclusion lowered CO₂ efflux early in the winter of 2015/2016 and in
246 mid-winter of 2016/2017. The snow exclusion reduced CO₂ efflux by averages of 15% and
247 19% in the winters of 2015/2016 and 2016/2017, respectively, and these reductions were
248 statistically significant ($F = 11.13$, $P < 0.01$ for the winter of 2015/2016; $F = 9.143$, $P < 0.05$
249 for the winter of 2016/2017). The snow-exclusion manipulation, however, did not affect CO₂
250 efflux during the snow-free growing seasons ($F = 1.065$, $P = 0.323$ for 2016; $F = 1.354$, $P =$

251 0.305 for 2017). Mean CO₂ efflux differed marginally between the frost regimes in the winter
252 of 2015/2016 ($t = 2.006$, $P = 0.076$; Table 1), but differed significantly between the regimes
253 in the winter of 2016/2017 ($t = 3.909$, $P < 0.01$). Mean CO₂ efflux nevertheless did not differ
254 significantly between the treatment and control plots in either growing season ($t = -1.584$, $P =$
255 0.335 for 2016; $t = -0.285$, $P = 0.465$ for 2017).

256 Soil CO₂ efflux increased exponentially with soil temperature throughout the study
257 period (Figure 3a-c). Soil temperature explained 82-83% of the variation in CO₂ efflux during
258 the growing seasons (Figure 3b) but explained only 52-53% of the variations in the winters
259 (Figure 3a). Soil temperature explained 90-91% of the variance in CO₂ effluxes when the data
260 for the two years were pooled (Figure 3c). The temperature sensitivity (Q_{10}) of the CO₂ efflux
261 was 23.3, 3.2 and 4.4 in the snow-exclusion treatment plots and 22.6, 3.3 and 4.7 in the
262 control plots for winter, growing season and the entire year, respectively. Q_{10} did not differ
263 significantly between the frost regimes for each period modeled (all $P > 0.05$).

264 **3.3 Soil PLFAs and microbial respiration**

265 The intensified frost tended to decrease the soil PLFAs biomarkers. PLFA content was
266 lower in the snow-exclusion treatment than in the control plots in the FPs of 2015/2016 ($t =$
267 -2.072 , $P < 0.05$) and 2016/2017 ($t = -3.686$, $P < 0.05$; Figure 4) but did not differ
268 significantly in the MGSs of 2016 ($t = 1.368$, $P = 0.245$) or 2017 ($t = 0.035$, $P = 0.895$).

269 Microbial activity, measured as basal respiration without roots, was estimated by
270 determining CO₂ emission. The intensified frost tended to decrease soil microbial respiration
271 in the winter. The snow-exclusion treatment negatively affected soil microbial respiration in
272 the FP of 2015/2016 ($t = -0.918$, $P < 0.05$; Figure 5) and in the ETP of 2016/2017 ($t = -5.821$,
273 $P < 0.01$) but had no effect in the MGSs of 2016 and 2017 (both $P > 0.05$)

274 **3.4 Soil enzymes**

275 The activities of the soil enzymes varied significantly with sampling date (all $P < 0.01$,

276 Figure 6a-d). The snow-exclusion treatment tended to reduce the enzyme activities in the
277 winter. Activity was significantly lower in the treatment than in the control plots for BG in the
278 ETP of 2015/2016 ($t = -1.975$, $P < 0.05$; Figure 6a) and for PPO in the ETP of 2016/2017 ($t =$
279 -2.643 , $P < 0.05$; Figure 6b). The intensified frost decreased POD activity in the FPs of 2016
280 and 2017 (both $P < 0.05$, Figure 6c) and decreased NAG activity in the ETPs of 2016 and
281 2017 (both $P < 0.05$, Figure 6d) but did not significantly affect the activities of the enzymes in
282 the MGSs of 2016 or 2017.

283 **3.5 Soil extractable N**

284 Frost treatment, sampling date and their interaction all significantly affected soil $\text{NH}_4^+\text{-N}$
285 concentration (all $P < 0.05$, Figure 7a). The snow-exclusion treatment increased $\text{NH}_4^+\text{-N}$
286 concentrations in the FP and ETP of 2015/2016 (all $P < 0.01$) but not in the winter of
287 2016/2017 (both $P > 0.05$). Likewise, the intensified frost increased $\text{NO}_3^-\text{-N}$ concentrations in
288 both winters ($F = 16.575$, $P < 0.01$; Figure 7b). $\text{NO}_3^-\text{-N}$ concentrations were significantly
289 higher in the treatment than the control plots in the ETP of 2015/2016 ($t = 2.309$, $P < 0.05$)
290 and in the FP of 2016/2017 ($t = 5.017$, $P < 0.01$). Neither $\text{NH}_4^+\text{-N}$ nor $\text{NO}_3^-\text{-N}$ concentration,
291 however, differed between the frost regimes in the MGS of 2016 and 2017 (both $P > 0.05$).

292 **3.6 Aggregate fraction and fine-root biomass**

293 The relative distribution of the aggregate-size classes of the bulk soil was in the order
294 small macroaggregates (0.25-2 mm) > large macroaggregates (>2 mm) > microaggregates
295 (<0.25 mm) irrespective of frost regime ($F = 221.75$, $P < 0.001$; Table 2). The
296 snow-exclusion treatment did not affect the distribution of aggregates in the size classes ($F =$
297 0.159 , $P = 0.897$), the live fine-root biomass ($F = 0.202$, $P = 0.663$; Table 3) or the dead
298 fine-root biomass ($F = 0.171$, $P = 0.688$) in the ETP.

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301 4. Discussion

302 We investigated the impact of intensified soil frost on soil C cycling in a Tibetan
303 subalpine spruce forest using a field snow-manipulation experiment. Our main objective was
304 to determine whether differences in winter frost conditions induced immediate and carry-over
305 effects on soil CO₂ efflux. Snow exclusion resulted in more intensive soil frost which, in line
306 with the first hypothesis, decreased soil respiration in the winter season. However, contrary to
307 what we expected, the increased winter frost did not have carry-over effects on soil
308 respiration in the subsequent growing season. Several possible mechanisms may account for
309 the underlying responses of CO₂ efflux during the snow-covered and snow-free seasons.

310 Firstly, due to the high thermal sensitivity of microbes, even small changes in soil
311 temperature may shift their community structure and the ecological processes they drive
312 (Davidson and Janssens, 2006; Schütt et al., 2014). Therefore, lower soil temperatures in the
313 snow exclusion plots could potentially decrease winter CO₂ efflux. In our study, soil
314 temperature only accounted for ~50% of the variance in winter CO₂ efflux. The
315 temperature-CO₂ relationship was as weak as in temperate forests (Schindlbacher et al., 2007;
316 Wang et al., 2010; Schindlbacher et al., 2014). In addition to soil temperature, other factors,
317 such as the frequency of freeze-thaw cycles, soil moisture, and microbial activity, could also
318 mediate winter soil respiration (Lipson et al., 2009; Du et al., 2013). Soil temperature alone
319 therefore can not adequately explain the variation in winter CO₂ efflux.

320 We found high Q_{10} values in the winter regardless of the frost regime. Relatively high Q_{10}
321 values of winter soil respiration have been also observed in temperate and boreal forests
322 (Wang et al., 2010; Du et al., 2013). This can be explained by the fact that the winter soil CO₂
323 efflux was susceptible to small changes in soil temperature, particularly around the freezing
324 mark (~ 0 °C). Significant changes were detected in soil CO₂ efflux over a narrow
325 temperature span (~6 °C), resulting in high Q_{10} values in the winter. In addition, CO₂ pulses
326 and microbial shifts associated with spring freeze-thaw cycles may further contribute to the
327 observed result in the winter (Uchida et al. 2005; Wang et al., 2013). However, the relative
328 importance of different factors warrants further investigation.

329 Other factors co-varying with soil temperature may also regulate winter CO₂ efflux. The
330 intensified frost may have produced a stronger ‘freezing drought’, which could limit
331 microbial activity and the extracellular diffusion of substrates (Rivkina et al., 2000). Soil
332 moisture did not differ significantly between the treatment and control plots during the
333 winters, suggesting that soil moisture was not likely responsible for the decreased winter CO₂
334 efflux. The lack of significant differences in CO₂ efflux during the snow-free seasons was
335 likewise partially attributed to the lack of significant differences in both soil temperature and
336 moisture between the treatment and control plots during the growing season.

337 Secondly, root activity is extremely low during dormant seasons, and winter soil
338 respiration in cold ecosystems is primarily derived from microbial decomposition (Muhr et al.,
339 2009; Wang et al., 2010). Winter soil respiration is thus largely determined by the biomass
340 and activity of soil microbes (Lipson et al., 2002; Moorhead et al., 2014). Soil microbes are
341 very susceptible to soil frost (Monson et al., 2006; Aanderud et al., 2013), which can kill a
342 substantial proportion of the organisms by the rupture of cell membranes by ice crystals
343 (Sulkava and Huhta, 2003; Jusselme et al., 2016; Gavazov et al., 2017). We also found that
344 the increased frost significantly reduced soil microbial PLFAs, implying a lowered potential
345 for the microbial community to metabolize soil C in the winters. A significant decline in the
346 cross-winter microbial PLFAs also implied that seasonal frost would kill the soil microbes in
347 the spruce forest irrespective of frost manipulation. Our measurements of microbial basal
348 respiration, excluding plant roots, also indicated a similar decline with winter frost, consistent
349 with *in situ* soil CO₂ efflux. Frost-induced decreases in winter soil respiration may thus
350 largely be attributed to the lower microbial biomass and activity. Soil PLFAs and basal
351 respiration in the middle of the growing season nevertheless did not differ significantly
352 between frost regimes. These observations may partially account for the neutral effect of
353 increased frost on CO₂ efflux during the snow-free growing seasons.

354 Soil enzymes play very important roles in the cycling of soil C and nutrients. Little
355 attention has been paid to enzymatic activities in studies of winter climate change, despite the
356 importance of soil enzymes in soil C cycling. A recent study found that enzymatic activities
357 were negatively correlated with the intensity of soil frost in mixed-hardwood forests

358 (Sorensen et al., 2016), and another experiment also found that snow removal decreased the
359 activity of soil invertase in an alpine spruce-fir forest (Tan et al., 2014). We assayed the
360 activities of four enzymes involved in soil C cycling to further assess the functional capacity
361 of soil. The intensified frost tended to reduce soil enzymatic activities. Soil enzymatic
362 activities are strongly temperature-dependent (Tabatabai, 1982), so a decrease in soil
363 temperature caused by snow-exclusion may, to some extent, reduce soil enzymatic activities
364 directly. The lower activities may also partly be attributed to the smaller population size of
365 the microbes, which are an important source of enzyme synthesis. Soil enzymes, as proximate
366 agents of the decomposition of soil organic C, can break down plant and microbial cell walls
367 and catabolize macromolecules into soluble substrates for microbial assimilation (Sinsabaugh
368 et al., 2008). Frost-induced decreases in enzymatic activities may thus constrain this
369 decomposition, which could also partly account for the lower winter CO₂ efflux. Conversely,
370 intensified frost did not affect activities in the snow-free growing seasons, which may account
371 for the lack of significant responses during the subsequent growing season.

372 Thirdly, soil frost may also have affected the decomposition of soil C in winter by
373 altering nutrient availability. Intensified frost can increase the mortality of roots and microbes
374 (Henry, 2007; Repo et al., 2014; Blume-Werry et al., 2016), which are important substrates
375 for soil microbial metabolism during winter (Schimel et al., 2004). Dead roots and microbes
376 are also main N sources during winter in cold systems (Chapin III et al., 1988; Tierney et al.,
377 2001). In an earlier study we observed that soil at -5 °C could release considerable extractable
378 N in the soils of this spruce forest, possibly due to the effect of freezing on microbial
379 mortality (Xu et al., 2014). The snow-exclusion treatment in the present study stimulated the
380 production of soil extractable N in the two winters, likely due mainly to the increased
381 microbial mortality. An increase in N availability but a decrease in soil PLFAs throughout the
382 winter, irrespective of the frost regime, may also support this conclusion. Live and dead
383 fine-root biomass did not differ significantly between frost regimes later in the winter, further
384 suggesting that the increased N availability was mainly attributable to microbial mortality
385 rather than to root injury. An increase in N availability coincided with a decrease in CO₂
386 efflux, implying that the cycling of soil C could be decoupled from N availability during

387 winter under intensified frost.

388 In addition to microbial and root mortality, substrate availability could have been
389 affected by the physically disruptive effects of frost on soil aggregates (Chai et al., 2014).
390 Freezing can break down macroaggregates into microaggregates (Oztas and Fayetorbay,
391 2003). Microaggregates with a larger surface area have more contact points, which can
392 potentially increase the amount of substrate decomposed by microorganisms (Grogan et al.,
393 2004). Snow removal increased the fraction of microaggregates in a northern hardwood forest,
394 implying that soil substrate could become more accessible to soil microorganisms (Steinweg
395 et al., 2008). Our observations, however, did not provide further evidence that more intense
396 frost could disrupt aggregates in the soil of this Tibetan spruce forest. The intensified frost did
397 not affect the distribution of aggregates among the size classes, suggesting that
398 frost-associated changes to aggregates may not importantly affect soil respiration in the
399 spruce forest during the winter and growing season.

400 Lastly, the flux of CO₂ derived from decaying litter accounts for a considerable part of
401 total soil respiration during winter (Uchida et al., 2005). CO₂ flux derived from aboveground
402 litter accounts for an average of 14.2% of total soil respiration in this spruce-forest stand
403 (Xiong et al., 2015). In a previous study we also found that the mass loss of spruce needles
404 over the winter constituted 18.3-28.8% of the net loss rates for the entire year (Xu et al.,
405 2016). The lack of snow cover at this experimental site decreased the temperature of the
406 surface soil by an average of 1.4 °C during the winter (Li et al., 2017), implying that litter
407 decomposition was most likely inhibited by the lower temperatures. A growing number of
408 studies have documented that thick snow covers can provide relatively stable conditions for
409 biological activity, favoring the decomposition of plant litter (Christenson et al., 2010;
410 Bokhorst et al., 2013; Saccone et al., 2013). The rates of decomposition of litter from
411 subalpine tree species in this area similarly decrease with decreasing snow depth (Ni et al.,
412 2014; He et al., 2015). The lower rate of litter decomposition due to the lack of snow cover
413 may therefore also have contributed to the lower winter soil respiration in the snow-exclusion
414 plots, but further supporting evidence is needed.

415

416 5. Implications

417 The climate on the Tibetan Plateau has changed considerably in recent decades,
418 especially in winter (Wang et al., 2016). Winter snowfall has tended to decrease substantially
419 due to strong winter warming (Xu et al., 2017). The decrease or absence of insulating snow
420 cover associated with climate change may thus increase the duration and intensity of soil frost
421 in the future in this special region. The importance of soil frost, large storage of soil C and
422 sensitivity of snow cover to winter warming indicate that understanding the potential effects
423 of projected frost increases on soil C cycling in the subalpine forests of western China is
424 essential. To our knowledge, our study is the first to identify the effects of changes in soil
425 frost on soil C cycling in a Tibetan forest. Our results generally indicate that more intense soil
426 frost decreases winter soil respiration and biological activities. Winter soil CO₂ emission was
427 lower in the snow-exclusion than in the control plots during the two winters of the study.
428 Intensified soil frost, however, did not affect soil CO₂ efflux and biological activities during
429 the subsequent growing season, suggesting that a short-term change in snow cover does not
430 produce large carry-over effects in snow-free periods. If the observed effects apply to natural
431 conditions, intensified soil frost would decrease the amount of soil C released to the
432 atmosphere from subalpine forests during winter, but additional supporting evidence is
433 needed.

434 This study was conducted during two contrasting winters (cold winter and thick snow
435 cover in 2015/2016 and mild winter and thin snow cover in 2016/2017) so offered a good
436 opportunity for determining the effect of the lack of snow cover in winters with different
437 weather on soil C cycling in the Tibetan spruce forest. The decrease in soil respiration due to
438 frost in the first winter occurred early but then disappeared, suggesting that soil biological
439 processes may begin to acclimate to the frost late in the winter. Soil respiration early in the
440 mild winter of 2016/2017 did not differ significantly between the treatment and control plots,
441 mainly due to the absence of an insulating snow cover. CO₂ effluxes, however, were lower in
442 the treatment plots after the formation of a steady snow cover (>10 cm). In addition to

443 variable snowfall, extreme winter events (e.g. warm weather and snow storms) may become
444 more frequent and likely under scenarios of future climate, indicating the complexity and
445 uncertainty of winter climate change in this specific region. The comparably strong climate
446 change and variable winter snowfall on the Tibetan Plateau bring great challenges and
447 opportunities for studying winter climate change and its impacts on the structure and function
448 of Tibetan ecosystems. Long-term monitoring is strongly needed for exploring the natural
449 winter variations and underlying mechanisms of the observed phenomena to help developing
450 models for providing more realistic predictions of future winter conditions.

451 The frost intensity due to the lack of snow cover was low at our experimental forest site,
452 unlike in temperate and boreal forests (e.g. Groffman et al., 2006; Muhr et al., 2009; Sorensen
453 et al., 2016), but the difference in temperature minima was nearly 2 °C, likely due to the
454 site-specific characteristics, such as winter snowfall, air temperature, properties of soil heat
455 transfer and albedo. Such soil frost, however, had large impacts on soil respiration, microbial
456 PLAFs, enzymatic activity and N availability, suggesting that Tibetan forest soils will be
457 sensitive to changing soil frost in the future. The direction and magnitude of the response of
458 soil respiration to intensified soil frost may largely depend on the interaction between less
459 snowfall and warmer temperature in winter. Winter warming may offset the negative effects
460 induced by frost to some extent. Seasonal snow cover in cold regions plays a key role in
461 decoupling soil from cold winter weather, but soil temperature is often insensitive to a small
462 change in air temperature. Changes in snow cover will thus likely have a stronger influence
463 on soil biological processes than winter warming itself (Groffman et al., 2001a), and
464 long-term changes in soil frost may also have carry-over effects on soil C dynamics during
465 the subsequent growing season in cold systems (Zhao et al., 2017). More research is
466 warranted to integrate potential factors and separate their relative importance for a better
467 understanding and ability to predict potential C feedbacks in snowy regions under a warmer
468 future.

469

470 6. Conclusions

471 This study explored the immediate and carry-over effects of intensified soil frost on soil
472 C cycling in a subalpine spruce forest on the Tibetan Plateau of China. Our results suggested
473 that a lack of snow cover increase the intensity of soil frost, which decrease soil respiration in
474 the winter; whereas we did not find any legacy effects during the subsequent growing seasons.
475 Frost decreased microbial biomass and activity in the winter but not in the snow-free growing
476 season. More intense soil frost did not affect the size distribution of soil aggregates or
477 fine-root biomass. Predicted increase in soil frost driven by winter climate change may,
478 therefore, decrease winter soil respiration by direct environmental effects (e.g. soil
479 temperatures) and by indirect effects on soil biological properties (e.g. microbial biomass and
480 activities) in subalpine forests on the Tibetan Plateau. Further, intensified soil frost did not
481 cause cross-seasonal effects on soil CO₂ efflux and microbial activity in the subsequent
482 growing seasons. Our results highlight the ecological importance of a continuous seasonal
483 snowpack and microbe-associated C processes in subalpine forest ecosystems. These findings
484 improve our understanding of the response of soil C dynamics to winter climate change in this
485 region experiencing large decreases in winter snowfall.

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502

503 Competing interests

504 The authors declare no competing financial interests.

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736 **Table 1** Mean soil CO₂ efflux ($\mu\text{mol m}^{-2} \text{s}^{-1}$, means \pm SEs) during the winter and growing
737 season.

Year	Period	Treatment	Control
2016	Winter	0.47 \pm 0.08	0.55 \pm 0.08
	Growing season	2.07 \pm 0.28	2.19 \pm 0.29
2017	Winter	0.43 \pm 0.06	0.53 \pm 0.07
	Growing season	2.23 \pm 0.26	2.25 \pm 0.30

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740 **Table 2.** Relative distribution of the aggregate size classes (% , means \pm SEs) in the
741 snow-exclusion treatment and control plots in the early thawing period of 2016 and 2017.

Year	Size	Treatment	Control
2016	>2 mm	34.9 \pm 5.7	32.9 \pm 6.9
	0.25-2 mm	59.9 \pm 4.7	58.6 \pm 4.2
	<0.25 mm	5.2 \pm 2.0	8.5 \pm 2.8
2017	>2 mm	35.3 \pm 6.4	36.7 \pm 4.5
	0.25-2 mm	53.9 \pm 3.8	50.7 \pm 2.2

<0.25 mm	10.8 ± 3.6	12.6 ± 2.8
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743 **Table 3.** Content of live and dead fine roots (g m⁻², means ± SEs) up to a depth of 15 cm in

744 the snow-exclusion treatment and control plots in the early thawing period of 2016 and 2017.

Year	Fine root pool	Treatment	Control
2016	Live	243.7 ± 45.6	251.7 ± 35.6
	Dead	92.8 ± 14.4	79.4 ± 26.3
2017	Live	221.2 ± 38.5	215.6 ± 28.9
	Dead	94.3 ± 22.6	88.1 ± 16.4

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756 **Figure legends**

757 **Figure 1.** Air temperature, soil temperature and snow depth (a) and soil moisture (b) in the
758 snow-exclusion treatment and control plots during the experimental period.

759 **Figure 2.** Soil CO₂ efflux (means ± SEs) in the snow-exclusion treatment and control plots
760 during the experimental period. Significant differences between the control and treatment on a
761 given date are indicated by asterisks ($P < 0.05$).

762 **Figure 3.** Exponential relationships between soil CO₂ efflux and soil temperature (means ±
763 SEs) in the treatment and control plots for winter, growing season and entire year,
764 respectively.

765 **Figure 4.** Total soil phospholipid fatty acids (means ± SEs) in the snow-exclusion treatment
766 and control plots. Significant differences between the control and treatment on a given date
767 are indicated by asterisks ($P < 0.05$). FP, frost period; ETP, early thawing period; MGS,
768 middle of the growing season.

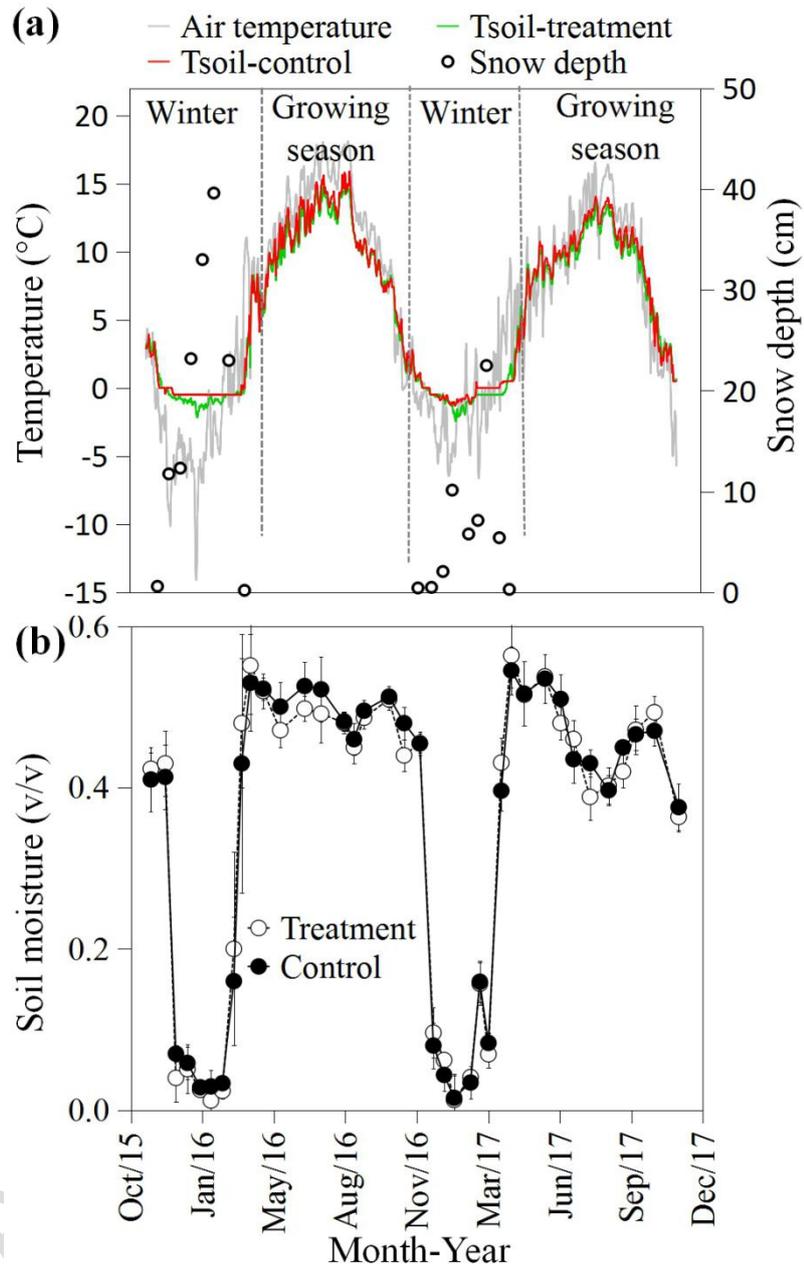
769 **Figure 5.** Soil microbial respiration (means ± SEs) in the snow-exclusion treatment and
770 control plots. Significant differences between the control and treatment on a given date are
771 indicated by asterisks ($P < 0.05$). FP, frost period; ETP, early thawing period; MGS, middle
772 of the growing season.

773 **Figure 6.** Activities of (a) β-glucosidase, (b) polyphenol oxidase, (c) peroxidase and (d)
774 β-N-acetyl-glucosaminidase (means ± SEs) in the snow-exclusion treatment and control plots.
775 Significant differences between the control and treatment on a given date are indicated by
776 asterisks ($P < 0.05$). FP, frost period; ETP, early thawing period; MGS, middle of the growing
777 season.

778 **Figure 7.** Soil ammonium (a) and nitrate (b) concentrations (means ± SEs) in the

779 snow-exclusion treatment and control plots. Significant differences between the control and
 780 treatment on a given date are indicated by asterisks ($P < 0.05$). FP, frost period; ETP, early
 781 thawing period; MGS, middle of the growing season.

782 **Figure 1**

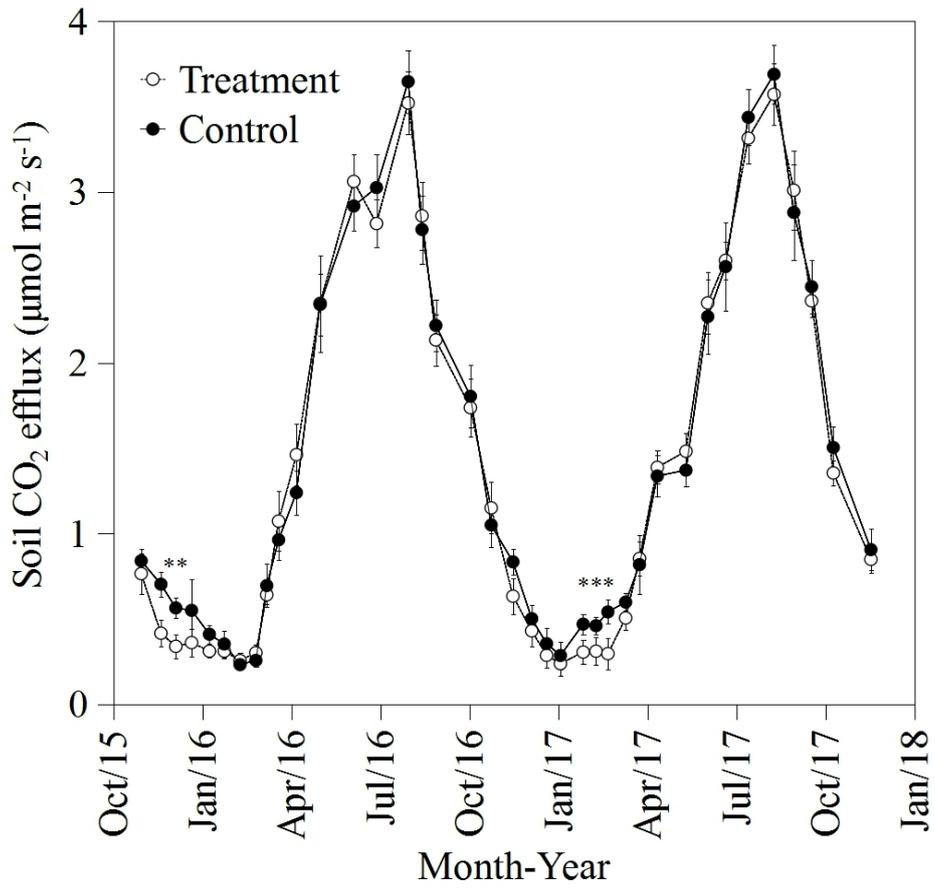


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787 **Figure 2**

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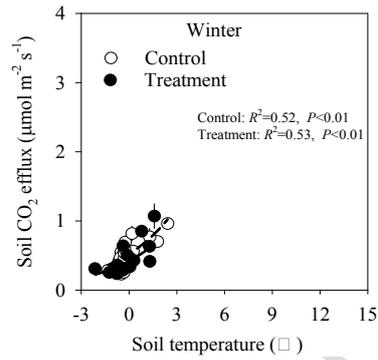
796 **Figure 3**

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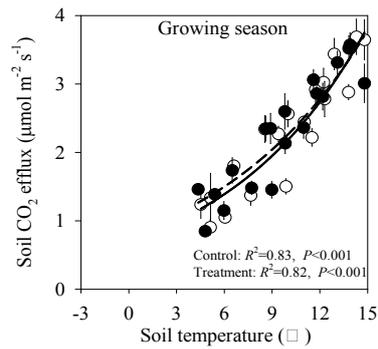


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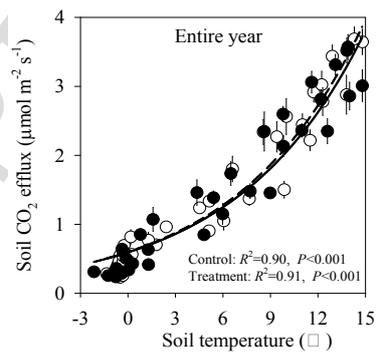
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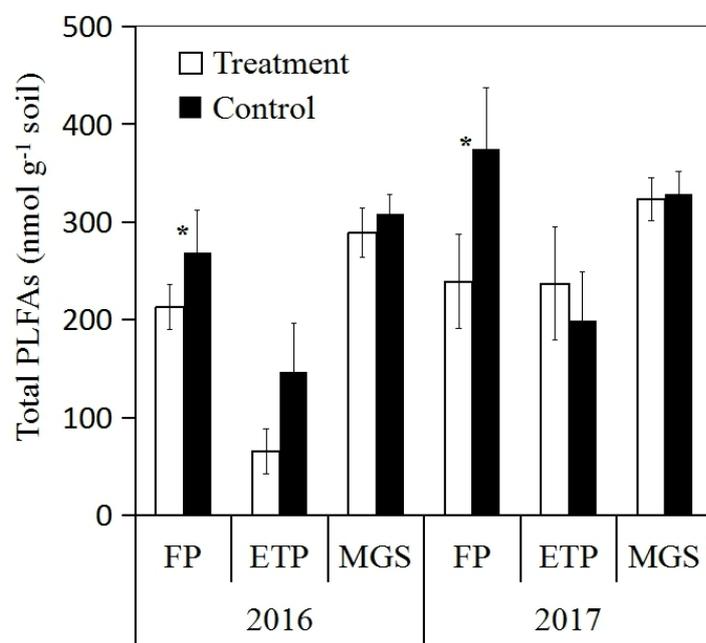
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813 **Figure 4**

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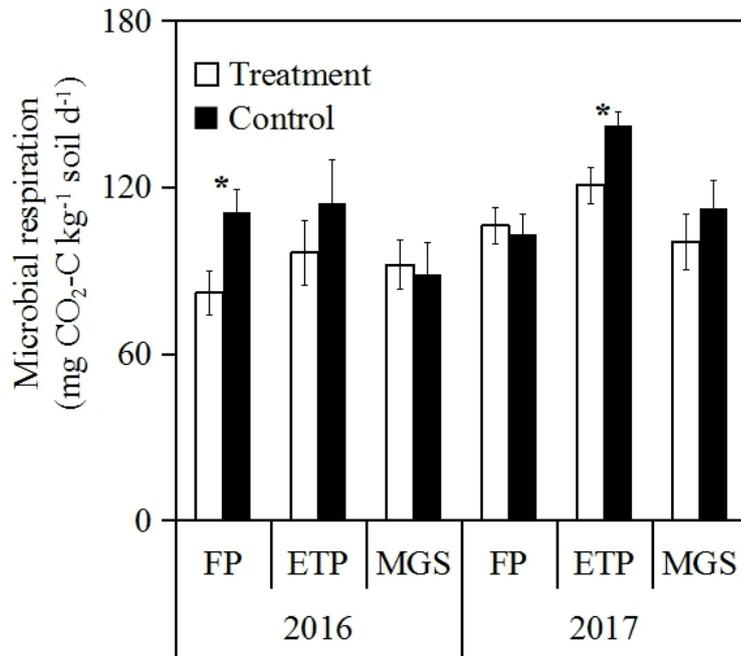
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825 **Figure 5**

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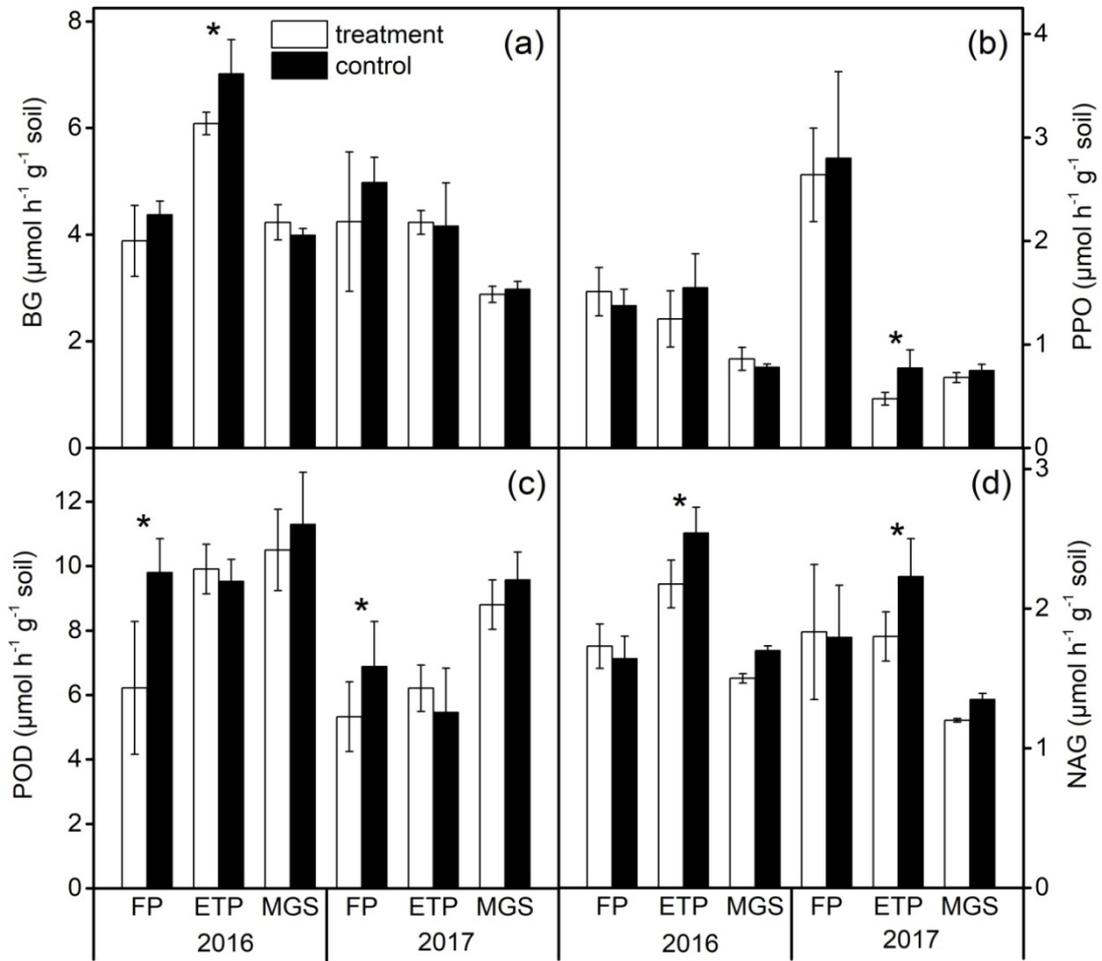
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836 **Figure 6**

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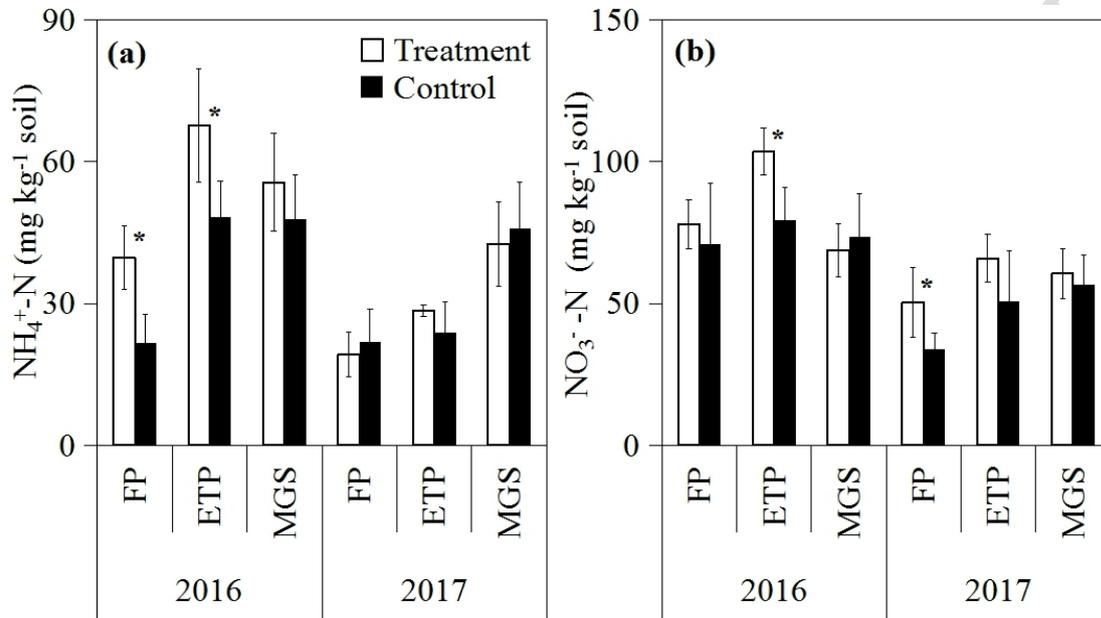
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845 **Figure 7**

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Highlights

- Increased frost reduced soil microbial biomass and enzyme activity in winter.
- Increased frost reduced soil respiration in winter.
- Increased frost unaffected biological processes in the snow-free growing season.

ACCEPTED MANUSCRIPT