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1 **Electric Field Effects on Bacterial Deposition and Transport in Porous Media**

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25 **Abstract**

26

27 Bacterial deposition and transport is key to microbial ecology and biotechnological applications.

28 We therefore tested whether electrokinetic forces (electroosmotic shear force ( $F_{\text{EOF}}$ ),

29 electrophoretic drag force ( $F_{\text{EP}}$ )) acting on bacteria may be used to control bacterial deposition

30 during transport in laboratory percolation columns exposed to external direct current (DC)

31 electric fields. For different bacteria, yet similar experimental conditions we observed that DC

32 fields either enhanced or reduced bacterial deposition efficiencies ( $\alpha$ ) relative to DC-free controls.

33 By calculating the DLVO force of colloidal interactions,  $F_{\text{EOF}}$ ,  $F_{\text{EP}}$ , and the hydraulic shear

34 forces acting on single cells at a collector surface we found that DC-induced changes of  $\alpha$

35 correlated to  $|F_{\text{EOF}}|$  to  $|F_{\text{EP}}|$  ratios: If  $|F_{\text{EOF}}| > |F_{\text{EP}}|$ ,  $\alpha$  was clearly increased and if

36  $|F_{\text{EOF}}| < |F_{\text{EP}}|$   $\alpha$  was clearly decreased. Our findings allow for better prediction of the forces

37 acting on a bacterium at collector surface and, hence, the electrokinetic control of microbial

38 deposition in natural and manmade ecosystems.

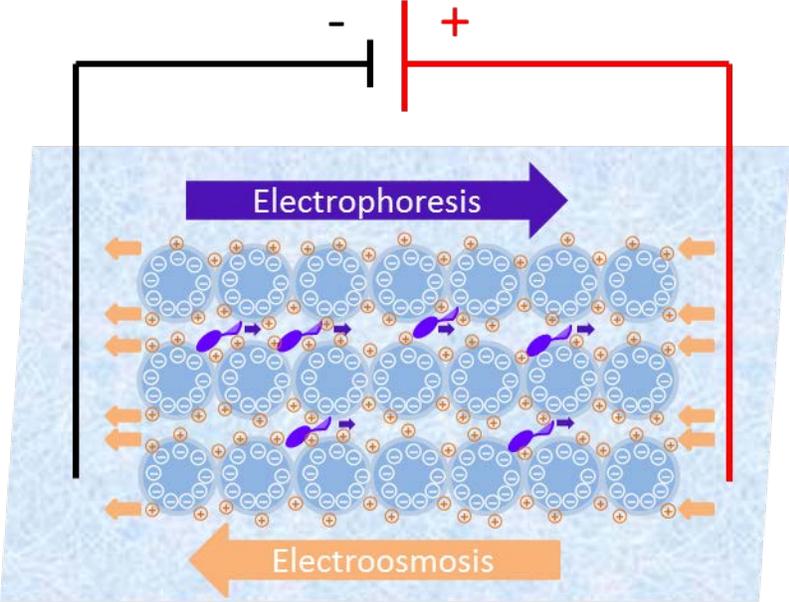
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41 **Keywords:** electrokinetics, bacterial deposition, electroosmosis, electrophoresis, collision

42 efficiency, DLVO, bacterial transport.

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## 48 **Introduction**

49 Transport and deposition of bacteria are fundamental processes in microbial ecology and  
50 biotechnology<sup>1</sup>. They enable microbial functions in disturbed systems<sup>2</sup> or promote the formation  
51 of biofilms as a major life form of bacteria. While the catabolic activity of biofilms provide  
52 essential ecosystem services in natural and manmade systems (e.g. for the degradation of  
53 anthropogenic chemicals or in waste water treatment), biofouling<sup>3</sup> by contrast may give rise to  
54 unwanted corrosion of metals<sup>4</sup>, clogging of filters/membranes or may even threaten human  
55 health by infecting medical devices<sup>1</sup> or technical systems for the provision of drinking water.  
56 There is, hence, strong interest in measures to control microbial deposition to surfaces as the first  
57 step in the formation of biofilms. Bacterial deposition is influenced by physicochemical  
58 properties of the microbe, the collector surface, and the aqueous medium<sup>5</sup>. Deposition to  
59 collector surfaces during transport in porous systems can be suitably approximated by the  
60 collision efficiency  $\alpha_t$  and clean-bed filtration theory<sup>6,7</sup>, while the distance-dependent energy  
61 between a bacterium and a collector surface ( $G_{DLVO}$ ) can be quantified by the Derjaguin, Landau,  
62 Verwey, and Overbeek (DLVO) theory<sup>8</sup>. As the deposition of a bacterium requires that its kinetic  
63 energy is lower than its interaction energy with a collector surface,  $\alpha_t$  normally is positively  
64 correlated to  $G_{DLVO}$  at the distance of reversible attachment (i.e. at the secondary minimum of  
65  $G_{DLVO}$ )<sup>9</sup>. Although the DLVO theory refers to an ideal system (i.e. does not encompass  
66 heterogeneities in surface charge<sup>10,11</sup>, surface roughness<sup>12</sup>, hydration effects, or hydrophobic  
67 interaction<sup>8,13</sup>), it has been found to be a powerful predictor of bacterial deposition in solutions of  
68 high ionic strength ( $I = 0.1 - 0.3 \text{ M}$ )<sup>9,10,14</sup> and/or to highly uniform surfaces of low surface  
69 roughness.

70 The electric field-induced phenomena electroosmosis and electrophoresis have been found to be  
71 powerful tools in controlling the movement of bacteria and (bio-)colloidal particles<sup>15-18</sup>. When a  
72 DC electric field is applied to an ionic solution in a solid matrix, it invokes various electrokinetic  
73 transport processes: Electromigration and electrophoresis denote the transport of charged  
74 molecules and particles, to the electrode of opposite charge, while electroosmotic flow (EOF)  
75 refers to the surface charge-induced movement of pore fluids usually from the anode to the  
76 cathode<sup>19</sup>. Due to its plug shape flow profile, EOF has been found to be efficient at a distance of  
77 a few nanometers above the solid surface where bacterial deposition interaction takes place and  
78 thus significantly affects bacterial deposition efficiency<sup>20</sup>. Both phenomena are directly  
79 correlated to the electric field strength applied and allow for the movement of bacteria and  
80 colloidal particles<sup>15-18</sup> in porous media also in the absence of a pressure-driven hydraulic flow<sup>21-</sup>  
81 <sup>23</sup> or for the separation of monoclonal bacteria differing in the zeta potentials<sup>24</sup>.

82 Inspired by such observations, recent work compared the DLVO forces ( $F_{DLVO}$ ), electroosmotic  
83 shear force ( $F_{EOF}$ ), and hydraulic shear force ( $F_{HF}$ ) acting on a bacterium at the secondary  
84 minimum distance and described  $F_{EOF}$  as a relevant driver for the reduction of the initial  
85 adhesion of *Pseudomonas fluorescens* LP6a<sup>20</sup>. This approach, however neglected the  
86 electrophoretic drag force ( $F_{EP}$ ) acting on bacteria and hence was unable to predict the interplay  
87 of  $F_{EOF}$ ,  $F_{EP}$ , and  $F_{DLVO}$ . Here we experimentally quantify the effect of DC fields on the transport  
88 and deposition of four bacteria differing in their surface charge (zeta potential) and  
89 hydrophobicity in percolation columns. The observed DC field effects on bacterial deposition  
90 efficiencies ( $\alpha_t$ ) relative to DC-free controls are reflected by calculations of the net force acting  
91 on a bacterium at secondary minimum distance by  $F_{EOF}$ ,  $F_{EP}$ ,  $F_{HF}$ , and  $F_{DLVO}$ .

## 93 **Materials and Methods**

### 94 **Cultivation of Bacteria and Preparation of Inocula**

95 *Pseudomonas putida* KT2440 (GenBank accession No. AE015451)<sup>25</sup>, *Rhodococcus opacus* X9  
96 (GenBank accession No. AF095715)<sup>26</sup>, *Pseudomonas fluorescens* LP6a (GenBank accession No.  
97 AF525494)<sup>27</sup> and *Sphingomonas* species S3 (GenBank accession No. MH048882) were  
98 cultivated in 500-mL Erlenmeyer flasks using 200 mL of minimal medium containing 1.0 g L<sup>-1</sup>  
99 glucose (25 °C, rotary shaker at 150 rpm). The cultures were harvested in the early stationary  
100 phase (i.e. after 14 h for strain *P. putida* KT2440, 15 h for strain *R. opacus* X9, 12 h for strain *P.*  
101 *fluorescens* LP6a, and 7 d for strain *Sphingomonas* sp. S3, centrifuged at 3000 × g and re-  
102 suspended in 100 mM potassium phosphate buffer (PB, pH = 7, prepared by adding 0.061 mol  
103 K<sub>2</sub>HPO<sub>4</sub> and 0.039 mol KH<sub>2</sub>PO<sub>4</sub> in 1 L deionized water) with a Vortex mixer (Vortex-Genie 2,  
104 Scientific Industries, USA) to obtain an optical density of OD<sub>600 nm</sub> = 0.30 using an UV/VIS  
105 Spectrophotometer (Evolution 160, Thermo Fisher Scientific, USA).

### 106 **Characterization of Physico-Chemical Surface Properties of Bacteria and Glass Beads**

107 The zeta potential ( $\zeta$ ) of bacteria and smashed glass beads were measured by Doppler  
108 electrophoretic light scattering analysis (Zetamaster, Malvern Instruments, Malvern, UK, with a  
109 Dip Cell Kit or a Folded Capillary Cell) in 100 mM PB (pH = 7). In deviation from an earlier  
110 described procedure<sup>20</sup> analyses were performed at 60 V in order to obtain narrow and  
111 symmetrical signal peaks. To approximate the effect of bacterial deposition on the zeta potential  
112 of glass beads (0.1 – 0.25 mm diameter, Retsch, Germany), clean polished glass beads were  
113 smashed with a mortar and a pestle to a size of < 100  $\mu$ m, then heated at 200 °C in muffle  
114 furnace for 2 hours, allowed to cool down to room temperature (25 °C) under sterile conditions  
115 and then immersed during 2 hours to the bacterial suspensions (OD<sub>600 nm</sub> = 0.30). The beads then

116 were separated by sieving, rinsed cautiously with 100 mM PB, re-suspended in 100 mM PB and  
117 analyzed as described above. Glass beads that were treated identically yet not exposed to  
118 bacterial cells were measured to obtain the  $\zeta$  of the clean bed (i.e. collector) surfaces. The contact  
119 angles ( $\theta$ ) of the bacteria were measured using a DSA 100 drop-shape analysis system (Krüss  
120 GmbH, Hamburg, Germany) using water ( $\theta_w$ ), formamide ( $\theta_f$ ), and methylene iodide ( $\theta_m$ ) as  
121 described earlier<sup>20</sup>. Bacterial lawns were prepared by depositing bacteria from inoculated  
122 suspensions on cellulose acetate membrane filters (Millipore, 0.45  $\mu$ m). 9 bacterial lawns were  
123 prepared for each bacterial cultivation to perform triplicate experiments for each solvent, 4  
124 droplets were applied on each bacterial lawn (i.e. the contact angle in each solvent is an average  
125 of 12 droplets). Glass bead lawns were prepared by fixing (either clean or bacteria-covered) glass  
126 beads with double-sided tape to glass slides by gentle pressing as described by Achtenhagen et  
127 al<sup>28</sup>. Glass beads of similar bacterial coverage as calculated for conditions of late stage  
128 breakthrough curves (cf. Tables 2 & S6 and Figs. 1 & S2) were prepared as described in the SI.  
129 The contact angles of the glass beads are averages of 12 droplets).

### 130 **Column Deposition Experiments**

131 The breakthrough curves of the different strains were quantified in vertical percolation columns  
132 as described by Qin et al<sup>20</sup>. Shortly, the columns were sterilized and packed with clean, heat-  
133 sterilized (200 °C, 2 h) polished glass beads, the porosity and pore volume (PV) were estimated  
134 to be 0.42 and 3.97 mL, respectively. Two disk-shaped Ti/Ir electrodes (De Nora Deutschland  
135 GmbH, Germany) at the top (cathode) and bottom (anode) of the column were connected to a  
136 power pack (P333, Szczecin, Poland) that allowed to apply constant DC electric field at  $E = 0$   
137 (control), 0.5, 1.0, 1.5, 2.0, 2.5, or 3.0 V cm<sup>-1</sup>. The columns were allowed to equilibrate by  
138 circulating clean buffer (100 mM PB,  $I = 0.22$  M) for 30 min. Well stirred bacterial suspensions

139 were allowed to percolate through the columns at a hydraulic flow rate of 19.3 mL h<sup>-1</sup> (flow  
140 velocity: 2.4 × 10<sup>-7</sup> m s<sup>-1</sup>) from the top to the bottom using a peristaltic pump. By placing the  
141 anode at the outflow of the column potential impacts of anodic reactive oxygen species on  
142 bacterial deposition were avoided. The lid at the top of the column permitted the release of  
143 electrolytically formed gas bubbles and, hence, avoided the passage of gas bubbles through the  
144 packed bed. For some strains (*P. putida* KT2440, *P. fluorescens* LP6a) additional experiments  
145 with reversed electrode polarity (top: anode; bottom: cathode) at  $E = 2 \text{ V cm}^{-1}$  were performed.  
146 The deposition of cells was determined by comparing the OD<sub>600 nm</sub> of the influent (C<sub>0</sub>) and  
147 effluent (C).

## 148 **Theory**

### 149 **Calculation of Collision Efficiency**

150 Clean-bed filtration theory was used to quantify the bacterial deposition in the glass beads  
151 packed columns in the presence and absence of electric fields. The collision efficiency  $\alpha_t$  is  
152 described by<sup>6,7</sup>

$$153 \quad \alpha_t = \frac{\eta_t}{\eta_{\text{trans}}} \quad (1)$$

154 with  $\eta_t$  being the rate of attachment as calculated from bacterial breakthrough data and  $\eta_{\text{trans}}$  the  
155 rate of bacteria transport to the collector surfaces, the calculation method has been described in  
156 detail by Qin et al.<sup>20</sup> and in the SI.

157

### 158 **Prediction of Forces Acting on a Cell at the Secondary Minimum above a Collector Surface**

159 According to the DLVO theory, the DLVO energy distribution ( $G_{\text{DLVO}}$ , eq. 2)<sup>8</sup> is composed of  
160 the electrostatic ( $G_{\text{EDL}}$ ) and Lifshitz–van der Waals ( $G_{\text{LW}}$ ) energies (for detailed description

161 please refer to the SI). The zeta potentials and contact angles of bacterial and collector surfaces,  
 162 respectively, were used to approximate the overall DLVO interaction energies; Calculations of  
 163  $G_{DLVO}$  thereby considered changes of the zeta potential and the contact angles of the collector  
 164 surface in response to increasing bacterial deposition (for detailed description of the effects of  
 165 bacterial coverage on the zeta potential and contact angles of the collectors refer to the SI; eqs.  
 166 S9-S15, Table S6, and Fig. S5).

$$167 \quad G_{DLVO} = G_{EDL} + G_{LW} \quad (2)$$

168 At the secondary minimum distance ( $h_s$ ) to a collector surface,  $F_{DLVO}$  (eq. 3) can be calculated by  
 169 the DLVO energy distribution ( $G_{DLVO}$ )<sup>8</sup>:

$$170 \quad F_{DLVO} = \frac{G_{DLVO}}{h_s} \quad (3)$$

171 The resulting net force ( $F_{net}$ ) acting on a bacterium located at the distance of the secondary  
 172 minimum above a collector surface submersed in an ionic solution in presence of an external DC  
 173 electric field can be approximated by combination of shear forces induced by the hydraulic ( $F_{HF}$ ),  
 174 and the electroosmotic ( $F_{EOF}$ ) water flow and the electrophoretic drag force ( $F_{EP}$ ) in eq 4:

$$175 \quad F_{net} = F_{EOF} + F_{EP} + F_{HF} + F_{DLVO} \quad (4)$$

176 The shear forces  $F_{HF}$  and  $F_{EOF}$ , acting on a bacterium located at  $h_s$  depend on the velocity of the  
 177 hydraulic ( $V_{HF}$ ) and the electroosmotic ( $V_{EOF}$ ) water flow and can be calculated by eqs. 5 & 6<sup>29</sup>:

$$178 \quad F_{HF} = F_d^* \times 6\pi\eta a V_{HF} \quad (5)$$

$$179 \quad F_{EOF} = F_d^* \times 6\pi\eta a V_{EOF} \quad (6)$$

180 Where  $\eta$  is the viscosity of the liquid ( $\eta = 3.19 \text{ kg m}^{-1} \text{ h}^{-1}$ ),  $F_d^*$  is a function of the radius  $a$  of a  
 181 sphere (for simplicity we presume bacterial cells to be spheres) and the distance of the center of  
 182 the sphere to the collector surface. Following previous work we presume  $F_d^*$  to be 1.7<sup>29</sup>. The

183 velocity of hydraulic flow can be described by the Hagen-Poiseuille approach<sup>30</sup>. The EOF  
 184 velocity ( $V_{\text{EOF}}$ ) at distance  $h_s$  from the collector surface is calculated by eq. 7, which is the  
 185 combination of a simplified EOF expression of the Navier-Stokes equation with the potential  
 186 distribution described by the Gouy-Chapman model, and the characteristics of porous media  
 187 were taken into account<sup>20,31,32</sup>.

$$188 \quad V_{\text{EOF}} = -\frac{\varepsilon_0 \varepsilon_r \zeta_C n \tau * E}{\eta} \left( 1 - \frac{2I_1(\kappa h_s)}{\kappa a I_0(\kappa h_s)} \right) \quad (7)$$

189 In eq. 7  $\varepsilon_r$  is the dielectric constant of water (78.5),  $\varepsilon_0$  ( $8.85 \times 10^{-12}$  F m<sup>-1</sup>) is the vacuum  
 190 permittivity,  $\zeta_C$  is the zeta potential of the collector surface at the experimental conditions,  $n$  and  
 191  $\tau$  refer to the porosity (0.42) and tortuosity (1.8) of the glass bead bed<sup>32</sup>, and  $E$  is the electric field  
 192 strength applied,  $I_0$  and  $I_1$  are the zero- and first-order modified Bessel functions, and  $\kappa^{-1}$  is the  
 193 thickness of the electric double layer. The drag force  $F_{\text{EP}}$  acting on a bacterium is calculated  
 194 from the electrophoretic mobility  $V_{\text{EP}}$  according to Solomentsev et al.<sup>30,33</sup>.

$$195 \quad F_{\text{EP}} = 6\pi\eta a V_{\text{EP}} \quad (8)$$

196 The electrophoretic velocity ( $V_{\text{EP}}$ ) is calculated by the Smoluchowski equation<sup>34</sup>

$$197 \quad V_{\text{EP}} = \frac{2\varepsilon_0 \varepsilon_r \zeta_{\text{bac}} E}{3\eta} f(\kappa a) \quad (9)$$

198  $f(\kappa a)$  approaches 1 for small  $\kappa a$ , and 1.5 for large  $\kappa a$ , here  $f(\kappa a)$  value level off to 1.5<sup>35</sup>. The ratio  
 199 of  $F_{\text{EOF}}$  and  $F_{\text{EP}}$  is given by eq. 10

$$200 \quad \frac{F_{\text{EOF}}}{F_{\text{EP}}} = \frac{F_d * \zeta_C n \tau}{\frac{2}{3} * \zeta_{\text{bac}} f(\kappa a)} \left( 1 - \frac{2I_1(\kappa h_s)}{\kappa a I_0(\kappa h_s)} \right) = 1.29 * \frac{\zeta_C}{\zeta_{\text{bac}}} \quad (10)$$

201

## 202 **Results**

### 203 **Quantification of Cell Deposition in Percolation Columns**

204 The effects of DC electric fields on bacterial deposition and transport of *P. putida* KT2440, *R.*  
205 *opacus* X9, *P. fluorescens* LP6a, and *Sphingomonas* sp. S3 were quantified in percolation  
206 columns filled with glass beads at various electric field strengths ( $E = 0 - 3.0 \text{ V cm}^{-1}$ ). By  
207 quantifying relative effluent cell densities, the breakthrough curves of DC and DC-free columns  
208 were compared and clean bed theory was adopted to describe the bacterial deposition. The  
209 collision efficiency of the clean bed (i.e. at the initial stage of bacterial breakthrough;  $\alpha_0$ ) was  
210 evaluated from data of 0 - 2 PV of the breakthrough curves (Table 1, Figs. 1, S1 & S3), while  
211 later stage collision efficiencies ( $\alpha_t$ ) were obtained from the breakthrough curves at quasi steady  
212 state (Table 1, Figs. 1 & S2). All four bacterial strains differed in their physico-chemical surface  
213 properties. In the percolation buffer they exhibited zeta potentials ( $\zeta_{\text{bac}}$ ) of -11 to -35 mV (Table  
214 1). All strains were moderately hydrophobic with water contact angles varying between  $46^\circ - 70^\circ$ .  
215 Such differences were also reflected by distinct breakthrough curves in DC-free controls: strains  
216 KT2440 and X9 were less retained than strains LP6a and S3 (Table 1); this is reflected by  
217 smaller collision efficiencies ( $\alpha_t \approx 0.004 - 0.01$  vs. 0.02; Table 1) and lower fractions of retained  
218 bacteria after 14 PV ( $\approx 4\%$  vs. 10 - 14 %, Fig. 1). No significant differences of the clean bed  
219 collision efficiencies ( $\alpha_0 \approx 0.3 - 0.4$ ), however, were calculated. The zeta potential of the glass  
220 beads ( $\zeta_{\text{C}}$ ) changed from -8 mV (clean bed) to ca. -11- -16 mV ( $\zeta_{\text{C}_t}$ , Table 1) in response to  
221 bacterial deposition (surface coverage of 4 % - 14 % (Table S6)). Bacterial deposition likewise  
222 changed the contact angle of clean glass beads ( $\Theta_w = 21^\circ$ ) to  $25^\circ$  (*P. putida* KT2440),  $30^\circ$  (*R.*  
223 *opacus* X9),  $34^\circ$  (*P. fluorescens* LP6a) and  $39^\circ$  (*Sphingomonas* sp. S3) (Tables 1 & S6).

224 Applying DC fields to the columns resulted in changed breakthrough of all four strains.  
225 Observed effects depended on the electric field strengths applied and the zeta potential of the  
226 bacterial ( $\zeta_{\text{bac}}$ ) and the glass bead surfaces ( $\zeta_{\text{C}}$  and  $\zeta_{\text{C-t}}$ ): At  $\zeta_{\text{bac}} / \zeta_{\text{C}} \gtrsim 1.29$  the DC fields led to a  
227 decreased bacterial deposition, while at  $\zeta_{\text{bac}} / \zeta_{\text{C}} \lesssim 1.29$  DC fields promoted bacterial deposition  
228 to the glass collector surfaces. Both the positive and negative DC effects on bacterial deposition  
229 increased at augmenting field strengths (Table 1 & Fig. 1 for  $E = 0, 1, 2,$  and  $3 \text{ V cm}^{-1}$ ; Fig. S1  
230 and Table S2 for  $E = 0.5, 1.5,$  and  $2.5 \text{ V cm}^{-1}$ ). Applying DC fields to the columns hence  
231 decreased bacterial initial deposition for all strains at  $< 2 \text{ PV}$ . This resulted, for instance, in  
232 decreases of  $\alpha_0$  at  $E = 3 \text{ V cm}^{-1}$  by 85 % (LP6a), 68 % (S3), 65 % (X9), and 32 % (KT2440)  
233 relative to DC-free controls. At  $> 2 \text{ PV}$  bacterial breakthrough showed two distinct tendencies:  
234 the presence of DC clearly decreased deposition of strains S3 and LP6a, as exemplified by 40-  
235 100 % reduced  $\alpha_t$  at  $E = 3 \text{ V cm}^{-1}$  (Tables 1 & S2, Figs. 1 & S1). By contrast, up to 584 %  
236 increased collision efficiencies  $\alpha_t$  of strains KT2440 and X9 were observed at  $E = 3 \text{ V cm}^{-1}$   
237 (Table 1, Fig.1).

### 238 **Net Forces Acting on a Cell Placed at the Distance of the Secondary Minimum**

239 Tables 2 ( $E = 0, 1, 2, 3 \text{ Vcm}^{-1}$ ) & S3 ( $E = 0, 0.5, 1.5, 2.5 \text{ Vcm}^{-1}$ ) summarize the net forces ( $F_{\text{net}}$ ,  
240 cf. eq. 4) acting on bacteria placed at the distance of the secondary minimum above a collector  
241 surface in presence and absence of DC electric fields of varying field strengths; i.e. the DLVO  
242 force ( $F_{\text{DLVO}}$ , cf. eq. 3), the hydraulic ( $F_{\text{HF}}$ , cf. eq. 5) and electroosmotic shear forces ( $F_{\text{EOF}}$ , cf.  
243 eq. 6 & 7), and the electrophoretic drag force ( $F_{\text{EP}}$ , cf. eq. 8 & 9). The  $F_{\text{DLVO}}$  ranged from 1.83 to  
244 9.82 pN and, the distance of the secondary minimum (cf. Fig. S5 & Table S6), were significantly  
245 higher than strain-independent  $F_{\text{HF}} = 0.2 \text{ pN}$ . The DLVO approach was used as it has been found  
246 to be a powerful predictor of bacterial deposition to chemically uniform collector surfaces of

247 poor surface roughness immersed in solutions of high ionic strength ( $I = 0.1 - 0.3 \text{ M}$ ), i.e.  
248 conditions as given in our experiments.

249 As both the bacterial and the glass collector surfaces were measured to be negatively charged  
250 (Table 1), the electrophoretic drag forces  $F_{EP}$  and the electroosmotic shear force counteracted  
251 each other (as expressed by opposite signs of the forces) and depended on the electric field  
252 strength applied. For situations of clean bed surfaces ( $\zeta_C = -8 \text{ mV}$ ),  $|F_{EOF}| < |F_{EP}|$  was  
253 calculated for all strains and all conditions tested (Table 2). In such situation and in presence of  
254 DC  $F_{net}$  was consistently  $< F_{DLVO}$  and allowed for less bacterial deposition (i.e. decreasing  $\alpha_0$  or  
255 better bacterial transport) than in DC-free controls. Due to the deposition-induced increase of the  
256 zeta potential ( $\zeta_{C-t}$ ) of the collector surfaces, the velocity of the EOF ( $V_{EOF}$ ; eq. 7) increased  
257 during percolation. This resulted in an increased  $F_{EOF}$  yet let  $F_{EP}$  unchanged. For strains LP6a  
258 and S3  $|F_{EOF}|$  remained  $< |F_{EP}|$  while for strains KT2440 and X9  $|F_{EOF}|$  became  $> |F_{EP}|$ .  
259 As a consequence  $F_{net}$  remained  $< F_{DLVO}$  for strains LP6a and S3 yet at  $E = 3 \text{ V cm}^{-1}$  increased  
260 by 29 % and 5 % for strains KT2440 and X9 relative to  $F_{DLVO}$  (Tables 2 & S3).

261

## 262 **Discussion**

### 263 **Drivers of Deposition Efficiencies and Net Forces at the Secondary Minimum**

264 Inspired by previous work<sup>20</sup> that interlinked reduced deposition efficiencies of *P. fluorescens*  
265 LP6a cells with electroosmotic shear forces ( $F_{EOF}$ ) in electrokinetic percolation columns we here  
266 challenged the proposed  $F_{EOF}$ -effects by quantifying deposition and transport of four soil bacteria  
267 differing in their physico-chemical cell surfaces and deposition properties. While our data  
268 confirm deposition-limiting  $F_{EOF}$ -effects for LP6a cells (i.e. that  $F_{EOF}$  are able to overcome the

269  $F_{DLVO}$ ), we simultaneously found that DC electric fields promoted the deposition of *P. putida*  
270 KT2440 and *R. opacus* X9 up to 584 % and 66 % despite of  $|F_{EOF}| \geq |F_{DLVO}|$  (Table 2). In  
271 order to explain such discrepancy we included the electrophoretic drag force,  $F_{EP}$  as additional  
272 driver of the  $F_{net}$  (eq. 4) acting on a cell sitting at the distance of the secondary minimum (eq. 4)  
273 above a glass bead collector surface. We found that the relative changes of DC-induced net  
274 forces (expressed by  $(F_{net,DC} - F_{net,noDC}) / F_{net,noDC}$ ) were highly correlated with the relative  
275 changes of the collision efficiency (expressed by  $(\alpha_{DC} - \alpha_{noDC}) / \alpha_{noDC}$ ) (Fig. 3). At conditions of  
276  $F_{net,DC} > F_{net,noDC}$  (i.e.  $|F_{EOF}| > |F_{EP}|$ ) increased deposition, while for  $F_{net,DC} < F_{net,noDC}$  (i.e.  
277  $|F_{EOF}| < |F_{EP}|$ ) decreased deposition was detected for all bacteria and all stages of the  
278 breakthrough curves. Comparison of the absolute values of  $F_{EOF}$  and  $F_{EP}$  (Table 2) reveals that at  
279  $|F_{EOF}| > |F_{EP}|$  improved and at  $|F_{EOF}| < |F_{EP}|$  reduced bacterial deposition relative to  
280 DC-free controls was observed. Our data hence suggest that electrokinetic shear and drag forces  
281 are drivers of electrokinetic influences on bacterial deposition. As both forces are influenced by  
282 the same drivers (e.g. electric field strength and the thickness of the electric double layer), the  
283  $|F_{EOF} / F_{EP}|$  ratio can be expressed in a given medium in function of the zeta potentials of the  
284 bacteria and the collector surface by  $1.29 * \zeta_C / \zeta_{bac}$  (eq.10): at  $1.29 * \zeta_C / \zeta_{bac} < 1$  reduced  
285 deposition ( $\alpha_{DC} < \alpha_{noDC}$ ) and at  $1.29 * \zeta_C / \zeta_{bac} > 1$  increased deposition ( $\alpha_{DC} > \alpha_{noDC}$ ) is to be  
286 predicted (Fig. 2). The zeta potential ratio between collector and bacteria hence seems to be key  
287 to electrokinetic control of bacterial deposition and transport. Although other descriptors exist,  
288 we used the zeta potentials that were derived from electrophoretic mobility measurements using  
289 the standard Smoluchowski theory. Such approach has been described to be adequate and to be a  
290 better predictor for bacterial deposition rates than outer surface potentials described by soft  
291 particle theory<sup>36</sup>.

292 We challenged our observations by reversing the direction of the electric field during deposition  
293 experiments of *P. putida* KT2440 and *P. fluorescens* LP6a (Fig. S4, Tables S4 & S5). As  
294 expected, the resulting  $F_{\text{net}}$  differed due to changed relative direction of the EOF and the  
295 hydraulic flow in the columns. However, no significant changes in the overall deposition and  
296 transport during breakthrough of the two bacterial strains were observed (Fig. S4) with data  
297 fitting well to Fig. 2.

298

### 299 **Relevance for Environmental and Biotechnological Application**

300 Our results suggest that DC electric fields effects may be used to control bacterial deposition and  
301 transport in immersed porous media, however, material heterogeneity will have to be considered  
302 before any practical applications. Such effects may help to increase the retention of unwanted  
303 bacteria in drinking water purification systems<sup>37,38</sup> or, vice versa, to reduce biofouling<sup>39-41</sup> and  
304 biocorrosion<sup>42</sup> in technical systems. Our data further show that both  $F_{\text{EOF}}$  and  $F_{\text{EP}}$ , act on  
305 bacterial deposition at extents depending on the  $|F_{\text{EOF}} / F_{\text{EP}}|$  and  $\zeta_{\text{C}} / \zeta_{\text{bac}}$  ratios, respectively  
306 (Fig. 2). Increasing the surface charge of the collector supports the deposition of bacteria (or any  
307 other colloid) and may promote desired biofilm formation (e.g. in water clean-up systems), while  
308 reduction of  $\zeta_{\text{C}} / \zeta_{\text{bac}}$  reduces bacterial deposition and, hence, biofilm formation in technical  
309 systems where it is undesired (Fig. 2). In our study shifts of  $\zeta_{\text{C}}$  coincided with electrokinetically-  
310 induced changes of the deposition efficiency due to priming of the collector surface to more  
311 negative zeta potentials (Table 1); such priming due to continuous deposition of bacteria during  
312 percolation has also been described earlier<sup>20</sup>. Tailor-made (i.e. dynamic and possibly reversible)  
313 changes of  $\zeta_{\text{C}}$  hence may be applied in technical applications in order to find solutions for the  
314 wanted  $\zeta_{\text{C}} / \zeta_{\text{bac}}$  ratios and bacterial deposition, respectively. Drivers of zeta potential variation

315 such as material properties, ionic strength, and pH then become available to steer electric field  
316 effects to the aimed direction. For instance, priming of the collector (e.g. with highly charged  
317 materials or solutes) will support the deposition of bacteria (or any other colloid) and promote  
318 wanted biofilm formation. In drinking water purification systems, with typical low ionic strength  
319 ( $< 10 \text{ mM}^{43}$ ) and neutral pH, the collector matrices (i.e. ion-exchange resins, activated carbon,  
320 etc.) typically are highly charged ( $\zeta_C$  of ca.  $-50 \text{ mV}$ ), and high  $\zeta_C / \zeta_{\text{bac}}$  ratios are relatively easy  
321 to achieve. This may lead to increased bacterial deposition, increased removal of microbial  
322 pathogens and, hence, a promotion of drinking water safety. On the other hand, reduction of  
323  $\zeta_C / \zeta_{\text{bac}}$  reduces bacterial deposition and hence, biofilm formation in technical systems (Fig. 2).  
324 Several DC-based approaches have been proposed to influence bacteria-electrode surface  
325 interactions: some studies aimed at disrupting biofilm formation on electrodes by applying a  
326 biocidal current<sup>20</sup> while others used electrokinetic approaches for better application to  
327 biofilm<sup>35,44</sup>. Weak DC electric fields have not been found to negatively affect bacterial  
328 physiology and activity<sup>20,45</sup>, nor to change bacterial physico-chemical surface properties relevant  
329 for adhesion and transport<sup>20</sup>. Applying DC fields also opens possibilities for enhanced bacterial  
330 transport in porous natural matrices. Investigations have found that in the natural soil system  
331 where typical zeta potential distribution ranges of bacteria ( $-5$  to  $-48 \text{ mV}^{46,47}$ ) and matrices ( $0$  to  $-$   
332  $54 \text{ mV}^{48-50}$ ) are relatively wide, the two different effects of electric fields exist at the same time  
333 regarding the  $\zeta_C / \zeta_{\text{bac}}$  distribution. For the situations  $\zeta_C / \zeta_{\text{bac}} > 1.29$  (i.e.  $|F_{\text{EOF}}| > |F_{\text{EP}}|$ ),  
334 DC fields enhance the deposition of bacterial in porous matrices, however, the strong  $F_{\text{EOF}}$  may  
335 enhance the desorption and migration of contaminants<sup>51</sup>, and thus may also bridge the physical  
336 distance between bacterium and contaminants to further enhance bioremediation. On the other  
337 hand, at  $\zeta_C / \zeta_{\text{bac}} < 1.29$  (i.e.  $|F_{\text{EOF}}| < |F_{\text{EP}}|$ ), DC fields may enhance the transport of bacteria

338 through porous media to reach contaminants adsorbed on matrices, and enhance bioremediation.  
339 In electrokinetically-managed natural and manmade ecosystems knowledge of the electroosmotic  
340 flow and electrophoresis hence allows for better control of microbial deposition transport in  
341 porous media.

342

343

344

## 345 **Associated Content**

346 Supporting information containing text, 6 tables and 5 figures is provided.

347

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- 473

474 **Table 1.** Overview of the bacterial zeta potential and the water contact angles, the zeta potential of the collector surface (glass beads) after  
 475 bacterial deposition and the calculated clean bed deposition efficiency ( $\alpha_0$ ; 0 - 2 PV) and the deposition efficiency ( $\alpha_t$ ) at quasi steady state of  
 476 the breakthrough curves in absence (no DC) and presence of DC electric fields of varying field strength ( $E = 0 - 3 \text{ V cm}^{-1}$ ). Please note that  
 477 quasi steady state of the breakthrough curves is reached at different times for the bacteria analyzed as specified in the footnote to this table.

478

| Bacteria name                           | zeta potential of bacteria | zeta potential of collector surface with bacteria <sup>b</sup> | water contact angle | water contact angle of collector with bacteria <sup>b</sup> | collision efficiency (no DC)  | collision efficiency (1 V cm <sup>-1</sup> )                                 | collision efficiency (2 V cm <sup>-1</sup> )                                | collision efficiency (3 V cm <sup>-1</sup> )                                |
|---|----------------------------|--|---------------------|---|---|--|---|---|
|   | $\zeta_{\text{bac}}$       | $\zeta_{\text{c,t}}$   | $\theta_w$          | $\theta_{w,t}$  | $\alpha_{0,\text{no DC}}$ <sup>c</sup><br>$\alpha_{t,\text{no DC}}$ | $\alpha_{0,1.0 \text{ V/cm}}$ <sup>bc</sup><br>$\alpha_{t,1.0 \text{ V/cm}}$ | $\alpha_{0,2.0 \text{ V/cm}}$ <sup>c</sup><br>$\alpha_{t,2.0 \text{ V/cm}}$ | $\alpha_{0,3.0 \text{ V/cm}}$ <sup>c</sup><br>$\alpha_{t,3.0 \text{ V/cm}}$ |
|   | (mV)                       | (mV)   | (degree)            | (degree)  | ( $\times 10^{-2}$ )  | ( $\times 10^{-2}$ )   | ( $\times 10^{-2}$ )  | ( $\times 10^{-2}$ )  |
| <i>P. putida</i> KT2440                 | -11 ± 1                    | -11 ± 3  | 70 ± 3              | 25 ± 3  | 28 (0.95)<br>0.44 ± 0.04 <sup>d</sup>                               | 25 (0.87)<br>0.88 ± 0.07 <sup>d</sup>  | 19 (0.78)<br>1.89 ± 0.17 <sup>d</sup>                                       | 19 (0.84)<br>3.01 ± 0.13 <sup>d</sup>                                       |
| <i>R. opacus</i> X9                     | -18 ± 3                    | -15 ± 2  | 62 ± 3              | 30 ± 2  | 43 (0.94)<br>1.01 ± 0.12 <sup>e</sup>                               | 34 (0.90)<br>1.34 ± 0.26 <sup>e</sup>  | 24 (0.88)<br>1.55 ± 0.20 <sup>e</sup>                                       | 15 (0.91)<br>1.68 ± 0.21 <sup>e</sup>                                       |
| <i>P. fluorescens</i> LP6a <sup>a</sup> | -35 ± 3 <sup>a</sup>       | -16 ± 3  | 46 ± 3              | 34 ± 5  | 26 (0.98)<br>1.7 ± 0.16 <sup>a,f</sup>                              | 19 (0.83)<br>0.94 ± 0.19 <sup>a,f</sup>                                      | 19 (0.98)<br>0.28 ± 0.03 <sup>a,f</sup>                                     | 4 (0.95)<br>0 ± 0 <sup>a,f</sup>  |
| <i>Sphingomonas</i> sp. S3              | -23 ± 2                    | -15 ± 4  | 53 ± 5              | 39 ± 4  | 38 (0.67)<br>1.65 ± 0.34 <sup>g</sup>                               | 17 (0.63)<br>1.48 ± 0.36 <sup>g</sup>  | 19 (0.81)<br>1.21 ± 0.29 <sup>g</sup>                                       | 12(0.89)<br>0.98 ± 0.21 <sup>g</sup>  |

479 <sup>a</sup> data taken from <sup>20</sup>; <sup>b</sup> The  $\zeta_c$  and  $\theta_w$  of clean glass bead collectors were 8 ± 1 mV and 21 ± 2°, respectively (cf. Table S6); <sup>c</sup> the values in brackets refer to the coefficient of determination  $r^2$ ;

480 <sup>d</sup> calculated as average from 5 - 13 PV; <sup>e</sup> calculated as average from 5 - 13 PV; <sup>f</sup> calculated as average from 20 - 25 PV (cf. Fig. S2); <sup>g</sup> calculated as average from 8 - 13 PV.

481

482 **Table 2.** Overview of forces acting on a bacterium at the distance of the secondary minimum for deposition to a clean bed (0 - 2 PV;  
 483 denominated by the subscript '0') and at quasi steady state of the breakthrough curves (denominated by the subscript 't') in presence and  
 484 absence of DC electric fields of varying field strength ( $E = 0 - 3 \text{ V cm}^{-1}$ ): DLVO interaction force ( $F_{\text{DLVO}}$ ), electroosmotic shear force ( $F_{\text{EOF}}$ ),  
 485 electrophoretic drag force ( $F_{\text{EP}}$ ), the hydraulic shear force ( $F_{\text{HF}}$ ) and the net force ( $F_{\text{net}}$ ) according to eq. 4.

| Bacteria name              | DLVO force at distance of 2 <sup>nd</sup> minimum | electroosmotic shear force (per $\text{V cm}^{-1}$ electric field strength) | electrophoretic drag force (per $\text{V cm}^{-1}$ electric field strength) | hydraulic flow shear force | net force at distance of 2 <sup>nd</sup> minimum (no DC)             | net force at distance of 2 <sup>nd</sup> minimum (1 $\text{V cm}^{-1}$ ) | net force at distance of 2 <sup>nd</sup> minimum (2 $\text{V cm}^{-1}$ ) | net force at distance of 2 <sup>nd</sup> minimum (3 $\text{V cm}^{-1}$ ) |
|----------------------------|---|---|---|----------------------------|--|--|--|--|
|                            | $F_{\text{DLVO}_0}$<br>( $F_{\text{DLVO}_t}$ )    | $F_{\text{EOF}_0}$<br>( $F_{\text{EOF}_t}$ )                                | $F_{\text{EP}}$   | $F_{\text{HF}}$            | $F_{\text{net}_0, \text{no DC}}$<br>$F_{\text{net}_t, \text{no DC}}$ | $F_{\text{net}_0, 1 \text{ V/cm}}$<br>$F_{\text{net}_t, 1 \text{ V/cm}}$ | $F_{\text{net}_0, 2 \text{ V/cm}}$<br>$F_{\text{net}_t, 2 \text{ V/cm}}$ | $F_{\text{net}_0, 3 \text{ V/cm}}$<br>$F_{\text{net}_t, 3 \text{ V/cm}}$ |
|                            | (pN)  | (pN)  | (pN)  | (pN)                       | (pN)   | (pN)   | (pN)   | (pN)   |
| <i>P. putida</i> KT2440    | 3.26<br>3.69 <sup>a</sup>                         | 1.36<br>1.87 <sup>a,b</sup>   | -1.45   | 0.2                        | 3.06<br>3.49 <sup>a,b</sup>  | 2.97<br>3.91 <sup>a,b</sup>  | 2.88<br>4.33 <sup>a,b</sup>  | 2.79<br>4.75 <sup>a,b</sup>  |
| <i>R. opacus</i> X9        | 5.61<br>7.62 <sup>a</sup>                         | 1.36<br>2.55 <sup>a,c</sup>   | -2.37   | 0.2                        | 5.41<br>7.42 <sup>a,c</sup>  | 4.4<br>7.6 <sup>a,c</sup>  | 3.39<br>7.78 <sup>a,c</sup>  | 2.38<br>7.96 <sup>a,c</sup>  |
| <i>P. fluorescens</i> LP6a | 2.31<br>1.83 <sup>a</sup>                         | 1.36<br>2.72 <sup>a,d</sup>   | -4.74   | 0.2                        | 2.11<br>1.63 <sup>a,d</sup>  | -1.27<br>-0.39 <sup>a,d</sup>  | -4.65<br>-2.41 <sup>a,d</sup>  | -8.03<br>-4.43 <sup>a,d</sup>  |
| <i>Sphingomonas</i> sp. S3 | 8.19<br>9.82 <sup>a</sup>                         | 1.36<br>2.55 <sup>a,e</sup>   | -3.03   | 0.2                        | 7.99<br>9.62 <sup>a,e</sup>  | 6.32<br>9.14 <sup>a,e</sup>  | 4.65<br>8.66 <sup>a,e</sup>  | 2.98<br>8.18 <sup>a,e</sup>  |

486 <sup>a</sup> calculated using respective  $\zeta_{\text{c}_t}$  and contact angles of bacteria and bacteria adhered glass beads (cf. Tables S6); <sup>b</sup> calculated based on  $\zeta_{\text{c}_t}$  as average from 5 - 13 PV; <sup>c</sup>  
 487 calculated based on  $\zeta_{\text{c}_t}$  as average from 5 - 13 PV; <sup>d</sup> calculated based on  $\zeta_{\text{c}_t}$  as average from 20 - 25 PV (cf. Fig. S2); <sup>e</sup> calculated based on  $\zeta_{\text{c}_t}$  as average from 8 - 13 PV.

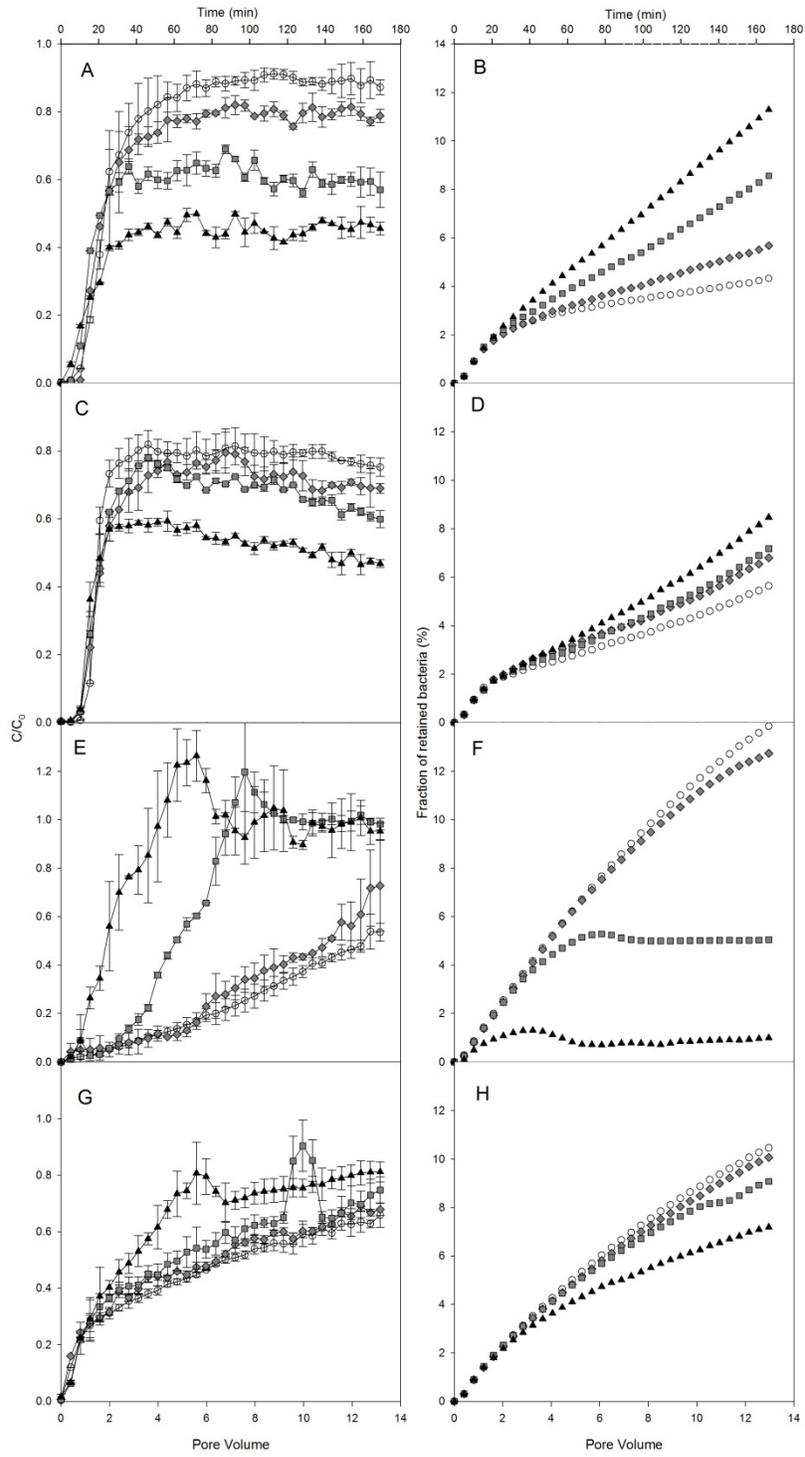
## 488 **Figure legends**

489 **Figure 1.** Breakthrough curves (left) and calculated fractions (right) of four bacteria transported  
490 through percolation columns packed with glass beads in the absence (open circle) and presence  
491 (filled symbols) of DC electric fields of  $E = 1.0 \text{ V cm}^{-1}$  (rhomboids),  $E = 2.0 \text{ V cm}^{-1}$  (squares)  
492 and  $E = 3.0 \text{ V cm}^{-1}$  (triangles): *P. putida* KT2440 (Figs. 1A & B), *R. opacus* X9 (Figs. 1C & D),  
493 *P. fluorescens* LP6a (Figs. 1E & F), and *Sphingomonas* sp. S3 (Figs. 1G & H). All data represent  
494 averages and standard deviations of triplicate experiments.

495 **Figure 2.** Calculated effects of the zeta potential of collector ( $\zeta_c$ ) and bacterial ( $\zeta_{bac}$ ) surfaces on  
496  $|F_{EOF} / F_{EP}|$  ratios (cf. eq. 10). At  $|F_{EOF} / F_{EP}| > 1$  increased and at  $|F_{EOF} / F_{EP}| < 1$   
497 decreased deposition of cells relative to DC-free controls, respectively, is expected. Open and  
498 grey filled symbols represent the averages and the standard error ( $n = 3$ ) of the bacterial surfaces  
499 and the initial and late stage zeta potential of glass beads covered by *P. putida* KT2440  
500 (diamonds), *R. opacus* X9 (squares), *P. fluorescens* LP6a (circles), and *Sphingomonas* sp. S3  
501 (triangles). Differences of zeta potential of clean glass beads and glass beads covered with  
502 bacteria are statistically significant ( $p < 0.05$ ) for all bacterial strains.

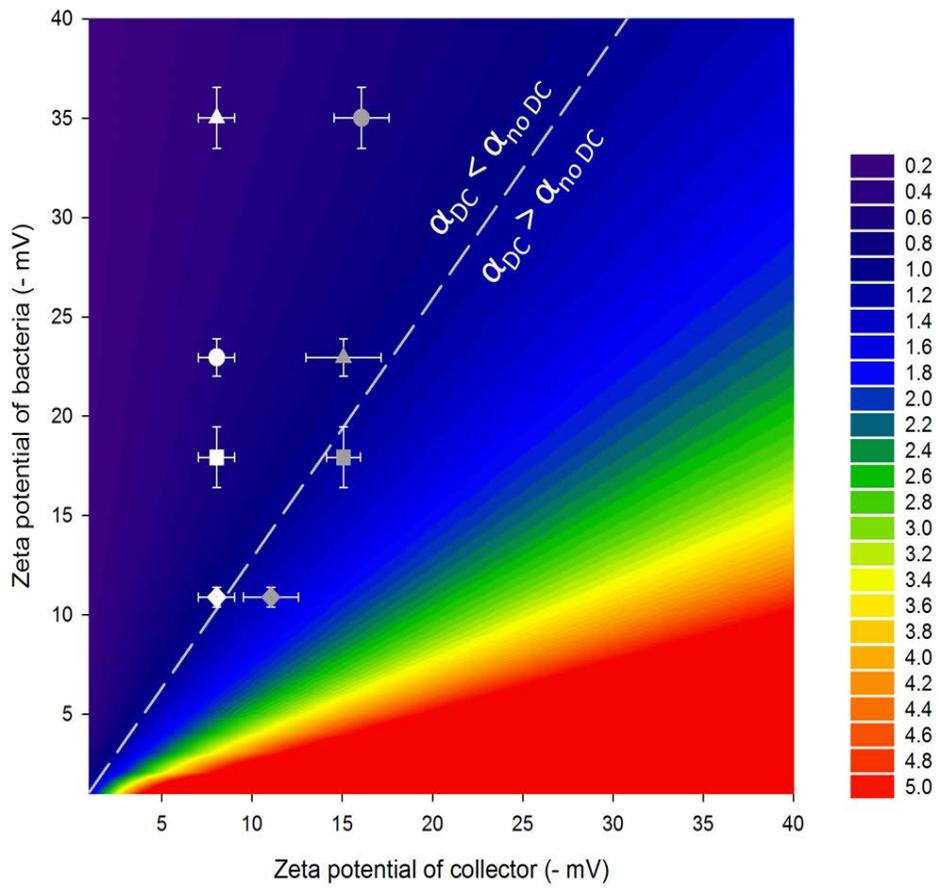
503 **Figure 3.** Relative changes of DC-induced net forces acting on a bacterium placed at the  
504 secondary and relative changes of the collision efficiency of *P. putida* KT2440 (diamonds), *R.*  
505 *opacus* X9 (squares), *P. fluorescens* LP6a (circles), and *Sphingomonas* sp. S3 (triangles) cells.  
506 Open and filled symbols represent relative changes for deposition to clean beds (0 - 2 PV) and at  
507 quasi steady state stages of the breakthrough curves (cf. Table 2). Semi-filled symbols represent  
508 relative changes in presence of DC fields with reversed polarity applied (i.e. allowing for EOF in  
509 direction of the hydraulic flow); top-filled and bottom-filled symbols refer to for deposition to  
510 clean beds (0 - 2 PV) and at quasi steady state stages of the breakthrough curves (cf. Table 2).

511



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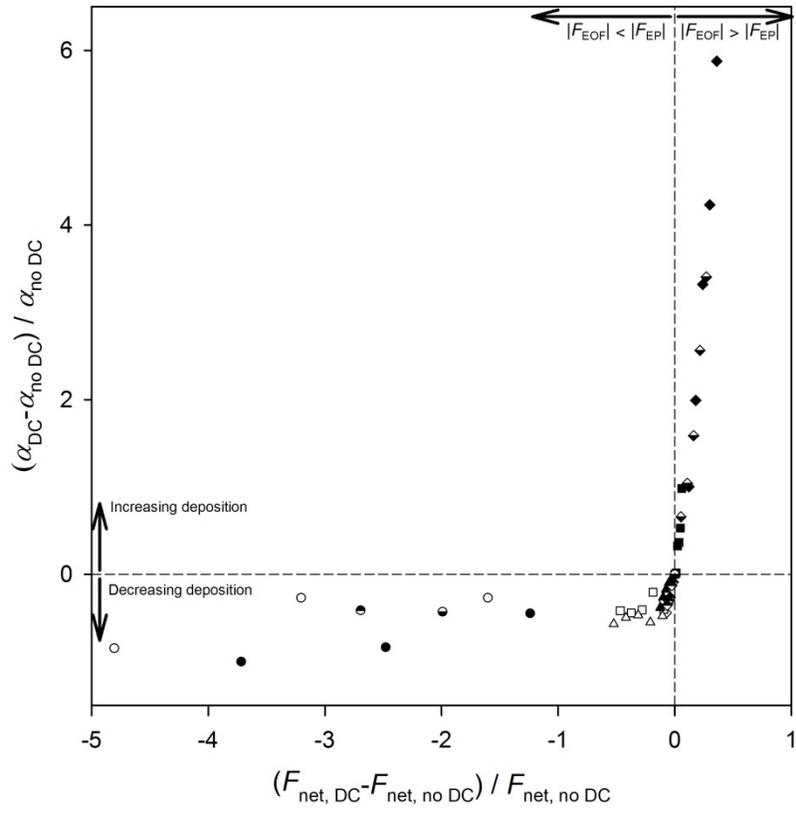
513 **Figure 1**



514

515 **Figure 2**

516



517

518 **Figure 3**