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# 1   **New Aspects of the Environmental Risks of Quantum Dots:**

## 2   **Prophage Activation**

3

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## 24   **Abstract**

25       A few thousand tons of nanoparticles and quantum dots (QDs) are produced  
26 yearly worldwide, and a significant amount is released into ecosystems. This  
27 knowledge has stimulated numerous studies on the toxicological properties of these  
28 nanomaterials. However, an important ecotoxicological aspect has been largely  
29 overlooked: the activation of silent viruses in bacteria (so-called prophages). This is  
30 particularly important because, once the prophages are activated; phage replication  
31 using bacterial hosts is an autocatalytic process with a potentially exponential rate of  
32 bacteria killing under certain conditions. To shed light onto these underestimated  
33 processes, the interactions of differently functionalized CdTe QDs with *E. coli*  
34 containing prophages were investigated. We found that prophages can be activated  
35 with as little as a nanomolar concentration range of QDs. DNA damage due to  
36 oxidative stress induced by the CdTe QDs was revealed as the main reason for the  
37 prophage activation. The contribution of freely dissociated Cd<sup>2+</sup> to prophage  
38 activation was on the order of 15 to 25%. Our pioneering work is intended to provide  
39 a first examination to better understand the role of nanoparticles in aquatic  
40 ecosystems.

41

## 42    **Introduction**

43    Nanoparticles and especially quantum dots (QDs) have numerous applications in  
44    various areas such as drug delivery, biosensors, biomedical imaging, solar energy  
45    generation and electronic devices due to their desirable optical and electrochemical  
46    properties<sup>1</sup>. The yearly production of nanomaterials is estimated to be 5000 t (TiO<sub>2</sub>),  
47    500 t (Ag) and 350 t (carbon nanotubes)<sup>2</sup>. Recent investigations have raised concerns  
48    over adverse effects on ecosystem health after the release of nanomaterials into soil  
49    and water<sup>3</sup> because QDs attack bacteria, fungi, algae and protozoa on different  
50    organismic levels even at very low concentrations<sup>4</sup>. Additionally, QDs can be enriched  
51    by surface adsorption, bio-accumulation and biomagnification in aquatic ecosystems<sup>5</sup>.  
52    Numerous studies have revealed the adverse effect of QDs on bacterial growth and  
53    biodegradation processes<sup>6,7,8</sup> and have defined the toxicity targets<sup>9,10</sup>. However, the  
54    potential influence of QDs on ecosystems through the activation of silent viruses  
55    inside of bacteria is mostly neglected. When temperate bacteriophages infect bacteria,  
56    they integrate their genome into the chromosome(s) of their host and replicate their  
57    DNA along with the bacterial chromosome without producing viruses<sup>11</sup>. This  
58    phenomenon is called lysogeny, and the integrated phage genome is called the  
59    prophage. Evidence has shown that lysogenic bacteria are common in water and soil  
60    environments,<sup>12</sup> and the proportion of bacterial strains containing prophages may be  
61    as high as 80%<sup>13</sup>. Environmental factors such as UV irradiation, chemical exposure  
62    and temperature alteration can activate the prophages<sup>14</sup>. A common feature of  
63    prophage activation is the damage to the bacterial DNA and a subsequent irreversible  
64    shift of lysogeny to lysis<sup>15</sup>. Numerous literatures have also pointed out that the DNA  
65    breaks are critical for the switch from the lysogenic cycle into the lytic cycle<sup>16</sup>. As a  
66    result, progeny phages capable of infecting other bacteria are spewing into the

environment. This could potentially cause a significant reduction in the abundance of host species and community composition, and finally result in the destruction of the micro-ecological balance<sup>17</sup>. Several conventional chemicals (organic and inorganic)<sup>18,19,20</sup> have been reported to activate prophages. In light of this evidence, and considering the ecological importance of prophages, it is surprising that the activation of prophages by nanomaterials and QDs has not already been reported. There is evidence suggesting that the uptake of QDs is accompanied by the release of heavy metal ions and the production of reactive oxygen species (ROS)<sup>6, 7, 21</sup>. ROS are known to damage DNA and thereby activate prophages. The ROS production rate can even be photochemically accelerated using nanocrystals and light<sup>22,23</sup>. Photochemically activated nanocrystalline TiO<sub>2</sub> is already reported to induce prophages<sup>24</sup>. Additionally, evidences have shown that heavy metal ions like Cd<sup>2+</sup>, Cu<sup>2+</sup> and Ag<sup>+</sup> cause damage to the bacteria DNA.<sup>25,26</sup> It is important to clarify these findings to prove whether QDs alone (without light) can activate prophages and to determine the critical threshold concentrations. Furthermore, the possible mechanisms should be illuminated. Finally, the influence of the chemical structure of QDs on prophage activation has to be considered because the biological activities of QDs are closely related to their chemical structures<sup>27</sup>. We suggest *Escherichia coli* DSM4230 with  $\lambda$  prophage and CdTe with different surface ligands as suitable objects of investigation because there is already a large body of knowledge about both systems.

## **Materials and methods**

**Materials.** CdCl<sub>2</sub> (99.99%), 3-mercaptopropionic acid (MPA, 99%), L-glutathione (GSH, reduced, 98%), N-acetyl-L-cysteine (NAC), DL-dithiothreitol (DTT), L-ascorbic acid (Vc), 2',7'-dichlorofluorescein diacetate (DCFH-DA) and tellurium powder (99.999%, approximately 200 mesh) were obtained from Sigma-Aldrich and

92 were used without further purification. All other reagents were of analytical grade.  
93 Ultrapure water with 18.2 MΩ cm<sup>-1</sup> (Millipore Simplicity) was used in all aqueous  
94 solutions.

95 **Preparation and quantification of CdTe QDs.** CdTe QDs capped with MPA and  
96 GSH were synthesized according to Xiang et al.<sup>27</sup> Before using, freshly synthesized  
97 QDs were washed three times in 2-propanol. Afterwards, QDs were dispersed in  
98 ultrapure water, and the solutions were dialyzed for 4 h to remove impurities. The  
99 resultant QDs were stored at 4 °C in the dark for the subsequent experiments. QDs  
100 were quantified by UV/VIS spectroscopy using the extinction coefficients at 524 nm  
101 (MPA-CdTe QDs) and 504 nm (GSH-CdTe QDs)<sup>28</sup>.

102 **Bacterial strains and culture conditions.** *Escherichia coli* DSM4230 (obtained from  
103 DSMZ Braunschweig, Germany) (without (λ-) and with prophages (λ+)) was used.  
104 The prophage was established in the λ+ strain as described by Xu et al.<sup>29</sup>. In short: The  
105 *E. coli* DSM 4230 was activated by an overnight cultivation in LB medium to a final  
106 OD = 0.36 (corresponding to 2x10<sup>8</sup> cfu/mL). 1 mL of this *E. coli* suspension was  
107 infected with the lambda phage (DSM 4499) (with a final titer: 5x10<sup>7</sup> pfu/mL)  
108 corresponding to a MOI (multiplicity of infection) of 0.25. The mixture was incubated  
109 for 30 min at 37°C without shaking, serial diluted and plated on LB agar. After 2 days  
110 of incubation at 37°C and a further incubation at room temperature, single colonies  
111 inside the phage plaques were isolated. The isolates were purified several times,  
112 checked for lambda phage segregation and sensitivity, and used for further  
113 investigations. All growth experiments were conducted at 37 °C. Cultures were  
114 maintained on LB medium amended with maltose (2 g/L) and MgSO<sub>4</sub>·7H<sub>2</sub>O (0.12  
115 g/L). All media were autoclaved at 121 °C fore final pH was 7.2 ± 0.2, and the buffer  
116 capacity was high enough to maintain the pH 20 minutes. The various amounts of

117 QDs were aseptically added to the medium. The final pH was  $7.2 \pm 0.2$ , and the buffer  
118 capacity was high enough to maintain the pH during growth.

119 **Quantification of bacterial growth and phage propagation.** Bacterial growth  
120 influenced by phage propagation was quantified off-line (as the optical density at 600  
121 nm) and on-line (as the metabolic heat by microcalorimetry) in independent  
122 experiments. The optical densities were determined with a Hitachi U-2900 UV-Vis  
123 spectrophotometer, (Hitachi High-Tech, Tokyo, Japan). Growth was monitored on-  
124 line by a thermal activity monitor III (TAM III, TA Instruments, New Castle, USA).  
125 The ampoules and caps were autoclaved (30 min, 121 °C) before the experiment.  
126 Electric gain calibrations were regularly performed. Next, 1 mL of LB agar was put  
127 into the ampoules, and 10  $\mu$ L of the bacterial suspension (1.9 mL of LB media, 0.09  
128 mL of *E. coli* ( $\lambda^-$ ), 0.01 mL of *E. coli* ( $\lambda^+$ ); OD = 0.1 each in LB medium) with QDs  
129 was dropped on the solid agar. The ampoules were closed and made airtight before  
130 calorimetric monitoring.

131 **Quantification of the phages.** The concentrations of induced phages were quantified  
132 by the two-layer method<sup>30</sup>. In this technique, a phage particle causes a plaque in a  
133 bacterial layer. The results are provided in PFU (plaque forming units). The basic  
134 layer contained LB medium in 1.4% agar, and the cover layer contained 0.7% agar  
135 and the exponentially growing indicator bacteria (*E. coli* ( $\lambda^-$ ); OD = 0.3). Next, 1 mL  
136 of the sample for PFU quantification was mixed with 100  $\mu$ L of chloroform. The  
137 samples were centrifuged with 4500 g for 20 minutes at 4 °C. The chloroform-free  
138 supernatants were stored in a refrigerator at 4 °C before the final PFU quantification,  
139 in which 10  $\mu$ L serial dilutions of the cell-free supernatants were dropped on the  
140 double-layer plates. The plates were incubated overnight at 37 °C, and the plaques  
141 were counted on the following day as the PFU in each drop area.

142 **Determination of the intracellular ROS level.** *E. coli* ( $\lambda^+$ ) cultured overnight were  
143 harvested, washed twice with PBS and resuspended in tubes with PBS. Then, the  
144 microorganisms were cultivated in PBS in the presence of different concentrations of  
145 QDs. Finally, the cells were incubated with PBS buffer containing 2',7'-  
146 dichlorofluorescein diacetate (DCFH-DA, 5  $\mu$ M) for 30 min in the dark at 37 °C. The  
147 ROS level was flow cytometrically analyzed (C6 flow cytometer, BD Biosciences,  
148 USA).

149 **Quantification of superoxide dismutase activity and of lipid peroxides.** *E. coli*  
150 ( $\lambda^+$ ) strains were cultivated under the same conditions as in the growth experiments.  
151 Afterwards, *E. coli* ( $\lambda^+$ ) was washed twice with PBS and disrupted using an ultrasonic  
152 cell disruption system. The activity of the superoxide dismutase (SOD) was assayed  
153 using a water-soluble tetrazolium salt (WST-8) kit (total superoxide dismutase assay  
154 kit with WST-8, Beyotime)<sup>31</sup>. The products of the lipid peroxidation  
155 (malondialdehyde, MDA) were quantified by the thiobarbituric reacting substances  
156 (TBARS) assay. The Lipid Peroxidation MDA Assay Kit (Beyotime, Nantong, China)  
157 was used as described by Liu et al<sup>32</sup>.

158 **Protective agents.** To protect the bacteria against oxidative stress, N-acetyl-L-  
159 cysteine (NAC 2 mM), GSH (2 mM), dithiothreitol (DTT 1 mM), and vitamin C (Vc  
160 1 mM) were applied.

161 **Toxicity of Cd<sup>2+</sup>.** An attempt to reduce the toxicity of the heavy metal ion Cd<sup>2+</sup> was  
162 made by masking the ion with ethylenediaminetetraacetic acid (EDTA, 1 mM). To  
163 demonstrate the success of masking, the concentration of free Cd<sup>2+</sup> was analyzed with  
164 and without EDTA. For that purpose, the sample with and without EDTA was  
165 dialyzed in deionized water using a 200-Da membrane for 2 days. The solution  
166 outside of the dialysis tube was reduced in volume to 1mL. The concentrate was



167 mixed with concentrated nitric acid in an ampule and evaporated to dryness. Finally,  
168 8mL of 3% nitric acid was used to wash the ampule, and the solution was prepared for  
169 the analysis using inductively coupled plasma atomic emission spectroscopy (ICP-  
170 AES).

## 172 **Results**

173 **Synthesis and characterization of CdTe QDs.** CdTe QDs with the two different  
174 surface ligands were prepared in aqueous medium as described by Xiang et al.<sup>27</sup>.  
175 Transmission electron microscopy (TEM) was used to measure the morphology and  
176 size distribution of the CdTe QDs. As depicted in Fig. 1, CdTe QDs on the ligand  
177 independently exhibit a spherical shape and do not agglomerate. The average diameter  
178 of the CdTe QDs coated with MPA and GSH were quantified using the microscopic  
179 data and were found to be  $2.3 \pm 0.5$  nm and  $2.2 \pm 0.2$  nm, respectively. The UV-visible  
180 absorption (red) and photoluminescence (green) spectra of the QDs at room  
181 temperature are shown in Fig. 1 B and D. MPA-CdTe QDs and GSH-CdTe QDs  
182 exhibit a well-resolved first electronic transition absorption maximum at 524 and 504  
183 nm, indicating a narrow CdTe QD size distribution. The size distribution of the QDs  
184 determines the width of the peaks<sup>21</sup>. To characterize the potential electrostatic  
185 interactions of the QDs and the *E. coli* surface, the *zeta* potential of MPA-CdTe and  
186 GSH-CdTe QDs was quantified to be -22.3 mV and -24.0 mV, indicating a negatively  
187 charged surface for the QDs.

188 **Activation of prophages by CdTe QDs.** In our previous work, a method of  
189 microcalorimetry for monitoring of prophage activating chemicals was developed<sup>33</sup>.  
190 The method is based on the difference of the metabolic heat production rate between  
191 bacteria with prophages ( $\lambda^+$ ) and without prophages ( $\lambda^-$ ) under the influence of

192 prophage activating chemicals. Later research revealed (proved by simulations and  
193 experiments<sup>34</sup>) that a mixture of  $\lambda^+$  and  $\lambda^-$  as a bioindicator is more sensitive against  
194 the chemical activation as a pure the  $\lambda^+$  strain alone<sup>31</sup>. The optimum ratio of  $\lambda^-/\lambda^+$  is  
195 9/1. This is additionally confirmed by experiments shown in the supporting  
196 information (Fig. S1). Here, different mixtures of  $\lambda^+$  and  $\lambda^-$  strains were exposed to  
197 the same concentration of a prophage-activating chemical (mitomycin C). To monitor  
198 the prophage-activating properties of QDs, *E. coli* ( $\lambda^-$ ) was mixed with *E. coli* ( $\lambda^+$ ) in  
199 at the optimum ratio of 9/1 (cell number). To be able to analyze the influence of MPA-  
200 CdTe QDs on prophage activation, growth curves from the described bacterial  
201 mixture and the *E. coli* ( $\lambda^-$ ) were monitored from the optical density at 600 nm<sup>35</sup> (Fig.  
202 2). As shown in Fig. 2A, the inhibitive effects of the MPA-CdTe QDs on *E. coli* ( $\lambda^-$ ) at  
203 low concentrations (<1 nM) were negligible. Differently, the inhibition efficiency of  
204 MPA-CdTe QDs in the bacterial mix was much stronger (Fig. 2B). At a concentration  
205 of 10 nM, the growth of the *mixed culture* was completely suppressed. The reasons for  
206 the different behavior for the mixed culture were the activation, the subsequent  
207 production of phages, and the final infection of further bacteria, as shown from the  
208 formation of bacteriophage plaques (Fig. 2C). The amount of the phages depended on  
209 the concentration of the CdTe QDs (Fig. 2D). Notably, MPA-CdTe QDs already  
210 activate prophages at a concentration of 0.5 nM, which is not toxic to *E. coli* ( $\lambda^-$ ). The  
211 CdTe QDs are independent of the different ligand activated prophages. However, the  
212 MPA-CdTe QDs were more effective than GSH-CdTe QDs (see Fig. S1 in the  
213 supporting information (SI)). To exclude potential distortions by sampling and to  
214 prevent biases, the prophage-activating properties of the CdTe QDs were additionally  
215 monitored by microcalorimetry in real time (Fig. 3). Microcalorimetry has been  
216 proved to be a simple method to analyze the influence of chemicals on silent

217 prophages by monitoring the growth processes in real time<sup>36</sup>. Moreover, the  
218 monitoring of the final concentration of free phages is a less suited indicator of  
219 prophage activation due to the very rapid adhesion of phages to bacteria, especially  
220 under the conditions used (in presence of maltose and magnesium). *E. coli* without  
221 prophages ( $\lambda^-$ ) and the *E. coli* mixtures ( $\lambda^-:\lambda^+ = 9:1$ ) were grown in the presence of  
222 different concentrations of CdTe QDs on the surface of LB agar to ensure that the  
223 culture always had enough oxygen for respiratory metabolism<sup>37</sup> (Fig. 3). The on-line  
224 method confirms the results of the off-line measurements. It should be noted that the  
225 concentration for the QDs used in microcalorimetry were higher than those used in  
226 the off-line method. This was necessary because the cells were not completely  
227 surrounded by QDs except when in suspension in the agar experiment. The transport  
228 of QDs plays a role in the growth on solid surfaces. Similar to the off-line  
229 experiments, in the microcalorimetry experiments, the number of bacteriophages  
230 increased in a dose-dependent manner (Fig. 3, inserts).

231 **Oxidative stress induced by CdTe QDs.** It has been well established that QDs affect  
232 organisms mainly through the generation of ROS and the release of  $\text{Cd}^{2+}$ .<sup>38</sup> ROS are  
233 known to attack DNA.<sup>39</sup> For those reasons, the levels of ROS inside the bacterial cells  
234 were investigated flow cytometrically using the fluorescent probe DCFH-DA. DCFH-  
235 DA is taken up by cells and hydrolyzed by cellular esterase to yield DCFH, which is  
236 oxidized by ROS to the fluorescent stain DCF<sup>40</sup>. Thus, the fluorescence intensity  
237 reflects the ROS level within the cells. The increase in the fluorescence intensity after  
238 treatment with MPA-CdTe QDs or GSH-CdTe QDs indicates a significant increase in  
239 the cellular ROS level (Fig. 4), supporting the assumption that an oxidative attack on  
240 DNA occurs. Additionally, the tendency of MPA-CdTe QDs to be stronger than GSH-  
241 CdTe QDs is supported by the ROS measurement. The difference in the oxidative

242 damage treated by the two types of CdTe QDs could also be caused by the activities  
243 of antioxidative enzymes<sup>41</sup>. Thus, the activity of antioxidative enzymes may be an  
244 important indicator for evaluating the cellular oxidative stress<sup>42</sup>. In this work, the  
245 activity of superoxide dismutase (SOD), one of the most crucial enzymes in the ROS  
246 elimination system, was monitored. In the reaction catalyzed by SOD, two molecules  
247 of superoxide form hydrogen peroxide and molecular oxygen<sup>43</sup>. However, if the  
248 amount of oxygen free radical exceeds clearance capacity of the SOD, ROS will react  
249 with amino acids sulfur- (or selenium), which will decrease the activity of SOD<sup>43, 44</sup>.  
250 Polyunsaturated fatty acids will be attacked, because of their multiple double bonds,  
251 excellent targets for free radical attacks<sup>45</sup>. Both types of CdTe QDs reduced the SOD  
252 activities in a dose-dependent manner (Fig. 5). Again, the effect of MPA-CdTe QDs is  
253 much stronger than the effect of GSH-CdTe QDs. Oxidative damage to lipid  
254 molecules has also been regarded as an indicator of the occurrence of oxidative stress  
255 in cells<sup>46</sup>. Malondialdehyde<sup>47</sup> (MDA), a natural product of the lipid oxidation of  
256 organisms, was used to quantitatively determine the level of oxidative damage to  
257 lipids in lysogenic *E. coli*. Fig. 5C and 5D demonstrate a dose-dependent relationship  
258 between MDA and CdTe QD concentrations, suggesting the occurrence of significant  
259 oxidative damage of the lipids. Again, the lipid damage is greater for treatment with  
260 MPA-CdTe QDs than with GSH-CdTe QDs. To investigate whether oxidative stress is  
261 actually responsible for the activation of phages by CdTe QDs, a series of general  
262 antioxidants (N-acetyl-L-cysteine: NAC, L-glutathione: GSH, DL-dithiothreitol: DTT  
263 and L-ascorbic acid: VC)<sup>48</sup> were applied. The fluorescence intensity after the addition  
264 of the antioxidants (Fig. S4 in SI) demonstrates an effective elimination of the ROS  
265 produced by the CdTe QDs. Notably, the number of phages decreased by  
266 approximately 65% and 45% for the two CdTe QDs after the addition of antioxidants,

indicating effective suppression of the prophage induction activity (Fig. 6).  
Consequently, the survival rates for the bacteria increased.

**Role of the CdTe QD dissociation.**  $\text{Cd}^{2+}$ , a dissociation product of CdTe QDs, is a toxic compound and could be responsible for the observed prophage activation. Fig. 7 depicts the effects of  $\text{Cd}^{2+}$  and EDTA-masked  $\text{Cd}^{2+}$  on the growth and phage production. Interestingly, free  $\text{Cd}^{2+}$  ( $\geq 3 \mu\text{M}$ ) activated prophages, but it was almost nontoxic to *E. coli* ( $\lambda^-$ ). This indicates that the release of  $\text{Cd}^{2+}$  may also contribute to the prophage induction activity of CdTe QDs. To estimate the contribution of free  $\text{Cd}^{2+}$  under physiological conditions, mixtures of *E. coli* ( $\lambda^+$  and  $\lambda^-$ ) were simultaneously incubated with CdTe QDs and EDTA. EDTA (a popular chelating agent for  $\text{Cd}^{2+}$ ) was chosen to mask the heavy metal ion. Indeed, the concentration of free  $\text{Cd}^{2+}$  was drastically reduced after incubation with EDTA as the Table 1 shows. The number of phages decreased (by between 15 and 25%), indicating the potential contribution of  $\text{Cd}^{2+}$ . Notably, the protective effect of EDTA for GSH-CdTe QDs is stronger than that of MPA-CdTe QDs. This might be attributed to the dosage of GSH-CdTe QDs being four times higher than the dosage of MPA-CdTe QDs which increased the concentration of free  $\text{Cd}^{2+}$ .

Obviously, EDTA is also able to form metal complexes with a component of the medium (i.e.  $\text{Mg}^{2+}$ ), which could potentially affect the phage infection. To explore such potential side effects, EDTA-masking experiments in medium without  $\text{Mg}^{2+}$  were performed. The results shown in figure S4, demonstrates that the effect of  $\text{Mg}^{2+}$  reduction was not significant. Similar results for the EDTA effect were obtained for both the magnesium-containing and the magnesium-free medium, indicating that the protective effects of EDTA are not related to the binding of  $\text{Mg}^{2+}$ .

## Discussion

The toxicity of nanomaterials and in particular of QDs has become a hot topic in recent years due to their accelerated development and growing applications in various fields. Here, a new aspect of ecotoxicology of such materials is illuminated. To the best of our knowledge, this is first report concerning the activation of silent viruses inside of bacteria (so-called prophages) by QDs. These viruses, once released, may infect and kill further bacteria and therefore disturb ecosystem functions.

For the investigation, spherical CdTe QDs were synthesized by the aqueous synthesis method using the MPA and GSH coating ligands. The sizes of the QDs were  $2.3 \pm 0.5$  nm (MPA-CdTe QDs) and  $2.2 \pm 0.2$  (GSH-CdTe QDs), which are in the typical size range to exhibit useful optical properties<sup>49</sup>. Because the direct measurement of the charge of the surface of QDs and bacteria is difficult<sup>50</sup>, we used the zeta potential to characterize the electrostatic interactions between QDs and *E. coli*. The zeta potential of the QDs is negative (MPA-CdTe: -22.3 mV, GSH-CdTe QDs: -24.0 mV) as is the zeta potential of *E. coli* (between -34 and -48 mV)<sup>51</sup> in opposition of the electrostatic attraction. The activation of the prophages by the different CdTe QDs is demonstrated by a reduction in the growth rate (due to killing of the bacteria) as well as by an increase in the active phage numbers. This finding is confirmed by on-line measurements of the metabolic heat. The strength of the prophage activating properties of the QDs depends on the chemical structure, as demonstrated for the example of differently coated CdTe QDs (with MPA and GSH). The activation capability of MPA-CdTe QDs is stronger than that of GSH-CdTe QDs. This result was consistent with the previous finding that the biological impacts of QDs were closely related to their specific physicochemical properties including the size, surface charge, surface modification and core/shell materials<sup>52,53</sup>.

317 It is widely accepted that changes in DNA (structural or fragmentation) are  
318 regarded as a common and essential event for the transition to the lytic cycle of phage  
319 replication. Treatments with DNA-damaging agents, leading to an SOS response  
320 within the bacteria, cause the activation of the RecA protein, inducing the expression  
321 of the phage genome. The observation of activated phages in CdTe QDs treated  
322 lysogenic bacteria indicated that chromosomal DNA of the bacteria has been  
323 damaged. The most likely reason for the DNA damage is oxidative stress, as  
324 demonstrated by the quantification of the ROS level and the protective effects of the  
325 ROS scavengers. It has been well established that increase in DNA damage is an  
326 inevitable consequence of raised ROS level<sup>54</sup>. This theory is further supported by the  
327 reduction in the superoxide dismutase activity in a dose-dependent manner. This is  
328 fatal because not only does the amount of ROS increase but also the ability of the  
329 cells to resist decreases. The final proof of the mechanism of CdTe QDs was the  
330 formation of oxidation products such as lipid peroxides. The oxidative stress has been  
331 reported for several other nanomaterials including metal nanoparticles<sup>55</sup>, metal oxide  
332 nanoparticles<sup>56</sup>, carbonaceous nanomaterials<sup>57</sup> and nanopolymers<sup>58</sup>.

333 It has long been known that exposure of cells to Cd<sup>2+</sup> induced DNA damage, e.g.  
334 DNA single- and double-strand breaks and DNA-protein crosslinks<sup>25,26</sup>. Thus, the  
335 phage-activating properties can be caused by the CdTe QDs themselves in addition to  
336 the dissociation product Cd<sup>2+</sup>. The results of the growth experiments with Cd<sup>2+</sup> show  
337 obvious prophage activation caused by the heavy metal ion. Experiments with CdTe  
338 QDs in the presence of a chelating agent allow the estimation of the contribution of  
339 dissociated Cd<sup>2+</sup> (between 15 and 25% of the total effect).

340 In summary, our study suggests that more caution must be used with regard to  
341 the ecotoxicological properties of nanomaterials. The CdTe QD concentration needed

to activate prophages is far less than the concentration needed to inhibit bacterial growth. More importantly, during the test measurements, the bacteria were only exposed to the nanomaterial for 8 h. Long-time exposure may result in the continuous accumulation of QDs and may amplify the effect of prophage activation at very low concentrations. Moreover, in aquatic ecosystems, both metal-based NPs and their released metal ions can be taken up by aquatic organisms and further be bioaccumulated and biomagnified<sup>2</sup>. However, the technology exists to increase the stability and to make QDs more biocompatible through coating with protective polymers or several biomolecules<sup>59</sup>. However, over long times under local acid or alkaline conditions, QDs can lose their coating and become destabilized<sup>60, 61</sup>. Notably, recent findings suggested that the content of ROS induced by nanomaterials will increase several-fold after their exposure to light<sup>6, 62</sup>, and thus their prophage induction effects could be amplified. Nanomaterials that were previously considered biocompatible or environmentally friendly may also be able to induce prophages due to the presence of light-activated redox species. After this initial study, more efforts should be taken to analyze the potential environmental risks of nanomaterials toward the activation of prophages and toward the disruption of ecosystem functions by killing beneficial bacteria in ecosystems.

## Conclusions

In this work, spherical CdTe QDs with a size of circa 2 nm were synthesized by the aqueous synthesis method using the MPA and GSH coating ligand. Prophage induction activity of the CdTe QDs was investigated for the first time. The mechanism of the prophage induction activity of CdTe QDs was found to be the strand breakage of bacterial DNA. Oxidative stress induced by the QDs was found to be crucial for the prophage induction activity, while the contribution of Cd<sup>2+</sup> release



was relative lower. Antioxidants provided a significant stronger protective effect on the prophage induction activity than the metal-ion chelator. This paper will deepen the understanding of effects of QDs on microbial environments, and provide new concerns for the potential environmental risks of quantum dots.

## ACKNOWLEDGEMENTS

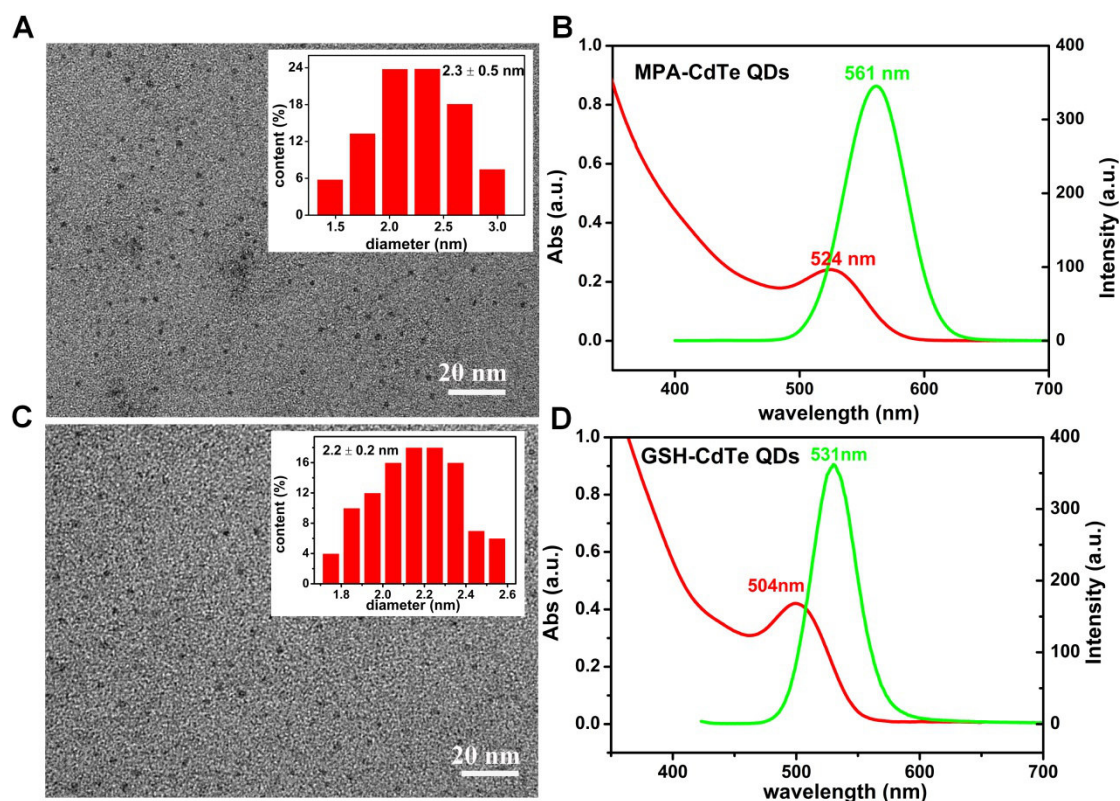
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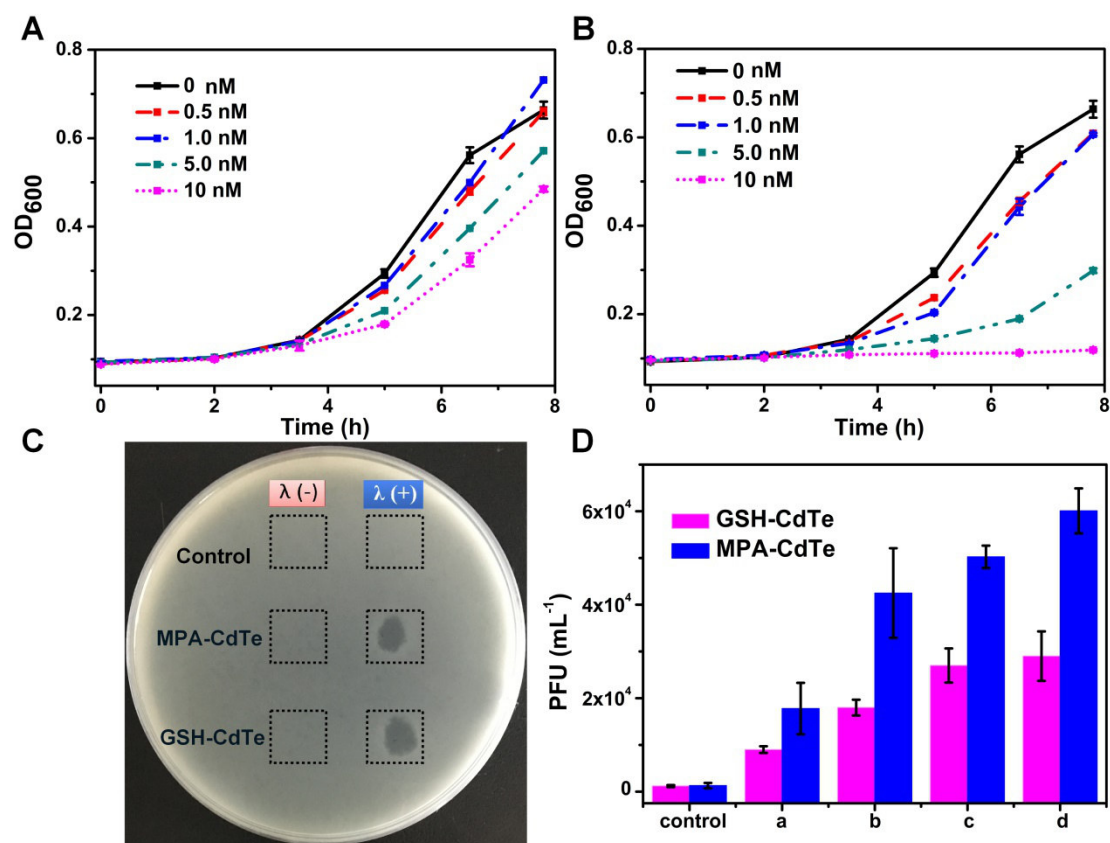
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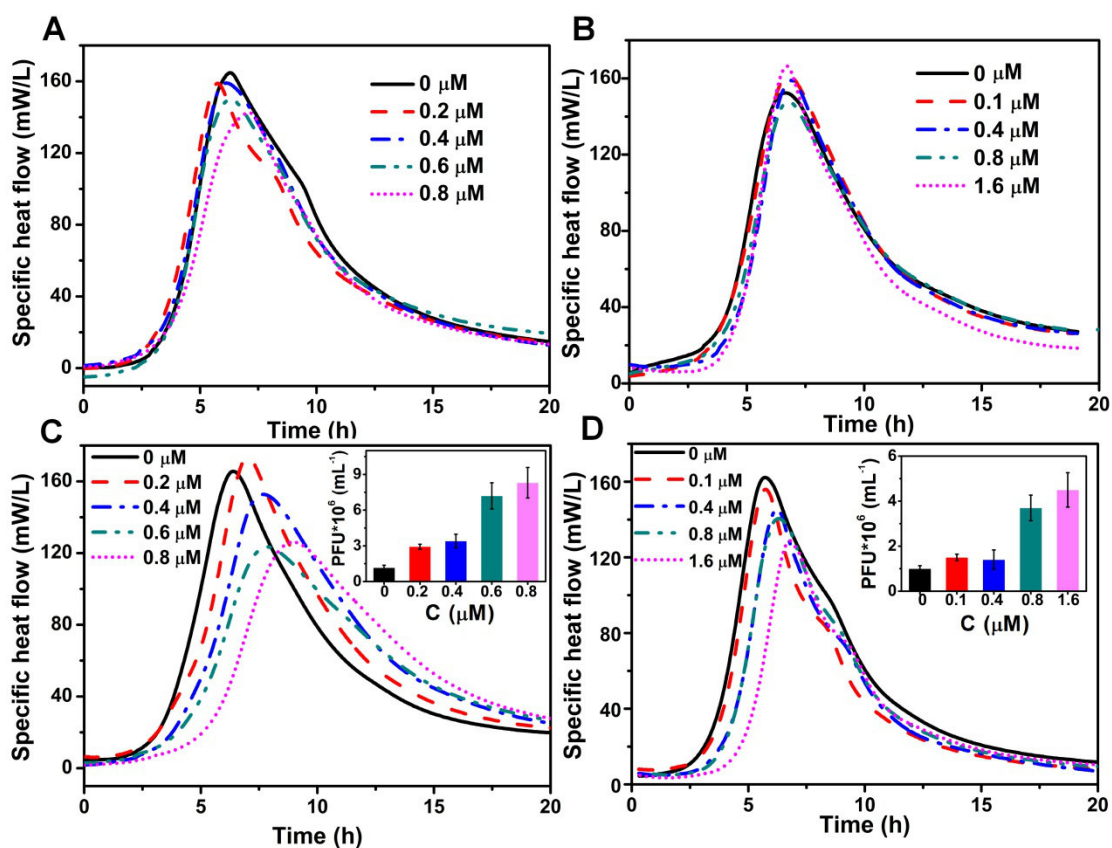
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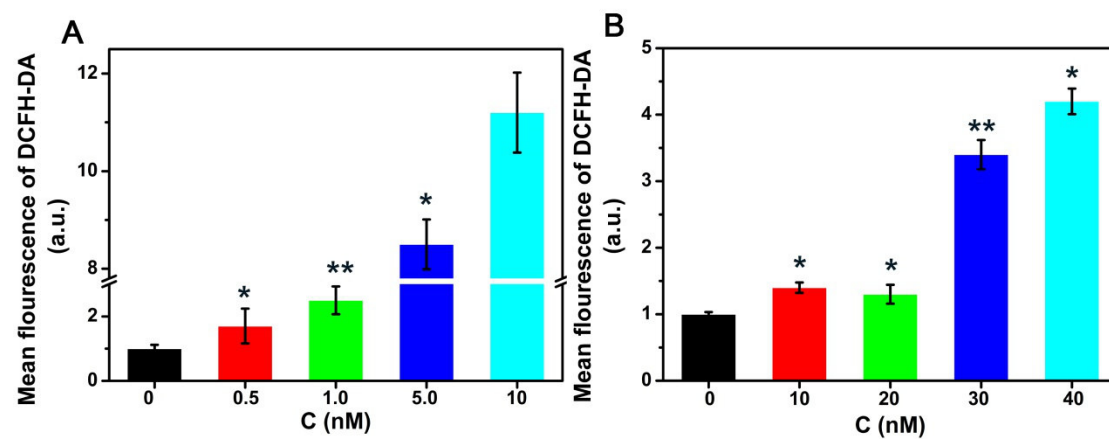
**Figure 1.** Characterization of MPA-CdTe and GSH-CdTe QDs. Transmission electron microscopy (TEM) images of MPA-CdTe QDs (A) and of GSH-CdTe QDs (C). Absorption spectra (red) and photoluminescence spectra (green) of MPA-CdTe QDs (B) and of GSH-CdTe QDs (D).



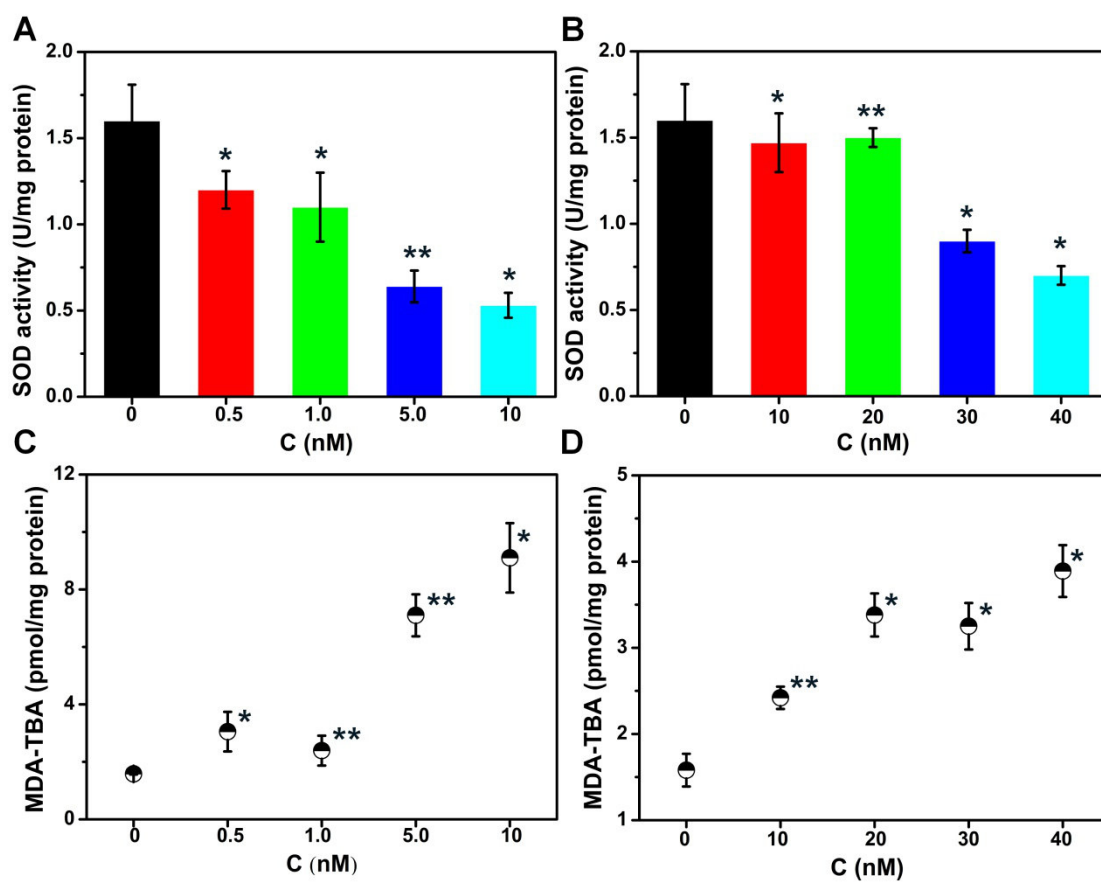
**Figure 2.** Prophage activation by CdTe QDs. Growth curves of *E. coli* ( $\lambda^-$ ) (A) and of mixtures of *E. coli* ( $\lambda^-:\lambda^+ = 9:1$ ) (B) treated with MPA-CdTe QDs. Plaque formation on agar plate (C). Phage quantification (PFU) upon the interaction of mixtures of *E. coli* with CdTe QDs (D).



**Figure 3.** Dependency of the metabolic heat production rate of *E. coli* on the dosage of MPA-CdTe and GSH-CdTe QDs. *E. coli* ( $\lambda^-$ ) treated with MPA-CdTe QDs (A) and with GSH-CdTe QDs (B). Mixture of *E. coli* ( $\lambda^-$ : $\lambda^+$  = 9:1) treated with MPA-CdTe QDs (C) and with GSH-CdTe QDs (D). The inserted bar diagram shows the phage propagation.

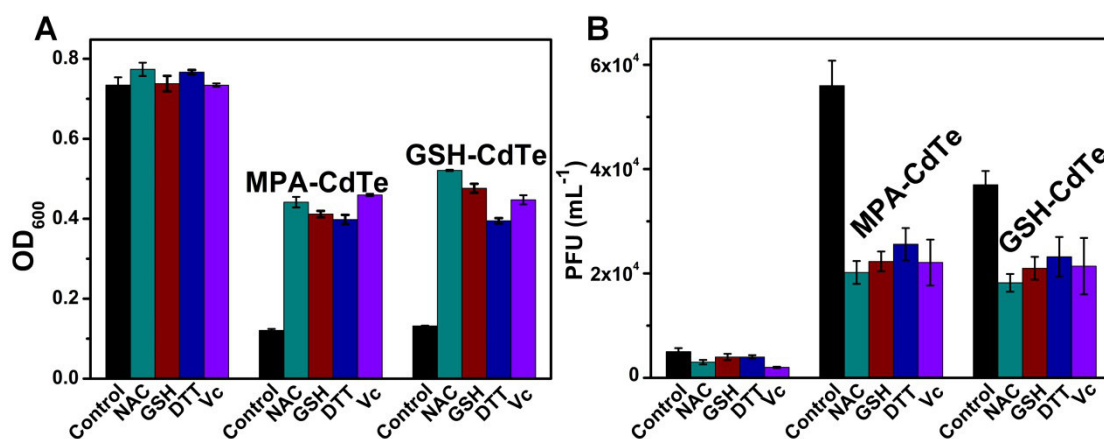


**Figure 4.** Dosage dependence of the production of reactive oxygen species (ROS) for MPA-CdTe QDs (A) and GSH-CdTe QDs (B), \*:  $P < 0.05$ , \*\*:  $P < 0.01$ .

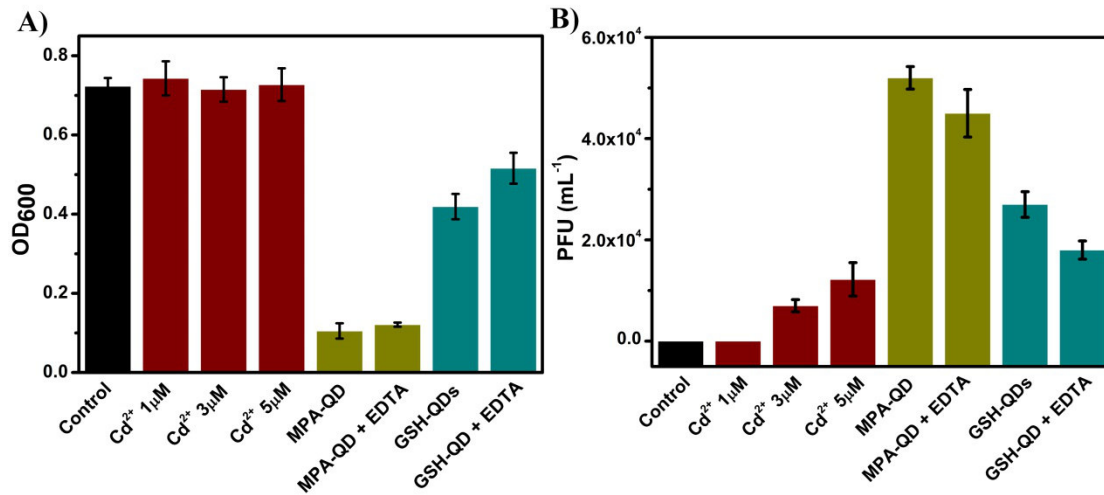


**Figure 5.** Superoxide dismutase (SOD) activity in relation to the dosage of MPA-CdTe QDs (A) or GSH-CdTe QDs (B). Effects of MPA-CdTe QDs (C) and GSH-CdTe QDs (D) on lipoperoxidation. (n=3), \*:  $P < 0.05$ , \*\*:  $P < 0.01$ .





**Figure 6.** Treatment of antioxidants suppresses the lysogenic activity of MPA-CdTe and GSH-CdTe QDs. The abbreviations stand for NAC - acetyl-L-cysteine, GSH - L-glutathione, DTT - DL-dithiothreitol, Vc - L-ascorbic acid. (A) Optical density of the suspension at 600 nm. (B) PFU measurements.

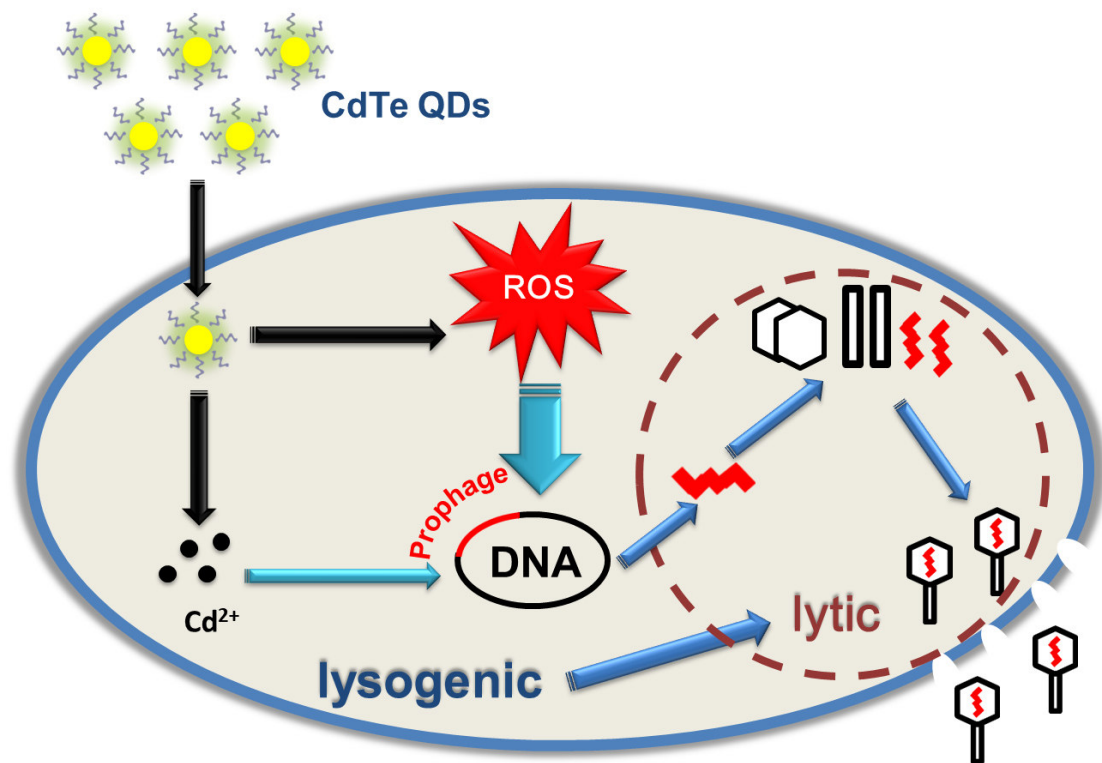


**Figure 7.** Protective effects of the complexation of  $\text{Cd}^{2+}$  by EDTA against prophage activation. Optical density of the bacterial suspension at 600 nm (A). PFU measurements (B) (MPA-CdTe QDs, 10 nM; GSH-CdTe QDs, 40 nM; EDTA, 1 mM).

521 **Table 1.** The influence of EDTA masking on the concentration of free Cd<sup>2+</sup>.  
 522

| Concentration of Cd <sup>2+</sup> | Without EDTA (μM) | With EDTA (μM) |
|-----------------------------------|-------------------|----------------|
| MPA-CdTe QDs                      | 2.12±0.073        | 0.245±0.045    |
| GSH-CdTe QDs                      | 5.75±0.066        | 0.212±0.037    |

523



525

526 CdTe QDs induced lysogenic bacteria into the lytic cycle by  
 527 damaging the DNA.

528