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1 **Applying the Rayleigh approach for stable isotope-**
2 **based analysis of VOC biodegradation in diffusion-**
3 **dominated systems**

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27 **ABSTRACT**

28

29 Compound-specific stable isotope analysis (CSIA) has become an established tool for
30 assessing biodegradation in the subsurface. Diffusion-dominated vapor phase transport
31 thereby is often excluded from quantitative assessments due to the problem of diffusive
32 mixing of concentrations with different isotopic signatures for CSIA interpretation. In
33 soils and other unsaturated porous media volatile organic compounds (VOCs) however
34 are mainly transported via gas-phase diffusion and may thus prohibit a CSIA-based
35 quantitative assessment of the fate of VOC. The present study presents and verifies a
36 concept for the assessment of biodegradation-induced stable isotope fractionation along
37 a diffusive transport path of VOCs in unsaturated porous media. For this purpose data
38 from batch and column toluene biodegradation experiments in unsaturated porous
39 media were combined with numerical reactive transport simulations; both addressing
40 changes of concentration and stable isotope fractionation of toluene. The numerical
41 simulations are in good agreement with the experiment data, and our results show that
42 the presented analytically derived assessment concept allows using the slope of the
43 Rayleigh plot to obtain reasonable estimates of effective *in-situ* fractionation factors in
44 spite of diffusion-dominated transport. This enlarges the application range of CSIA and
45 provides a mean for a better understanding of VOC fate in the unsaturated subsurface.

46

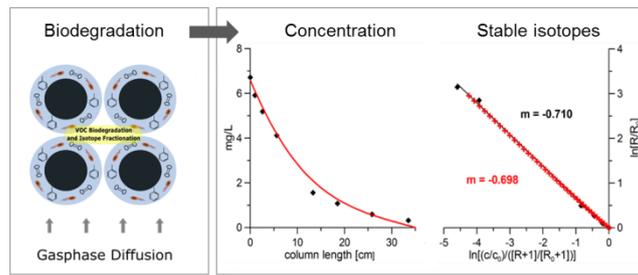
47 **Keywords:** Volatile organic compounds (VOC), Subsurface processes, Reactive
48 transport modeling, Compound-specific stable isotope analysis (CSIA), Biodegradation,
49 Bioremediation, Unsaturated zone, Outgasing.

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51

52 **TOC**

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56 INTRODUCTION

57

58 Biodegradation of volatile organic compounds (VOCs) in the unsaturated subsurface
59 has been observed for different laboratory and field conditions,¹⁻⁴ indicating that natural
60 attenuation may be a feasible remediation option for VOCs in the unsaturated
61 subsurface. However, the fate of subsurface vapor-phase VOCs depends on a multitude
62 of hydrological, geochemical, and microbiological processes. These processes are not
63 only highly interlinked and dependent on temperature, water saturation, pH and many
64 other environmental factors, but also act in parallel, making the *in-situ* identification
65 and quantification of the key processes controlling the system dynamics difficult. In
66 order to distinguish biodegradation from other processes, Compound-Specific Stable
67 Isotope Analysis (CSIA) is widely accepted as a monitoring strategy and as a powerful
68 tool in studying the fate and behavior of contaminants in groundwater systems.⁵⁻⁸ The
69 application of CSIA makes use of the fact that the stable isotope fractionation of the
70 biodegradation reaction dominates the change of the stable isotope signature of the
71 contaminants. Especially for a quantitative analysis of biodegradation using CSIA, it is
72 required that contributions from mixing,⁸⁻¹⁰ sorption,¹¹⁻¹³ small-scale mass transfer,¹⁴⁻¹⁵
73 dispersion^{8, 16-18} or the regeneration of a degraded compound¹⁹ can be either neglected
74 or their influence be adequately considered. If these assumptions are met, the analytical
75 Rayleigh model²⁰⁻²¹ is frequently used to deduce the extent of biodegradation from the
76 degree of isotopic enrichment²²⁻²³ in groundwater systems with advection-dominated
77 transport.

78

79 In the gas phase, molecular diffusion coefficients are up to four orders of magnitude
80 larger than in the aqueous phase. Thus - in contrast to groundwater systems - transport
81 in the gas phase of the unsaturated subsurface is more easily dominated by diffusion in
82 the absence of relevant pressure gradients. As diffusion coefficients in the gas phase²⁴⁻²⁵
83 as well as the aqueous phase²⁶⁻²⁸ can differ between isotopologues (i.e. between
84 chemically identical species with different isotopic composition), diffusion-dominated
85 transport systems may exhibit significant stable isotope fractionation even in the
86 absence of biodegradation.^{27, 29-31} Furthermore, even if diffusive transport is not leading
87 to any fractionation effects, diffusive mixing along concentration gradients mitigates
88 changes in stable isotope signatures caused by biodegradation. As a consequence it has

89 been considered that the standard Rayleigh-equation based analysis approach of stable
90 isotope fractionation is not applicable for diffusion-dominated transport systems.²⁹ This
91 would mean that for diffusion-dominated transport systems CSIA could at best be used
92 as qualitative biodegradation indicator only. However, for the related case of soil
93 organic matter decomposition quantitative assessment approaches could be obtained
94 describing the fractionation of CO₂ as volatile reaction product in spite of the diffusion
95 dominated transport regime.^{25, 32}

96 The aim of this study is to show that even for diffusion dominated systems CSIA data
97 might still be used to obtain a quantitative understanding of VOC biodegradation. For
98 this we use experimental results published in Khan, et al.⁴ showing efficient
99 biodegradation in a column reactor systems mimicking the conditions in the unsaturated
100 subsurface above the groundwater table. Data from the column reactors and additional
101 batch experiments are analyzed regarding stable isotope fractionation and interpreted
102 using a combination of analytical calculations and numerical modeling. To address the
103 complex interplay of processes and their impact on the fate of bioreactive species in the
104 subsurface, numerical reactive transport models are powerful means³³ and have shown
105 their potential also for the analysis of VOC biodegradation in unsaturated systems.³⁴⁻³⁵
106 In recent years, reactive transport modeling concepts have been expanded to consider
107 isotope-specific processes and the resulting stable isotope fractionation.^{1, 15, 36-44} This
108 provides an approach to disentangle the potential influence of different processes on
109 stable isotope fractionation effects experimentally observed in subsurface
110 compartments.

111 In this study a combination of simplified analytical calculations with numerical reactive
112 transport simulations is used to determine to which extent the simplified calculations
113 lead to acceptable estimates of the fractionation effects observed experimentally and to
114 show that also for diffusion-dominated transport system a quantitative analysis of CSIA
115 can be obtained via a modified interpretation of the analytical Rayleigh model.

116

117 MATERIALS & METHODS

118

119 *Batch Reactors*

120 Batch reactor systems were used to quantify stable hydrogen isotope fractionation
121 factors during biodegradation of vapor-phase toluene. Gastight chromoflax glass bottles
122 with total volume of 1150 mL were used as batch reactors (**SI, Figure S1**). Reactors
123 were filled with 50 mL glass beads ($d = 2.9 - 3.5$ mm), coated with minimal media agar
124 that contained toluene degrading bacteria (*Pseudomonas putida* KT2442 DsRed pWW0
125 gfp) at a density of 3.95×10^8 cfu per gram of glass beads as previously described by
126 Khan, et al.⁴ The minimal medium agar layer contained all nutrients relevant for
127 bacterial activity and growth.⁴⁵ The headspace of the batch reactor (1100 mL) was
128 provided sufficient oxygen (for bacterial activity during the entire experimental period.
129 As sorption of nonionic, hydrophobic organic chemicals to mineral surfaces is expected
130 to be negligible,⁴⁶ no controls assessing the adsorption of agar-born MTBE and toluene
131 to glass were performed. Four different operation modes were applied each
132 characterized by specific period of time (1 to 4 days) the reactors were first kept at room
133 temperature under sterile conditions for 1 to 4 days before toluene was added. After this
134 reactor-specific resting period, a known concentration of a 1:1 mixture of toluene and
135 perdeuterated toluene was spiked to the internal glass wall close to the neck of the
136 reactor. Methyl tert-butyl ether (MTBE) was additionally added as a non-reactive VOC
137 control. This allowed us to get 20 mg L^{-1} total gas phase concentration of the two
138 toluene isotopologues, and 5 mg L^{-1} gas phase concentration of MTBE.

139

140 After spiking of the VOCs, the batch reactors were let to equilibrate regarding
141 volatilization for 20 minutes (allowing vapor-phase toluene concentrations to achieve
142 calculated equilibrium values) before the start of sampling (marked as time $t_0 = 0$
143 hours). Subsequent samples were taken every hour until $t = 8$ hours. Gas-phase VOC
144 samples were taken and analyzed as mentioned previously in Khan, et al.⁴ (see also
145 Supporting Information). The observation period was selected for the isotope analysis
146 and the measured data (toluene concentration c and stable (hydrogen) isotope ratio R in
147 the gas phase) were analyzed using Rayleigh plots (i.e., plotting the logarithmic form of
148 the Rayleigh equation:^{21, 47} $\ln(R/R_0)$ against $\ln((c/c_0)/((R+1)/(R_0+1)))$ for the large

149 values or R given here;⁴⁸ the subscript 0 refers to the initial conditions) to determine
150 stable isotope fractionation factors.

151

152 *Column Reactors*

153 The column reactor experiments are described in detail in Khan, et al.⁴ (see also
154 Supporting Information) and only a brief overview is given here: The setup consisted of
155 vertical chromoflax glass column reactors ($l = 35$ cm, $i.d. = 4.1$ cm) packed with agar-
156 coated 700 g glass beads ($d = 2.9$ – 3.5 mm), separated with 45 mL headspace from the
157 liquid reservoir of 2.375 L volume (**SI, Figure S2**). Column reactors were open to the
158 atmosphere on top to allow sufficient oxygen for biodegradation.⁴ Known
159 concentrations of VOCs (toluene 37 mg L⁻¹ and MTBE 20 mg L⁻¹) were spiked in the
160 liquid reservoirs with magnetic stirrer bars and were kept on magnetic shakers for 12
161 hours prior to the start of experiments to equilibrate. HgCl₂ (2 μg L⁻¹) was added to
162 avoid biodegradation in the liquid reservoirs. To avoid cross contamination the columns
163 were sterilized and were attached to the liquid reservoirs under sterile conditions.

164

165 Data were taken from two abiotic experiments (“Control 1” and “Control 2”, termed
166 “Control” and “Control HC” in Khan, et al.⁴) as well as a set of three bioreactive
167 experiments (“Column 1”, “Column 2” and “Column 3”, termed “Bioreactor 1” to
168 “Bioreactor 3” in Khan, et al.⁴) where the glass bead packing was inoculated with
169 *Pseudomonas putida* KT2442 DsRed pWW0 gfp. Reactors were operated for 7 days at
170 standard pressure (1 atm) and $T = 22$ °C. Vapor-phase and liquid samples (500 μL)
171 were taken every day. To provide quasi steady-state conditions, an observation period
172 between day 2 and day 5 was selected for the evaluation of the vapor-phase results.

173

174 **THEORETICAL APPROACHES**

175 In this study, two different computational approaches are applied: an analytical
 176 approach relying on a simplified description of transport and degradation in the
 177 columns, and a numerical approach providing a more detailed description of the
 178 processes in the gas phase and in the aqueous phase of the combined reservoir-column
 179 system.

180

181 *Analytical solutions for diffusive-reactive transport with first order degradation and*
 182 *stable isotope fractionation*

183 The fractionation of stable isotopes by (bio-)reactive transformations is described by the

184 isotope fractionation factor $\alpha_b = \frac{h_r/h_c}{l_r/l_c}$, where h_r and l_r are the reaction rates, and h_c and

185 l_c are the gas phase concentrations of reactants containing the light or the heavy isotope,
 186 the latter denoted by the superscripts l and h , respectively. If the degradation reaction is
 187 following first order kinetics ($h_r = k^h \cdot c$ and $l_r = k^l \cdot c$, with k^h and k^l as first order
 188 degradation rate parameters) this simplifies to $\alpha_b = \frac{k^h}{k^l}$. Analogously the stable isotope

189 fractionation due to diffusive transport can be described by a factor $\alpha_d = \frac{h_D}{l_D}$, with $h^h D$
 190 as effective molecular diffusion coefficients.

191 If in a one-dimensional system diffusion and such degradation are the only processes
 192 acting on the concentration distribution of the compound, concentration changes are
 193 given as

194
$$\frac{\partial^{h,l}c}{\partial t} = h^h,l D \cdot \frac{\partial^2 h^h,l c}{\partial x^2} - h^h,l k \cdot h^h,l c \quad (1)$$

195 with t and x as temporal and spatial coordinate, respectively.

196 For steady-state conditions ($\partial^{h,l}c/\partial t = 0$) and boundary condition of $h^h,l c(x=0) = h^h,l c_0$ and
 197 $h^h,l c(x=L) = 0$ the solution of Eq. 1 is given by Wilson⁴⁹ and Pasteris, et al.⁵⁰

198
$$h^h,l c(x) = h^h,l c_0 \cdot \frac{\sinh\left(\sqrt{h^h,l Da} \cdot \left(1 - \frac{x}{L}\right)\right)}{\sinh\left(\sqrt{h^h,l Da}\right)} \quad (2)$$

199 with $h^h,l Da = h^h,l k \cdot L^2 / h^h,l D$ as Damköhler number describing the ratio between the time
 200 scales of transport and of reaction.

201 In the case of $L \rightarrow \infty$ Eq. 2 simplifies to

$$202 \quad {}^{h,l}c(x) = {}^{h,l}c_0 \cdot \exp\left(-\sqrt{\frac{{}^{h,l}k}{{}^{h,l}D}} \cdot x\right) \quad (3)$$

203 Using Eq. 3 the isotope ratio $R = {}^h c / {}^l c$ is given as

$$204 \quad R = \frac{{}^h c_0 \cdot \exp\left(-\sqrt{\frac{{}^h k}{{}^h D}} \cdot x\right)}{{}^l c_0 \cdot \exp\left(-\sqrt{\frac{{}^l k}{{}^l D}} \cdot x\right)} = \frac{{}^h c_0 \cdot \exp\left(-\sqrt{\frac{\alpha_b {}^l k}{{}^h D}} \cdot x\right)}{{}^l c_0 \cdot \exp\left(-\sqrt{\frac{{}^l k}{{}^l D}} \cdot x\right)}$$

which can be transformed into

$$205 \quad \frac{R}{R_0} = \frac{\left(\frac{{}^l c}{{}^l c_0}\right)^{\sqrt{\alpha_b / \alpha_d}}}{\left(\frac{{}^l c}{{}^l c_0}\right)} = \left(\frac{{}^l c}{{}^l c_0}\right)^{\left(\sqrt{\alpha_b / \alpha_d} - 1\right)} = \left(\frac{c / c_0}{(R+1) / (R_0+1)}\right)^{\left(\sqrt{\alpha_b / \alpha_d} - 1\right)} \quad (4)$$

206 with $c = {}^h c + {}^l c$ and the subscript 0 denoting conditions at $x = 0$. Note that assuming c

207 $\approx {}^l c$ (i.e. $R \ll 1$) simplifies Eq. 4 to $\frac{R}{R_0} = (c/c_0)^{\left(\sqrt{\alpha_b / \alpha_d} - 1\right)}$. When plotting

208 concentration and isotope data in a Rayleigh plot (i.e., plotting the logarithmic form of
209 the Rayleigh equation: $\ln(R/R_0)$ against $\ln(c/c_0)^{21, 48}$), the slope m of the Rayleigh plot
210 would thus be given by

$$211 \quad m = \sqrt{\alpha_b / \alpha_d} - 1 \quad (5)$$

212 (and not by $m = \alpha_b - 1$ as predicted by the classical Rayleigh equation for advection
213 dominated transport or for batch systems). For other conditions, in particular for finite
214 size systems (finite L) and other than first order degradation kinetics, no closed form
215 analogue for Eq. 4 exists to our knowledge and it is not clear to which extent Eq. 5 can
216 be used as an approximate solution. Note that Eq. 5 is valid for systems with
217 biodegradation. In the absence of biodegradation no fractionation effects are present at
218 steady state.

219

220 *Numerical simulations*

221 The simulations of the column reactors presented in Khan, et al.⁴ consider processes in
222 both parts of the reactors: the reservoir and the column. The reservoir is assumed to
223 contain a well-mixed liquid phase and a well-mixed gaseous head space. The exchange
224 of volatile compounds between these two phases is controlled by a linear exchange term

225 (Eq. 6). The column is spatially discretized along its length and is also assumed to
 226 contain at each length a liquid and a gas phase using again a linear term for the
 227 exchange of volatile compounds between the phases (Eq. 8). At the bottom of the
 228 column concentration in the gas phase are coupled to those in the head space of the
 229 reservoir using again such an exchange term (Eq. 7). Diffusive transport is assumed to
 230 take place in the gas phase along the length of the column, no transport is considered
 231 along the aqueous phase of the column. Biodegradation of toluene (i.e., $C_7H_8 + 9O_2 \rightarrow$
 232 $7CO_2 + 4H_2O$) is restricted to the liquid phase of the first 30 cm of the column (from 30
 233 to 35 cm the glass bead packing had not been inoculated in the experiments). Growth of
 234 degrading microorganisms is not considered. To describe degradation and stable isotope
 235 fractionation of toluene in the column reactors, deuterated and non-deuterated toluene
 236 are simulated as individual species using Michaelis-Menten kinetics (isotope-specific
 237 version adapted from Thullner, et al.³⁷) for the degradation reaction (Eq. 9 and 10). This
 238 results in the flowing set of expressions for the kinetics of the individual processes.

$$239 \quad {}^{h,l}r_1 = {}^{h,l}k_1 \cdot ({}^{h,l}c_{r,g} - {}^{h,l}c_{r,a} \cdot {}^{h,l}H) \quad (\text{phase exchange reservoir}) \quad (6)$$

$$240 \quad {}^{h,l}r_2 = {}^{h,l}k_2 \cdot ({}^{h,l}c_{x=0,g} - {}^{h,l}c_{r,g}) \quad (\text{exchange head space – column}) \quad (7)$$

$$241 \quad {}^{h,l}r_3 = {}^{h,l}k_3 \cdot ({}^{h,l}c_{x,a} \cdot {}^{h,l}H - {}^{h,l}c_{x,g}) \quad (\text{phase exchange column}) \quad (8)$$

$$242 \quad {}^l r_4 = k_4 \cdot \frac{{}^l c_{x,a}}{K_s + {}^l c_{x,a} + {}^h c_{x,a} \cdot \alpha_b} \quad (\text{degradation non-deuterated toluene}) \quad (9)$$

$$243 \quad {}^h r_4 = k_4 \cdot \alpha_b \cdot \frac{{}^h c_{x,a}}{K_s + {}^l c_{x,a} + {}^h c_{x,a} \cdot \alpha_b} \quad (\text{degradation deuterated toluene}) \quad (10)$$

244 with subscripts g and a denoting gas phase and liquid (aqueous) phase, respectively.
 245 Subscript r refers to the reservoir while x refers a location in the column; $x = 0$: bottom
 246 of the column, $x = L$ top of the column. ${}^{h,l}H$ is the dimensionless Henry volatility, k_{\dots} are
 247 rate parameters, K_s is the Michaelis-Menten constant and α_b is the stable isotope
 248 fractionation factor of the degradation reaction. Eq. 6 and 7 describe the mass flux
 249 (mass per time) between the different compartments, while Eq. 8 directly describes the
 250 concentration change (mass per volume per time) in the gas phase of the column. No
 251 further species are considered in the simulations. In particular, no oxygen limitation is
 252 considered for the degradation kinetics as preliminary simulations have shown that
 253 aerobic conditions are maintained for all parts of the systems throughout the
 254 experiments, which is in agreement to the experimental observations of Khan, et al.⁴.
 255 The kinetic expressions were implemented into the Biogeochemical Reaction Network
 256 Simulator⁵¹⁻⁵³ using a regular spatial discretization of the column of 0.5 cm. Effective

257 gas phase diffusion coefficients are derived from molecular diffusion coefficients $^{h,l}D_m$
258 and the tortuosity τ of the glass bead packing ($^{h,l}D = ^{h,l}D_m \cdot \tau$) (note that partitioning
259 effects between gas phase and aqueous phase are explicitly described in the
260 simulations).

261

262 Parameter values used for the simulations (**Table 1**) were either derived directly from
263 the experimental systems or were fitted to match the experimental observations. For this
264 purpose first the control experiments were used to adjust the parameters of the non-
265 reactive processes. Then parameters describing biodegradation were determined using
266 the data from the systems with biodegradation. The target of the parameter estimation
267 was to obtain simultaneously a good match of the total toluene concentrations in the
268 reservoirs and in the columns, and of the slopes of the Rayleigh plots for the reservoirs
269 and the columns. Parameters were varied without using any automated algorithm. All
270 parameters describing transport and reactions are assumed to be constant in space and
271 time. Exceptions are the water saturation of the columns which is assumed to decrease
272 linearly from initially 14% to 7% after 7 days reflecting the experimental observations
273 (note that this also affects the gas phase volume in the column and that is it assumed
274 that no concentration changes are directly induced by the volume changes due to the fast
275 relaxation of the system compared to the time scale of the volume changes) and k_4
276 (maximum rate of the degradation reaction) which is considered to decrease according
277 to $k_4(t) = k_4(t = 0) \cdot \exp(-\lambda \cdot t)$. Reasons for this decrease in reactivity are not
278 apparent from the experimental data, but the decrease might have been caused by the
279 decreasing water content or a depletion of some trace nutrients. If not stated otherwise
280 parameter values do not differ between the different column reactors, i.e. the presented
281 parameter values describe simultaneously all column reactors. Initial concentrations
282 were set to 0 in the entire systems except of in the liquid phase of the reservoir where
283 concentration values were adjusted to match experimental observations.

284 RESULTS AND DISCUSSION

285

286 *Vapor-phase hydrogen stable isotope fractionation in batch reactors*

287 Vapor-phase toluene biodegradation was studied in the batch systems containing
288 deuterated and non-deuterated toluene to obtain the hydrogen stable isotope
289 fractionation factor of toluene by *Pseudomonas putida* KT2442 DsRed pWW0 gfp. All
290 batch reactors exhibited a similar behavior showing a strong hydrogen stable isotope
291 fractionation due to biodegradation (**SI, Figure S3**) with slopes of the Rayleigh plots
292 ranging between -0.86 and -0.97; i.e. stable isotope fractionation factors in the range of
293 0.03 to 0.14. An additional replicate for Day 1 yielded unreasonable results and was
294 omitted from further analysis. No temporal shifts in fractionation of vapor-phase
295 toluene was observed and the average stable isotope fractionation factor was $\alpha_b = 0.08 \pm$
296 0.05. This value obtained from vapor-phase toluene data is similar to values reported in
297 Kampara, et al.⁴⁵ ($\alpha_b = 0.07 \pm 0.02$) and Morasch, et al.⁵⁴ ($\alpha_b = 0.09 \pm 0.07$) for liquid
298 batch systems where fully deuterated toluene was degraded by a closely related bacterial
299 strain having the same TOL plasmid as *P. putida* KT2442. In general, phase transitions
300 may contribute to the stable isotope fractionation in a system.⁵⁵⁻⁵⁶ The similarity
301 between the results from the two phase system and those reported for the liquid systems
302 suggests that the transition between gas phase and liquid/agar phase did not have any
303 impact on the magnitude of the observable fractionation effects in this study or that any
304 possible effects were in the order of the uncertainties of the measurements.

305

306 *Hydrogen stable isotope fractionation in column reactors*

307 **Control experiments:** Results of the two control column reactors showed continuous yet
308 moderate depletion (approx. 10 mgL^{-1} throughout the experimental period) of toluene in
309 the liquid reservoirs attributed to the losses by diffusion through the column reactors
310 (**SI, Figure S4**). Compared to the strong fractionation observed in the batch reactors
311 (see above), only minor fractionation effects (slopes of the Rayleigh plots of -0.010 to -
312 0.006) were observed in the reservoir indicating that fractionation effects caused by the
313 diffusive transport and or the phase exchange between liquid reservoir and head space
314 are relatively small. In the absence of an isotopologue-specific Henry's law constant and
315 any effects (masking of fractionation or causing additional fractionation) due to the
316 mass transfer from liquid to water fractionation in the reservoir should be given by α_d ,²⁹

317 which is in agreement with the measured data given the rather strong signal to noise
318 ratios. The gas to liquid concentration ratios between liquid reservoir and its headspace
319 were nearly constant during the experiment (**SI, Figure S5**). Along the columns of the
320 control systems, linear concentration profiles were observed indicating quasi-steady
321 state conditions of the diffusive transport (**SI, Figures S6 and S7**). This is in agreement
322 with the approximate relaxation time (time approximately needed to establish steady
323 state conditions) $t_r = L^2/D \approx 8$ h of the diffusion along the column, which is
324 comparably small to the time scale of concentration changes in the reservoir. At steady
325 state, differences in the diffusion coefficients between the two isotopologues would not
326 lead to any fractionation along the column as the steady-state linear concentration
327 profiles are not affected by the values of the diffusion coefficients.²⁹ This is in
328 agreement with the negligible fractionation effects (trends in the Rayleigh plots rather
329 reflecting the noise level of the measurements) observed along the control reactor
330 columns considered to be at (quasi-)steady state.

331 The behavior of the control reactors was well captured by the numerical model (**SI,**
332 **Figures S4-S7**) with the simulated results matching the measured concentrations as well
333 as stable isotope signatures in the reservoir and in the columns. Parameters describing
334 the diffusive transport (**Table 1**) are taken directly from the experimental setup or from
335 the literature, indicating that the model represents a valid conceptualization of the
336 experimental system and that the description of the abiotic processes provides a reliable
337 basis for the simulation of the reactive processes.

338

339 ***Biodegradation experiments – experimental observations:*** Measured changes in
340 concentrations in the reservoirs of the biodegradation reactors show a decrease in total
341 toluene over an experimental period of seven days (**Figure 1**) which is stronger than
342 observed for the control systems. Column 1 and Column 2 were operated as replicates
343 and exhibit very similar results while Column 3 was operated with a higher initial
344 concentration (approx. 35 mg L^{-1} vs. 55 mg L^{-1}) to test the behavior of the setup under
345 different conditions. In contrast to the control systems (**SI, Figure S3**) all bioreactive
346 systems showed pronounced hydrogen stable isotope fractionation with slopes of the
347 Rayleigh plots in the range of -0.3 for Column 1 and Column 2 and -0.5 for Column 3
348 (**Figure 1**). This indicates biodegradation leading to higher losses of toluene to the
349 unsaturated part of the system Khan, et al.⁴ and that the fractionation caused by the
350 biodegradation leading to enrichment of the heavy isotopes in the liquid reservoir

351 representing the source zone of the VOC as previously reported by Bouchard, et al.²⁹ As
352 already discussed in Khan, et al.⁴ the increase of the gas to liquid concentration ratios in
353 the reservoir during the course of the experiment (from approx. 0.1 to 0.3; **Figure 2**)
354 indicates a rate limiting effect of the phase exchange from liquid reservoir to its head
355 space for the entire losses of toluene from the system. This is further confirmed by
356 comparing measured slopes of the Rayleigh plots with predictions of the ‘source
357 fractionation factor’ by Bouchard et al.⁴⁴ When neglecting finite-size effects of the
358 column and isotopologue-specific Henry’s law constants the source fractionation factor
359 should be equal to $\sqrt{\alpha_b \cdot \alpha_d}$ with α_d derived from **Table 1** and α_b as determined from
360 the batch experiments, the slopes of the Rayleigh plots for the reservoirs should be -
361 0.719 ± 0.088 . The observed differences between predicted and measured values
362 indicate a masking of the fractionation in the reservoir due to the rate-limiting phase
363 exchange. Concentration profiles along the columns of the bioreactive systems observed
364 at (quasi-)steady-state conditions at two different observation days clearly deviate from
365 the linear profiles observed for the control systems, which confirms biodegradation to
366 have taken place. This was associated with strong hydrogen stable isotope fractionation
367 along the columns (**Figures 3 and 4**). For Column 1 the slopes of the Rayleigh plots
368 were in the range of -0.55 to -0.6 and for Column 2 and Column 3 slopes were in the
369 range of -0.7 and below. While these slopes indicated a strong fractionation due to
370 biodegradation, their values are higher (less negative) than the slopes observed for the
371 batch reactor systems. This is in agreement with the analytical calculations predicting
372 slopes to be controlled by $\sqrt{\alpha_b}$ rather than by α_b as in the batch experiments, see Eq. 5.
373 Using Eq. 5, with α_d again derived from **Table 1** and α_b as determined from the batch
374 experiments, the slopes of the Rayleigh plots for the columns should be -0.716 ± 0.089
375 which covers the observed values for Column 2 and Column 3. Slopes for Column 1
376 were slightly below this range which indicates for this system a possible masking of the
377 stable isotope fractionation, e.g. due to mass transfer limitations.^{37, 45, 57}

378

379 **Biodegradation experiments - numerical simulations:** Results of the simulations
380 allowed for a good fit between simulated and experimental data (**Figures 1-4**). Both,
381 concentration changes and stable isotope fractionation were well described with the
382 used modeling concept. Values of the fitting parameters (**Table 1**) were adjusted in a
383 non-automated procedure and are in good agreement with literature values (for the

384 Henry volatilities) or predictions from boundary layer theories (time constants for phase
385 exchange). In particular, for the fractionation factor of the biodegradation reaction the
386 value of $\alpha_b = 0.05$ obtained by the model fitting coincided well with the observed range
387 of 0.08 ± 0.05 obtained in the batch experiments. Furthermore, this suggests that the
388 model was able to provide a valid description of the reactive transformations in the
389 column reactors. The simulation results also showed that the microbial reactivity of the
390 columns decreased over time as is likely to be explained by a gradual exhaustion of
391 nutrients during the course of the experiments. Simulation results also show that
392 although the three biodegradation columns performed similarly their initial reactivity
393 varied by a factor of up to 4 (**Table 1**). As the columns were all inoculated similarly,
394 these variations might be caused by random/natural variations of microbial abundance
395 and activity in the inoculum. The simulation results confirm that isotope fractionation in
396 the reservoirs was masked by a rate-limiting phase exchange between the liquid
397 reservoir and its headspace an observation made for several mass-transfer limited
398 systems¹⁴. The same limitation is also the reason for the disequilibrium of gas to liquid
399 concentration ratios in the reservoirs (**Figure 2**) confirming previous interpretations of
400 the experimental results.

401

402 *Factors affecting isotope fractionation of vapor-phase toluene during diffusive* 403 *transport in column experiments*

404 **General considerations:** The slopes of the Rayleigh plots obtained from the studied
405 columns do not match the fractionation factors of the microbial degradation reaction
406 observed in the batch reactors. This was expected giving the diffusion-dominated
407 transport regime in the column reactors. Both, experimental observation and simulation
408 results also reveal that the slopes show a deviation from the predictions of $m = -0.775$
409 provided by Eq. 5 (using the fitted value for α_b) with strongest deviations observed for
410 the reactor Column 1. As will be discussed below, potential reasons for this behavior
411 are two inherent assumptions in Eq. 5 that are not met in the column reactors: the
412 column length was not infinitely long and the degradation was not following first order
413 kinetics. If the columns are not well described by a semi-infinite system (see
414 requirement for Eq. 3) finite size effects can lead to less negative slopes of the Rayleigh
415 plot; especially when analyzing data up to the outlet (i.e., the zero concentration end of
416 the column; **SI, Figure S8**). These effects are observed when reaction is slow compared
417 to diffusive transport (i.e. for small Damköhler numbers; $Da < 10^2$ - 10^3) or in practical

418 terms whenever concentrations are not fully depleted well before the zero concentration
419 end. Less negative slopes than predicted by Eq. 5 may also arise if degradation
420 processes follow Michaelis-Menten kinetics instead of first order kinetics (**SI, Figure**
421 **S9**). Such effects are most pronounced close to the source of the concentration where
422 higher concentrations lead to a stronger deviation from first-order kinetics.
423 Consequently, the higher the source concentration (i.e., the higher the ratio between
424 source concentration and Michaelis-Menten constant) the stronger the deviation of the
425 Rayleigh plot slopes from the theoretical prediction. Furthermore, mass transfer
426 limitations inside the column reactor packing may have masked the microbially induced
427 isotope fractionation. Mass transfer related limitations of substrate bioavailability are
428 known to lead to less observable fractionation (i.e. less negative slopes).^{14-15, 37, 45, 57}
429 This effect is more pronounced for lower concentrations (i.e. low ratios between
430 concentration and Michaelis-Menten constant) than for higher concentrations.³⁷
431 Consequently, each of these effects or any combination of them could be the reason for
432 deviations between observed and predicted slopes of the Rayleigh plots. The
433 dependency of these effects on concentration or distance to the column ends can also
434 lead to changes of the slopes along the diffusive path and thus to a dependency of the
435 obtained slopes on the analyzed data range (**SI, Figures S8 and S9**). Additional
436 transient effects (i.e. deviations from steady state) are not considered due to the short
437 relaxation time of the system compared to the slow gradual changes of reservoir
438 concentrations and microbial reactivity.

439

440 **Analysis of individual factors – sensitivity analysis:** To determine the contribution of
441 each of these processes to the observed fractionation effects and resulting slopes of the
442 Rayleigh plots in the three-bioreactive column reactors a number of additional
443 simulations were made to test the sensitivity of the results to variations of different
444 parameters. Variations include an increase of the column length from 35 cm to 70 cm to
445 test for finite size effects, an increase of the phase exchange time constant between
446 vapor and liquid phase in the columns by different factors to test for bioavailability
447 restrictions and the associated masking of the fractionation, and an increase of the
448 Michaelis-Menten constant and the initial maximum biodegradation rate parameter
449 (both by the same factor) to test for effects from using non-first order kinetics. These
450 variations also lead to (minor to major) changes of the concentration profiles along the
451 column reactors, which challenges the comparison of slopes from different simulations.

452 For the comparison between experimental and simulated results, model data were
453 analyzed for the same column segments for which isotope ratios were measurable in the
454 experiments (i.e. non-deuterated toluene above detection limit). Using these segments
455 for all sensitivity tests lead to different concentration ranges analyzed each time. Thus
456 simulated slopes were additionally analyzed for a range defined by an arbitrary limit of
457 $\ln(R/R_0) = 7$ covering variation of R by approximately three orders of magnitude. An
458 overview of these results is provided in the Supporting Information (**Table S1**). The
459 obtained results show that deviations between observed and predicted slopes could
460 mainly be attributed to mass transfer induced limitations of substrate bioavailability.
461 This effect is most pronounced for reactor Column 1 which had the highest reactivity
462 and least negative slopes. In turn, for reactor Column 3 at day 5 which had the lowest
463 reactivity and high reservoir concentration an increased bioavailability had the least
464 effects on the observed fractionation effects. The lower reactivity and higher
465 concentrations of the latter case also explain why only in this case an increase of the
466 column length had a minor effect on the observed fractionation effect as non-negligible
467 concentration values were found in the vicinity of the zero-concentration boundary (for
468 the original column length). For the other two reactors an increase of the column length
469 had no (or negligible) effects on the slopes of the Rayleigh plots. An analysis of the
470 influence of the degradation kinetics on the slopes was not straightforward as these
471 changes had also a major effect on the concentration profiles. Furthermore, according to
472 Thullner, et al.³⁷ the substrate bioavailability depends on two quantities: the ratio
473 between concentration and Michaelis-Menten constant and the ratio between the
474 specific affinity and the time constant of the phase-exchange in the columns. While the
475 specific affinity (i.e. the ratio between maximum degradation rate parameter and
476 Michaelis-Menten constant) was kept constant, the ratio between concentrations and
477 Michaelis-Menten constant was not and thus a variation of this parameter led to
478 differing trends depending on the relevance of bioavailability restrictions. Using the
479 $\ln(R/R_0) \leq 7$ criterion for comparison showed all in all a rather limited sensitivity of
480 the slopes to the choice of reaction kinetics: Those data sets showing highest influence
481 of bioavailability restrictions (Column 1 and Column 2, day 2) exhibited slightly less
482 negative slopes if the reaction kinetics became closer to first-order kinetics, while the
483 other data set exhibited slightly more negative slopes. The only exception was again
484 found for reaction Column 3 (day 5) where initial concentrations were higher and thus
485 degradation kinetics differing more from first order. To isolate effects from the used

486 reaction kinetics in a better way simulations were also performed combining conditions
487 with no bioavailability restrictions (i.e. high phase-exchange time constant) with an
488 increased value of the Michaelis-Menten constant. High bioavailability and increased
489 column length led to slopes deviating only up to 0.030 (using the $\ln(R/R_0) \leq 7$ criterion
490 for comparison) from the theoretically expected value of -0.775. A shift of the
491 degradation kinetics toward first-order kinetics decreased this deviation to 0.009 or less.
492 In summary, the performed sensitivity analysis showed that all three tested factors had
493 some influence on the slopes of the Rayleigh plots along the column reactors. The most
494 significant factor was the limitation of bioavailability while the other two factors had
495 only minor to negligible effects on the slopes. All tested factors led to less negative
496 slopes than theoretically predicted, which in turn means that using Eq. 5 for converting
497 an experimentally determined slope of a Rayleigh plot into an apparent stable isotope
498 fractionation factor would lead to an overestimation of the fractionation factor (i.e.,
499 estimated values of α_b are closer to 1). However, estimation errors are in the same range
500 as experimental uncertainties in measuring fractionation factors.

501

502 ***Implications for other studies***

503 Our findings reflect that compound-specific stable isotope analysis can be a tool for
504 quantitative as well as qualitative estimates of the major subsurface processes in
505 diffusion-dominated systems. This enlarges the range of application of CSIA for the
506 assessment of (contaminant) biodegradation in the subsurface. In spite of the contribution
507 of diffusive mixing and diffusion induced fractionation,²⁸ our results show that the
508 magnitude of isotope fractionation due to biodegradation can be quantitatively
509 estimated if concentration gradients have approximately achieved a steady-state. The
510 application of the presented concepts is not limited to the high stable isotope
511 fractionation factor associated with the biodegradation but may also be used for
512 conditions encountered in real world systems as neither the basic principles nor the
513 computational procedures depend on the magnitude of the fractionation factors or the
514 relative abundance of the different isotopologues. Biodegradation of VOC in the
515 unsaturated subsurface can mitigate emissions of contaminants to the atmosphere^{2-3, 35, 58}
516 or may reduce the chance of vapor-phase intrusion into buildings.⁵⁹⁻⁶¹ An assessment of
517 such degradation *in situ* is possible using concentration data⁵⁰ yet it is challenging given
518 the problems associated obtaining a sufficient number of *in-situ* samples. The presented
519 concepts allow using CSIA as an additional and highly beneficial source of information

520 for an existing number of samples even if diffusion is the dominant transport process.
521 Furthermore our results confirm that in cases where the stable isotope fractionation
522 factors of the biodegradation reaction are close to those of diffusion a lack of
523 fractionation along a diffusive flow path (as has been observed for systems with proven
524 biodegradation when approaching steady state^{29, 44}) is not necessarily an indication for
525 the absence of biodegradation.
526

527 **ASSOCIATED CONTENT**

528 **Supporting Information**

529 The supporting Information is available free of charge on the ACS Publications website
530 at DOI: xxxxxxxxxxxxxxxx

531 Descriptions of the batch systems, bioreactive columns and modeling approach
532 used to interpret the results. Along with results from the control systems.

533

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541 **Notes**

542 The authors declare no competing financial interest.

543

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545

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554

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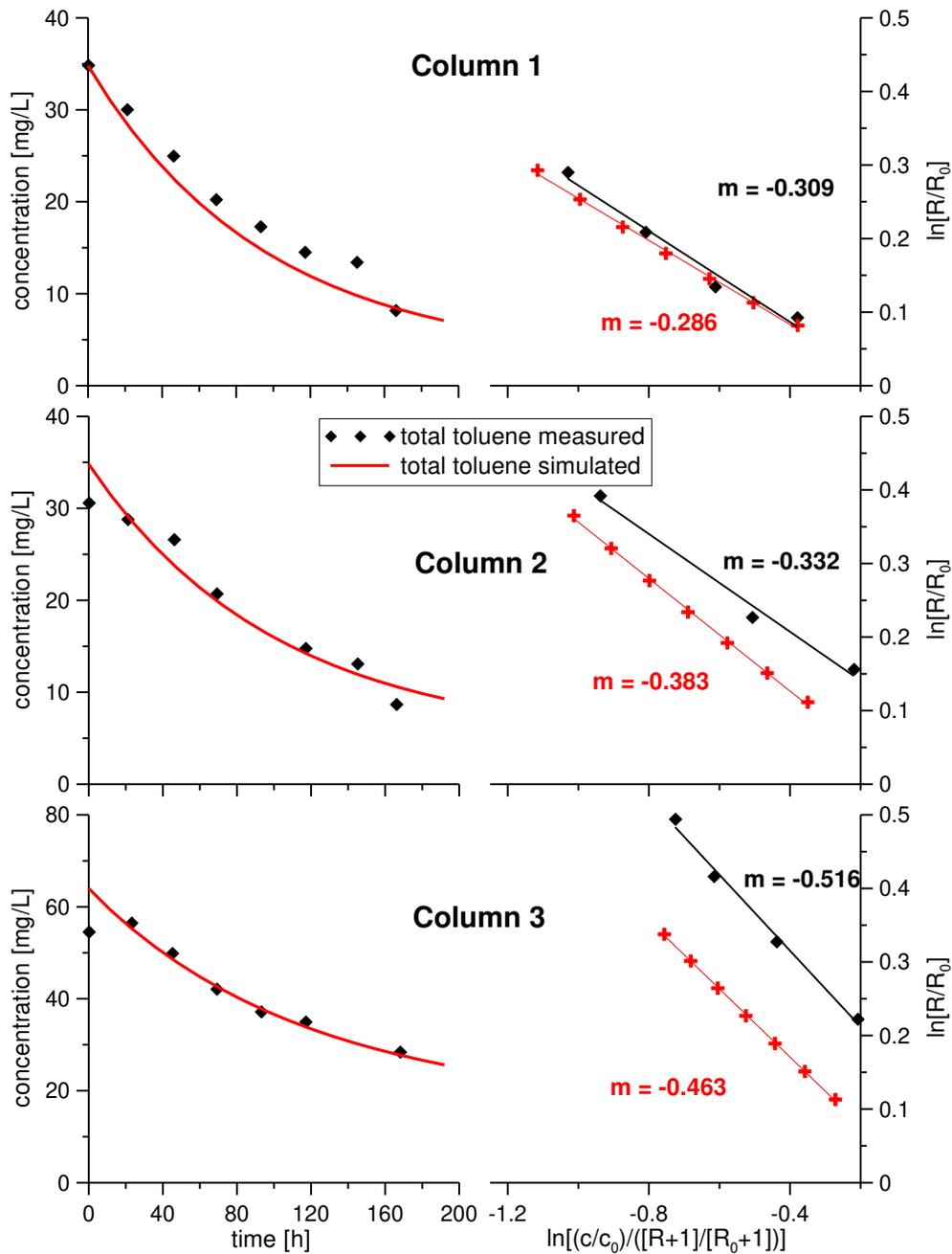
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753 **FIGURES**

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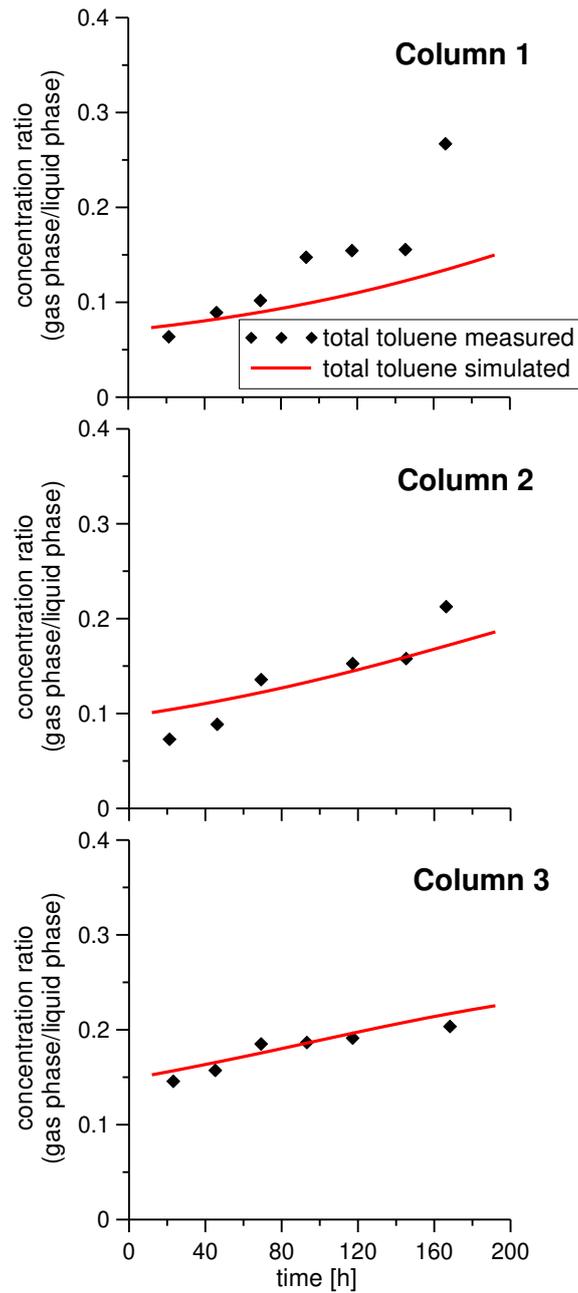
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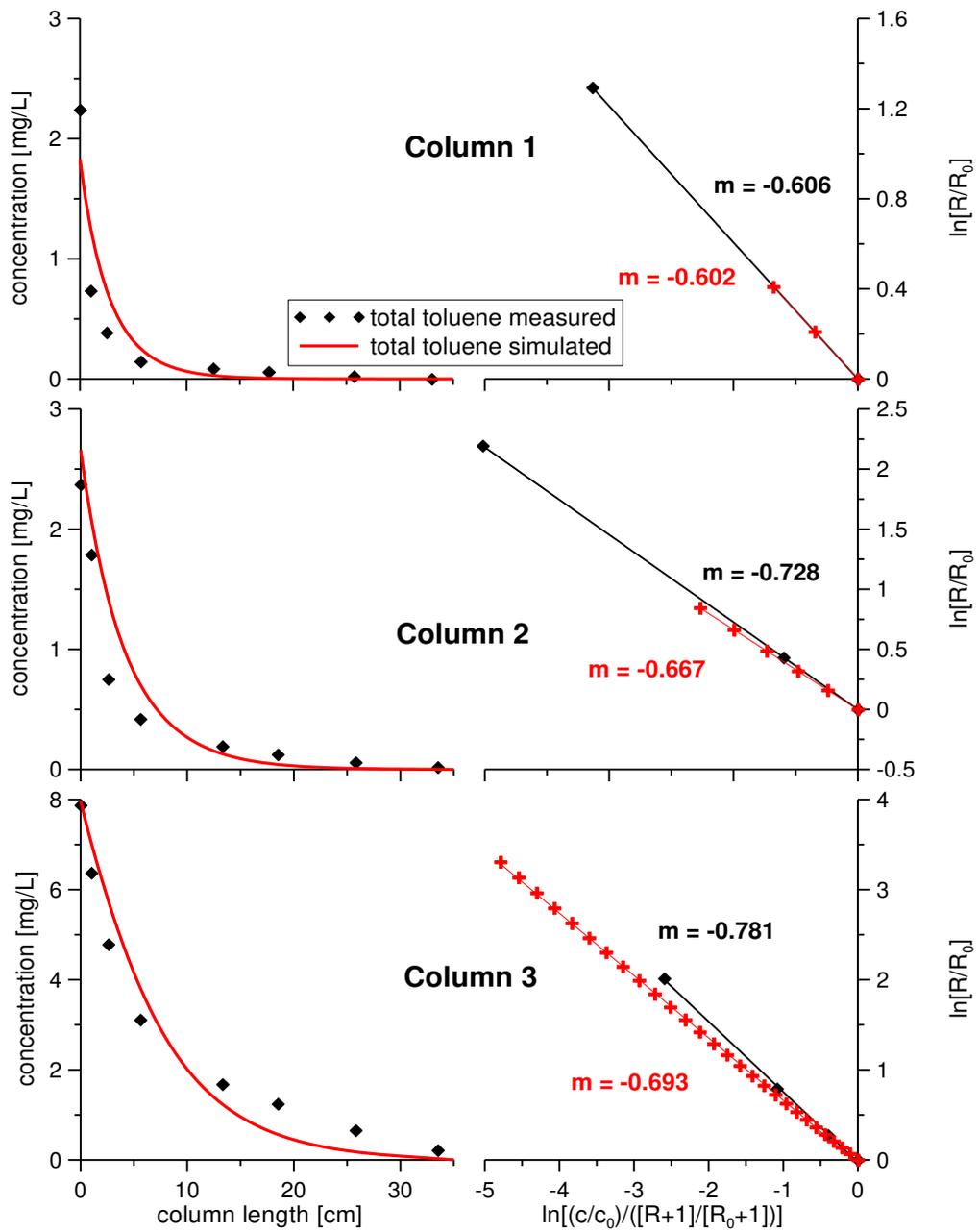
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Figure 1: Concentration changes in the liquid reservoirs of the bioreactive column reactors. Symbols mark experimental results, solid lines simulation results. Right: Rayleigh plots of the column reactors. Black diamonds mark experimental results, red crosses mark simulation results at 12-hour intervals. m is the slope of the linear regression fitted to the data.



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Figure 2: Gas to liquid concentration ratios in the reservoirs of the bioreactive column reactors. Symbols mark experimental results, solid lines simulations results.



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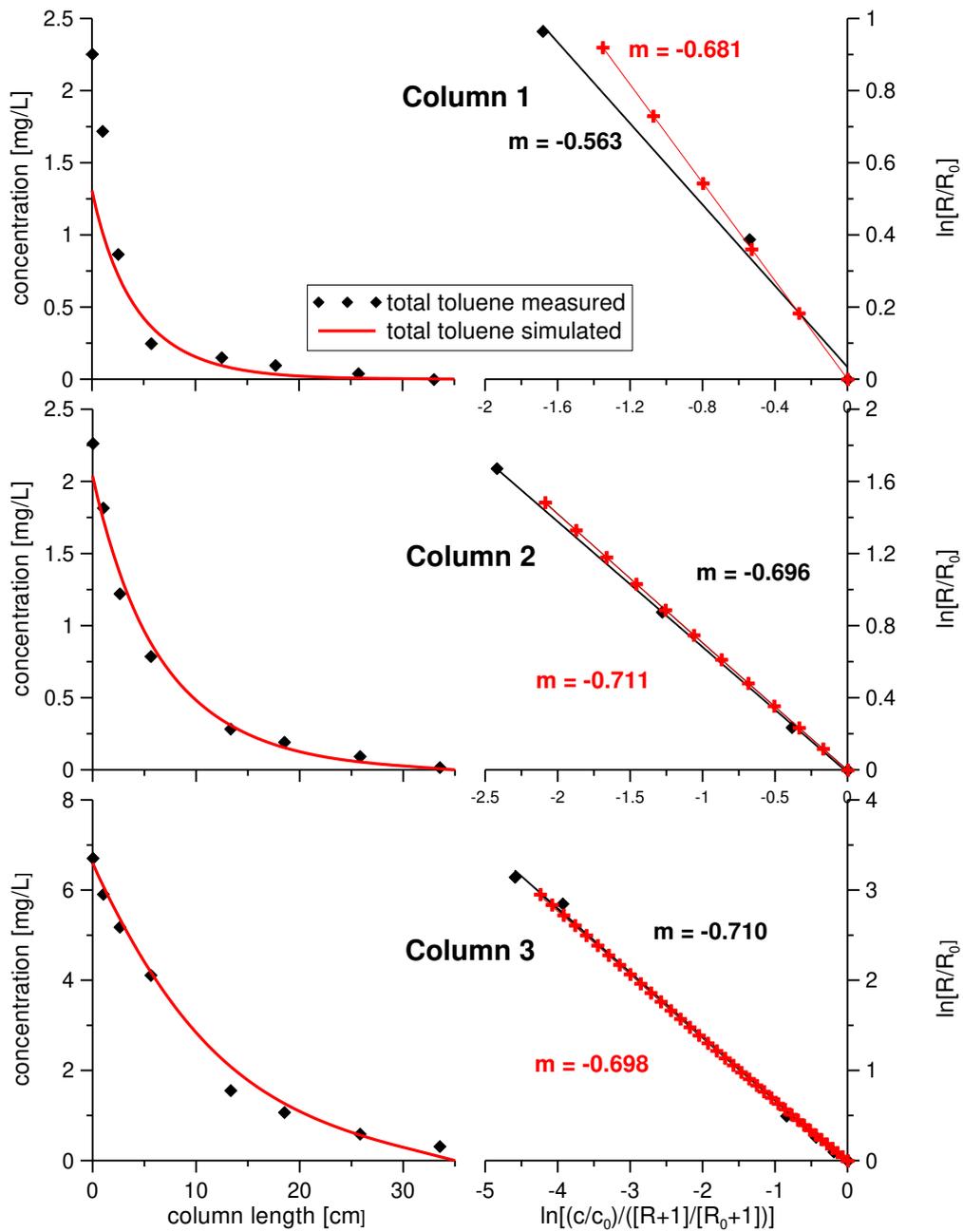
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Figure 3: Left: Concentration profiles along the gas phase of the bioreactive columns after 2 days. Symbols mark experimental results, solid lines simulation results. Right: Rayleigh plots of the bioreactive columns after 2 days. Black diamonds mark experimental results, red crosses mark simulation results. m is the slope of the linear regression fitted to the data.



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Figure 4: Left: Concentration profiles along the gas phase of the bioreactive columns after 5 days. Symbols mark experimental results, solid lines simulations results. Right: Rayleigh plots of the bioreactive columns after 5 days. Black diamonds mark experimental results, red crosses mark simulation results. m is the slope of the linear regression fitted to the data.

789 **TABLE**

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791 **Table 1:** Parameter values used for the numerical simulations.

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Parameter	Description	Value	Origin
L	column length	35 cm	measured
d	column inner diameter	4.1 cm	measured
V_r	reservoir: liquid volume	2375 cm ³	measured
V_h	reservoir: head space volume	45 cm ³	measured
Φ	porosity	0.39	measured
τ	tortuosity	0.5	measured
S	initial water saturation	14%	measured
${}^hD_m, {}^lD_m$	gas phase molecular diffusion coefficient	297 cm ² h ⁻¹ , 294 cm ² h ⁻¹	USEPA ⁶² for toluene and modified according to Bouchard, et al. ²⁹ for deuterated toluene
${}^hH, {}^lH$	Henry volatility	0.30, 0.30	fitted, constrained by Sander ⁶³
K_s	Michaelis-Menten constant	0.5 mg L ⁻¹	fixed to reasonable value
${}^hk_1, {}^lk_1$	time constant for phase exchange in reservoir	100 cm ³ h ⁻¹ , 100 cm ³ h ⁻¹	fitted, constrained by assuming diffusion through liquid boundary layer
${}^hk_2, {}^lk_2$	time constant for exchange between head space and column	10 ⁴ cm ³ h ⁻¹ , 10 ⁴ cm ³ h ⁻¹	fixed to high value
${}^hk_3, {}^lk_3$	time constant for phase exchange in column	100 h ⁻¹ , 100 h ⁻¹	fitted, constrained by assuming diffusion through liquid boundary layer
k_4	initial maximum rate parameter of biodegradation reaction	552 mg L ⁻¹ h ⁻¹ (Column 1) 276 mg L ⁻¹ h ⁻¹ (Column 2) 138 mg L ⁻¹ h ⁻¹ (Column 3)	fitted
λ	time constant for reactivity decrease	0.01 h ⁻¹	fitted
α_b	isotope fractionation factor of biodegradation reaction	0.05	fitted, constrained by batch experiment from this study
${}^h, {}^lc_i$	initial concentration in reservoir liquid phase	15.5 mg L ⁻¹ (Control 1) 17.4 mg L ⁻¹ (Control 2) 17.4 mg L ⁻¹ (Column 1) 17.4 mg L ⁻¹ (Column 2) 32.0 mg L ⁻¹ (Column 3)	adjusted to experimental observations

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